EXHIBIT A

EXHIBIT A—AGREED-UPON CONSTRUCTIONS

Claim Term	Corresponding Claims	Agreed-Upon Construction
"about"	'868 patent, claims 1, 8-14, 21-26, 28; '482 patent, claims 1, 7-14, 20-28; '621 patent, claims 1, 5-8, 13-19, 21-24, 27-30; and incorporated in all dependent claims thereto	"approximately"

EXHIBIT B

EXHIBIT B—DISPUTED CONSTRUCTIONS BETWEEN SILVERGATE AND DEFENDANTS

Claim Term	Corresponding Claims	Silvergate's Proposed Construction and Evidence	Defendant's Proposed Construction and Evidence
"consisting essentially of"	'868 patent, claims 1, 13, 14, 26, and incorporated in all dependent claims thereto;	PROPOSED CONSTRUCTION: Plain and ordinary meaning (not indefinite) EXEMPLARY INTRINSIC EVIDENCE:	PROPOSED CONSTRUCTION: This term is indefinite INTRINSIC EVIDENCE:
	'621 patent claims 1-30 ¹	Silvergate reserves the right to rely on the claims, specifications, and entire prosecution histories of the '868, '482, and '621 patents, as well as those of U.S. Patent No. 9,669,008 ("'008 patent"), 9,808,442 ("'442 patent"), 10,039,745 ("'745 patent"), and 10,154,987 ("'987 patent"), and all related patent applications, including but not limited to: '868 Patent - Claims 1-30 '868 Patent - Abstract - col. 1:49-52 - col. 2:21-28 - col. 4:64-5:8 - col. 5:13-23	'868 patent Claim 1–30; 2:21–3:49; 4:64–5:9; 5:54–6:55; 8:35–19:54; 20:43–22:44; 23:19–67; 31:19–41:27 (Examples A–H). Prosecution history of U.S. Patent No. 9,669,008 Original Claims (SLVGT-EPA_0000365–366); Office Action dated January 17, 2017 (SLVGT-EPA_0000835–841); February 3, 2017 Amendment and Response (SLVGT-EPA_0000857-879); Mosher Declaration dated February 2, 2017 at 1-8 (SLVGT-EPA_0000880-87);

¹ Bionpharma did not identify this term with respect to the '621 patent in its disclosure of claim terms and proposed constructions on March 17, 2021.

Claim Term	Corresponding Claims	Silvergate's Proposed Construction and Evidence	Defendant's Proposed Construction and Evidence
		- col. 5:45-50 - col. 5:58-6:15 - col. 6:22-55 - col. 10:23-60 - col. 13:22-14:25 - col. 14:47-48 - col. 18:36-19:35 - col. 25:27-61 - col. 29:16-18 - Examples A-H and all associated tables - Figs. 1 & 2 File History of '008 Patent - Jan. 17, 2017 – First Action Interview (SLVGT-EPA_0000835-837) - Feb. 3, 2017 – Response to Non-Final Office Action (SLVGT-EPA_0000857-879) - Feb. 3, 2017 – Declaration of Dr. Mosher dated Feb. 2, 2017 (SLVGT-EPA_0000880-893) - Mar. 22, 2017 – Supplemental Amendment in Response to Non-Final Office Action (SLVGT-EPA_000940-945) - Apr. 19, 2017 – Notice of Allowance (SLVGT-EPA_0001145-149) File History of '868 Patent - Jan. 8, 2019 – Preliminary Amendment (SLVGT-EPA_0104422-428)	Supplemental Amendment dated March 22, 2017 (SLVGT-EPA_0000940-945); Notice of Allowance dated April 19, 2017 (SLVGT-EPA_0001145-151). '868 Patent Prosecution history Original claims at 48-51; Preliminary amendment dated January 18, 2019; Office Action dated May 2, 2019; August 1, 2019 Office Action Response; Declarations of Mosher dated February 2, 2017 and May 14, 2020; Office Action dated Nov 19, 2019; May 14, 2020 Office Action Response; Notice of Allowance dated August 8, 2020. '482 Patent Prosecution history Original claims at 48-51; Office Action dated January 25, 2019; March 1, 2019 Office Action Response; Declarations of Mosher dated February 2, 2017 and May 14, 2020; Office Action dated June 24, 2019; August 1, 2019 Office Action Response; Advisory Opinion dated September 16, 2019; October 24, 2019 Office Action Response; Office Action dated January 7, 2020; May 15, 2020 Office Action Response; Notice of allowance dated July 16, 2020.

Claim Term	Corresponding Claims	Silvergate's Proposed Construction and Evidence	Defendant's Proposed Construction and Evidence
		 Aug. 1, 2019 – Response to Non-Final Office Action (SLVGT-EPA_0105316-330) Aug. 1, 2020 – Declaration of Dr. Mosher dated Feb. 2, 2017 (SLVGT-EPA_0105341-354) May 14, 2017 – Declaration of Dr. Mosher dated May 14, 2020 (SLVGT-EPA_0105503-513) May 14, 2020 – Response of Final Office Action (SLVGT-EPA_0105519-532) Aug. 3, 2020 – Notice of Allowance (SLVGT-EPA_0105656-663) 	
		File History of '482 Patent Mar. 1, 2019 – Response to Non-Final Office Action (SLVGT-EPA_0105790-803) Mar. 1, 2019 – Declaration of Dr. Mosher dated Feb. 2, 2017 (SLVGT-EPA_0105804-817) Aug. 1, 2019 – Response to Final Office Action (SLVGT-EPA_0106721-728) Oct. 24, 2019 – Response to Advisory Action and Final Office Action (SLVGT-EPA_0106753-760) May 15, 2020 – Response to Non-Final Office Action (SLVGT-EPA_0106791-801) May 15, 2020 – Declaration of Dr. Mosher dated May 15, 2020 (SLVGT-EPA_0106802-808)	

Claim Term	Corresponding Claims	Silvergate's Proposed Construction and Evidence	Defendant's Proposed Construction and Evidence
		- July 16, 2020 – Notice of Allowance (SLVGT-EPA_0106991-6999)	
"wherein the formulation is stable at about 5±3°C for at least 12 months"	'868 patent, claims 1, 13, 14, 26, and incorporated in all dependent claims thereto	PROPOSED CONSTRUCTION: Plain and ordinary meaning (not indefinite) EXEMPLARY INTRINSIC EVIDENCE:	PROPOSED CONSTRUCTION: This term is indefinite (to the extent it is not unbounded and thus invalid for lack of written description and/or enablement) ²
		Silvergate reserves the right to rely on the claims, specifications, and entire prosecution histories of the '868, '482, and '621 patents, as well as the '008, '442, '745, and '987 patents, and all related patent applications, including but not limited to: '868 Patent - Claims 1-30	<u>'868 patent</u> Claim 1–30; 2:21–3:49; 4:64–5:9; 5:54–6:55; 8:35–19:54; 20:43–22:44; 23:19–67;
		<u>'868 Patent</u> - Abstract - col. 1:49-52 - col. 2:21-28 - col. 4:64-5:8 - col. 5:13-23 - col. 5:45-50 - col. 5:58-6:15	31:19–41:27 (Examples A–H). '482 patent Claim 1-28; 2:21–3:49; 4:64–5:9; 5:54–6:55; 8:35–19:54; 20:43–22:44;

² This position is made without prejudice to Amneal's arguments in C.A. No. 19-678 that the same or similar claim limitations in the patents asserted in that case are invalid for lack of written description and/or enablement.

Claim Term	Corresponding Claims	Silvergate's Proposed Construction and Evidence	Defendant's Proposed Construction and Evidence
		 col. 13:22-14:25 col. 14:47-48 col. 25:27-61 col. 29:16-18 Examples A-H and all associated tables Figs. 1 & 2 File History of '008 Patent Jan. 17, 2017 – First Action Interview (SLVGT-EPA_0000835-837) Feb. 3, 2017 – Response to Non-Final Office Action (SLVGT-EPA_0000857-879) Feb. 3, 2017 – Declaration of Dr. Mosher dated Feb. 2, 2017 (SLVGT-EPA_0000880-893) Mar. 22, 2017 – Supplemental Amendment in Response to Non-Final Office Action (SLVGT-EPA_0000940-945) Apr. 19, 2017 – Notice of Allowance (SLVGT-EPA_0001145-149) 	23:19–67; 31:14–41:10 (Examples A–H). Prosecution history of U.S. Patent No. 9,669,008 Original Claims (SLVGT-EPA_0000365–366); Office Action dated January 17, 2017 (SLVGT-EPA_0000835–841); February 3, 2017 Amendment and Response (SLVGT-EPA_0000857-879); Mosher Declaration dated February 2, 2017 at 1-8 (SLVGT-EPA_0000880-87); Supplemental Amendment dated March 22, 2017 (SLVGT-EPA_0000940–945); Notice of Allowance dated April 19, 2017 (SLVGT-EPA_0001145–151). '868 Patent Prosecution history Original claims at 48-51; Preliminary amendment dated January 18, 2019; Office Action dated May 2, 2019;
		File History of '868 Patent Jan. 8, 2019 – Preliminary Amendment (SLVGT-EPA_0104422-428) Aug. 1, 2019 – Response to Non-Final Office Action (SLVGT-EPA_0105316-330) Aug. 1, 2020 – Declaration of Dr. Mosher dated Feb. 2, 2017 (SLVGT-EPA_0105341- 354)May 14, 2017 – Declaration of Dr.	August 1, 2019 Office Action Response; Declarations of Mosher dated February 2, 2017 and May 14, 2020; Office Action dated Nov 19, 2019; May 14, 2020 Office Action Response; Notice of Allowance dated August 8, 2020.

Claim Term	Corresponding Claims	Silvergate's Proposed Construction and Evidence	Defendant's Proposed Construction and Evidence
		Mosher dated May 14, 2020 (SLVGT-EPA_0105503-513) - May 14, 2020 – Response of Final Office Action (SLVGT-EPA_0105519-532) - Aug. 3, 2020 – Notice of Allowance (SLVGT-EPA_0105656-663)	'482 Patent Prosecution history Original claims at 48-51; Office Action dated January 25, 2019; March 1, 2019 Office Action Response; Declarations of Mosher dated February 2, 2017 and May 14, 2020; Office Action dated June 24, 2019;
		 File History of '482 Patent Mar. 1, 2019 – Response to Non-Final Office Action (SLVGT-EPA_0105790-803) Mar. 1, 2019 – Declaration of Dr. Mosher dated Feb. 2, 2017 (SLVGT-EPA_0105804-817) Aug. 1, 2019 – Response to Final Office Action (SLVGT-EPA_0106721-728) Oct. 24, 2019 – Response to Advisory Action and Final Office Action (SLVGT-EPA_0106753-760) May 15, 2020 – Response to Non-Final Office Action (SLVGT-EPA_0106791-801) May 15, 2020 – Declaration of Dr. Mosher dated May 15, 2020 (SLVGT-EPA_0106802-808) July 16, 2020 – Notice of Allowance (SLVGT-EPA_0106991-6999) 	August 1, 2019 Office Action Response; Advisory Opinion dated September 16, 2019; October 24, 2019 Office Action Response; Office Action dated January 7, 2020; May 15, 2020 Office Action Response; Notice of allowance dated July 16, 2020.

Claim Term	Corresponding Claims	Silvergate's Proposed Construction and Evidence	Defendant's Proposed Construction and Evidence
"wherein the formulation	'482 patent claims 1, 13, 14,	PROPOSED CONSTRUCTION:	PROPOSED CONSTRUCTION:
maintains about 95%	and incorporated in all dependent	Plain and ordinary meaning (not indefinite)	This term is indefinite (to the extent it is not unbounded and thus invalid for lack of written
w/w or greater of the	claims thereto	EXEMPLARY INTRINSIC EVIDENCE:	description and/or enablement) ⁴
initial enalapril		Silvergate reserves the right to rely on the claims, specifications, and entire prosecution histories of	Intrinsic Evidence:
amount at the end of a		the '868, '482, and '621 patents, as well as	'868 patent
		the '008, '442, '745, and '987 patents, and all	Claim 1–30;
storage		related patent applications, including but not	2:21–3:49;
period of at		limited to:	4:64–5:9;
least 12			5:54–6:55;
months at		<u>'482 Patent</u>	8:35–19:54;
about 5±3°C"		- Claims 1-28	20:43–22:44;
		2.44.44.2	23:19–67;
		<u>'868 Patent</u> ³	31:19–41:27 (Examples A–H).
		- Abstract	2402
		- col. 1:49-52	<u>'482 patent</u>
		- col. 2:21-28	Claim 1-28;
		- col. 4:64-5:8	2:21–3:49;
		- col. 5:13-23	4:64–5:9;
		- col. 5:45-50	5:54-6:55;
		- col. 5:58-6:15	8:35–19:54;
		- 001. 3.30-0.13	20:43–22:44;

³ The 868, '482, and '621 patents and the '008, '442, '745, and '987 patents all share the same specification. References to any of the patents in this group includes all citations to equivalent portions of other patents in the group.

⁴ This position is made without prejudice to Amneal's arguments in C.A. No. 19-678 that the same or similar claim limitations in the patents asserted in that case are invalid for lack of written description and/or enablement.

Claim Term	Corresponding Claims	Silvergate's Proposed Construction and Evidence	Defendant's Proposed Construction and Evidence
		 col. 13:22-14:25 col. 14:47-48 col. 25:27-61 col. 29:16-18 Examples A-H and all associated tables Figs. 1 & 2 File History of '008 Patent Jan. 17, 2017 – First Action Interview (SLVGT-EPA_0000835-837) Feb. 3, 2017 – Response to Non-Final Office Action (SLVGT-EPA_0000857-879) Feb. 3, 2017 – Declaration of Dr. Mosher dated Feb. 2, 2017 (SLVGT-EPA_0000880-893) Mar. 22, 2017 – Supplemental Amendment in Response to Non-Final Office Action (SLVGT-EPA_000940-945) Apr. 19, 2017 – Notice of Allowance (SLVGT-EPA_0001145-149) File History of '868 Patent Jan. 8, 2019 – Preliminary Amendment (SLVGT-EPA_0104422-428) Aug. 1, 2019 – Response to Non-Final Office Action (SLVGT-EPA_0105316-330) 	23:19–67; 31:14–41:10 (Examples A–H). Prosecution history of U.S. Patent No. 9,669,008 Original Claims (SLVGT-EPA_0000365–366); Office Action dated January 17, 2017 (SLVGT-EPA_0000835–841); February 3, 2017 Amendment and Response (SLVGT-EPA_0000857-879); Mosher Declaration dated February 2, 2017 at 1-8 (SLVGT-EPA_0000880-87); Supplemental Amendment dated March 22, 2017 (SLVGT-EPA_0000940–945); Notice of Allowance dated April 19, 2017 (SLVGT-EPA_0001145–151). **868 Patent Prosecution history* Original claims at 48-51; Preliminary amendment dated January 18, 2019; Office Action dated May 2, 2019; August 1, 2019 Office Action Response; Declarations of Mosher dated February 2, 2017 and May 14, 2020; Office Action dated Nov 19, 2019; May 14, 2020 Office Action Response; Notice of Allowance dated August 8, 2020.

Claim Term	Corresponding Claims	Silvergate's Proposed Construction and Evidence	Defendant's Proposed Construction and Evidence
		 Aug. 1, 2020 – Declaration of Dr. Mosher dated Feb. 2, 2017 (SLVGT-EPA_0105341-354) May 14, 2017 – Declaration of Dr. Mosher dated May 14, 2020 (SLVGT-EPA_0105503-513) May 14, 2020 – Response of Final Office Action (SLVGT-EPA_0105519-532) Aug. 3, 2020 – Notice of Allowance (SLVGT-EPA_0105656-663) File History of '482 Patent Mar. 1, 2019 – Response to Non-Final Office Action (SLVGT-EPA_0105790-803) Mar. 1, 2019 – Declaration of Dr. Mosher dated Feb. 2, 2017 (SLVGT-EPA_0105804-817) Aug. 1, 2019 – Response to Final Office Action (SLVGT-EPA_0106721-728) Oct. 24, 2019 – Response to Advisory Action and Final Office Action (SLVGT-EPA_0106791-801) May 15, 2020 – Response to Non-Final Office Action (SLVGT-EPA_0106791-801) May 15, 2020 – Declaration of Dr. Mosher dated May 15, 2020 (SLVGT-EPA_0106802-808) July 16, 2020 – Notice of Allowance (SLVGT-EPA_0106991-6999) 	Original claims at 48-51; Office Action dated January 25, 2019; March 1, 2019 Office Action Response; Declarations of Mosher dated February 2, 2017 and May 14, 2020; Office Action dated June 24, 2019; August 1, 2019 Office Action Response; Advisory Opinion dated September 16, 2019; October 24, 2019 Office Action Response; Office Action dated January 7, 2020; May 15, 2020 Office Action Response; Notice of allowance dated July 16, 2020.

EXHIBIT B—DISPUTED CONSTRUCTIONS SPECIFIC BETWEEN SILVERGATE AND BIONPHARMA

Claim Term	Corresponding Claims	Silvergate's Proposed Construction and Evidence	Bionpharma's Proposed Construction and Evidence
"buffer" ⁵	'868 patent claims 1, 5, 6, 8- 10, 13, 14, 18, 19, 21-23, 26, 30, and incorporated in all dependent claims thereto;	PROPOSED CONSTRUCTION: "agent(s) that adjust and/or maintain pH" EXEMPLARY INTRINSIC EVIDENCE: Silvergate reserves the right to rely on the claims, specifications, and entire prosecution histories of	PROPOSED CONSTRUCTIONS: (i) "a buffering agent or mixture of agents that maintain(s) the pH of the liquid enalapril formulation beyond any pH maintenance provided by enalapril, an enalapril salt, or any compound disassociated from an enalapril salt"
	'482 patent claims 1, 7, 8, 13, 14, 25, 26, 28, and incorporated in all dependent claims thereto;	the '868, '482, and '621 patents, as well as the '008, '442, '745, and '987 patents, and all related patent applications, including but not limited to: - Claims of the '868, '482, and '621 patents	or, alternatively (ii) "a buffering agent or mixture of agents that that includes a weak acid that has an acidic hydrogen with a pKa within ± 1 of the formulation pH" INTRINSIC EVIDENCE:
	'621 patent claims 1, 4-7, 19, 20-23, 30, and incorporated in all dependent claims thereto	'868 Patent - Abstract - col. 1:49-52 - col. 2:21-28 - col. 4:64-5:8 - col. 5:13-23 - col. 5:45-50 - col. 5:58-6:15	'482 Patent 1:1-42:63 '868 Patent 1:1-42:67 '621 Patent 1:1-42:67
		- col. 6:29-31 - col. 6:35-40	'482 Patent File History:

⁵ Amneal reserves the right to join Bionpharma's proposed construction of the term "buffer."

Claim Term	Corresponding Claims	Silvergate's Proposed Construction and Evidence	Bionpharma's Proposed Construction and Evidence
		- col. 13:22-14:48 - col. 18:36-19:35	2018-10-31 Claims - SLVGT-EPA_0105692-695
		- col. 21:9-33	2018-10-31 Specification - SLVGT-
		- col. 25:27-61	EPA_0105715-762
		- col. 29:16-18	
		- col. 29:23-28	2019-01-25 Non-Final Rejection - SLVGT-
		- Examples A-H and all associated tables	EPA_0105771-784
		- Figs. 1 & 2	
			2019-03-01 Response to Office Action - SLVGT-
		File History of '008 Patent	EPA_0105790-803
		- Jan. 17, 2017 – First Action Interview	
		(SLVGT-EPA_0000835-837)	2019-03-01 Mosher Declaration dated Feb 2,
		- Feb. 3, 2017 – Response to Non-Final Office Action (SLVGT-EPA_0000857-879)	2017 - SLVGT-EPA_0105804-811
		- Feb. 3, 2017 – Declaration of Dr. Mosher	2019-06-24 Final Rejection - SLVGT-
		dated Feb. 2, 2017 (SLVGT-EPA_0000880-893)	EPA_0106673-692
		- Mar. 22, 2017 – Supplemental Amendment in	2019-08-01 Response After Final - SLVGT-
		Response to Non-Final Office Action (SLVGT-EPA_0000940-945)	EPA_0106721-728
		- Apr. 19, 2017 – Notice of Allowance	2019-09-16 Advisory Opinion - SLVGT-
		(SLVGT-EPA_0001145-149)	EPA_0106743-745
		File History of '868 Patent	2019-08-22 Examiner Interview - SLVGT-
		- Jan. 8, 2019 – Preliminary Amendment	EPA_0106746
		(SLVGT-EPA_0104422-428)	
		- Aug. 1, 2019 – Response to Non-Final Office Action (SLVGT-EPA_0105316-330)	2019-10-24 Response to Office Action - SLVGT- EPA_0106753-760

Claim Term Corresponding Claims	Silvergate's Proposed Construction and Evidence Bionpharma's Proposed Construction Evidence	
	 Aug. 1, 2020 – Declaration of Dr. Mosher dated Feb. 2, 2017 (SLVGT-EPA_0105341-354) May 14, 2017 – Declaration of Dr. Mosher dated May 14, 2020 (SLVGT-EPA_0105503-513) May 14, 2020 – Response of Final Office Action (SLVGT-EPA_0105519-532) Aug. 3, 2020 – Notice of Allowance (SLVGT-EPA_0105656-663) File History of '482 Patent Mar. 1, 2019 – Response to Non-Final Office Action (SLVGT-EPA_0105790-803) Mar. 1, 2019 – Declaration of Dr. Mosher dated Feb. 2, 2017 (SLVGT-EPA_0105804-817) Aug. 1, 2019 – Response to Final Office Action (SLVGT-EPA_0106721-728) Oct. 24, 2019 – Response to Advisory Action and Final Office Action (SLVGT-EPA_0106753-760) May 15, 2020 – Response to Non-Final Office Action (SLVGT-EPA_0106791-801) May 15, 2020 – Declaration of Dr. Mosher dated May 15, 2020 (SLVGT-EPA_0106802-808) July 16, 2020 – Notice of Allowance (SLVGT-EPA_0106991-6999) 	2020-01-07 Non-Final Rejection - SLVGT-EPA_0106767-777 2020-03-06 Examiner Interview - SLVGT-EPA_0106788-790 2020-05-15 Response to Office Action - SLVGT-EPA_0106791-801 2020-05-15 Mosher Declaration dated May 15, 2020 - SLVGT-EPA_0106802-808 2020-07-16 Notice of Allowance - SLVGT-EPA_0106991-999 2020-07-01 Examiner Interview - SLVGT-EPA_0107000-001 2020-07-22 Amendment after Notice of Allowance - SLVGT-EPA_0107030-036 2020-07-31 Response to Amendment - SLVGT-EPA_0107044-045 2020-08-22 Notice of Allowance - SLVGT-EPA_0107047-050 2020-29-09 Issue Notification - SLVGT-EPA_0107056

Claim Term	Corresponding Claims	Silvergate's Proposed Construction and Evidence	Bionpharma's Proposed Construction and Evidence
		File History of '621 Patent	'868 Patent File History:
		- Jan. 1, 2021 – Notice of Allowance (SLVGT- EPA_0107216-220)	2019-01-08 Claims - SLVGT-EPA_0104348-351
			2019-01-08 Specification - SLVGT- EPA_0104374-421
			2019-01-18 Preliminary Amendment - SLVGT- EPA_0104422-428
			2019-05-02 Non-Final Rejection - SLVGT- EPA_0105281-296
			2019-08-01 Response to Office Action - SLVGT- EPA_0105316-330
			2019-08-01 Mosher Declaration dated Feb 2, 2017 - SLVGT-EPA_0105341-348
			2019-11-19 Final Rejection - SLVGT- EPA_0105478-494
			2020-05-14 Mosher Declaration dated May 14, 2020 - SLVGT-EPA_0105503-513
			2020-05-14 Response to Office Action - SLVGT-EPA_0105519-532

Claim Term	Corresponding Claims	Silvergate's Proposed Construction and Evidence	Bionpharma's Proposed Construction and Evidence	
			2020-07-22 Examiner Interview - SLVGT- EPA_0105664-665	
			2020-08-03 Notice of Allowance - SLVGT- EPA_0105656-663	
			2020-08-03 Examiner Interview - SLVGT- EPA_0105676	
			2020-09-15 Issue Notification - SLVGT- EPA_0105686	
			<u>'621 Patent File History:</u>	
			2020-08-12 Specification – SLVGT- EPA_0107082-129	
			2020-08-12 Claims – SLVGT-EPA_0107130-132	
			2020-12-28 Mosher Declaration dated May 15, 2020 – SLVGT-EPA_0107181-187	
			2020-12-28 Mosher Declaration dated May 14, 2020 – SLVGT-EPA_0107188-198	
			2020-12-28 Mosher Declaration dated Feb 2, 2017 – SLVGT-EPA_0107199-206	

Claim Term	Corresponding Claims	Silvergate's Proposed Construction and Evidence	Bionpharma's Proposed Construction and Evidence
			2020-12-28 Supplemental Response or Supplemental Amendment – SLVGT- EPA_0107209-211
			2021-01-01 Notice of Allowance – SLVGT- EPA_0107212-220
			2020-12-17 Examiner Interview – SLVGT- EPA_0107221-222
			2021-02-16 Issue Notification – SLVGT- EPA_0107260
"wherein the	'621 patent	PROPOSED CONSTRUCTION:	PROPOSED CONSTRUCTION:
formulation is stable at about 5±3°C for at least 12 months; and	claims 1, 19, 30, and incorporated in all dependent claims thereto	Plain and ordinary meaning (not indefinite) <u>EXEMPLARY INTRINSIC EVIDENCE</u> :	Indefinite (to the extent it is not unbounded and thus invalid for lack of written description and/or enablement)
wherein the stable oral		Silvergate reserves the right to rely on the claims, specifications, and entire prosecution histories of	Intrinsic Evidence:
liquid formulation		the '868, '482, and '621 patents, as well as	This term is indefinite (to the extent it is not
has about 95% w/w or		the '008, '442, '745, and '987 patents, and all related patent applications, including but not limited to:	unbounded and thus invalid for lack of written description and/or enablement)
greater of the initial enalapril		<u>'621 Patent</u>	'621 Patent Claim 1-30
amount and about 5%		- Claims 1-30	'868 patent Claim 1–30;
w/w or less total impurity		<u>'868 Patent</u> - Abstract	2:21–3:49; 4:64–5:9;

Claim Term	Corresponding Claims	Silvergate's Proposed Construction and Evidence	Bionpharma's Proposed Construction and Evidence	
or related substances at the end of a given storage period"		 col. 1:49-52 col. 2:21-28 col. 4:64-5:8 col. 5:13-23 col. 5:45-50 col. 13:22-14:25 col. 14:47-48 col. 25:27-61 col. 29:16-18 Examples A-H and all associated tables Figs. 1 & 2 File History of '008 Patent Jan. 17, 2017 – First Action Interview (SLVGT-EPA_0000835-837) Feb. 3, 2017 – Response to Non-Final Office Action (SLVGT-EPA_0000857-879) Feb. 3, 2017 – Declaration of Dr. Mosher dated Feb. 2, 2017 (SLVGT-EPA_0000880-893) Mar. 22, 2017 – Supplemental Amendment in Response to Non-Final Office Action (SLVGT-EPA_0000940-945) Apr. 19, 2017 – Notice of Allowance (SLVGT-EPA_0001145-149) 	5:54–6:55; 8:35–19:54; 20:43–22:44; 23:19–67; 31:19–41:27 (Examples A–H). **\frac{482 \text{ patent}}{Claim 1-28;} 2:21–3:49; 4:64–5:9; 5:54–6:55; 8:35–19:54; 20:43–22:44; 23:19–67; 31:14–41:10 (Examples A–H). **Prosecution history of U.S. Patent No. 9,669,008 Original Claims (SLVGT-EPA_0000365–366); Office Action dated January 17, 2017 (SLVGT-EPA_000835–841); February 3, 2017 Amendment and Response (SLVGT-EPA_0000857-879); Mosher Declaration dated February 2, 2017 at 1-8 (SLVGT-EPA_0000880-87); Supplemental Amendment dated March 22, 2017 (SLVGT-EPA_0000940–945); Notice of Allowance dated April 19, 2017 (SLVGT-EPA_0001145–151).	

Claim Term	Corresponding Claims	Silvergate's Proposed Construction and Evidence	Bionpharma's Proposed Construction and Evidence
		 File History of '868 Patent Jan. 8, 2019 – Preliminary Amendment (SLVGT-EPA_0104422-428) Aug. 1, 2019 – Response to Non-Final Office Action (SLVGT-EPA_0105316-330) Aug. 1, 2020 – Declaration of Dr. Mosher dated Feb. 2, 2017 (SLVGT-EPA_0105341-354) May 14, 2017 – Declaration of Dr. Mosher dated May 14, 2020 (SLVGT-EPA_0105503-513) May 14, 2020 – Response of Final Office Action (SLVGT-EPA_0105519-532) Aug. 3, 2020 – Notice of Allowance (SLVGT-EPA_0105656-663) 	'868 Patent Prosecution history Original claims at 48-51; Preliminary amendment dated January 18, 2019; Office Action dated May 2, 2019; August 1, 2019 Office Action Response; Declarations of Mosher dated February 2, 2017 and May 14, 2020; Office Action dated Nov 19, 2019; May 14, 2020 Office Action Response; Notice of Allowance dated August 8, 2020. '482 Patent Prosecution history Original claims at 48-51; Office Action dated January 25, 2019; March 1, 2019 Office Action Response; Declarations of Mosher dated February 2, 2017
		File History of '482 Patent Mar. 1, 2019 – Response to Non-Final Office Action (SLVGT-EPA_0105790-803) Mar. 1, 2019 – Declaration of Dr. Mosher dated Feb. 2, 2017 (SLVGT-EPA_0105804-817) Aug. 1, 2019 – Response to Final Office Action (SLVGT-EPA_0106721-728) Oct. 24, 2019 – Response to Advisory Action and Final Office Action (SLVGT-EPA_0106753-760) May 15, 2020 – Response to Non-Final Office Action (SLVGT-EPA_0106791-801)	and May 14, 2020; Office Action dated June 24, 2019; August 1, 2019 Office Action Response; Advisory Opinion dated September 16, 2019; October 24, 2019 Office Action Response; Office Action dated January 7, 2020; May 15, 2020 Office Action Response; Notice of allowance dated July 16, 2020.

Claim Term	Corresponding Claims	Silvergate's Proposed Construction and Evidence	Bionpharma's Proposed Construction and Evidence
		 May 15, 2020 – Declaration of Dr. Mosher dated May 15, 2020 (SLVGT-EPA_0106802-808) July 16, 2020 – Notice of Allowance (SLVGT-EPA_0106991-6999) 	
		File History of '621 Patent Jan. 1, 2021 – Notice of Allowance (SLVGT-EPA_0107216-220)	

EXHIBIT C

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(12) United States Patent Mosher et al.

(10) Patent No.: US 10,772,868 B2

(45) **Date of Patent:**

*Sep. 15, 2020

(54) ENALAPRIL FORMULATIONS

(71) Applicant: **Silvergate Pharmaceuticals, Inc.**, Greenwood Village, CO (US)

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 A61K 9/00 (2006.01)

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 (2013.01); A61K 9/0095 (2013.01); A61K
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- (58) Field of Classification Search
 CPC A61K 31/401; A61K 47/12; A61K 47/26;
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 See application file for complete search history.

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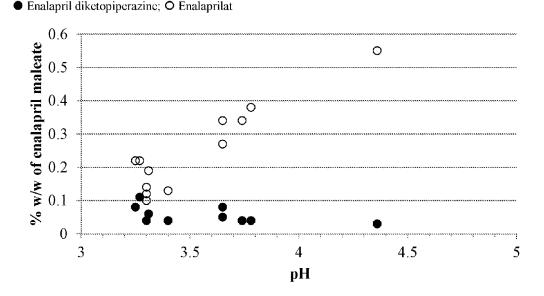
(Continued)

Primary Examiner — Savitha M Rao (74) Attorney, Agent, or Firm — Wilson, Sonsini, Goodrich & Rosati, P.C.

(57) ABSTRACT

Provided herein are stable enalapril oral liquid formulations. Also provided herein are methods of using enalapril oral liquid formulations for the treatment of certain diseases including hypertension, heart failure and asymptomatic left ventricular dysfunction.

30 Claims, 2 Drawing Sheets



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Related U.S. Application Data

10,039,745, which is a continuation of application No. 15/613,622, filed on Jun. 5, 2017, now Pat. No. 9,808,442, which is a continuation of application No. 15/081,603, filed on Mar. 25, 2016, now Pat. No. 9,669,008.

(60) Provisional application No. 62/310,198, filed on Mar. 18, 2016.

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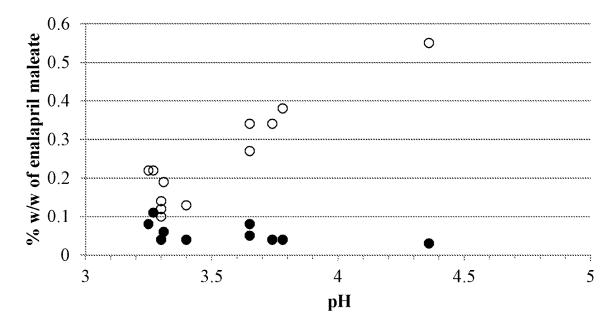
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FIG. 1

• Enalapril diketopiperazine; O Enalaprilat



U.S. Patent

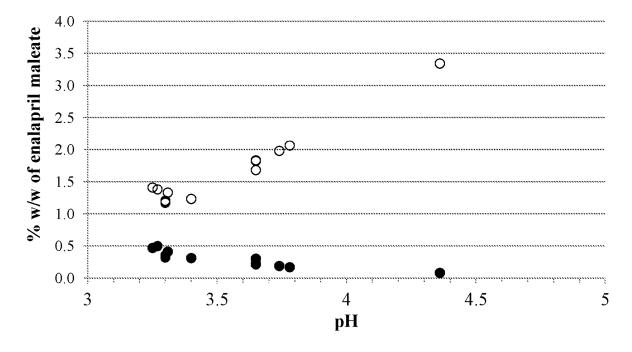
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FIG. 2

• Enalapril diketopiperazine; O Enalaprilat



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1 ENALAPRIL FORMULATIONS

CROSS-REFERENCE OF RELATED APPLICATIONS

This application is a continuation of U.S. patent application Ser. No. 16/177,159, filed Oct. 31, 2018, which is a continuation of U.S. patent application Ser. No. 16/003,994, filed Jun. 8, 2018, which is a continuation of U.S. patent application Ser. No. 15/802,341, filed Nov. 2, 2017 (now U.S. Pat. No. 10,039,745, issued Aug. 7, 2018), which is a continuation of U.S. patent application Ser. No. 15/613,622, filed Jun. 5, 2017 (now U.S. Pat. No. 9,808,442, issued Nov. 7, 2017), which is a continuation of U.S. patent application Ser. No. 15/081,603, filed Mar. 25, 2016 (now U.S. Pat. No. 9,669,008, issued Jun. 6, 2017), which claims the benefit of U.S. Provisional Patent Application No. 62/310,198, filed Mar. 18, 2016, all of which are incorporated herein by reference in their entirety.

BACKGROUND OF THE INVENTION

Hypertension, or high blood pressure, is a serious health issue in many countries. According to the National Heart Blood and Lung Institute, it is thought that about 1 in 3 adults in the United States alone have hypertension. Left 25 unchecked, hypertension is considered a substantial risk factor for cardiovascular and other diseases including coronary heart disease, myocardial infarction, congestive heart failure, stroke and kidney failure. Hypertension is classified as primary (essential) hypertension or secondary hypertension. Primary hypertension has no known cause and may be related to a number of environmental, lifestyle and genetic factors such as stress, obesity, smoking, inactivity and sodium intake. Secondary hypertension can be caused by drug or surgical interventions, or by abnormalities in the 35 renal, cardiovascular or endocrine system.

A number of antihypertensive drugs are available for treating hypertension. Various therapeutic classes of antihypertensive drugs include alpha-adrenergic blockers, beta-adrenergic blockers, calcium-channel blockers, hypotensives, mineral corticoid antagonists, central alpha-agonists, diuretics and rennin-angiotensin-aldosterone inhibitors which include angiotensin II receptor antagonists (ARB) and angiotensin-converting enzyme (ACE) inhibitors. Angiotensin-converting enzyme (ACE) inhibitors inhibit angiotensin-converting enzyme (ACE), a peptidyl dipeptidase that catalyzes angiotension I to angiotension II, a potent vasoconstrictor involved in regulating blood pressure.

Enalapril is a prodrug belonging to the angiotensinconverting enzyme (ACE) inhibitor of medications. It is 50 rapidly hydrolyzed in the liver to enalaprilat following oral administration. Enalaprilat acts as a potent inhibitor of ACE. The structural formulae of enalapril and enalaprilat are as follows:

Enalapril is currently administered in the form of oral tablets, (e.g., Vasotec®) or in the form of liquid formulations obtained by reconstitution of enalapril powder formulations. In addition to the treatment of hypertension, enalapril tablets have been used for symptomatic congestive heart failure, and asymptomatic left ventricular dysfunction.

SUMMARY OF THE INVENTION

Provided herein are enalapril oral liquid formulations. In one aspect, the enalapril oral liquid formulation, comprises (i) enalapril or a pharmaceutically acceptable salt or solvate thereof (ii) a sweetener that is sucralose (iii) a buffer comprising citric acid; (iv) a preservative that is sodium benzoate; and (v) water; wherein the pH of the formulation is less than about 3.5; and wherein the formulation is stable at about 5±3° C. for at least 12 months.

In some embodiments, the enalapril is enalapril maleate. In some embodiments, the formulation further comprises a flavoring agent. In some embodiments, the buffer in the formulation further comprises sodium citrate dihydrate. In some embodiments, the amount of enalapril or a pharmaceutically acceptable salt or solvate thereof is about 0.6 to about 1.2 mg/ml. In some embodiments, the amount of sucralose is about 0.5 to about 0.9 mg/ml. In some embodiments, the amount of citric acid in the buffer is about 0.8 to about 3.5 mg/ml. In some embodiments, the amount of sodium citrate dihydrate in the buffer is about 0.1 to about 0.80 mg/ml. In some embodiments, the amount of the sodium benzoate is about 0.2 to about 1.2 mg/ml. In some embodiments, the amount of enalapril or a pharmaceutically acceptable salt or solvate thereof is about 10 to about 25% (w/w of solids). In some embodiments, the amount of sucralose is about 8 to about 18% (w/w of solids). In some embodiments, the amount of citric acid in the buffer is about 17 to about 47% (w/w of solids). In some embodiments, the amount of sodium citrate dihydrate in the buffer is about 1 to about 11% (w/w of solids). In some embodiments, the amount of sodium benzoate is about 12 to about 25% (w/w of solids). In some embodiments, the pH of the formulation is between about 3 and about 3.5. In some embodiments, the pH of the formulation is about 3.3. In some embodiments, the citrate concentration in the buffer is about 5 mM to about 20 mM. In some embodiments, the citrate concentration in the buffer is about 10 mM. In some embodiments, the formulation is stable at about 5±3° C. for at least 18 months. In some embodiments, the formulation is stable at about 5±3° C. for at least 24 months. In some embodiments, the formulation does not contain mannitol. In some embodiments, the formulation does not contain silicon dioxide.

In one aspect, the enalapril oral liquid formulation, comprises (i) about 1 mg/ml enalapril maleate; (ii) about 0.70 mg/ml of a sweetener that is sucralose; (iii) a buffer comprising about 1.82 mg/ml citric acid; (iv) about 1 mg/ml of a preservative that is sodium benzoate; and (v) water;

wherein the pH of the formulation is less than about 3.5; and wherein the formulation is stable at about 5±3° C. for at least

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In some embodiments, the formulation further comprises a flavoring agent. In some embodiments, the buffer further 5 comprises about 0.15 mg/mL sodium citrate dihydrate. In some embodiments, the pH of the formulation is between about 3 and about 3.5. In some embodiments, the pH of the formulation is about 3.3. In some embodiments, the citrate concentration in the buffer is about 5 mM to about 20 mM. 10 In some embodiments, the citrate concentration in the buffer is about 10 mM. In some embodiments, the formulation is stable at about 5±3° C. for at least 18 months. In some embodiments, the formulation is stable at about 5±3° C. for at least 24 months. In some embodiments, the formulation 15 does not contain mannitol. In some embodiments, the formulation does not contain silicon dioxide.

In one aspect, the enalapril oral liquid formulation comprises (i) about 19.3% (w/w of solids) enalapril maleate; (ii) about 13.5% (w/w of solids) of a sweetener that is sucralose: 20 (iii) a buffer comprising about 35.2% (w/w of solids) citric acid; (iv) about 19.3% (w/w of solids) of a preservative that is sodium benzoate; and (v) water; wherein the pH of the formulation is less than about 3.5; and wherein the formulation is stable at about 5±3° C. for at least 12 months.

In some embodiments, the formulation further comprises a flavoring agent. In some embodiments, the buffer further comprises about 2.9% (w/w of solids) sodium citrate dihydrate. In some embodiments, the pH of the formulation is between about 3 and about 3.5. In some embodiments, the 30 pH of the formulation is about 3.3. In some embodiments, the citrate concentration in the buffer is about 5 mM to about 20 mM. In some embodiments, the citrate concentration in the buffer is about 10 mM. In some embodiments, the formulation is stable at about 5±3° C. for at least 18 months. 35 In some embodiments, the formulation is stable at about 5±3° C. for at least 24 months. In some embodiments, the formulation does not contain mannitol. In some embodiments, the formulation does not contain silicon dioxide.

In one aspect, the enalapril oral liquid formulation con- 40 sists essentially of (i) about 1 mg/ml enalapril maleate; (ii) about 0.70 mg/ml of a sweetener that is sucralose; (iii) a buffer comprising about 1.82 mg/ml citric acid and about 0.15 mg/ml sodium citrate dihydrate; (iv) about 1 mg/ml of a preservative that is sodium benzoate; (v) a flavoring agent; 45 and (vi) water; wherein the pH of the formulation is less than about 3.5 adjusted by sodium hydroxide or hydrochloric acid; and wherein the formulation is stable at about 5±3° C. for at least 12 months.

Also provided herein are methods of treating hypertension 50 in a subject comprising administering to that subject a therapeutically effective amount of enalapril oral liquid formulation comprising (i) about 1 mg/ml enalapril maleate; (ii) about 0.7 mg/ml sucralose; (iii) a buffer comprising about 1.82 mg/ml citric acid and about 0.15 mg/ml sodium 55 citrate dihydrate; (iv) about 1 mg/ml of a preservative that is sodium benzoate; and (v) water; wherein the pH of the formulation is less than about 3.5; and wherein the formulation is stable at about 5±3° C. for at least 12 months. In some embodiments, the formulation does not contain man- 60 nitol. In some embodiments, the formulation does not contain silicon dioxide.

In some embodiments, the hypertension is primary (essential) hypertension. In some embodiments, the hypertension is secondary hypertension. In some embodiments, the 65 subject has blood pressure values greater than or equal to 140/90 mmm Hg. In some embodiments, the subject is an

adult. In some embodiments, the subject is elderly. In some

embodiments, the subject is a child. In some embodiments, the formulation is administered to the subject in a fasted state. In some embodiments, the formulation is administered to the subject in a fed state. In some embodiments, the formulation is further administered in combination with an agent selected from the group consisting of diuretics, beta blockers, alpha blockers, mixed alpha and beta blockers, calcium channel blockers, angiotensin II receptor antagonists, ACE inhibitors, aldosterone antagonists, and alpha-2 agonists.

Also provided herein are methods of treating prehypertension in a subject comprising administering to that subject a therapeutically effective amount of enalapril oral liquid formulation comprising (i) about 1 mg/ml enalapril maleate; (ii) about 0.7 mg/ml of a sweetener that is sucralose; (ii) a buffer comprising about 1.82 mg/ml citric acid and about 0.15 mg/ml sodium citrate dihydrate; (iv) about 1 mg/ml of a preservative that is sodium benzoate; and (v) water; wherein the pH of the formulation is less than about 3.5; and wherein the formulation is stable at about $5\pm3^{\circ}$ C. for at least 12 months. In some embodiments, the formulation does not contain mannitol. In some embodiments, the formulation does not contain silicon dioxide.

In some embodiments, the subject has blood pressure values of about 120-139/80-89 mm Hg.

Also provided herein are methods of treating heart failure in a subject comprising administering to that subject a therapeutically effective amount of enalapril oral liquid formulation comprising (i) about 1 mg/ml enalapril maleate; (ii) about 0.70 mg/ml of a sweetener that is sucralose; (iii) a buffer comprising about 1.82 mg/ml citric acid and about 0.15 mg/ml sodium citrate dihydrate; (iv) about 1 mg/ml of a preservative that is sodium benzoate; and (v) water; wherein the pH of the formulation is less than about 3.5; and wherein the formulation is stable at about 5±3° C. for at least 12 months. In some embodiments, the formulation does not contain mannitol. In some embodiments, the formulation does not contain silicon dioxide.

Also provided herein are methods of treating left ventricular dysfunction in a subject comprising administering to that subject a therapeutically effective amount of enalapril oral liquid formulation comprising (i) about 1 mg/ml enalapril maleate; (ii) about 0.7 mg/ml of a sweetener that is sucralose; (iii) a buffer comprising about 1.82 mg/ml citric acid and about 0.15 mg/ml sodium citrate dihydrate; (iv) about 1 mg/ml of a preservative that is sodium benzoate; and (v) water; wherein the pH of the formulation is less than about 3.5; and wherein the formulation is stable at about 5±3° C. for at least 12 months. In some embodiments, the formulation does not contain mannitol. In some embodiments, the formulation does not contain silicon dioxide.

INCORPORATION BY REFERENCE

All publications, patents, and patent applications mentioned in this specification are herein incorporated by reference to the same extent as if each individual publication, patent, or patent application was specifically and individually indicated to be incorporated by reference.

BRIEF DESCRIPTION OF THE DRAWINGS

The novel features of the invention are set forth with particularity in the appended claims. A better understanding of the features and advantages of the present invention will be obtained by reference to the following detailed descrip-

tion that sets forth illustrative embodiments, in which the principles of the invention are utilized, and the accompanying drawings of which:

FIG. 1: Effect of pH on degradant formation after 8 weeks of storage of various enalapril solution formulations at 5° C. 5

FIG. 2: Effect of pH on degradant formation after 8 weeks of storage of various enalapril solution formulations at room temperature (19-22° C.).

DETAILED DESCRIPTION OF THE INVENTION

Provided herein are stable enalapril oral liquid formulations. Also provided herein are stable enalapril powder formulations for reconstitution for oral liquid administration. These enalapril formulations described herein are useful for the treatment of hypertension, prehypertension, heart failure as well as ventricular dysfunction. The formulations are advantageous over conventional solid dosage administration of enalapril ranging from ease of administration, 20 accuracy of dosing, accessibility to additional patient populations such as to children and the elderly, and an increased patient compliance to medication.

It is generally known that certain segments of the population have difficulty ingesting and swallowing solid oral 25 dosage forms such as tablets and capsules. As many as a quarter of the total population has this difficulty. Often, this leads to non-compliance with the recommended medical therapy with the solid dosage forms, thereby resulting in rending the therapy ineffective. Further, solid dosage forms 30 are not recommended for children or elderly due to increased risk in choking.

Furthermore, the dose of enalapril to be given to children is calculated according to the child's weight. When the calculated dose is something other than the amount present 35 in one or more intact solid dosage forms, the solid dosage form must be divided to provide the correct dose. This leads to inaccurate dosing when solid dosages forms, such as tablets, are compounded to prepare other formulations for children.

For enalapril, one solution to overcoming the use of the tablet form is for a compounding pharmacist to pulverize and crush the enalapril tablet(s) into a powder via mortar and pestle and reconstitute the powder in some liquid form. However forming a enalapril oral liquid in this fashion has 45 significant drawbacks including large variability in the actual dosage, incomplete solubilizing of the enalapril tablet in the liquid, rapid instability, inconsistent formulation methods per compounding pharmacy, and a number of other potential issues. The crushed tablet liquid formulation may 50 also be potentially unsafe due to contamination with residual drugs and other substances from the mortar and pestle or other crushing agent.

Alternatively, enalapril is formulated as enalapril powder compositions for reconstitution as oral liquids as described 55 in U.S. Pat. No. 8,568,747. The powder compositions as described in this patent require mannitol and colloidal silicon dioxide for stability and dissolution. While these powder compositions are an improvement over crushing tablets, they still require a step of mixing with a diluent. The 60 stable enalapril oral liquid formulations described herein require no extra steps or manipulation prior to administration to a subject. Further, the stable enalapril oral liquid formulations described herein do not require or need mannitol or colloidal silicon dioxide for stability and dissolution. 65

The present embodiments described herein provide a safe and effective oral administration of enalapril for the treatment of hypertension and other disorders. In particular, the embodiments provide stable enalapril oral liquid formulations as well as alternatively enalapril powder formulations for oral liquid administration.

As used herein, "enalapril" refers to enalapril base, its salt, or solvate or derivative or isomer or polymorph thereof. Suitable compounds include the free base, the organic and inorganic salts, isomers, isomer salts, solvates, polymorphs, complexes etc. U.S. Pat. Nos. 4,374,829; 4,472,380 and 4,510,083 disclose exemplary methods in the preparation of enalapril. In some embodiments, the enalapril used in the formulations described herein is an enalapril salt. In some instances, the enalapril salt is enalapril maleate. In other instances, the enalapril salt is in the form of enalapril sodium.

Other ACE inhibitors are contemplated in the formulations within and include but are not limited to quinapril, indolapril, ramipril, perindopril, lisinopril, benazepril, imidapril, zofenopril, trandolapril, fosinopril, captopril, and their salts, solvates, derivatives, polymorphs, or complexes, thereof.

Enalauril Oral Liquid Formulations

Oral liquids include, but are not limited to, solutions (both aqueous and nonaqueous), suspensions, emulsions, syrups, slurries, juices, elixirs, dispersions, and the like. It is envisioned that solution/suspensions are also included where certain components described herein are in a solution while other components are in a suspension.

In one aspect, the enalapril liquid formulations described herein comprise enalapril, a preservative, a sweetening agent, a buffer, and water. In one embodiment, the sweetening agent is sucralose. In one embodiment, the sweetening agent is xylitol. In one embodiment, the sweetening agent is not mannitol. In another embodiment, the preservative is sodium benzoate. In some embodiments, the preservative is a paraben. In some embodiments, the preservative is a mixture of parabens. In yet another embodiment, the buffer comprises citric acid. In some embodiments, the buffer further comprises sodium citrate. In one aspect, the enalapril 40 liquid formulation described herein comprises enalapril, sucralose, sodium benzoate, citric acid, sodium citrate, and water. In some embodiments, the enalapril liquid formulation herein further comprises a flavoring agent. In some embodiments, the enalapril liquid formulation is not obtained from crushing enalapril tablet and dissolving the powder in a suitable vehicle for oral administration. In some embodiments, the enalapril liquid formulation does not contain silicon dioxide. In some embodiments, the enalapril liquid formulation does not contain mannitol. In some embodiments, the enalapril liquid formulation does not contain lactose. In some embodiments, the enalapril liquid formulation does not contain magnesium stearate. In some embodiments, the enalapril liquid formulation does not contain sodium bicarbonate. In some embodiments, the enalapril liquid formulation does not contain iron oxides.

In some embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.6 to about 1.2 mg/ml in the oral liquid formulation. In other embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.6 mg/ml, about 0.61 mg/ml, about 0.62 mg/ml, about 0.63 mg/ml, about 0.64 mg/ml, about 0.65 mg/ml, about 0.66 mg/ml, about 0.67 mg/ml, about 0.68 mg/ml, about 0.69 mg/ml, about 0.77 mg/ml, about 0.71 mg/ml, about 0.72 mg/ml, about 0.73 mg/ml, about 0.74 mg/ml, about 0.75 mg/ml, about 0.76 mg/ml, about 0.77 mg/ml, about 0.78 mg/ml, about 0.79 mg/ml, about 0.88 mg/ml, about 0.81 mg/ml, about 0.82 mg/ml, about 0.83

formulation.

mg/ml, about 0.84 mg/ml, about 0.85 mg/ml, about 0.86 mg/ml, about 0.87 mg/ml, about 0.88 mg/ml, about 0.89 mg/ml, about 0.9 mg/ml, about 0.91 mg/ml, about 0.92 mg/ml, about 0.93 mg/ml, about 0.94 mg/ml, about 0.95 mg/ml, about 0.96 mg/ml, about 0.97 mg/ml, about 0.98 5 mg/ml, about 0.99 mg/ml, about 1 mg/ml, about 1.01 mg/ml, about 1.02, mg/ml, about 1.03 mg/ml, about 1.04 mg/ml, about 1.05 mg/ml, about 1.06 mg/ml, about 1.07 mg/ml, about 1.08 mg/ml, about 1.09 mg/ml, about 1.1 mg/ml, about 1.11 mg/ml, about 1.12, mg/ml, about 1.13 mg/ml, 10 about 1.14 mg/ml, about 1.15 mg/ml, about 1.16 mg/ml, about 1.17 mg/ml, about 1.18 mg/ml, about 1.19 mg/ml, or about 1.2 mg/ml in the liquid oral formulation. In some embodiments, enalapril is present in about 0.76 mg/ml in the oral liquid formulation. In some embodiments, enalapril maleate is present in about 1 mg/ml in the oral liquid formulation. In some embodiments, the formulation contains enalapril or another pharmaceutically acceptable salt of enalapril in a molar concentration equivalent to 1 mg/mL enalapril maleate. In some embodiments, the formulation 20 contains enalapril or another pharmaceutically acceptable

salt of enalapril in a molar concentration equivalent to 0.76

mg/mL enalapril.

In some embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.5% w/w to 25 about 30% w/w of the solids in the oral liquid formulation. In other embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.5% w/w, about 1% w/w, about 1.5% w/w, about 2% w/w, about 2.5% w/w, about 3% w/w, about 3.5% w/w, about 4% w/w, about 4.5% 30 w/w, about 5% w/w, about 5.5% w/w, about 6% w/w, about 6.5% w/w, about 7% w/w, about 7.5% w/w, about 8% w/w, about 8.5% w/w, about 9% w/w, about 9.5% w/w, about 10% w/w, about 10.5% w/w, about 11% w/w, about 11.5% w/w, about 12% w/w, about 12.5% w/w, about 13% w/w, about 35 13.5% w/w, about 14% w/w, about 14.5% w/w, about 15% w/w, about 15.1% w/w, about 15.2% w/w, about 15.3% w/w, about 15.4% w/w, about 15.5% w/w, about 15.6% w/w, about 15.7% w/w, about 15.8% w/w, about 15.9% w/w, about 16% w/w, about 16.1% w/w, about 16.2% w/w, about 40 16.3% w/w, about 16.4% w/w, about 16.5% w/w, about 16.6% w/w, about 16.7% w/w, about 16.8% w/w, about 16.9% w/w, about 17% w/w, about 17.1% w/w, about 17.2% w/w, about 17.3% w/w, about 17.4% w/w, about 17.5% w/w, about 17.6% w/w, about 17.7% w/w, about 17.8% w/w, 45 about 17.9% w/w, about 18% w/w, about 18.1% w/w, about 18.2% w/w, about 18.3% w/w, about 18.4% w/w, about 18.5% w/w, about 18.6% w/w, about 18.7% w/w, about 18.8% w/w, about 18.9% w/w, about 19% w/w, about 19.1% w/w, about 19.2% w/w, about 19.3% w/w, about 19.4% w/w, 50 about 19.5% w/w, about 19.6% w/w, about 19.7% w/w, about 19.8% w/w, about 19.9% w/w, about 20% w/w, about 20.1% w/w, about 20.2% w/w, about 20.3% w/w, about 20.4% w/w, about 20.5% w/w, about 20.6% w/w, about 20.7% w/w, about 20.8% w/w, about 20.9% w/w, about 21% 55 w/w, about 21.1% w/w, about 21.2% w/w, about 21.3% w/w, about 21.4% w/w, about 21.5% w/w, about 21.6% w/w, about 21.7% w/w, about 21.8% w/w, about 21.9% w/w, about 22% w/w, about 22.5% w/w, about 23% w/w, about 23.5% w/w, about 24% w/w, about 24.5% w/w, about 25% 60 w/w, about 25.5% w/w, about 26% w/w, about 26.5% w/w, about 27% w/w, about 27.5% w/w, about 28% w/w, about 28.5% w/w, about 29% w/w, about 29.5% w/w, or about 30% w/w of the solids in the oral liquid formulation. In some embodiments, enalapril or a pharmaceutically acceptable 65 salt thereof, is present in about 10% w/w to about 25% w/w of the solids in the oral liquid formulation. In some embodi-

ments, enalapril is present in about 10.5% w/w of the solids in the oral liquid formulation. In some embodiments, enalapril is present in about 15% w/w of the solids in the oral liquid formulation. In some embodiments, enalapril is present in about 18.2% w/w of the solids in the oral liquid

ent in about 18.2% w/w of the solids in the oral liquid formulation. In some embodiments, enalapril maleate is present in about 13.5% w/w of the solids in the oral liquid formulation. In some embodiments, enalapril maleate is present in about 19.3% w/w of the solids in the oral liquid formulation. In some embodiments, enalapril maleate is present in about 24.5% w/w of the solids in the oral liquid

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In some embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.1% w/w to about 1% w/w of the solids in the oral liquid formulation. In other embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.1% w/w, about 0.15% w/w, about 0.2% w/w, about 0.25% w/w, about 0.3% w/w, about 0.35% w/w, about 0.4% w/w, about 0.45% w/w, about 0.5% w/w, about 0.55% w/w, about 0.6% w/w, about 0.65% w/w, about 0.7% w/w, about 0.75% w/w, about 0.8% w/w, about 0.85% w/w, about 0.9% w/w, about 0.95% w/w, or about 1% w/w of the solids in the oral liquid formulation. In some embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.4% w/w to about 0.7% w/w of the solids in the oral liquid formulation. In some embodiments, enalapril is present in about 0.4% w/w of the solids in the oral liquid formulation. In some embodiments, enalapril is present in about 0.5% w/w of the solids in the oral liquid formulation. In some embodiments, enalapril maleate is present in about 0.5% w/w of the solids in the oral liquid formulation. In some embodiments, enalapril maleate is present in about 0.6% w/w of the solids in the oral liquid formulation.

Sweetener in the Enalapril Oral Liquid Formulations

Sweeteners or sweetening agents include any compounds that provide a sweet taste. This includes natural and synthetic sugars, natural and artificial sweeteners, natural extracts and any material that initiates a sweet sensation in a subject. In some embodiments, a solid/powder sweetener is used in the oral liquid formulation described herein. In other embodiments, a liquid sweetener is used in the oral liquid formulation described herein.

Sugars illustratively include glucose, fructose, sucrose, xylitol, tagatose, sucralose, maltitol, isomaltulose, IsomaltTM (hydrogenated isomaltulose), lactitol, sorbitol, erythritol, trehalose, maltodextrin, polydextrose, and the like. Other sweeteners illustratively include glycerin, inulin, erythritol, maltol, acesulfame and salts thereof, e.g., acesulfame potassium, alitame, aspartame, neotame, sodium cyclamate, saccharin and salts thereof, e.g., saccharin sodium or saccharin calcium, neohesperidin dihydrochalcone, stevioside, thaumatin, and the like. Sweeteners can be used in the form of crude or refined products such as hydrogenated starch hydrolysates, maltitol syrup, high fructose corn syrup, etc., and as branded products, e.g., Sweet AmTM liquid (Product Code 918.003-propylene glycol, ethyl alcohol, and proprietary artificial flavor combination, Flavors of North America) and Sweet AmTM powder (Product Code 918.005—maltodextrin, sorbitol, and fructose combination and Product Code 918.010—water, propylene glycol, sorbitol, fructose, and proprietary natural and artificial flavor combination, Flavors of North America), ProSweet™ (1-10% proprietary plant/vegetable extract and 90-99% dextrose combination, Viriginia Dare), MaltisweetTM (maltitol solution, Ingredion), SorboTM (sorbitol and sorbitol/xylitol solution, SPI Polyols), InvertoseTM (high fructose corn

syrup, Ingredion), Rebalance M60 and X60 (sucralose and maltodextrin, Tate and Lyle), and Ora-Sweet® sugar-free flavored syrup (Paddock Laboratories, Inc.). Sweeteners can be used singly or in combinations of two or more. Suitable concentrations of different sweeteners can be selected based 5 on published information, manufacturers' data sheets and by routine testing.

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In some embodiments, the enalapril oral liquid formulation described herein comprises a sweetening agent. In some embodiments, the sweetening agent is sucralose. In some embodiments, the sweetening agent is xylitol. In some embodiments, the sweetener is not mannitol.

In some embodiments, the enalapril oral liquid formulation described herein comprises sucralose. In some embodiments, sucralose is present in about 0.5 to about 0.9 mg/ml 15 in the oral liquid formulation. In other embodiments, sucralose is present in about 0.51 mg/ml, about 0.52 mg/ml, about 0.53 mg/ml, about 0.54 mg/ml, about 0.55 mg/ml, about 0.56 mg/ml, about 0.57 mg/ml, about 0.58 mg/ml, about 0.59 mg/ml, about 0.60 mg/ml, about 0.61 mg/ml, about 20 0.62 mg/ml, about 0.63 mg/ml, about 0.64 mg/ml, about 0.65 mg/ml, about 0.66 mg/ml, about 0.67 mg/ml, about 0.68 mg/ml, about 0.69 mg/ml, about 0.70 mg/ml, about 0.71 mg/ml, about 0.72 mg/ml, about 0.73 mg/ml, about 0.77 mg/ml, about 0.78 mg/ml, about 0.79 mg/ml, about 0.80 mg/ml, about 0.81 mg/ml, about 0.82 mg/ml, about 0.83 mg/ml, about 0.84 mg/ml, about 0.85 mg/ml, about 0.86 mg/ml, about 0.87 mg/ml, about 0.88 mg/ml, about 0.89 mg/ml, or about 0.90 mg/ml in the oral liquid formulation. In some embodiments, sucralose is present in about 0.7 mg/ml in the oral liquid formulation.

In some embodiments, sucralose is present in about 1% w/w to about 30% w/w of the solids in the oral liquid formulation. In some embodiments, sucralose is present in 35 about 1% w/w, about 1.5% w/w, about 2% w/w, about 2.5% w/w, about 3% w/w, about 3.5% w/w, about 4% w/w, about 4.5% w/w, about 5% w/w, about 5.5% w/w, about 6% w/w, about 6.5% w/w, about 7% w/w, about 7.5% w/w, about 8% w/w, about 8.5% w/w, about 9% w/w, about 9.5% w/w, 40 about 10% w/w, about 10.5% w/w, about 11% w/w, about 11.5% w/w, about 12% w/w, about 12.5% w/w, about 13% w/w, about 13.5% w/w, about 14% w/w, about 14.5% w/w, about 15% w/w, about 15.5% w/w, about 16% w/w, about 16.5% w/w, about 17% w/w, about 17.5% w/w, about 18% 45 w/w, about 18.5% w/w, about 19% w/w, about 19.5% w/w, about 20% w/w, about 20.5% w/w, about 21% w/w, about 21.5% w/w, about 22% w/w, about 22.5% w/w, about 23% w/w, about 23.5% w/w, about 24% w/w, about 24.5% w/w, about 25% w/w, about 25.5% w/w, about 26% w/w, about 50 26.5% w/w, about 27% w/w, about 27.5% w/w, about 28% w/w, about 28.5% w/w, about 29% w/w, about 29.5% w/w, or about 30% w/w of the solids in the oral liquid formulation. In some embodiments, sucralose is present in about 8% w/w to about 18% w/w of the solids in the oral liquid 55 formulation. In some embodiments, sucralose is present in about 9.5% w/w of the solids in the oral liquid formulation. In some embodiments, sucralose is present in about 13.5% w/w of the solids in the oral liquid formulation. In some embodiments, sucralose is present in about 16.5% w/w of 60 the solids in the oral liquid formulation.

In some embodiments, the enalapril oral liquid formulation described herein comprises xylitol. In some embodiments, xylitol is present in about 140 mg/ml to about 210 mg/ml in the oral liquid formulation.

In some embodiments, xylitol is present in about 140 mg/ml, about 145 mg/ml, about 150 mg/ml, about 155

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mg/ml, about 160 mg/ml, about 165 mg/ml, about 170 mg/ml, about 175 mg/ml, about 180 mg/ml, about 185 mg/ml, about 190 mg/ml, about 195 mg/ml, about 200 mg/ml, about 205 mg/ml, or about 210 mg/ml of the oral liquid formulation. In some embodiments, xylitol is present in about 150 mg/ml in the oral liquid formulation. In some embodiments, xylitol is present in about 200 mg/ml in the oral liquid formulation.

In some embodiments, xylitol is present in about 80% w/w to about 99% w/w of the solids in the oral liquid formulation. In other embodiments, xylitol is present in about 80% w/w, about 81% w/w, about 82% w/w, about 83% w/w, about 84% w/w, about 85% w/w, about 86% w/w, about 87% w/w, about 88% w/w, about 89% w/w, about 90% w/w, about 91% w/w, about 92% w/w, about 93% w/w, about 94% w/w, about 95% w/w, about 96% w/w, about 97% w/w, about 98% w/w, or about 99% w/w of the solids in the oral liquid formulation. In some embodiments, xylitol is present in about 96% w/w to about 98% w/w of the solids in the oral liquid formulation. In some embodiments, xylitol is present in about 96% w/w of the solids in the oral liquid formulation.

Preservative in the Enalapril Oral Liquid Formulations

Preservatives include anti-microbials, anti-oxidants, and 0.74 mg/ml, about 0.75 mg/ml, about 0.76 mg/ml, about 25 agents that enhance sterility. Exemplary preservatives include ascorbic acid, ascorbyl palmitate, BHA, BHT, citric acid, EDTA and its salts, erythorbic acid, fumaric acid, malic acid, propyl gallate, sodium ascorbate, sodium bisulfate, sodium metabisulfite, sodium sulfite, parabens (such as methylparaben, ethylparaben, propylparaben, butylparaben and their salts), benzoic acid, sodium benzoate, potassium sorbate, vanillin, and the like.

> In some embodiments, the enalapril oral liquid formulation described herein comprises a preservative.

> In some embodiments, the preservative is a paraben and the sweetener is not a sugar (such as, but not limited to glucose, fructose, sucrose, lactose, maltose) or a sugar alcohol (such as, but not limited to xylitol, mannitol, lactitol, maltitol, sorbitol).

> In some embodiments, the preservative is sodium benzoate.

> In some embodiments, modulation of the pH is desired to provide the best antimicrobial activity of the preservative, sodium benzoate. In some embodiments, the antimicrobial activity of sodium benzoate drops when the pH is increased above 5.

> In some embodiments, the pH of the enalapril oral liquid formulation described herein is less than about 4. In some embodiments, the pH of the enalapril oral liquid formulation described herein is less than about 3.5. In some embodiments, the pH of the enalapril oral liquid formulation described herein is between about 3 and about 4. In some embodiments, the pH of the enalapril oral liquid formulation described herein is between about 3 and about 3.5. In some embodiments, the pH of the enalapril oral liquid formulation described herein is about 3, about 3.1, about 3.2, about 3.3, about 3.4, about 3.5, about 3.6, about 3.7, about 3.8, about 3.9, or about 4. In some embodiments, the pH of the enalapril oral liquid formulation described herein is about

In some embodiments, sodium benzoate is present in about 0.2 to about 1.2 mg/ml in the oral liquid formulation. In other embodiments, sodium benzoate is present in about 0.2 mg/ml, about 0.21 mg/ml, about 0.22 mg/ml, about 0.23 mg/ml, about 0.24 mg/ml, about 0.25 mg/ml, about 0.26 mg/ml, about 0.27 mg/ml, about 0.28 mg/ml, about 0.29 mg/ml, about 0.3 mg/ml, about 0.31 mg/ml, about 0.32

mg/ml, about 0.33 mg/ml, about 0.34 mg/ml, about 0.35 mg/ml, about 0.36 mg/ml, about 0.37 mg/ml, about 0.38 mg/ml, about 0.39 mg/ml, about 0.4 mg/ml, about 0.41 mg/ml, about 0.42 mg/ml, about 0.43 mg/ml, about 0.44 mg/ml, about 0.45 mg/ml, about 0.46 mg/ml, about 0.47 mg/ml, about 0.48 mg/ml, about 0.49 mg/ml, about 0.5 mg/ml, about 0.51 mg/ml, about 0.52 mg/ml, about 0.53 mg/ml, about 0.54 mg/ml, about 0.55 mg/ml, about 0.56 mg/ml, about 0.57 mg/ml, about 0.58 mg/ml, about 0.59 mg/ml, about 0.6 mg/ml, about 0.61 mg/ml, about 0.62 mg/ml, about 0.63 mg/ml, about 0.64 mg/ml, about 0.65 mg/ml, about 0.66 mg/ml, about 0.67 mg/ml, about 0.68 mg/ml, about 0.69 mg/ml, about 0.7 mg/ml, about 0.71 mg/ml, about 0.72 mg/ml, about 0.73 mg/ml, about 0.74 mg/ml, about 0.75 mg/ml, about 0.76 mg/ml, about 0.77 15 mg/ml, about 0.78 mg/ml, about 0.79 mg/ml, about 0.8 mg/ml, about 0.81 mg/ml, about 0.82 mg/ml, about 0.83 mg/ml, about 0.84 mg/ml, about 0.85 mg/ml, about 0.86 mg/ml, about 0.87 mg/ml, about 0.88 mg/ml, about 0.89 mg/ml, about 0.9 mg/ml, about 0.91 mg/ml, about 0.92 20 mg/ml, about 0.93 mg/ml, about 0.94 mg/ml, about 0.95 mg/ml, about 0.96 mg/ml, about 0.97 mg/ml, about 0.98 mg/ml, about 0.99 mg/ml, about 1 mg/ml, about 1.01 mg/ml, about 1.02, mg/ml, about 1.03 mg/ml, about 1.04 mg/ml, about 1.05 mg/ml, about 1.06 mg/ml, about 1.07 mg/ml, 25 about 1.08 mg/ml, about 1.09 mg/ml, about 1.1 mg/ml, about 1.11 mg/ml, about 1.12, mg/ml, about 1.13 mg/ml, about 1.14 mg/ml, about 1.15 mg/ml, about 1.16 mg/ml, about 1.17 mg/ml, about 1.18 mg/ml, about 1.19 mg/ml, or about 1.2 mg/ml in the liquid oral formulation. In some 30 embodiments, sodium benzoate is present in about 1 mg/ml in the oral liquid formulation.

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In some embodiments, sodium benzoate is present in about 1% w/w to about 30% w/w of the solids in the oral liquid formulation. In other embodiments, sodium benzoate 35 is present in about 1% w/w, about 1.5% w/w, about 2% w/w, about 2.5% w/w, about 3% w/w, about 3.5% w/w, about 4% w/w, about 4.5% w/w, about 5% w/w, about 5.5% w/w, about 6% w/w, about 6.5% w/w, about 7% w/w, about 7.5% w/w, about 8% w/w, about 8.5% w/w, about 9% w/w, about 40 9.5% w/w, about 10% w/w, about 10.5% w/w, about 11% w/w, about 11.5% w/w, about 12% w/w, about 12.5% w/w, about 13% w/w, about 13.5% w/w, about 14% w/w, about 14.5% w/w, about 15% w/w, about 15.1% w/w, about 15.2% w/w, about 15.3% w/w, about 15.4% w/w, about 15.5% w/w, 45 about 15.6% w/w, about 15.7% w/w, about 15.8% w/w, about 15.9% w/w, about 16% w/w, about 16.1% w/w, about 16.2% w/w, about 16.3% w/w, about 16.4% w/w, about 16.5% w/w, about 16.6% w/w, about 16.7% w/w, about 16.8% w/w, about 16.9% w/w, about 17% w/w, about 17.1% 50 w/w, about 17.2% w/w, about 17.3% w/w, about 17.4% w/w, about 17.5% w/w, about 17.6% w/w, about 17.7% w/w, about 17.8% w/w, about 17.9% w/w, about 18% w/w, about 18.1% w/w, about 18.2% w/w, about 18.3% w/w, about 18.4% w/w, about 18.5% w/w, about 18.6% w/w, about 55 18.7% w/w, about 18.8% w/w, about 18.9% w/w, about 19% w/w, about 19.1% w/w, about 19.2% w/w, about 19.3% w/w, about 19.4% w/w, about 19.5% w/w, about 19.6% w/w, about 19.7% w/w, about 19.8% w/w, about 19.9% w/w, about 20% w/w, about 20.1% w/w, about 20.2% w/w, about 60 20.3% w/w, about 20.4% w/w, about 20.5% w/w, about 20.6% w/w, about 20.7% w/w, about 20.8% w/w, about 20.9% w/w, about 21% w/w, about 21.1% w/w, about 21.2% w/w, about 21.3% w/w, about 21.4% w/w, about 21.5% w/w, about 21.6% w/w, about 21.7% w/w, about 21.8% w/w, 65 about 21.9% w/w, about 22% w/w, about 22.5% w/w, about 23% w/w, about 23.5% w/w, about 24% w/w, about 24.5%

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w/w, about 25% w/w, about 25.5% w/w, about 26.5% w/w, about 26.5% w/w, about 27% w/w, about 27.5% w/w, about 28% w/w, about 28.5% w/w, about 29% w/w, about 29.5% w/w, or about 30% w/w of the solids in the oral liquid formulation. In some embodiments, sodium benzoate is present in about 10% w/w to about 25% w/w of the solids in the oral liquid formulation. In some embodiments, sodium benzoate is present in about 13.5% w/w of the solids in the oral liquid formulation. In some embodiments, sodium benzoate is present in about 19.3% w/w of the solids in the oral liquid formulation. In some embodiments, sodium benzoate is present in about 23.5% w/w of the solids in the oral liquid formulation.

In some embodiments, sodium benzoate is present in about 0.1% w/w to about 1% w/w of the solids in the oral liquid formulation. In other embodiments, sodium benzoate is present in about 0.1% w/w, about 0.15% w/w, about 0.2% w/w, about 0.25% w/w, about 0.3% w/w, about 0.35% w/w, about 0.4% w/w, about 0.45% w/w, about 0.5% w/w, about 0.55% w/w, about 0.6% w/w, about 0.65% w/w, about 0.7% w/w, about 0.75% w/w, about 0.8% w/w, about 0.85% w/w, about 0.9% w/w, about 0.95% w/w, or about 1% w/w of the solids in the oral liquid formulation. In some embodiments, sodium benzoate is present in about 0.4% w/w to about 0.7% w/w of the solids in the oral liquid formulation. In some embodiments, sodium benzoate is present in about 0.45% w/w of the solids in the oral liquid formulation. In some embodiments, sodium benzoate is present in about 0.6% w/w of the solids in the oral liquid formulation.

In some embodiments, sodium benzoate is present in an amount sufficient to provide antimicrobial effectiveness to the enalapril oral liquid formulation described herein. (See Table G-1).

In some embodiments, the preservative is a paraben. In some embodiments, the preservative is a mixture of parabens. In some embodiments, the paraben or mixture of parabens is present in about 0.1 mg/ml to about 2 mg/ml in the oral liquid formulation. In other embodiments, the paraben or mixture of parabens is present in about 0.1 mg/ml, about 0.2 mg/ml, about 0.3 mg/ml, about 0.4 mg/ml, about 0.5 mg/ml, about 0.6 mg/ml, about 0.7 mg/ml, about 0.8 mg/ml, about 0.9 mg/ml, about 1 mg/ml, about 1.1 mg/ml, about 1.2 mg/ml, about 1.3 mg/ml, about 1.4 mg/ml, or about 1.5 mg/ml, about 1.6 mg/ml, about 1.7 mg/ml, about 1.8 mg/ml, about 1.9 mg/ml, or about 2 mg/ml in the liquid oral formulation. In some embodiments, the paraben or mixture of parabens is present in about 1.6 mg/ml to about 2 mg/ml in the oral liquid formulation. In some embodiments, the paraben or mixture of parabens is present in about 1.6 mg/ml to about 1.8 mg/ml in the oral liquid formulation. In some embodiments, the paraben or mixture of parabens is present in about 0.1 mg/ml to about 0.5 mg/ml in the oral

In some embodiments, the paraben or mixture of parabens is present in about 2% w/w to about 30% w/w of the solids in the oral liquid formulation. In other embodiments, the paraben or mixture of parabens is present in about 2% w/w, about 3% w/w, about 4% w/w, about 5% w/w, about 6% w/w, about 7% w/w, about 8% w/w, about 9% w/w, about 10% w/w, about 11% w/w, about 12% w/w, about 13% w/w, about 14% w/w, about 15% w/w, about 16% w/w, about 17% w/w, about 18% w/w, about 19% w/w, about 20% w/w, about 21% w/w, about 22% w/w, about 23% w/w, about 24% w/w, about 25% w/w, about 26% w/w, about 27% w/w, about 28% w/w, about 29% w/w, or about 30% w/w of the solids in the oral liquid formulation. In some embodiments, the paraben or mixture of parabens is present in about 2%

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w/w to about 3% w/w of the solids in the oral liquid formulation. In some embodiments, the paraben or mixture of parabens is present in about 23% w/w to about 26% w/w of the solids in the oral liquid formulation. In some embodiments, the paraben or mixture of parabens is present in about 56% w/w to about 30% w/w of the solids in the oral liquid formulation.

Sweetener and Preservative Incompatibility

Paraben preservatives (especially methylparaben) can react with selected sugars (glucose, fructose, sucrose, lactose, maltose) and sugar alcohols (xylitol, mannitol, lactitol, maltitol, sorbitol) to form transesterification reaction products. This can be undesirable from a formulation and stability standpoint as the transesterification creates additional degradants.

In some embodiments, the enalapril oral liquid formulation described herein does not comprise a paraben preservative. In further embodiments, the enalapril oral liquid formulation described herein does not comprise a paraben preservative when the formulation also comprises a sugar or 20 sugar alcohol.

pH of Enalapril Oral Liquid Formulations

Buffering agents maintain the pH of the liquid enalapril formulation. Non-limiting examples of buffering agents include, but are not limited to sodium bicarbonate, potas- 25 sium bicarbonate, magnesium hydroxide, magnesium lactate, magnesium glucomate, aluminum hydroxide, aluminum hydroxide/sodium bicarbonate co-precipitate, mixture of an amino acid and a buffer, a mixture of aluminum glycinate and a buffer, a mixture of an acid salt of an amino 30 acid and a buffer, and a mixture of an alkali salt of an amino acid and a buffer. Additional buffering agents include citric acid, sodium citrate, sodium tartarate, sodium acetate, sodium carbonate, sodium polyphosphate, potassium polyphosphate, sodium pyrophosphate, potassium pyrophos- 35 phate, disodium hydrogenphosphate, dipotassium hydrogenphosphate, trisodium phosphate, tripotassium phosphate, sodium acetate, potassium metaphosphate, magnesium oxide, magnesium hydroxide, magnesium carbonate, magnesium silicate, calcium acetate, calcium glycerophosphate, 40 calcium chloride, calcium hydroxide, calcium lactate, calcium carbonate, calcium bicarbonate, and other calcium salts. Some buffering agents also impart effervescent qualities when a powder is reconstituted in a solution. In some embodiments, the buffering agent is not sodium bicarbonate. 45

In some embodiments, the oral liquid formulation comprises a buffer.

In some embodiments, the buffer in the enalapril oral liquid formulation described herein comprises citric acid. In some embodiments, the buffer in the enalapril oral liquid 50 formulation described herein comprises citric acid and sodium citrate. In some embodiments, the buffer in the enalapril oral liquid formulation described herein comprises citric acid and sodium citrate dihydrate or an equivalent molar amount of sodium citrate anhydrous. In some embodiments, the sodium citrate is monosodium citrate. In some embodiments, the sodium citrate is disodium citrate. In some embodiments, the sodium citrate is trisodium citrate.

In some embodiments, the buffer in the enalapril oral liquid formulation described herein comprises phosphoric 60 acid. In some embodiments, the buffer in the enalapril oral liquid formulation described herein comprises sodium phosphate.

In some embodiments, modulation of the pH is desired to provide a lowered impurity profile. In the exemplary stability studies, the main enalapril degradants are enalapril diketopiperazine and enalaprilat:

enalapril diketopiperazine

In some embodiments, the percentage of enalaprilat formation is increased when the pH is above 3.5. (See table C-2 and FIG. 1 and FIG. 2). In some embodiments, the percentage of enalapril diketopiperazine formation is slightly increased as the pH is below 4.

In some embodiments, the pH of the enalapril oral liquid formulation described herein is less than about 4. In some embodiments, the pH of the enalapril oral liquid formulation described herein is less than about 3.5. In some embodiments, the pH of the enalapril oral liquid formulation described herein is between about 3 and about 4. In some embodiments, the pH of the enalapril oral liquid formulation described herein is between about 3 and about 3.5. In some embodiments, the pH of the enalapril oral liquid formulation described herein is about 3, about 3.1, about 3.2, about 3.3, about 3.4, about 3.5, about 3.6, about 3.7, about 3.8, about 3.9, or about 4. In some embodiments, the pH of the enalapril oral liquid formulation described herein is about 3.3.

In some embodiments, the formation of degradants is dependent on the buffer concentration. In some embodiments, the buffer concentration impacts the taste of the enalapril oral liquid formulation.

In some embodiments, the buffer concentration is between about 5 mM and about 20 mM. In some embodiments, the buffer concentration is about 5 mM, about 6 mM, about 7 mM, about 8 mM, about 9 mM, about 10 mM, about 11 mM, about 12 mM, about 13 mM, about 14 mM, about 15 mM, about 16 mM, about 17 mM, about 18 mM, about 19 mM, or about 20 mM. In some embodiments, the buffer concentration is about 10 mM. In some embodiments, the buffer concentration is about 20 mM.

In some embodiments, citric acid is present in about 0.7 to about 2 mg/ml in the oral liquid formulation. In other embodiments, citric acid is present in about 0.7 mg/ml, about 0.71 mg/ml, about 0.72 mg/ml, about 0.73 mg/ml, about 0.74 mg/ml, about 0.75 mg/ml, about 0.76 mg/ml, about 0.77 mg/ml, about 0.78 mg/ml, about 0.79 mg/ml, about 0.81 mg/ml, about 0.82 mg/ml, about 0.82 mg/ml,

about 0.83 mg/ml, about 0.84 mg/ml, about 0.85 mg/ml, about 0.86 mg/ml, about 0.87 mg/ml, about 0.88 mg/ml, about 0.89 mg/ml, about 0.9 mg/mL, about 0.91 mg/mL, about 0.92 mg/mL, about 0.93 mg/mL, about 0.94 mg/mL, about 0.95 mg/mL, about 0.96 mg/mL, about 0.97 mg/mL, 5 about 0.98 mg/mL, about 0.99 mg/mL, about 1 mg/mL, about 1.11 mg/ml, about 1.12 mg/ml, about 1.13 mg/ml, about 1.14 mg/ml, about 1.15 mg/ml, about 1.16 mg/ml, about 1.17 mg/ml, about 1.18 mg/ml, about 1.19 mg/ml, about 1.2 mg/ml, about 1.21 mg/ml, about 1.22 mg/ml, 10 about 1.23 mg/ml, about 1.24 mg/ml, about 1.25 mg/ml, about 1.26 mg/ml, about 1.27 mg/ml, about 1.28 mg/ml, about 1.29 mg/ml, about 1.3 mg/mL, about 1.31 mg/mL, about 1.32 mg/mL, about 1.33 mg/mL, about 1.34 mg/mL, about 1.35 mg/mL, about 1.36 mg/mL, about 1.37 mg/mL, 15 about 1.38 mg/mL, about 1.39 mg/mL, about 1.4 mg/ml, about 1.41 mg/ml, about 1.42 mg/ml, about 1.43 mg/ml, about 1.44 mg/ml, about 1.45 mg/ml, about 1.46 mg/ml, about 1.47 mg/ml, about 1.48 mg/ml, about 1.49 mg/ml, about 1.5 mg/ml, about 1.51 mg/ml, about 1.52 mg/ml, 20 about 1.53 mg/ml, about 1.54 mg/ml, about 1.55 mg/ml, about 1.56 mg/ml, about 1.57 mg/ml, about 1.58 mg/ml, about 1.59 mg/ml, about 1.6 mg/mL, about 1.61 mg/mL, about 1.62 mg/mL, about 1.63 mg/mL, about 1.64 mg/mL, about 1.65 mg/mL, about 1.66 mg/mL, about 1.67 mg/mL, 25 about 1.68 mg/mL, about 1.69 mg/mL, about 1.7 mg/ml, about 1.71 mg/ml, about 1.72 mg/ml, about 1.73 mg/ml, about 1.74 mg/ml, about 1.75 mg/ml, about 1.76 mg/ml, about 1.77 mg/ml, about 1.78 mg/ml, about 1.79 mg/ml, about 1.8 mg/ml, about 1.81 mg/ml, about 1.82 mg/ml, 30 about 1.83 mg/ml, about 1.84 mg/ml, about 1.85 mg/ml, about 1.86 mg/ml, about 1.87 mg/ml, about 1.88 mg/ml, about 1.89 mg/ml, about 1.9 mg/mL, about 1.91 mg/mL, about 1.92 mg/mL, about 1.93 mg/mL, about 1.94 mg/mL, about 1.95 mg/mL, about 1.96 mg/mL, about 1.97 mg/mL, 35 about 1.98 mg/mL, about 1.99 mg/mL, or about 2 mg/mL in the oral liquid formulation. In some embodiments, citric acid is present in about 1.65 mg/ml in the oral liquid formulation. In some embodiments, citric acid is present in about 1.82 mg/ml in the oral liquid formulation. In some embodiments, 40 citric acid is present in about 0.82 mg/ml in the oral liquid formulation.

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In some embodiments, citric acid is present in about 2 to about 3.5 mg/ml in the oral liquid formulation. In other embodiments, citric acid is present in about 2 mg/mL, about 2.05 mg/mL, about 2.1 mg/mL, about 2.15 mg/mL, about 2.2 mg/mL, about 2.25 mg/mL, about 2.35 mg/mL, about 2.4 mg/mL, about 2.45 mg/mL, about 2.5 mg/mL, about 2.75 mg/mL, about 2.80 mg/mL, about 2.80 mg/mL, about 2.80 mg/mL, about 2.80 mg/mL, about 3.10 mg/mL, about 3.10 mg/mL, about 3.10 mg/mL, about 3.10 mg/mL, about 3.25 mg/mL, about 3.30 mg/mL, about 3.30 mg/mL, about 3.40 mg/mL, about 3.50 mg

In some embodiments, citric acid is present in about 10% w/w to about 50% w/w of the solids in the oral liquid formulation. In other embodiments, citric acid is present in 60 about 10% w/w, about 11% w/w, about 12% w/w, about 13% w/w, about 14% w/w, about 15% w/w, about 16% w/w, about 17% w/w, about 18% w/w, about 19% w/w, about 20% w/w, about 21% w/w, about 22% w/w, about 23% w/w, about 24% w/w, about 25% w/w, about 26% w/w, about 27% 65 w/w, about 28% w/w, about 29% w/w, about 30% w/w, about 31% w/w, about 32% w/w, about 33% w/w, about 34%

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w/w, about 35% w/w, about 36% w/w, about 37% w/w, about 38% w/w, about 39% w/w, about 40% w/w, about 41% w/w, about 42% w/w, about 43% w/w, about 44% w/w, about 45% w/w, about 46% w/w, about 47% w/w, about 48% w/w, about 49% w/w, about 50% w/w of the solids in the oral liquid formulation. In some embodiments, citric acid is present in about 45% w/w of the solids in the oral liquid formulation. In some embodiments, citric acid is present in about 31% w/w of the solids in the oral liquid formulation. In some embodiments, citric acid is present in about 35% w/w of the solids in the oral liquid formulation. In some embodiments, citric acid is present in about 19% w/w of the solids in the oral liquid formulation.

In some embodiments, citric acid is present in about 1% w/w to about 5% w/w of the solids in the oral liquid formulation. In other embodiments, citric acid is present in about 1% w/w, about 1.1% w/w, about 1.2% w/w, about 1.3% w/w, about 1.4% w/w, about 1.5% w/w, about 1.6% w/w, about 1.7% w/w, about 1.8% w/w, about 1.9% w/w, about 2% w/w, about 2.1% w/w, about 2.2% w/w, about 2.3% w/w, about 2.4% w/w, about 2.5% w/w, about 2.6% w/w, about 2.7% w/w, about 2.8% w/w, about 2.9% w/w, about 3% w/w, about 3.1% w/w, about 3.2% w/w, about 3.3% w/w, about 3.4% w/w, about 3.5% w/w, about 3.6% w/w, about 3.7% w/w, about 3.8% w/w, about 3.9% w/w, about 4% w/w, about 4.1% w/w, about 4.2% w/w, about 4.3% w/w, about 4.4% w/w, about 4.5% w/w, about 4.6% w/w, about 4.7% w/w, about 4.8% w/w, about 4.9% w/w, or about 5% w/w of the solids in the oral liquid formulation. In some embodiments, citric acid is present in about 2.1% w/w of the solids in the oral liquid formulation. In some embodiments, citric acid is present in about 1.6% w/w of the solids in the oral liquid formulation.

In some embodiments, sodium citrate dihydrate is present in about 0.1 to about 0.8 mg/ml in the oral liquid formulation. In other embodiments, sodium citrate dihydrate is present in the oral liquid formulation is about 0.1 mg/mL, about 0.11 mg/mL, about 0.12 mg/mL, about 0.13 mg/mL, about 0.14 mg/mL, about 0.15 mg/ml, about 0.16 mg/mL, about 0.17 mg/mL, about 0.18 mg/mL, about 0.19 mg/mL, about 0.2 mg/ml, about 0.21 mg/ml, about 0.22 mg/ml, about 0.23 mg/ml, about 0.24 mg/ml, about 0.25 mg/ml, about 0.26 mg/ml, about 0.27 mg/ml, about 0.28 mg/ml, about 0.29 mg/ml, about 0.3 mg/ml, about 0.31 mg/ml, about 0.32 mg/ml, about 0.33 mg/ml, about 0.34 mg/ml, about 0.35 mg/ml, about 0.36 mg/ml, about 0.37 mg/ml, about 0.38 mg/ml, about 0.39 mg/ml, about 0.4 mg/ml, about 0.41 mg/ml, about 0.42 mg/ml, about 0.43 mg/ml, about 0.44 mg/ml, about 0.45 mg/ml, about 0.46 mg/ml, about 0.47 mg/ml, about 0.48 mg/ml, about 0.49 mg/ml, about 0.5 mg/ml, about 0.51 mg/ml, about 0.52 mg/ml, about 0.53 mg/ml, about 0.54 mg/ml, about 0.55 mg/ml, about 0.56 mg/ml, about 0.57 mg/ml, about 0.58 mg/ml, about 0.59 mg/ml, about 0.6 mg/ml, about 0.61 mg/ml, about 0.62 mg/ml, about 0.63 mg/ml, about 0.64 mg/ml, about 0.65 mg/ml, about 0.66 mg/ml, about 0.67 mg/ml, about 0.68 mg/ml, about 0.69 mg/ml, about 0.7 mg/ml, about 0.71 mg/ml, about 0.72 mg/ml, about 0.73 mg/ml, about 0.74 mg/ml, about 0.75 mg/ml, about 0.76 mg/ml, about 0.77 mg/ml, about 0.78 mg/ml, about 0.79 mg/ml, or about 0.8 mg/ml in the oral liquid formulation. In some embodiments, sodium citrate dihydrate is present in about 0.75 mg/ml in the oral liquid formulation. In some embodiments, sodium citrate dihydrate is present in about 0.35 mg/ml in the oral liquid formulation. In some embodiments, sodium citrate dihydrate is present in about 0.2 mg/ml in the

oral liquid formulation. In some embodiments, sodium citrate dihydrate is present in about 0.15 mg/ml in the oral liquid formulation.

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In some embodiments, sodium citrate dihydrate is present in about 1% w/w to about 15% w/w of the solids in the oral 5 liquid formulation. In other embodiments, sodium citrate dihydrate is present in about 1% w/w, about 1.1% w/w, about 1.2% w/w, about 1.3% w/w, about 1.4% w/w, about 1.5% w/w, about 1.6% w/w, about 1.7% w/w, about 1.8% w/w, about 1.9% w/w, about 2% w/w, about 2.1% w/w, about 10 2.2% w/w, about 2.3% w/w, about 2.4% w/w, about 2.5% w/w, about 2.6% w/w, about 2.7% w/w, about 2.8% w/w, about 2.9% w/w, about 3% w/w, about 3.1% w/w, about 3.2% w/w, about 3.3% w/w, about 3.4% w/w, about 3.5% w/w, about 3.6% w/w, about 3.7% w/w, about 3.8% w/w, 15 about 3.9% w/w, about 4% w/w, about 4.5% w/w, about 5% w/w, about 5.5% w/w, about 6% w/w, about 6.5% w/w, about 7% w/w, about 7.5% w/w, about 8% w/w, about 8.5% w/w, about 9% w/w, about 9.5% w/w, about 10% w/w, about 10.5% w/w, about 11% w/w, about 11.5% w/w, about 12% 20 w/w, about 12.5% w/w, about 13% w/w, about 13.5% w/w, about 14% w/w, about 14.5% w/w, about 15% w/w of the solids in the oral liquid formulation. In some embodiments, sodium citrate dihydrate is present in about 10.5% w/w of the solids in the oral liquid formulation. In some embodi- 25 ments, sodium citrate dihydrate is present in about 7.5% w/w of the solids in the oral liquid formulation. In some embodiments, sodium citrate dihydrate is present in about 4.5% w/w of the solids in the oral liquid formulation. In some embodiments, sodium citrate dihydrate is present in 30 about 2.9% w/w of the solids in the oral liquid formulation.

In other embodiments, sodium citrate dihydrate is not added to the formulation.

Additional Excipients

In further embodiments, the enalapril liquid formulation 35 described herein comprises additional excipients including, but not limited to, glidants, flavoring agents, coloring agents and thickeners. Additional excipients such as bulking agents, tonicity agents and chelating agents are within the scope of the embodiments.

Glidants are substances that improve flowability of a powder. Suitable glidants include, but are not limited to, calcium phosphate tribasic, calcium silicate, cellulose (powdered), colloidal silicon dioxide, magnesium silicate, magnesium trisilicate, silicon dioxide, starch, talc and the like. In 45 some embodiments, the enalapril powder formulations described herein comprise a glidant. In some embodiments the glidant is not colloidal silicon dioxide.

In another embodiment, the enalapril liquid formulation comprises a flavoring agent or flavorant to enhance the taste 50 or aroma of the formulation in liquid form. Suitable natural or synthetic flavoring agents can be selected from standard reference books, for example Fenaroli's Handbook of Flavor Ingredients, 3rd edition (1995). Non-limiting examples of suitable natural flavors, some of which can readily be 55 simulated with synthetic agents or combinations thereof, include almond, anise, apple, apricot, bergamot, blackberry, blackcurrant, blueberry, cacao, caramel, cherry, cinnamon, clove, coffee, coriander, cranberry, cumin, dill, eucalyptus, fennel, fig, ginger, grape, grapefruit, guava, hop, lemon, 60 licorice, lime, malt, mandarin, molasses, nutmeg, mixed berry, orange, peach, pear, peppermint, pineapple, raspberry, rose, spearmint, strawberry, tangerine, tea, vanilla, wintergreen, etc. Also useful, particularly where the formulation is intended primarily for pediatric use, is tutti-frutti or bubble- 65 gum flavor, a compounded flavoring agent based on fruit flavors. Presently preferred flavoring agents include anise,

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cinnamon, cacao, orange, peppermint, cherry (in particular wild cherry), grape, bubblegum, vanilla, and mixed berry.

In some embodiments, the enalapril liquid formulation described herein comprises a mixed berry flavoring agent. Flavoring agents can be used singly or in combinations of two or more.

In further embodiments, the enalapril liquid formulation comprises a coloring agent for identity and/or aesthetic purposes. Suitable coloring agents illustratively include FD&C Red No. 3, FD&C Red No. 20, FD&C Red No. 40, FD&C Yellow No. 6, FD&C Blue No. 2, FD&C Green No. 5, FD&C Orange No. 5, caramel, ferric oxide and mixtures thereof.

Thickeners impart viscosity or weight to the resultant liquid forms from the enalapril formulation described herein. Exemplary thickeners include dextrin, cellulose derivatives (carboxymethylcellulose and its salts, ethylcellulose, hydroxyethyl cellulose, methylcellulose, hypromellose, and the like) starches, pectin, polyethylene glycol, polyethylene oxide, trehalose and certain gums (xanthan gum, locust bean gum, etc.). In certain embodiments, the enalapril liquid formulation comprises a thickener.

Additional excipients are contemplated in the enalapril liquid formulation embodiments. These additional excipients are selected based on function and compatibility with the enalapril liquid formulations described herein and may be found, for example in *Remington: The Science and Practice of Pharmacy*, Nineteenth Ed (Easton, Pa.: Mack Publishing Company, 1995); Hoover, John E., *Remington's Pharmaceutical Sciences*, (Easton, Pa.: Mack Publishing Co 1975); Liberman, H. A. and Lachman, L., Eds., *Pharmaceutical Dosage Forms* (New York, N.Y.: Marcel Decker 1980); and *Pharmaceutical Dosage Forms and Drug Delivery Systems*, Seventh Ed (Lippincott Williams & Wilkins 1999), herein incorporated by reference in their entirety. Stability

The main enalapril degradants are enalapril diketopiperazine and enalaprilat.

The enalapril oral liquid formulations described herein are 40 stable in various storage conditions including refrigerated, ambient and accelerated conditions. Stable as used herein refers to enalapril oral liquid formulations having about 95% or greater of the initial enalapril amount and about 5% w/w or less total impurities or related substances at the end of a given storage period. The percentage of impurities is calculated from the amount of impurities relative to the amount of enalapril. Stability is assessed by HPLC or any other known testing method. In some embodiments, the stable enalapril oral liquid formulations have about 5% w/w, about 4% w/w, about 3% w/w, about 2.5% w/w, about 2% w/w, about 1.5% w/w, about 1% w/w, or about 0.5% w/w total impurities or related substances. In other embodiments, the stable enalapril oral liquid formulations have about 5% w/w total impurities or related substances. In yet other embodiments, the stable enalapril oral liquid formulations have about 4% w/w total impurities or related substances. In yet other embodiments, the stable enalapril oral liquid formulations have about 3% w/w total impurities or related substances. In yet other embodiments, the stable enalapril oral liquid formulations have about 2% w/w total impurities or related substances. In yet other embodiments, the stable enalapril oral liquid formulations have about 1% w/w total impurities or related substances.

At refrigerated condition, the enalapril oral liquid formulations described herein are stable for at least 1 month, at least 2 months, at least 3 months, at least 6 months, at least 9 months, at least 12 months, at least 15 months, at least 18

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months, at least 24 months, at least 30 months and at least 36 months. In some embodiments, refrigerated condition is 5±3° C. In some embodiments, refrigerated condition is about 2° C., about 2.1° C., about 2.2° C., about 2.3° C., about 2.4° C., about 2.5° C., about 2.6° C., about 2.7° C., 5 about 2.8° C., about 2.9° C., about 3° C., about 3.1° C., about 3.2° C., about 3.3° C., about 3.4° C., about 3.5° C., about 3.6° C., about 3.7° C., about 3.8° C., about 3.9° C., about 4° C., about 4.1° C., about 4.2° C., about 4.3° C. about 4.4° C., about 4.5° C., about 4.6° C., about 4.7° C., 10 about 4.8° C., about 4.9° C., about 5° C., about 5.1° C., about 5.2° C., about 5.3° C., about 5.4° C., about 5.5° C., about 5.6° C., about 5.7° C., about 5.8° C., about 5.9° C., about 6° C., about 6.1° C., about 6.2° C., about 6.3° C., about 6.4° C., about 6.5° C., about 6.6° C., about 6.7° C., 15 about 6.8° C., about 6.9° C., about 7° C., about 7.1° C., about 7.2° C., about 7.3° C., about 7.4° C., about 7.5° C., about 7.6° C., about 7.7° C., about 7.8° C., about 7.9° C., or about 8° C. At accelerated conditions, the enalapril oral liquid formulations described herein are stable for at least 1 20 month, at least 2 months, at least 3 months, at least 4 months, at least 5 months, at least 6 months, at least 7 months, at least 8 months, at least 9 months, at least 10 months, at least 11 months or at least 12 months. Accelerated conditions for the enalapril oral liquid formulations described herein include 25 temperature and/or relative humidity (RH) that are at or above ambient levels (e.g. 25±5° C.; 55±10% RH). In some instances, an accelerated condition is at about 25° C., about 30° C., about 35° C., about 40° C., about 45° C., about 50° C., about 55° C. or about 60° C. In other instances, an 30 accelerated condition is above 55% RH, about 65% RH, about 70% RH, about 75% RH or about 80% RH. In further instances, an accelerated condition is about 40° C. or 60° C. at ambient humidity. In yet further instances, an accelerated condition is about 40° C. at 75±5% RH humidity. Enalapril Oral Powder Formulation

In another aspect, enalapril oral liquid formulations described herein are prepared from the reconstitution of an enalapril powder formulation. In some embodiments, the enalapril powder formulation comprising enalapril, a sweet- 40 ener, a preservative, and optionally an excipient is dissolved in water, a buffer, other aqueous solvent, or a liquid to form an enalapril oral liquid formulation. In one embodiment, the sweetening agent is sucralose. In one embodiment, the sweetener is not mannitol. In one embodiment, the sweet- 45 ening agent is xylitol. In another embodiment, the preservative is sodium benzoate. In one embodiment, the preservative is a paraben preservative. In one aspect, the enalapril powder formulation described herein comprises enalapril, sucralose, and sodium benzoate. In some embodiments, the 50 enalapril powder formulation herein further comprises a flavoring agent. In some embodiments, the enalapril powder formulation herein further comprises one or more buffering agents.

In some embodiments, enalapril or a pharmaceutically 55 acceptable salt thereof, is present in about 0.5% w/w to about 30% w/w of the powder formulation. In other embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.5% w/w, about 1% w/w, about 1.5% w/w, about 2% w/w, about 2.5% w/w, about 3% w/w, 60 about 3.5% w/w, about 4% w/w, about 4.5% w/w, about 5% w/w, about 5.5% w/w, about 6% w/w, about 6.5% w/w, about 7% w/w, about 7.5% w/w, about 8% w/w, about 8.5% w/w, about 9% w/w, about 9.5% w/w, about 10% w/w, about 10.5% w/w, about 11% w/w, about 11.5% w/w, about 12% 65 w/w, about 12.5% w/w, about 12.5% w/w, about 13.5% w/w, about 14.5% w/w, about 15% w/w, about 14.5% w/w, about 15% w/w, about 14% w/w, about 14.5% w/w, about 15% w/w, about

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15.5% w/w, about 16% w/w, about 16.5% w/w, about 17% w/w, about 17.5% w/w, about 18% w/w, about 18.5% w/w, about 19% w/w, about 19.5% w/w, about 20% w/w, about 20.5% w/w, about 21% w/w, about 21.5% w/w, about 22% w/w, about 22.5% w/w, about 23% w/w, about 23.5% w/w, about 24% w/w, about 24.5% w/w, about 25% w/w, about 25.5% w/w, about 26% w/w, about 26.5% w/w, about 27% w/w, about 27.5% w/w, about 28% w/w, about 28.5% w/w, about 29% w/w, about 29.5% w/w, or about 30% w/w of the powder formulation. In some embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 10% w/w to about 25% w/w of the powder formulation. In some embodiments, enalapril maleate is present in about 13.5% w/w of the powder formulation. In some embodiments, enalapril maleate is present in about 19.5% w/w of the powder formulation. In some embodiments, enalapril maleate is present in about 24.5% w/w of the powder formulation. In some embodiments, enalapril is present in about 10.5% w/w of the powder formulation. In some embodiments, enalapril is present in about 14.5% w/w of the powder formulation. In some embodiments, enalapril is present in about 18% w/w of the powder formulation.

In some embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.1% w/w to about 1% w/w of the powder formulation. In other embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.1% w/w, about 0.15% w/w, about 0.2% w/w, about 0.25% w/w, about 0.3% w/w, about 0.35% w/w, about 0.4% w/w, about 0.45% w/w, about 0.5% w/w, about 0.55% w/w, about 0.6% w/w, about 0.65% w/w, about 0.7% w/w, about 0.75% w/w, about 0.8% w/w, about 0.85% w/w, about 0.9% w/w, about 0.95% w/w, or about 1% w/w of the powder formulation. In some embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.4% w/w to about 0.7% w/w of the powder formulation. In some embodiments, enalapril maleate is present in about 0.45% w/w of the powder formulation. In some embodiments, enalapril maleate is present in about 0.6% w/w of the powder formulation. In some embodiments, enalapril is present in about 0.4% w/w of the powder formulation. In some embodiments, enalapril is present in about 0.5% w/w of the powder formulation.

Various amounts and concentrations of other components (sweeteners, buffers, preservatives, and the like) in the enalapril powder formulations are found in the previous section describing the amounts and concentrations for the analogous enalapril oral liquid formulations. For example, in some embodiments where sucralose is present in about 1% w/w to about 30% w/w of the solids in the oral liquid formulation; in an analogous enalapril powder formulation, sucralose would be about 1% w/w to about 30% w/w in the powder formulation. In some embodiments where sodium benzoate is present in about 1% w/w to about 30% w/w of the solids in the oral liquid formulation, in an analogous enalapril powder formulation sodium benzoate is present in about 1% w/w to about 30% w/w in the powder formulation.

Liquid vehicles suitable for the enalapril powder formulations to be reconstituted into an oral solution described herein are selected for a particular oral liquid formulation (solution, suspension, etc.) as well as other qualities such as clarity, toxicity, viscosity, compatibility with excipients, chemical inertness, palatability, odor, color and economy. Exemplary liquid vehicles include water, ethyl alcohol, glycerin, propylene glycol, syrup (sugar or other sweetener based, e.g., Ora-Sweet® SF sugar-free flavored syrup), juices (apple, grape, orange, cranberry, cherry, tomato and the like), other beverages (tea, coffee, soft drinks, milk and

the like), oils (olive, soybean, corn, mineral, castor and the like), and combinations or mixtures thereof. Certain liquid vehicles, e.g., oil and water, can be combined together to form emulsions. In some embodiments, water is used for as a vehicle for a enalapril oral liquid formulation. In other 5 embodiments, a syrup is used for as a vehicle for a enalapril oral liquid formulation. In yet other embodiments, a juice is

used for as a vehicle for a enalapril oral liquid formulation.

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Buffering agents maintain the pH of the liquid enalapril formulation. Non-limiting examples of buffering agents 10 include, but are not limited to sodium bicarbonate, potassium bicarbonate, magnesium hydroxide, magnesium lactate, magnesium gluconate, aluminum hydroxide, aluminum hydroxide/sodium bicarbonate co precipitate, mixture of an amino acid and a buffer, a mixture of aluminum glycinate 15 and a buffer, a mixture of an acid salt of an amino acid and a buffer, and a mixture of an alkali salt of an amino acid and a buffer. Additional buffering agents include citric acid, sodium citrate, sodium tartrate, sodium acetate, sodium carbonate, sodium polyphosphate, potassium polyphos- 20 phate, sodium pyrophosphate, potassium pyrophosphate, disodium hydrogenphosphate, dipotassium hydrogenphosphate, trisodium phosphate, tripotassium phosphate, sodium acetate, potassium metaphosphate, magnesium oxide, magnesium hydroxide, magnesium carbonate, magnesium sili- 25 cate, calcium acetate, calcium glycerophosphate, calcium chloride, calcium hydroxide, calcium lactate, calcium carbonate, calcium bicarbonate, and other calcium salts. Some buffering agents also impart effervescent qualities when a powder is reconstituted in a solution.

In some embodiments, the reconstituted oral liquid formulation comprises a buffer. In some embodiments, the buffer comprises citric acid and sodium citrate.

In further embodiments, the enalapril powder formulation described herein comprises additional excipients including, 35 but not limited to, glidants, flavoring agents, coloring agents and thickeners. Additional excipients such as bulking agents, tonicity agents and chelating agents are within the scope of the embodiments.

Glidants are substances that improve flowability of a 40 powder. Suitable glidants include, but are not limited to, calcium phosphate tribasic, calcium silicate, cellulose (powdered), colloidal silicon dioxide, magnesium silicate, magnesium trisilicate, silicon dioxide, starch, talc and the like. In some embodiments, the enalapril powder formulations 45 described herein comprise a glidant.

In another embodiment, the enalapril powder formulation described herein comprises a flavoring agent or flavorant to enhance the taste or aroma of the formulation in liquid form. Suitable natural or synthetic flavoring agents can be selected 50 from standard reference books, for example Fenaroli's Handbook of Flavor Ingredients, 3rd edition (1995). Nonlimiting examples of suitable natural flavors, some of which can readily be simulated with synthetic agents or combinations thereof, include almond, anise, apple, apricot, berga- 55 mot, blackberry, blackcurrant, blueberry, cacao, caramel, cherry, cinnamon, clove, coffee, coriander, cranberry, cumin, dill, eucalyptus, fennel, fig, ginger, grape, grapefruit, guava, hop, lemon, licorice, lime, malt, mandarin, molasses, nutmeg, mixed berry, orange, peach, pear, peppermint, pine- 60 apple, raspberry, rose, spearmint, strawberry, tangerine, tea, vanilla, wintergreen, etc. Also useful, particularly where the formulation is intended primarily for pediatric use, is tuttifrutti or bubblegum flavor, a compounded flavoring agent based on fruit flavors. Presently preferred flavoring agents 65 include anise, cinnamon, cacao, orange, peppermint, cherry (in particular wild cherry), grape, bubblegum, vanilla, and

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mixed berry. Flavoring agents can be used singly or in combinations of two or more.

In further embodiments, the enalapril powder formulation described herein comprises a coloring agent for identity and/or aesthetic purposes. Suitable coloring agents illustratively include FD&C Red No. 3, FD&C Red No. 20, FD&C Red No. 40, FD&C Yellow No. 6, FD&C Blue No. 2, D&C Green No. 5, D&C Orange No. 5, caramel, ferric oxide and mixtures thereof.

In further embodiments, the enalapril powder formulation described herein comprises a thickener. Thickeners impart viscosity or weight to the resultant liquid forms from the enalapril formulation described herein. Exemplary thickeners include dextrin, cellulose derivatives (carboxymethylcellulose and its salts, ethylcellulose, hydroxyethyl cellulose, methylcellulose, hypromellose, and the like) starches, pectin, polyethylene glycol, polyethylene oxide, trehalose and certain gums (xanthan gum, locust bean gum, etc.).

Additional excipients are contemplated in the enalapril powder formulation embodiments. These additional excipients are selected based on function and compatibility with the the enalapril powder formulation described herein and may be found, for example in *Remington: The Science and Practice of Pharmacy*, Nineteeth Ed (Easton, Pa.: Mack Publishing Company, 1995); Hoover, John E., *Remington's Pharmaceutical Sciences*, (Easton, Pa.: Mack Publishing Co 1975); Liberman, H. A. and Lachman, L., Eds., *Pharmaceutical Dosage Forms* (New York, N.Y.: Marcel Decker 1980); and *Pharmaceutical Dosage Forms and Drug Delivery Systems*, Seventh Ed (Lippincott Williams & Wilkins 1999), herein incorporated by reference in their entirety.

In some embodiments, the enalapril oral liquid formulation prepared from the powder formulations described herein are homogenous. Homogenous liquids as used herein refer to those liquids that are uniform in appearance, identity, consistency and drug concentration per volume. Non-homogenous liquids include such liquids that have varied coloring, viscosity and/or aggregation of solid particulates, as well as non-uniform drug concentration in a given unit volume. Homogeneity in liquids are assessed by qualitative identification or appearance tests and/or quantitative HPLC testing or the like. The mixing methods and excipients described herein are selected to impart a homogenous quality to a resultant enalapril oral liquid formulation.

Mixing methods encompass any type of mixing that result in a homogenous enalapril oral liquid formulation. In some embodiments, a quantity of an enalapril powder formulation is added to a liquid vehicle and then mixed by a stirring, shaking, swirling, agitation element or a combination thereof. In certain instances, a fraction of a enalapril powder formulation (i.e., one-half, one-third, one-fourth, etc.) is added to a liquid vehicle, mixed by stirring, shaking, swirling, agitation or a combination thereof, and the subsequent powder fraction(s) is added and mixed. In other embodiments, a liquid vehicle is added to an enalapril powder formulation in a container, for example, a bottle, vial, bag, beaker, syringe, or the like. The container is then mixed by stirring, shaking, swirling, agitation, inversion or a combination thereof. In certain instances, a fractional volume of the liquid vehicle (i.e., one-half, one-third, one-fourth volume, etc.) is added to a enalapril powder formulation in a container, mixed by stirring, shaking, swirling, agitation, inversion or a combination thereof, and the subsequent liquid fraction(s) is added and mixed. In certain instances, a one-half fractional volume of the liquid vehicle is added to an enalapril powder formulation in a container and mixing by shaking; the other one-half fractional volume of the

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liquid vehicle is then subsequently added and mixed. In any of the above embodiments, mixing (i.e., stirring, shaking, swirling, agitation, inversion or a combination thereof) occurs for a certain time intervals such as about 10 seconds, about 20 seconds, about 30 seconds, about 45 seconds, about 60 seconds, about 90 seconds, about 120 seconds, about 2.5 minutes, about 3 minutes, about 3.5 minutes, about 4 minutes, or about 5 minutes. In embodiments, where there are two or more mixing steps, the time intervals for each mixing can be the same (e.g., 2×10 seconds) or different (e.g., 10 seconds for first mixing and 20 seconds for second mixing). In any of the above embodiments, a enalapril oral liquid formulation is allowed to stand for a period of time such as about 10 minutes, about 20 minutes, about 30 minutes, about 45 minutes, about 1 hour, about 1.5 hours or about 2 hours, to allow any air bubbles resultant from any of the mixing methods to dissipate.

Stability of Enalapril Powder Formulation

The enalapril powder formulations described herein are 20 stable in various storage conditions including refrigerated, ambient and accelerated conditions. Stable as used herein refer to enalapril powder formulations having about 95% or greater of the initial enalapril amount and 5% w/w or less storage period. The percentage of impurities is calculated from the amount of impurities relative to the amount of enalapril. Stability is assessed by HPLC or any other known testing method. In some embodiments, the stable enalapril powder formulations have about 5% w/w, about 4% w/w, about 3% w/w, about 2.5% w/w, about 2% w/w, about 1.5% w/w, about 1% w/w, or about 0.5% w/w total impurities or related substances. In other embodiments, the stable enalapril powder formulations have about 5% w/w total impurities or related substances. In yet other embodiments, the stable enalapril powder formulations have about 4% w/w total impurities or related substances. In yet other embodiments, the stable enalapril powder formulations have about 3% w/w total impurities or related substances. In yet other 40 embodiments, the stable enalapril powder formulations have about 2% w/w total impurities or related substances. In yet other embodiments, the stable enalapril powder formulations have about 1% w/w total impurities or related sub-

At refrigerated and ambient conditions, in some embodiments, the enalapril powder formulations described herein are stable for at least 1 week, 2 weeks, 4 weeks, 6 weeks, 8 weeks, 10 weeks, 12 weeks, 16 weeks, 20 weeks, at least 24 weeks, at least 30 weeks, or at least 36 weeks. At accelerated 50 conditions, in some embodiments, the enalapril powder formulations described herein are stable for at least 1 week, at least 2 weeks, at least 3 weeks, at least 4 weeks, at least 5 weeks, at least 6 weeks, at least 7 weeks, at least 8 weeks, at least 9 weeks, at least 10 weeks, at least 11 weeks or at 55 least 12 weeks. Accelerated conditions for the enalapril powder formulations described herein include temperature and/or relative humidity (RH) that are above ambient levels (e.g. 25±4° C.; 55±10% RH). In some instances, an accelerated condition is at about 30° C., about 35° C., about 40° 60 C., about 45° C., about 50° C., about 55° C. or about 60° C. In other instances, an accelerated condition is above 65% RH, about 70% RH, about 75% RH or about 80% RH. In further instances, an accelerated condition is about 40° C. or 60° C. at ambient humidity. In yet further instances, an 65 accelerated condition is about 40° C. at 75±5% RH humid24

Kits and Articles of Manufacture

For the enalapril powder and liquid formulations described herein, kits and articles of manufacture are also described. Such kits can comprise a carrier, package, or container that is compartmentalized to receive one or more containers such as vials, tubes, and the like, each of the container(s) comprising one of the separate elements to be used in a method described herein including an enalapril powder or liquid formulation. Suitable containers include, for example, bottles, vials, syringes, and test tubes. The containers can be formed from a variety of materials such as glass or plastic.

A kit will typically may comprise one or more additional containers, each with one or more of various materials (such as reagents, optionally in concentrated form, and/or devices) desirable from a commercial and user standpoint for an enalapril powder or liquid formulation described herein. Non-limiting examples of such materials include, but not limited to, buffers, diluents, filters, needles, syringes; carrier, package, container, vial and/or tube labels listing contents and/or instructions for use, and package inserts with instructions for use associated with an enalapril powder or liquid formulation. A set of instructions will also typically be included.

A label can be on or associated with the container. A label total impurities or related substances at the end of a given 25 can be on a container when letters, numbers or other characters forming the label are attached, molded or etched into the container itself; a label can be associated with a container when it is present within a receptacle or carrier that also holds the container, e.g., as a package insert. A label can be used to indicate that the contents are to be used for a specific therapeutic application. The label can also indicate directions for use of the contents, such as in the methods described herein.

Provided herein, in one aspect, are methods of treatment comprising administration of the enalapril oral liquid formulations described herein to a subject. In some embodiments, the enalapril oral liquid formulations described herein treat hypertension in a subject. Hypertension as used herein includes both primary (essential) hypertension and secondary hypertension. In certain instances, hypertension is classified in cases when blood pressure values are greater than or equal to 140/90 (systolic/diastolic) mm Hg in a subject. In certain instances, the enalapril oral liquid formulations described herein treat a subject having a blood pressure values are greater than or equal to 140/90 mm Hg. In certain instances, the enalapril oral liquid formulations described herein treat primary (essential) hypertension in a subject. In other instances, the enalapril oral liquid formulations described herein treat secondary hypertension in a subject.

In other embodiments, the enalapril oral liquid formulations described herein treat prehypertension in a subject. Prehypertension as used herein refers to cases where a subject's blood pressure is elevated above normal but not to the level considered to be hypertension. In some instances, prehypertension is classified in cases when blood pressure values are 120-139/80-89 mm Hg. In certain instances, the enalapril oral liquid formulations described herein treat a subject having blood pressure values of 120-139/80-89 mm

In yet other embodiments, the enalapril oral liquid formulations described herein are prophylactically administered to subjects suspected of having, predisposed to, or at risk of developing hypertension. In some embodiments, the administration of enalapril oral liquid formulations described herein allow for early intervention prior to onset

of hypertension. In certain embodiments, upon detection of a biomarker, environmental, genetic factor, or other marker, the enalapril oral liquid formulations described herein are prophylactically administered to subjects.

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In further embodiments, the enalapril oral liquid formu- 5 lations described herein treat heart failure (e.g., symptomatic congestive), asymptomatic left ventricular dysfunction, myocardial infarction, diabetic nephropathy and chronic renal failure. In certain instances, the enalapril oral liquid formulations described herein treat symptomatic congestive 10 heart failure. In other instances, the enalapril oral liquid formulations described herein treat asymptomatic left ventricular dysfunction. In further instances, the enalapril oral liquid formulations described herein treat myocardial infarction. In yet further instances, the enalapril oral liquid for- 15 mulations described herein treat diabetic nephropathy. In yet further instances, the enalapril oral liquid formulations described herein treat chronic renal failure.

In one aspect, the enalapril oral liquid formulations are 20 used for the treatment of diseases and conditions described herein. In addition, a method for treating any of the diseases or conditions described herein in a subject in need of such treatment, involves administration of enalapril oral liquid formulations in therapeutically effective amounts to said 25 subject.

Dosages of enalapril oral liquid formulations described can be determined by any suitable method. Maximum tolerated doses (MTD) and maximum response doses (MRD) for enalapril and/or enalaprilat can be determined via estab- 30 lished animal and human experimental protocols as well as in the examples described herein. For example, toxicity and therapeutic efficacy of enalapril and/or enalaprilat can be determined by standard pharmaceutical procedures in cell cultures or experimental animals, including, but not limited 35 to, for determining the LD_{50} (the dose lethal to 50% of the population) and the ED_{50} (the dose therapeutically effective in 50% of the population). The dose ratio between the toxic and therapeutic effects is the therapeutic index and it can be dosages exhibiting high therapeutic indices are of interest. The data obtained from cell culture assays and animal studies can be used in formulating a range of dosage for use in human. The dosage of such compounds lies preferably within a range of circulating concentrations that include the 45 ED₅₀ with minimal toxicity. The dosage may vary within this range depending upon the dosage form employed and the route of administration utilized. Additional relative dosages, represented as a percent of maximal response or of maximum tolerated dose, are readily obtained via the pro- 50

In some embodiments, the amount of a given enalapril oral liquid formulation that corresponds to such an amount varies depending upon factors such as the particular enalapril salt or form, disease condition and its severity, the 55 identity (e.g., weight, sex) of the subject or host in need of treatment, but can nevertheless be determined according to the particular circumstances surrounding the case, including, e.g., the specific agent being administered, the liquid composition type, the condition being treated, and the subject or 60 host being treated.

In some embodiments, the enalapril oral liquid formulations described herein are provided in a dose per day from about 0.01 mg to 100 mg, from about 0.1 mg to about 80 mg, from about 1 to about 60, from about 2 mg to about 40 mg 65 of enalapril. In certain embodiments, the enalapril oral liquid formulations described herein are provided in a daily dose of

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about 0.01 mg, about 0.05 mg, about 0.1 mg, about 0.2 mg, about 0.4 mg, about 0.6 mg, about 0.8 mg, about 1 mg, about 1.5 mg, about 2 mg, about 2.5 mg, about 3 mg, about 4 mg, about 5 mg, about 6 mg, about 7 mg, about 8 mg, about 9 mg, about 10 mg, about 11 mg, about 12 mg, about 15 mg, about 20 mg, about 25 mg, about 30 mg, about 35 mg, about 40 mg, about 45 mg, about 50 mg, about 55 mg, about 60 mg, about 65 mg, about 70 mg, about 76, mg, about 80 mg, about 85 mg, about 90 mg or about 100 mg, or any range derivable therein. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 1 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 2 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 3 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 4 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 5 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 6 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 7 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 8 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 9 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 10 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 11 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 12 mg. The dose per day described herein can be given once per day or multiple times per day in the form of sub-doses given b.i.d., t.i.d., q.i.d., or the like where the number of sub-doses equal the dose per

In further embodiments, the daily dosages appropriate for expressed as the ratio between LD₅₀ and ED₅₀. Enalapril 40 the enalapril oral liquid formulations described herein are from about 0.01 to about 1.0 mg/kg per body weight. In one embodiment, the daily dosages appropriate for the enalapril oral liquid formulations are from about 0.02 to about 0.8 mg/kg enalapril per body weight. In another embodiment, the daily dosage appropriate for the enalapril oral liquid formulations are from about 0.05 to about 0.6 mg/kg per body weight. In another embodiment, the daily dosage appropriate for the enalapril oral liquid formulations is about 0.05 mg/kg, about 0.06 mg/kg, about 0.07 mg/kg, about 0.08 mg/kg, about 0.10 mg/kg, about 0.15 mg/kg, about 0.20 mg/kg, about 0.25 mg/kg, about 0.30 mg/kg, about 0.40 mg/kg, about 0.50 mg/kg, or about 0.60 mg/kg.

> In other embodiments the enalapril oral liquid formulations are provided at the maximum tolerated dose (MTD) for enalapril and/or enalaprilat. In other embodiments, the amount of the enalapril oral liquid formulations administered is from about 10% to about 90% of the maximum tolerated dose (MTD), from about 25% to about 75% of the MTD, or about 50% of the MTD. In particular embodiments, the amount of the enalapril oral liquid formulations administered is from about 5%, 10%, 15%, 20%, 25%, 30%, 35%, 40%, 45%, 50%, 55%, 60%, 65%, 70%, 75%, 80%, 85%, 90%, 95%, 99%, or higher, or any range derivable therein, of the MTD for enalapril and/or enalaprilat.

> In further embodiments, the enalapril oral liquid formulations are provided in a dosage that is similar, comparable or equivalent to a dosage of a known enalapril tablet

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formulation. In other embodiments, the enalapril oral liquid formulations are provided in a dosage that provides a similar, comparable or equivalent pharmacokinetic parameters (e.g., AUC, C_{max} , T_{max} , C_{min} , $T_{1/2}$) as a dosage of a known enalapril tablet formulation. Similar, comparable or equivalent pharmacokinetic parameters, in some instances, refer to within 80% to 125%, 80% to 120%, 85% to 125%, 90% to 110%, or increments therein, of the given values. It should be recognized that the ranges can, but need not be symmetrical, e.g., 85% to 105%.

Administration

Administration of an enalapril oral liquid formulation is at a dosage described herein or at other dose levels and formulations determined and contemplated by a medical practitioner. In certain embodiments, the enalapril oral liquid 15 formulations described herein are administered for prophylactic and/or therapeutic treatments. In certain therapeutic applications, the enalapril oral liquid formulations are administered to a patient already suffering from a disease, e.g., hypertension, in an amount sufficient to cure the disease 20 or at least partially arrest or ameliorate the symptoms, e.g., lower blood pressure. Amounts effective for this use depend on the severity of the disease, previous therapy, the patient's health status, weight, and response to the enalapril formulations, and the judgment of the treating physician. Thera- 25 peutically effective amounts are optionally determined by methods including, but not limited to, a dose escalation clinical trial.

In prophylactic applications, the enalapril oral liquid formulations described herein are administered to a patient 30 susceptible to or otherwise at risk of a particular disease, e.g., hypertension. Such an amount is defined to be a "prophylactically effective amount or dose." In this use, the precise amounts also depend on the patient's state of health, weight, and the like. When used in a patient, effective 35 amounts for this use will depend on the risk or susceptibility of developing the particular disease, previous therapy, the patient's health status and response to the enalapril formulations, and the judgment of the treating physician.

In certain embodiments wherein the patient's condition 40 does not improve, upon the doctor's discretion the administration of an enalapril oral liquid formulations described herein are administered chronically, that is, for an extended period of time, including throughout the duration of the patient's life in order to ameliorate or otherwise control or 45 limit the symptoms of the patient's disease. In other embodiments, administration of an enalapril oral liquid formulation continues until complete or partial response of a disease.

In certain embodiments wherein a patient's status does improve, the dose of an enalapril oral liquid formulation 50 being administered may be temporarily reduced or temporarily suspended for a certain length of time (i.e., a "drug holiday"). In specific embodiments, the length of the drug holiday is between 2 days and 1 year, including by way of example only, 2 days, 3 days, 4 days, 5 days, 6 days, 7 days, 55 10 days, 12 days, 15 days, 20 days, 28 days, 35 days, 50 days, 70 days, 100 days, 120 days, 150 days, 180 days, 200 days, 250 days, 280 days, 300 days, 320 days, 350 days, and 365 days. The dose reduction during a drug holiday is, by way of example only, by 10%-100/%, including by way of example only 10%, 15%, 20%, 25%, 30%, 35%, 40%, 45%, 50%, 55%, 60%, 65%, 70%, 75%, 80%, 85%, 90%, 95%, and 100%.

In some embodiments, enalapril oral liquid formulations described herein are administered chronically. For example, 65 in some embodiments, an enalapril oral liquid formulation is administered as a continuous dose, i.e., administered daily to

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a subject. In some other embodiments, enalapril oral liquid formulations described herein are administered intermittently (e.g. drug holiday that includes a period of time in which the formulation is not administered or is administered in a reduced amount).

In some embodiments an enalapril oral liquid formulation is administered to a subject who is in a fasted state. A fasted state refers to a subject who has gone without food or fasted for a certain period of time. General fasting periods include at least 4 hours, at least 6 hours, at least 8 hours, at least 10 hours, at least 12 hours, at least 14 hours and at least 16 hours without food. In some embodiments, an enalapril oral liquid formulation is administered orally to a subject who is in a fasted state for at least 8 hours. In other embodiments, an enalapril oral liquid formulation is administered to a subject who is in a fasted state for at least 10 hours. In yet other embodiments, an enalapril oral liquid formulation is administered to a subject who is in a fasted state for at least 12 hours. In other embodiments, an enalapril oral liquid formulation is administered to a subject who has fasted overnight.

In other embodiments an enalapril oral liquid formulation is administered to a subject who is in a fed state. A fed state refers to a subject who has taken food or has had a meal. In certain embodiments, an enalapril oral liquid formulation is administered to a subject in a fed state 5 minutes post-meal, 10 minutes post-meal, 15 minutes post-meal, 20 minutes post-meal, 30 minutes post-meal, 40 minutes post-meal, 50 minutes post-meal, 1 hour post-meal, or 2 hours post-meal. In certain instances, an enalapril oral liquid formulation is administered to a subject in a fed state 30 minutes post-meal. In other instances, an enalapril oral liquid formulation is administered to a subject in a fed state 1 hour post-meal. In yet further embodiments, an enalapril oral liquid formulation is administered to a subject with food.

In further embodiments described herein, an enalapril oral liquid formulation is administered at a certain time of day for the entire administration period. For example, an enalapril oral liquid formulation can be administered at a certain time in the morning, in the evening, or prior to bed. In certain instances, an enalapril oral liquid formulation is administered in the morning. In other embodiments, an enalapril oral liquid formulation can be administered at different times of the day for the entire administration period. For example, an enalapril oral liquid formulation can be administered on 8:00 am in the morning for the first day, 12 pm noon for the next day or administration, 4 pm in the afternoon for the third day or administration, and so on.

Further Combinations

The treatment of certain diseases or conditions (e.g., hypertension, heart failure, myocardial infarction and the like) in a subject with an enalapril oral liquid formulation described herein encompass additional therapies and treatment regimens with other agents in some embodiments. Such additional therapies and treatment regimens can include another therapy, e.g., additional anti-hypertensives, for treatment of the particular disease or condition in some embodiments. Alternatively, in other embodiments, additional therapies and treatment regimens include other agents used to treat adjunct conditions associated with the disease or condition or a side effect from the enalapril oral liquid formulation in the therapy.

Additional agents for use in combination with an enalapril oral liquid formulation described herein include, but are not limited to, diuretics (loop, thiazide, potassium-sparing, and the like), beta blockers (metoprolol, propanolol, pronethalol, and the like), alpha blockers (phentolamine, phenoxyben-

zamine, tamsulosin, prazosin, and the like), mixed alpha and beta blockers (bucindolol, carvedilol, labetalol), calcium channel blockers (dihydropyridines such as nifedipine, amlodipine, etc., dilitazem, verapamil and the like), angiotensin II receptor antagonists (saralasin, lsartan, eprosartin, irbesartan, valsartan, and the like), other ACE inhibitors (captopril, quinapril, ramipril, lisinopril, zofenopril, and the like), aldosterone antagonists (eplerenone, spironolactone and the like), vasodilators (hydralazine and the like) and

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Certain Definitions

alpha-2 agonists (clonidine, moxonidine, guanabenz and the

Unless defined otherwise, all technical and scientific terms used herein have the same meanings as commonly understood by one of ordinary skill in the art. Although any methods and materials similar or equivalent to those described herein can be used in the practice or testing of embodiments described herein, certain preferred methods, devices, and materials are now described.

As used herein and in the appended claims, the singular forms "a", "an", and "the" include plural reference unless the context clearly dictates otherwise. Thus, for example, 25 reference to "an excipient" is a reference to one or more excipients and equivalents thereof known to those skilled in the art, and so forth.

The term "about" is used to indicate that a value includes the standard level of error for the device or method being 30 employed to determine the value. The use of the term "or" in the claims is used to mean "and/or" unless explicitly indicated to refer to alternatives only or the alternatives are mutually exclusive, although the disclosure supports a definition that refers to only alternatives and to "and/or." The 35 terms "comprise," "have" and "include" are open-ended linking verbs. Any forms or tenses of one or more of these verbs, such as "comprises," "comprising," "has," "having," "includes" and "including," are also open-ended. For example, any method that "comprises," "has" or "includes" 40 one or more steps is not limited to possessing only those one or more steps and also covers other unlisted steps.

"Optional" or "optionally" may be taken to mean that the subsequently described structure, event or circumstance may or may not occur, and that the description includes instances 45 where the events occurs and instances where it does not.

As used herein, the term "therapeutic" means an agent utilized to treat, combat, ameliorate, prevent or improve an unwanted condition or disease of a patient. In some embodiments, a therapeutic agent such as enalapril is directed to the 50 treatment and/or the amelioration of, reversal of, or stabilization of the symptoms of hypertension described herein.

"Administering" when used in conjunction with a therapeutic means to administer a therapeutic systemically or locally, as directly into or onto a target tissue, or to administer a therapeutic to a patient whereby the therapeutic positively impacts the tissue to which it is targeted. Thus, as used herein, the term "administering", when used in conjunction with an enalapril formulation, can include, but is not limited to, providing an enalapril formulation into or onto the target tissue; providing an enalapril formulation systemically to a patient by, e.g., oral administration whereby the therapeutic reaches the target tissue or cells. "Administering" a formulation may be accomplished by injection, topical administration, and oral administration or 65 by other methods alone or in combination with other known techniques.

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The term "animal" as used herein includes, but is not limited to, humans and non-human vertebrates such as wild, domestic and farm animals. As used herein, the terms "patient," "subject" and "individual" are intended to include living organisms in which certain conditions as described herein can occur. Examples include humans, monkeys, cows, sheep, goats, dogs, cats, mice, rats, and transgenic species thereof. In a preferred embodiment, the patient is a primate. In certain embodiments, the primate or subject is a human. In certain instances, the human is an adult. In certain instances, the human is child. In further instances, the human is 12 years of age or younger. In certain instances, the human is elderly. In other instances, the human is 60 years of age or older. Other examples of subjects include experimental animals such as mice, rats, dogs, cats, goats, sheep, pigs, and cows. The experimental animal can be an animal model for a disorder, e.g., a transgenic mouse with hypertensive pathology. A patient can be a human suffering from hypertension, or its variants or etiological forms.

By "pharmaceutically acceptable", it is meant the carrier, diluent or excipient must be compatible with the other ingredients of the formulation and not deleterious to the recipient thereof.

The term "pharmaceutical composition" shall mean a composition comprising at least one active ingredient, whereby the composition is amenable to investigation for a specified, efficacious outcome in a mammal (for example, without limitation, a human). Those of ordinary skill in the art will understand and appreciate the techniques appropriate for determining whether an active ingredient has a desired efficacious outcome based upon the needs of the artisan.

A "therapeutically effective amount" or "effective amount" as used herein refers to the amount of active compound or pharmaceutical agent that elicits a biological or medicinal response in a tissue, system, animal, individual or human that is being sought by a researcher, veterinarian, medical doctor or other clinician, which includes one or more of the following: (1) preventing the disease; for example, preventing a disease, condition or disorder in an individual that may be predisposed to the disease, condition or disorder but does not yet experience or display the pathology or symptomatology of the disease, (2) inhibiting the disease; for example, inhibiting a disease, condition or disorder in an individual that is experiencing or displaying the pathology or symptomatology of the disease, condition or disorder (i.e., arresting further development of the pathology and/or symptomatology), and (3) ameliorating the disease; for example, ameliorating a disease, condition or disorder in an individual that is experiencing or displaying the pathology or symptomatology of the disease, condition or disorder (i.e., reversing the pathology and/or symptomatology). As such, a non-limiting example of a "therapeutically effective amount" or "effective amount" of a formulation of the present disclosure may be used to inhibit, block, or reverse the activation, migration, or proliferation of cells or to effectively treat hypertension or ameliorate the symptoms of hypertension.

The terms "treat," "treated," "treatment," or "treating" as used herein refers to both therapeutic treatment in some embodiments and prophylactic or preventative measures in other embodiments, wherein the object is to prevent or slow (lessen) an undesired physiological condition, disorder or disease, or to obtain beneficial or desired clinical results. For the purposes described herein, beneficial or desired clinical results include, but are not limited to, alleviation of symptoms; diminishment of the extent of the condition, disorder or disease; stabilization (i.e., not worsening) of the state of

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the condition, disorder or disease; delay in onset or slowing of the progression of the condition, disorder or disease; amelioration of the condition, disorder or disease state; and remission (whether partial or total), whether detectable or undetectable, or enhancement or improvement of the condition, disorder or disease. Treatment includes eliciting a clinically significant response without excessive levels of side effects. Treatment also includes prolonging survival as compared to expected survival if not receiving treatment. A prophylactic benefit of treatment includes prevention of a condition, retarding the progress of a condition, stabilization of a condition, or decreasing the likelihood of occurrence of a condition. As used herein, "treat," "treated," "treatment," or "treating" includes prophylaxis in some embodiments.

EXAMPLES

Example A: Effect of pH on the Formation of Degradants in Enalapril Formulations at 60° C.

Formulations were prepared containing enalapril maleate according to Table A-1. The pH of each solution was recorded. Five milliliters of each formulation were transferred to each of four 3-dram glass screw-capped vials with Teflon inserts in the caps. The vials were placed into a 60° C. heating chamber then one vial removed and analyzed by HPLC at times of zero, ~97 and ~180 hours.

TABLE A-1

Formulation (in mg/mL) of Enalapril Formulations at Varying

рн а	nd Citrate	Buffer Co	ncentratio	n				
	Formulation (mM citrate)							
Component	A1 (50)	A2 (50)	A3 (50)	A4 (50)	A5 (50)	A6 (25)		
Enalapril maleate	1.0	1.0	1.0	1.0	1.0	1.0		
Mannitol	50	50	50		50	6.0		
Xylitol				50				
Citric acid, anhydrous	7.35	5.05	2.55	5.05	5.05	2.76		
Sodium citrate, dihydrate	3.45	7.0	10.8	7.0	7.0	3.15		
Sodium benzoate	1	1	1	1	1			
Methylparaben sodium					1.75	0.335		
Propylparaben sodium						0.095		
Potassium sorbate						1		
Sucralose	0.75	0.75	0.75	0.75	0.75	0.75		
Silicon dioxide						0.075		
Mixed berry flavor (powdered)	0.5	0.5	0.5	0.5	0.5	0.5		
Water	qs	qs	qs	qs	qs	qs		
pH	3.4	4.4	5.2	4.4	4.5	4.4		

qs = sufficient quantity

The results of the HPLC analysis for the two main degradants in the samples, enalapril diketopiperazine and enalaprilat, are provided in Table A-2.

TABLE A-2

Primary Degradants Present in the Formulations (% w/w of enalapril maleate)								
-	Formulation							
Hours at 60° C.	A 1	A2	A3	A4	A5	A 6		
	Er	ıalapril Di	ketopipera	azine				
0 97 180	0.04 3.10 6.21	0.03 0.88 1.77	0.03 0.33 0.75	0.03 0.86 1.73	0.03 0.70 1.43	0.03 0.53 1.07		

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TABLE A-2-continued

Primary Degradants Present in the Formulations (% w/w of enalapril maleate)									
Formulation									
Hours at 60° C. A1 A2 A3 A4 A5 A6									
	Ena	laprilat							
0.09	0.15	0.29	0.14	0.16	0.12				
5.20	16.9	47.4	16.1	20.3	15.6				
97 5.20 16.9 47.4 16.1 20.3 15.6 180 9.94 34.8 113 33.5 42.2 31.7									
	A1 0.09 5.20	A1 A2 Ena 0.09 0.15 5.20 16.9	(% w/w of enalapril ms Formu A1 A2 A3 Enalaprilat 0.09 0.15 0.29 5.20 16.9 47.4	(% w/w of enalapril maleate) Formulation A1 A2 A3 A4 Enalaprilat 0.09 0.15 0.29 0.14 5.20 16.9 47.4 16.1	(% w/w of enalapril maleate) Formulation A1 A2 A3 A4 A5 Enalaprilat 0.09 0.15 0.29 0.14 0.16 5.20 16.9 47.4 16.1 20.3				

Example B: Effect of Buffer Concentration on the Formation of Degradants in Enalapril Formulations at 60° C.

Formulations were prepared containing enalapril maleate according to Table B-1. The pH of each solution was measured and adjusted as needed to pH 3.3 with ~1N HCl or ~0.5N NaOH. Five milliliters of each formulation were transferred to each of six 3-dram glass screw-capped vials with Teflon inserts in the caps. The vials were placed into a 60° C. heating chamber then two vials were removed and analyzed by HPLC at times of zero, ~66 and ~139 hours.

TABLE B-1

Formulation (in mg/mL) of Enalapril Maleate Formulations at Varying Citrate Buffer Concentrations

		Formulation						
	Component	B1 (5 mM citrate)	B2 (10 mM citrate)	B3 (20 mM citrate)				
)	Enalapril maleate	1.0	1.0	1.0				
	Citric acid, anhydrous	0.82	1.65	3.29				
	Sodium citrate, anhydrous	0.19	0.38	0.75				
	Sodium benzoate	1.0	1.0	1.0				
	Sucralose	0.7	0.7	0.7				
5	Mixed berry flavor (powdered)	0.5	0.5	0.5				

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TABLE B-1-continued

Formulation (in mg/mL) of Enalapril Maleate Formulations

а	ut Varying Citrate Buffe	er Concentrations		
		Formulation		
	B1	B2	В3	
omponent	(5 mM citrate)	(10 mM citrota)	(20 mM citrata)	

Component	(5 mM citrate)	(10 mM citrate)	(20 mM citrate)
Water	qs	qs	qs
pH	3.3	3.3	3.3

qs = sufficient quantity

The results of the HPLC analysis for the two main degradants in the samples, enalapril diketopiperazine and $_{2}$ enalaprilat, are provided in Table B-2.

TABLE B-2

P	rimary Degradants I (% w/w of c	Present in the Formu enalapril maleate)	llations
•		Formulation	
Hours at 60° C.	B1 (5 mM citrate)	B2 (10 mM citrate)	B3 (20 mM citrate)
	Enalapril I	Diketopiperazine	
0	0.01	0.01	0.01
66	1.57	1.63	1.79
139	3.70	3.94	4.24
	En	alaprilat	
0	0.00	0.00	0.00
66	2.98	2.88	3.19
139	5.28	5.23	5.69

Example C: Stability of Enalapril Maleate Formulations Containing Paraben Preservatives

Powder formulations were prepared according to Table C-1. All components in each formulation except mannitol or xylitol were added to a 2.5 liter polypropylene screw capped bottle. The bottle was mixed by inversion in a Turbula® mixer for 5 minutes. The mannitol or xylitol was then added and the components mixed for 5 minutes, then the other half of the mannitol or xylitol was added and a final mix of 5 minutes was completed.

One liter of solution formulation was prepared for each formulation by adding an appropriate amount of each powdered formulation to a 1 liter volumetric flask and adding about 500 mL water. The powder was dissolved with mixing then the contents of the flask were brought to 1 liter with additional water. The amount of powder to add was determined such that the final concentration of enalapril maleate was 1.0 mg/mL. Fifty milliliter aliquots of each formulation were placed into HDPE bottles. The bottles were screwcapped and placed into storage at 5° C.±3° C., at room temperature (19-23° C.) and at 40° C.±2° C. At various 65 times, bottles were removed from the storage condition and analyzed.

34 TABLE C-1

Composition	of Enalap	ril Maleate	en Formul	ations	
Component	C1	C2	С3	C4	C5
Pov	vder Form	ulation (g	rams)		
Enalapril maleate	12.3	12.3	8.86	2.16	2.16
Mannitol	74.4	74.4	394.0		
Xylitol				96.6	93.7
Citric acid, anhydrous	28.6	35.6	28.4	5.40	5.40
Sodium citrate, anhydrous	24.5	14.7	7.73	4.10	4.10
Sodium methylparaben	4.17	4.17	8.86	2.16	2.16
Sodium propylparaben	1.10	1.10			
Potassium sorbate	12.3	12.3			
Sodium benzoate			8.86	2.16	2.16
Xanthan Gum					1.62
Colloidal silicon dioxide	0.859	0.859	4.43		1.08
Sucralose	9.20	9.20	6.64	1.62	1.62
Mixed berry flavor	6.13	6.13	4.43	1.08	1.08
Total solids	173.5	170.7	472.3	115.2	115.2
		lations (m		113.2	110.2
*					
Enalapril maleate	1.00	1.00	1.00	1.00	1.00
Mannitol	6.07	6.07	44.5		
Xylitol				44.7	43.4
Citric acid, anhydrous	2.33	2.90	3.21	2.50	2.50
Sodium citrate, anhydrous	2.00	1.20	0.87	1.90	1.90
Sodium methylparaben	0.34	0.34	1.00	1.00	1.00
Sodium propylparaben	0.09	0.09	1.00		
Potassium sorbate	1.00	1.00			
Sodium benzoate			1.00	1.00	1.00
Xanthan Gum					0.75
Colloidal silicon dioxide	0.07	0.07	0.50		0.50
Sucralose	0.75	0.75	0.75	0.75	0.75
Mixed berry flavor	0.50	0.50	0.50	0.50	0.50
pH (measured)	4.4	3.8	3.7	4.4	4.6

The results of the HPLC analysis for the diketopiperazine and enalaprilat degradants in the samples are provided in Table C-2.

TABLE C-2

Degradant C	Content A	fter Store	age (% '	w/w of	enalapr	il malea	ite)
	Sto	rage		F	ormula	tion	
	° C.	Weeks	C1	C2	СЗ	C4	C5
		Liquid F	ormulat	ions			
Diketopiperazine	5	0	0.03	0.04	0.04	0.02	0.02
• •		4	0.02	0.03	0.03	0.03	0.02
		8	0.03	0.04	0.04		
	19-23	0	0.03	0.04	0.04	0.02	0.02
		4	0.05	0.09	0.11	0.05	0.04
		8	0.08	0.17	0.19		
	40	0	0.03	0.04	0.04	0.02	0.02
		4	0.35	0.91	1.10	0.31	0.21
		8	0.65	1.80	2.05		
Enalaprilat	5	0	0.18	0.14	0.12	0.13	0.19
•		4	0.18	0.15	0.12	0.43	0.53
		8	0.55	0.38	0.34		
	19-23	0	0.18	0.14	0.12	0.13	0.19
		4	1.35	0.83	0.80	1.75	2.29
		8	3.34	2.06	1.98		
	40	0	0.18	0.14	0.12	0.13	0.19
		4	10.49	6.08	6.11	12.30	16.14
		8	24.37	14.12	14.22		

Example D: Stability of Enalapril Maleate Formulations Containing Benzoate Preservative

Powder formulations were prepared according to Table D-1. All components in each formulation except enalapril maleate and mannitol or xylitol were blended with a mortar

and pestle. The enalapril maleate was then triturated with the blend. The xylitol or mannitol was then triturated into the blend using a geometric dilution technique.

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One liter of solution formulation was prepared for each formulation by adding an appropriate amount of each powdered formulation to a 1 liter volumetric flask and adding about 500 mL water. The powder was dissolved with mixing then the contents of the flask were brought to 1 liter with

additional water. The amount of powder to add was determined such that the final concentration of enalapril maleate was 1.0 mg/mL. Fifty milliliter aliquots of each formulation were placed into HDPE bottles. The bottles were screwcapped and placed into storage at 5° C.±3° C., at room temperature (19-23° C.) and at 40° C.+2° C. At various times, bottles were removed from the storage condition and analyzed.

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TABLE D-1

Composition of E	nalapril N	Ialeate Fo	rmulation	s		
Component	D1	D2	D3	D4	D5	D6
Powder	Formulati	on (grams	s)			
Enalapril maleate	3.63	3.63	3.63	3.63	8.86	2.16
Xylitol	537.2	176.1		537.2		
Mannitol			319.4		401.2	98.9
Citric acid, anhydrous	11.9	11.9	11.9	10.4	26.6	6.48
Sodium citrate, anhydrous	2.72	2.72	2.72	4.86	11.3	2.76
Sodium benzoate	3.63	3.63	3.63	3.63	8.86	2.16
Rebalance X60 (sucralose and maltodextrin)		10.9				
Sucralose					6.64	1.62
Saccharin sodium			7.26			
Colloidal silicon dioxide					4.43	
Mixed berry flavor	1.82	1.82	1.82	1.82	4.43	1.08
Total solids	561	211	350.	561	472.3	115.2
Liquid F	ormulatio:	ns (mg/ml	L)			
Enalapril maleate	1.00	1.00	1.00	1.00	1.00	1.00
Xylitol	148.0	48.5		148.0		
Mannitol			88.0		45.3	45.8
Citric acid, anhydrous	3.29	3.29	3.29	2.85	3.00	3.00
Sodium citrate, anhydrous	0.75	0.75	0.75	1.34	1.28	1.28
Sodium benzoate	1.00	1.00	1.00	1.00	1.00	1.00
Rebalance X60 (sucralose and maltodextrin)		3.00				
Sucralose					0.75	0.75
Saccharin sodium			2.00			
Colloidal silicon dioxide					0.50	
Mixed berry flavor	0.50	0.50	0.50	0.50	0.50	0.50
pH (measured)	3.2	3.2	3.4	3.7	3.6	3.6

The results of the HPLC analysis for the diketopiperazine and enalaprilat degradants in the samples are provided in Table D-2.

TABLE D-2

	Storage			Formulation					
	° C.	Weeks	D1	D2	D3	D4	D5	D6	
		Liq	uid Forn	nulations					
Diketopiperazine	5	0	0.04	0.02	0.03	0.03	0.04	0.04	
		4	0.07	0.03	0.05	0.05	0.03		
		8	0.11	0.06	0.08	0.08	0.05		
		12	0.08	0.04	0.06	0.06			
		26	0.11	0.07	0.09	0.07			
	19-23	0	0.04	0.02	0.03	0.03	0.04	0.04	
		4	0.27	0.21	0.24	0.16	0.12	0.12	
		8	0.50	0.41	0.47	0.30	0.21	0.22	
		12	0.62	0.52	0.58	0.35			
		26	1.39	1.20	1.33	0.76			
	40	0	0.04	0.02	0.03	0.03	0.04	0.04	
		4	2.87	2.32	2.73	1.57	1.21	1.13	
		8	5.13	4.42	5.44	2.97	2.23	2.16	
		12	6.86	5.90	6.90	3.91			
		26	13.63	12.18	13.56	7.74			

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TABLE D-2-continued

E	Degradant Con	tent After	Storage (% w/w of enalapril maleate)						
	Sto	rage		Formulation					
	° C.	Weeks	D1	D2	D3	D4	D5	D6	
Enalaprilat	5	0	0.03	0.02	0.03	0.03	0.13	0.14	
-		4	0.15	0.12	0.06	0.17	0.13		
		8	0.22	0.19	0.22	0.27	0.34		
		12	0.20	0.17	0.19	0.22			
		8	0.32	0.30	0.30	0.39			
	19-23	0	0.03	0.02	0.03	0.03	0.13	0.14	
		4	0.69	0.66	0.69	0.86	0.74	0.76	
		8	1.38	1.33	1.41	1.68	1.83	1.82	
		12	1.71	1.68	1.73	2.15			
		26	3.63	3.61	3.59	4.55			
	40	0	0.03	0.02	0.03	0.03	0.13	0.14	
		4	4.76	4.42	4.76	6.45	5.55	5.24	
		8	8.95	8.64	9.61	12.94	12.73	12.18	
		12	11.01	10.64	11.41	16.16			
		26	17.18	17.11	18.30	27.36			

Example E: Stability of Solution Formulations of Enalapril Maleate

Solution formulations were prepared according to Table 25 E-1. Thirty milliliter aliquots of each formulation were placed into HDPE bottles. The bottles were screw-capped and placed into storage at 5° C.±3° C., at room temperature (19-23° C.) and at 40° C.±2° C. At various times, bottles were removed from the storage condition and analyzed.

Composition of Enalapril Maleate Formulations (mg/mL)							
Component	E1	E2	ЕЗ	E4	E5	E6	
Enalapril maleate Xvlitol	1.00 150	1.00 200	1.00	1.00 150	1.00	1.00	

-continued

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Composition of	of Enalapri	l Maleate	Formula	ntions (m	ıg/mL)	
Component	E1	E2	E3	E4	E5	Е6
Citric acid anhydrous	3.29	3.29	3.29	3.29	1.65	0.82
Sodium citrate anhydrous	0.75	0.75	0.75	0.75	0.38	0.19
Sodium benzoate	1.00	1.00	1.00	1.00	1.00	1.00
Sucralose			0.70		0.70	0.70
Mixed berry flavor	0.50		0.50	0.50	0.50	0.50
Water	qs	qs	qs	qs	qs	qs
pH (measured)	3.3	3.3	3.3	3.4	3.3	3.3

qs = sufficient quantity

The results of the HPLC analysis for the diketopiperazine and enalaprilat degradants in the samples are provided in Table E-2.

TABLE E-2

	idani Content Arter		Storage (% w/w of enalapril maleate)					
	Storage		Formulation					
	° C.	Weeks	E1	E2	ЕЗ	E4	E5	E6
Diketopiperazine	5	0	0.01	0.01	0.01	0.01	0.01	0.01
		4	0.04	0.04	0.05	0.04	0.03	0.03
		8	0.04	0.04	0.04	0.04	0.03	0.03
		12	0.05	0.05	0.04	0.05	0.04	0.04
		26	0.07	0.06	0.05	0.06	0.05	0.05
		52					0.15	0.14
		62	0.18	0.18	0.16	0.14		
	19-23	0	0.01	0.01	0.01	0.01	0.01	0.01
		4	0.22	0.23	0.21	0.20	0.16	0.15
		8	0.35	0.35	0.32	0.31	0.29	0.28
		12	0.58	0.59	0.53	0.51	0.48	0.45
		26	1.10	1.10	1.00	0.95	0.97	0.92
		52					2.30	2.15
		62	3.02	3.04	2.75	2.64		
	40	0	0.01	0.01	0.01	0.01	0.01	0.01
		4	2.65	2.71	2.60	2.42	1.76	1.68
		8	4.02	3.99	3.99	3.62	3.37	3.13
		12	6.72	6.42	6.47	6.00	5.53	5.29
nalaprilat	5	0	0.00	0.00	0.01	0.02	0.00	0.00
		4	0.07	0.09	0.10	0.11	0.07	0.08
		8	0.12	0.14	0.10	0.13	0.09	0.08
		12	0.16	0.15	0.15	0.17	0.14	0.11

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TABLE E-2-continued

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Sto	Storage		Formulation				
° C.	Weeks	E1	E2	E3	E4	E5	E6
	26	0.31	0.30	0.29	0.31	0.27	0.24
	52					0.54	0.46
	62	0.75	0.75	0.74	0.71		
19-23	0	0.00	0.00	0.01	0.02	0.00	0.00
	4	0.65	0.65	0.68	0.70	0.50	0.46
	8	1.17	1.19	1.20	1.23	1.03	0.95
	12	1.67	1.69	1.72	1.80	1.30	1.21
	26	3.36	3.38	3.42	3.57	3.07	2.90
	52					6.32	5.88
	62	7.99	8.02	8.04	8.57		
40	0	0.00	0.00	0.01	0.02	0.00	0.00
	4	4.85	4.93	5.19	5.42	3.33	3.25
	8	8.08	8.06	8.56	9.01	6.65	6.35
	12	10.70	10.48	11.01	11.97	8.14	7.96

Example F: Effect of pH on the Formation of Degradants in Enalapril Formulations at 5° C. and 19-23° C.

The content of enalapril diketopiperazine and enalaprilat that were formed after 8 weeks of storage for formulations C1-C3 and D1-D5 are plotted in FIG. 1 (5° C.±3° C.) and FIG. 2 (19-23° C. storage). These formulations all contained 20 mM total citrate buffer content, but with varying pH. The 30 general effects of formulation pH on the formation of the two main enalapril degradants are shown.

Example G: Antimicrobial Effectiveness Testing of Enalapril Maleate Formulations at pH 3.3

Enalapril formulations were prepared containing differing amounts of the antimicrobial preservative, sodium benzoate. The formulations were then tested for antimicrobial effectiveness (AET) according to the procedures in the 2014 United States Pharmacopeia 37, Chapter <51> for category 3 products. The formulation of the formulations and the AET results are included in Table G-1.

TABLE G-1

	Formulation					
	G1	G2	G3	G4	G5	
	Formulati	on (mg/m	L)			
Enalapril maleate	1.00	1.00	1.00	1.00	1.00	
Xylitol	150	150	150	150		
Sucralose					0.70	
Citric acid, anhydrous	1.64	1.64	1.64	1.64	1.80	
Sodium citrate, anhydrous	0.322	0.322	0.322	0.322		
Sodium citrate, dihydrate					0.165	
Sodium benzoate	1.00	0.80	0.60	0.40	1.0	
Mixed berry flavor	0.50	0.50	0.50	0.50	0.50	
Water	q.s.	q.s.	q.s.	q.s.	q.s.	
HCl/NaOH	as need to achieve pH					
Measured pH	3.3	3.3	3.3	3.3	3.3	
	AET	Results				

qs = sufficient quantity

Example H: Clinical Trial: Bioavailability Study of 10 mg Enalapril Maleate Oral Solution Vs. 10 mg Epaned® Powder for Oral Solution (Reconstituted) Under Fasted Conditions

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The objective of this open-label, randomized, two-period, two-treatment, two-way crossover study was to compare the oral bioavailability of a test formulation of 10 mL of enalapril maleate oral solution, 1 mg/mL (formulation E-5), to an equivalent oral dose of the commercially available comparator product, Epaned® (enalapril maleate) Powder for Oral Solution, 1 mg/mL, when administered under fasted conditions in healthy adults.

Study design: Thirty-two healthy adult subjects received a single 10 mL dose of enalapril maleate oral solution, 1 mg/mL, formulation E-5 (Treatment A), in one period and a separate single dose of Epaned Powder for Oral Solution (reconstituted with the supplied Ora-Sweet SF), 1 mg/mL (Treatment B) in another period. Each treatment was administered after an overnight fast of at least 10 hours, followed by a 4-hour fast postdose. Each treatment was administered via a 10 mL oral dosing syringe and followed with 240 mL of room temperature tap water. Each drug administration was separated by a washout period of at least 7 days.

During each study period, meals were the same and scheduled at approximately the same times relative to dose. In addition, during each period, blood samples were obtained prior to and following each dose at selected times through 72 hours postdose. Pharmacokinetic samples were analyzed for enalapril and its metabolite enalaprilat using a validated analytical method; appropriate pharmacokinetic parameters were calculated for each formulation using noncompartmental methods. Blood was also drawn and urine 55 collected for clinical laboratory testing at screening and at the end of the study.

Statistical Methods: The concentration-time data were analyzed using noncompartmental methods in PhoenixTM WinNonlin® (Version 6.3, Pharsight Corporation). Concentration-time data that were below the limit of quantitation (BLQ) were treated as zero in the data summarization and descriptive statistics. In the pharmacokinetic analysis, BLQ concentrations were treated as zero from time-zero up to the time at which the first quantifiable concentration was observed; embedded and/or terminal BLQ concentrations were treated as "missing". Actual sample times were used for all pharmacokinetic and statistical analyses. Analysis of

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variance (ANOVA) and the Schuirmann's two one-sided t-test procedures at the 5% significance level were applied to the log-transformed pharmacokinetic exposure parameters, C_{max}, AUC_{last}, and AUC_{inf}. The 90% confidence interval for the ratio of the geometric means (Test/Reference) was 5 calculated. Bioequivalence was declared if the lower and upper confidence intervals (CIs) of the log-transformed parameters were within 80% to 125% for enalapril and enalaprilat.

Results: A total of 32 subjects participated in the study and 10 29 of these subjects completed both study periods. Based on the geometric mean ratios of enalapril and enalaprilat AUCs (AUC_{last}) and AUC_{int} , the bioavailability of the enalapril maleate oral solution (formulation E-5) relative to the Epaned Powder for Oral Solution (reconstituted) was 15 approximately 105% to 110%. The geometric mean ratios of enalapril and enalaprilat Cm were approximately 115% and 109%, respectively. The 90% CI for comparing the maximum exposure to enalapril and enalaprilat, based on ln (C_{max}) , was within the accepted 80% to 125% limits. The 20 90% CIs for comparing total systemic exposure to enalapril and enalaprilat, based on $\ln (AUC_{last})$ and $\ln (AUC_{inf})$, was within the accepted 80% to 125% limits. Therefore, the test formulation of enalapril maleate oral solution, 1 mg/mL, is bioequivalent to the reference product, Epaned Powder for Oral Solution (reconstituted), 1 mg/mL, under fasted conditions.

While preferred embodiments of the present invention have been shown and described herein, it will be obvious to those skilled in the art that such embodiments are provided 30 by way of example only. Numerous variations, changes, and substitutions will now occur to those skilled in the art without departing from the invention. It should be understood that various alternatives to the embodiments of the invention described herein may be employed in practicing 35 the invention. It is intended that the following claims define the scope of the invention and that methods and structures within the scope of these claims and their equivalents be covered thereby.

What is claimed is:

- 1. A stable oral liquid formulation, consisting essentially of:
- (i) about 0.6 to about 1.2 mg/ml enalapril or a pharmaceutically acceptable salt or solvate thereof;
- (ii) a buffer to maintain the pH about 4.5 or below, 45 wherein the buffer concentration is about 5 mM to about 20 mM;
- (iii) about 1 mg/ml of a preservative that is sodium benzoate; and
- (iv) water:
- wherein the formulation optionally comprises a sweetener, a flavoring agent, or both;
- wherein the formulation is stable at about 5±3° C. for at least 12 months; and
- wherein the stable oral liquid formulation has about 95% 55 w/w or greater of the initial enalapril amount and about 5% w/w or less total impurity or related substances at the end of the given storage period.
- 2. The stable oral liquid formulation of claim 1, comprising a sweetener.
- 3. The stable oral liquid formulation of claim 2, wherein the sweetener is sucralose.
- 4. The stable oral liquid formulation of claim 1, comprising a flavoring agent.
- **5**. The stable oral liquid formulation of claim **1**, wherein 65 the buffer comprises a citrate, a phosphate, a citrate/phosphate, an acetate, a glycinate, or a tartrate buffer.

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- **6**. The stable oral liquid formulation of claim **1**, wherein the buffer comprises citric acid and sodium citrate.
- 7. The stable oral liquid formulation of claim 6, wherein the citric acid and/or the sodium citrate is anhydrous, monohydrate or dihydrate.
- **8**. The stable oral liquid formulation of claim 1, wherein the buffer concentration is about 10 mM to about 20 mM.
- **9**. The stable oral liquid formulation of claim **1**, wherein the buffer maintains the pH between about 3 and about 4.
- 10. The stable oral liquid formulation of claim 1, wherein the buffer maintains the pH at about 3.3.
- 11. The stable oral liquid formulation of claim 1, wherein the formulation is stable at about 5±3° C. for at least 18 months.
- 12. The stable oral liquid formulation of claim 1, wherein the formulation is stable at about $5\pm3^{\circ}$ C. for at least 24 months
- 13. A stable oral liquid formulation, consisting essentially of:
- (i) about 0.6 to about 1.2 mg/ml enalapril or a pharmaceutically acceptable salt or solvate thereof;
- (ii) a buffer to maintain the pH about 4.5 or below, wherein the buffer concentration is about 5 mM to about 20 mM;
- (iii) about 1 mg/ml of a preservative that is sodium benzoate; and
- (iv) water;
- wherein the formulation comprises a sweetener and a flavoring agent, wherein the formulation is stable at about 5±3° C. for at least 12 months; and
- wherein the stable oral liquid formulation has about 95% w/w or greater of the initial enalapril amount and about 5% w/w or less total impurity or related substances at the end of the given storage period.
- **14.** A stable oral liquid formulation, comprising consisting essentially of:
 - (i) about 10% to about 25% (w/w of solids) enalapril or a pharmaceutically acceptable salt or solvate thereof;
 - (ii) a buffer to maintain the pH about 4.5 or below, wherein the buffer concentration is about 5 mM to about 20 mM;
 - (iii) about 19% (w/w of solids) of a preservative that is sodium benzoate; and
 - (iv) water;
 - wherein the formulation optionally comprises a sweetener, a flavoring agent, or both;
 - wherein the formulation is stable at about 5±3° C. for at least 12 months; and
 - wherein the stable oral liquid formulation has about 95% w/w or greater of the initial enalapril amount and about 5% w/w or less total impurity or related substances at the end of the given storage period.
- 15. The stable oral liquid formulation of claim 14, comprising a sweetener.
- 16. The stable oral liquid formulation of claim 15, wherein the sweetener is sucralose.
- 17. The stable oral liquid formulation of claim 14, comprising a flavoring agent.
- 18. The stable oral liquid formulation of claim 14, 60 wherein the buffer comprises a citrate, a phosphate, a citrate/phosphate, an acetate, a glycinate, an amino acid, or a tartrate buffer.
 - 19. The stable oral liquid formulation of claim 14, wherein the buffer comprises citric acid and sodium citrate.
 - 20. The stable oral liquid formulation of claim 19, wherein the citric acid and/or the sodium citrate is anhydrous, monohydrate or dihydrate.

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- 21. The stable oral liquid formulation of claim 14, wherein the buffer concentration is about 10 mM to about 20 mM
- **22**. The stable oral liquid formulation of claim **14**, wherein the buffer maintains the pH between about 3 and 5 about 3.5.
- 23. The stable oral liquid formulation of claim 14, wherein the buffer maintains the pH at about 3.3.
- **24**. The stable oral liquid formulation of claim **14**, wherein the formulation is stable at about $5\pm3^{\circ}$ C. for at least 10 18 months.
- 25. The stable oral liquid formulation of claim 14, wherein the formulation is stable at about $5\pm3^{\circ}$ C. for at least 24 months.
- **26**. A stable oral liquid formulation, consisting essentially 15 of:
 - (i) about 0.6 to about 1.2 mg/ml enalapril or a pharmaceutically acceptable salt or solvate thereof;
 - (ii) a citrate buffer to maintain the pH about 4.5 or below comprising citric acid and sodium citrate;
 - (iii) about 1 mg/ml of a preservative that is sodium benzoate; and
 - (iv) water;

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- wherein the formulation optionally comprises a sweetener, a flavoring agent, or both;
- wherein the formulation is stable at about 5±3° C. for at least 12 months; and
- wherein the stable oral liquid formulation has about 95% w/w or greater of the initial enalapril amount and about 5% w/w or less total impurity or related substances at the end of the given storage period.
- 27. The stable oral liquid formulation of claim 26, wherein the citric acid and/or the sodium citrate is anhydrous, monohydrate or dihydrate.
- **28**. The stable oral liquid formulation of claim **1**, comprising about 1.0 mg/ml enalapril or a pharmaceutically acceptable salt or solvate thereof.
- **29**. The stable oral liquid formulation of claim **1**, wherein the enalapril or a pharmaceutically acceptable salt or solvate thereof is enalapril maleate.
- **30**. The stable oral liquid formulation of claim **1**, wherein the buffer comprises a buffer selected from a citrate, a phosphate, a citrate/phosphate, an acetate, a tartrate, a lactate, a glycinate, and an amino acid buffer.

* * * * *



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(54) ENALAPRIL FORMULATIONS

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- (58) Field of Classification Search
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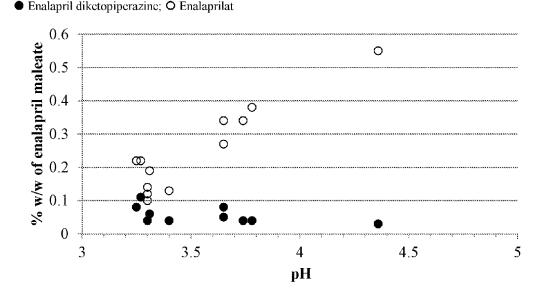
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(57) ABSTRACT

Provided herein are stable enalapril oral liquid formulations. Also provided herein are methods of using enalapril oral liquid formulations for the treatment of certain diseases including hypertension, heart failure and asymptomatic left ventricular dysfunction.

28 Claims, 2 Drawing Sheets



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Related U.S. Application Data

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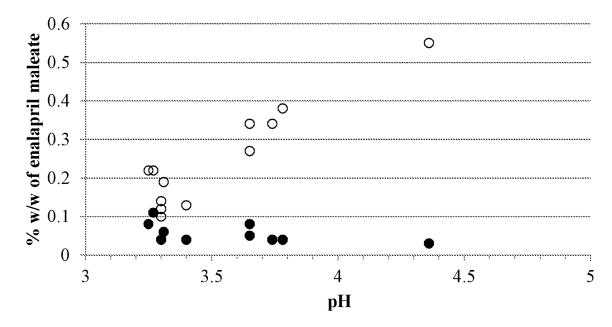
Sep. 29, 2020

Sheet 1 of 2

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FIG. 1

• Enalapril diketopiperazine; O Enalaprilat



U.S. Patent

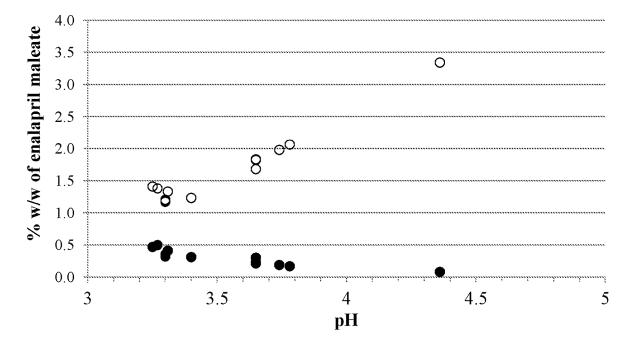
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FIG. 2

• Enalapril diketopiperazine; O Enalaprilat



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1 ENALAPRIL FORMULATIONS

CROSS-REFERENCE OF RELATED APPLICATIONS

This application is a continuation of U.S. patent application Ser. No. 16/003,994, filed Jun. 8, 2018, which is a continuation of U.S. patent application Ser. No. 15/802,341, filed Nov. 2, 2017 (now U.S. Pat. No. 10,039,745, issued Aug. 7, 2018), which is a continuation of U.S. patent 10 application Ser. No. 15/613,622, filed Jun. 5, 2017 (now U.S. Pat. No. 9,808,442, issued Nov. 7, 2017), which is a continuation of U.S. patent application Ser. No. 15/081,603, filed Mar. 25, 2016 (now U.S. Pat. No. 9,669,008, issued Jun. 6, 2017), which claims the benefit of U.S. Provisional 15 Patent Application No. 62/310,198, filed Mar. 18, 2016, all of which are incorporated herein by reference in their entirety.

BACKGROUND OF THE INVENTION

Hypertension, or high blood pressure, is a serious health issue in many countries. According to the National Heart Blood and Lung Institute, it is thought that about 1 in 3 adults in the United States alone have hypertension. Left 25 unchecked, hypertension is considered a substantial risk factor for cardiovascular and other diseases including coronary heart disease, myocardial infarction, congestive heart failure, stroke and kidney failure. Hypertension is classified as primary (essential) hypertension or secondary hypertension. Primary hypertension has no known cause and may be related to a number of environmental, lifestyle and genetic factors such as stress, obesity, smoking, inactivity and sodium intake. Secondary hypertension can be caused by drug or surgical interventions, or by abnormalities in the 35 renal, cardiovascular or endocrine system.

A number of antihypertensive drugs are available for treating hypertension. Various therapeutic classes of antihypertensive drugs include alpha-adrenergic blockers, beta-adrenergic blockers, calcium-channel blockers, hypotensives, mineralcorticoid antagonists, central alpha-agonists, diuretics and rennin-angiotensin-aldosterone inhibitors which include angiotensin II receptor antagonists (ARB) and angiotensin-converting enzyme (ACE) inhibitors. Angiotensin-converting enzyme (ACE) inhibitors inhibit angiotensin-converting enzyme (ACE), a peptydyl dipeptidase that catalyzes angiotension I to angiotension II, a potent vasoconstrictor involved in regulating blood pressure.

Enalapril is a prodrug belonging to the angiotensin-converting enzyme (ACE) inhibitor of medications. It is $_{50}$ rapidly hydrolyzed in the liver to enalaprilat following oral administration. Enalaprilat acts as a potent inhibitor of ACE. The structural formulae of enalapril and enalaprilat are as follows:

Enalapril is currently administered in the form of oral tablets, (e.g., Vasotec) or in the form of liquid formulations obtained by reconstitution of enalapril powder formulations. In addition to the treatment of hypertension, enalapril tablets have been used for symptomatic congestive heart failure, and asymptomatic left ventricular dysfunction.

SUMMARY OF THE INVENTION

Provided herein are enalapril oral liquid formulations. In one aspect, the enalapril oral liquid formulation, comprises (i) enalapril or a pharmaceutically acceptable salt or solvate thereof (ii) a sweetener that is sucralose (iii) a buffer comprising citric acid; (iv) a preservative that is sodium benzoate; and (v) water; wherein the pH of the formulation is less than about 3.5; and wherein the formulation is stable at about $5\pm3^{\circ}$ C. for at least 12 months.

In some embodiments, the enalapril is enalapril maleate. In some embodiments, the formulation further comprises a flavoring agent. In some embodiments, the buffer in the formulation further comprises sodium citrate dihydrate. In some embodiments, the amount of enalapril or a pharmaceutically acceptable salt or solvate thereof is about 0.6 to about 1.2 mg/ml. In some embodiments, the amount of sucralose is about 0.5 to about 0.9 mg/ml. In some embodiments, the amount of citric acid in the buffer is about 0.8 to about 3.5 mg/ml. In some embodiments, the amount of sodium citrate dihydrate in the buffer is about 0.1 to about 0.80 mg/ml. In some embodiments, the amount of the sodium benzoate is about 0.2 to about 1.2 mg/ml. In some embodiments, the amount of enalapril or a pharmaceutically acceptable salt or solvate thereof is about 10 to about 25% (w/w of solids). In some embodiments, the amount of sucralose is about 8 to about 18% (w/w of solids). In some embodiments, the amount of citric acid in the buffer is about 17 to about 47% (w/w of solids). In some embodiments, the amount of sodium citrate dihydrate in the buffer is about 1 to about 11% (w/w of solids). In some embodiments, the amount of sodium benzoate is about 12 to about 25% (w/w of solids). In some embodiments, the pH of the formulation is between about 3 and about 3.5. In some embodiments, the pH of the formulation is about 3.3. In some embodiments, the citrate concentration in the buffer is about 5 mM to about 20 mM. In some embodiments, the citrate concentration in the buffer is about 10 mM. In some embodiments, the formulation is stable at about 5±3° C. for at least 18 months. In some embodiments, the formulation is stable at about 5±3° C. for at least 24 months. In some embodiments, the formulation does not contain mannitol. In some embodiments, the formulation does not contain silicon dioxide.

In one aspect, the enalapril oral liquid formulation, comprises (i) about 1 mg/ml enalapril maleate; (ii) about 0.70 mg/ml of a sweetener that is sucralose; (iii) a buffer comprising about 1.82 mg/ml citric acid; (iv) about 1 mg/ml of a preservative that is sodium benzoate; and (v) water;

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wherein the pH of the formulation is less than about 3.5; and wherein the formulation is stable at about $5\pm3^{\circ}$ C. for at least 12 months

In some embodiments, the formulation further comprises a flavoring agent. In some embodiments, the buffer further 5 comprises about 0.15 mg/mL sodium citrate dihydrate. In some embodiments, the pH of the formulation is between about 3 and about 3.5. In some embodiments, the pH of the formulation is about 3.3. In some embodiments, the citrate concentration in the buffer is about 5 mM to about 20 mM. In some embodiments, the citrate concentration in the buffer is about 10 mM. In some embodiments, the formulation is stable at about 5±3° C. for at least 18 months. In some embodiments, the formulation is does not contain mannitol. In some embodiments, the formulation does not contain silicon dioxide.

In one aspect, the enalapril oral liquid formulation comprises (i) about 19.3% (w/w of solids) enalapril maleate; (ii) about 13.5% (w/w of solids) of a sweetener that is sucralose; 20 (iii) a buffer comprising about 35.2% (w/w of solids) citric acid; (iv) about 19.3% (w/w of solids) of a preservative that is sodium benzoate; and (v) water; wherein the pH of the formulation is less than about 3.5; and wherein the formulation is stable at about 5±3° C. for at least 12 months.

In some embodiments, the formulation further comprises a flavoring agent. In some embodiments, the buffer further comprises about 2.9% (w/w of solids) sodium citrate dihydrate. In some embodiments, the pH of the formulation is between about 3 and about 3.5. In some embodiments, the pH of the formulation is about 3.3. In some embodiments, the citrate concentration in the buffer is about 5 mM to about 20 mM. In some embodiments, the citrate concentration in the buffer is about 10 mM. In some embodiments, the formulation is stable at about $5\pm3^{\circ}$ C. for at least 18 months. In some embodiments, the formulation does not contain mannitol. In some embodiments, the formulation does not contain silicon dioxide.

In one aspect, the enalapril oral liquid formulation consists essentially of (i) about 1 mg/ml enalapril maleate; (ii) about 0.70 mg/ml of a sweetener that is sucralose; (iii) a buffer comprising about 1.82 mg/ml citric acid and about 0.15 mg/ml sodium citrate dihydrate; (iv) about 1 mg/ml of a preservative that is sodium benzoate; (v) a flavoring agent; 45 and (vi) water; wherein the pH of the formulation is less than about 3.5 adjusted by sodium hydroxide or hydrochloric acid; and wherein the formulation is stable at about 5±3° C. for at least 12 months.

Also provided herein are methods of treating hypertension 50 in a subject comprising administering to that subject a therapeutically effective amount of enalapril oral liquid formulation comprising (i) about 1 mg/ml enalapril maleate; (ii) about 0.7 mg/ml sucralose; (iii) a buffer comprising about 1.82 mg/ml citric acid and about 0.15 mg/ml sodium 55 citrate dihydrate; (iv) about 1 mg/ml of a preservative that is sodium benzoate; and (v) water; wherein the pH of the formulation is less than about 3.5; and wherein the formulation is stable at about 5±3° C. for at least 12 months. In some embodiments, the formulation does not contain mannitol. In some embodiments, the formulation does not contain silicon dioxide.

In some embodiments, the hypertension is primary (essential) hypertension. In some embodiments, the hypertension is secondary hypertension. In some embodiments, the 65 subject has blood pressure values greater than or equal to 140/90 mmm Hg. In some embodiments, the subject is an

adult. In some embodiments, the subject is elderly. In some embodiments, the subject is a child. In some embodiments, the formulation is administered to the subject in a fasted state. In some embodiments, the formulation is administered to the subject in a fed state. In some embodiments, the formulation is further administered in combination with an

formulation is further administered in combination with an agent selected from the group consisting of diuretics, beta blockers, alpha blockers, mixed alpha and beta blockers, calcium channel blockers, angiotensin II receptor antagonists, ACE inhibitors, aldosterone antagonists, and alpha-2

agonists.

Also provided herein are methods of treating prehypertension in a subject comprising administering to that subject a therapeutically effective amount of enalapril oral liquid formulation comprising (i) about 1 mg/ml enalapril maleate; (ii) about 0.7 mg/ml of a sweetener that is sucralose; (ii) a buffer comprising about 1.82 mg/ml citric acid and about 0.15 mg/ml sodium citrate dihydrate; (iv) about 1 mg/ml of a preservative that is sodium benzoate; and (v) water; wherein the pH of the formulation is less than about 3.5; and wherein the formulation is stable at about 5±3° C. for at least 12 months. In some embodiments, the formulation does not contain mannitol. In some embodiments, the formulation does not contain silicon dioxide.

In some embodiments, the subject has blood pressure values of about 120-139/80-89 mm Hg.

Also provided herein are methods of treating heart failure in a subject comprising administering to that subject a therapeutically effective amount of enalapril oral liquid formulation comprising (i) about 1 mg/ml enalapril maleate; (ii) about 0.70 mg/ml of a sweetener that is sucralose; (iii) a buffer comprising about 1.82 mg/ml citric acid and about 0.15 mg/ml sodium citrate dihydrate; (iv) about 1 mg/ml of a preservative that is sodium benzoate; and (v) water; wherein the pH of the formulation is less than about 3.5; and wherein the formulation is stable at about 5±3° C. for at least 12 months. In some embodiments, the formulation does not contain mannitol. In some embodiments, the formulation does not contain silicon dioxide.

Also provided herein are methods of treating left ventricular dysfunction in a subject comprising administering to that subject a therapeutically effective amount of enalapril oral liquid formulation comprising (i) about 1 mg/ml enalapril maleate; (ii) about 0.7 mg/ml of a sweetener that is sucralose; (iii) a buffer comprising about 1.82 mg/ml citric acid and about 0.15 mg/ml sodium citrate dihydrate; (iv) about 1 mg/ml of a preservative that is sodium benzoate; and (v) water; wherein the pH of the formulation is less than about 3.5; and wherein the formulation is stable at about 5±3° C. for at least 12 months. In some embodiments, the formulation does not contain mannitol. In some embodiments, the formulation does not contain silicon dioxide.

INCORPORATION BY REFERENCE

All publications, patents, and patent applications mentioned in this specification are herein incorporated by reference to the same extent as if each individual publication, patent, or patent application was specifically and individually indicated to be incorporated by reference.

BRIEF DESCRIPTION OF THE DRAWINGS

The novel features of the invention are set forth with particularity in the appended claims. A better understanding of the features and advantages of the present invention will be obtained by reference to the following detailed descrip-

tion that sets forth illustrative embodiments, in which the principles of the invention are utilized, and the accompanying drawings of which:

FIG. 1: Effect of pH on degradant formation after 8 weeks of storage of various enalapril solution formulations at 5° C. 5

FIG. 2: Effect of pH on degradant formation after 8 weeks of storage of various enalapril solution formulations at room temperature (19-22° C.).

DETAILED DESCRIPTION OF THE INVENTION

Provided herein are stable enalapril oral liquid formulations. Also provided herein are stable enalapril powder formulations for reconstitution for oral liquid administration. These enalapril formulations described herein are useful for the treatment of hypertension, prehypertension, heart failure as well as ventricular dysfunction. The formulations are advantageous over conventional solid dosage administration of enalapril ranging from ease of administration, 20 accuracy of dosing, accessibility to additional patient populations such as to children and the elderly, and an increased patient compliance to medication.

It is generally known that certain segments of the population have difficulty ingesting and swallowing solid oral 25 dosage forms such as tablets and capsules. As many as a quarter of the total population has this difficulty. Often, this leads to non-compliance with the recommended medical therapy with the solid dosage forms, thereby resulting in rending the therapy ineffective. Further, solid dosage forms 30 are not recommended for children or elderly due to increased risk in choking.

Furthermore, the dose of enalapril to be given to children is calculated according to the child's weight. When the calculated dose is something other than the amount present in one or more intact solid dosage forms, the solid dosage form must be divided to provide the correct dose. This leads to inaccurate dosing when solid dosages forms, such as tablets, are compounded to prepare other formulations for children.

For enalapril, one solution to overcoming the use of the tablet form is for a compounding pharmacist to pulverize and crush the enalapril tablet(s) into a powder via mortar and pestle and reconstitute the powder in some liquid form. However forming a enalapril oral liquid in this fashion has 45 significant drawbacks including large variability in the actual dosage, incomplete solubilizing of the enalapril tablet in the liquid, rapid instability, inconsistent formulation methods per compounding pharmacy, and a number of other potential issues. The crushed tablet liquid formulation may 50 also be potentially unsafe due to contamination with residual drugs and other substances from the mortar and pestle or other crushing agent.

Alternatively, enalapril is formulated as enalapril powder compositions for reconstitution as oral liquids as described 55 in U.S. Pat. No. 8,568,747. The powder compositions as described in this patent require mannitol and colloidal silicon dioxide for stability and dissolution. While these powder compositions are an improvement over crushing tablets, they still require a step of mixing with a diluent. The 60 stable enalapril oral liquid formulations described herein require no extra steps or manipulation prior to administration to a subject. Further, the stable enalapril oral liquid formulations described herein do not require or need mannitol or colloidal silicon dioxide for stability and dissolution. 65

The present embodiments described herein provide a safe and effective oral administration of enalapril for the treatment of hypertension and other disorders. In particular, the embodiments provide stable enalapril oral liquid formulations as well as alternatively enalapril powder formulations for oral liquid administration.

As used herein, "enalapril" refers to enalapril base, its salt, or solvate or derivative or isomer or polymorph thereof. Suitable compounds include the free base, the organic and inorganic salts, isomers, isomer salts, solvates, polymorphs, complexes etc. U.S. Pat. Nos. 4,374,829; 4,472,380 and 4,510,083 disclose exemplary methods in the preparation of enalapril. In some embodiments, the enalapril used in the formulations described herein is an enalapril salt. In some instances, the enalapril salt is enalapril maleate. In other instances, the enalapril salt is in the form of enalapril sodium.

Other ACE inhibitors are contemplated in the formulations within and include but are not limited to quinapril, indolapril, ramipril, perindopril, lisinopril, benazepril, imidapril, zofenopril, trandolapril, fosinopril, captopril, and their salts, solvates, derivatives, polymorphs, or complexes, thereof.

Enalapril Oral Liquid Formulations

Oral liquids include, but are not limited to, solutions (both aqueous and nonaqueous), suspensions, emulsions, syrups, slurries, juices, elixirs, dispersions, and the like. It is envisioned that solution/suspensions are also included where certain components described herein are in a solution while other components are in a suspension.

In one aspect, the enalapril liquid formulations described herein comprise enalapril, a preservative, a sweetening agent, a buffer, and water. In one embodiment, the sweetening agent is sucralose. In one embodiment, the sweetening agent is xylitol. In one embodiment, the sweetening agent is not mannitol. In another embodiment, the preservative is sodium benzoate. In some embodiments, the preservative is a paraben. In some embodiments, the preservative is a mixture of parabens. In yet another embodiment, the buffer comprises citric acid. In some embodiments, the buffer further comprises sodium citrate. In one aspect, the enalapril 40 liquid formulation described herein comprises enalapril, sucralose, sodium benzoate, citric acid, sodium citrate, and water. In some embodiments, the enalapril liquid formulation herein further comprises a flavoring agent. In some embodiments, the enalapril liquid formulation is not obtained from crushing enalapril tablet and dissolving the powder in a suitable vehicle for oral administration. In some embodiments, the enalapril liquid formulation does not contain silicon dioxide. In some embodiments, the enalapril liquid formulation does not contain mannitol. In some embodiments, the enalapril liquid formulation does not contain lactose. In some embodiments, the enalapril liquid formulation does not contain magnesium stearate. In some embodiments, the enalapril liquid formulation does not contain sodium bicarbonate. In some embodiments, the enalapril liquid formulation does not contain iron oxides.

In some embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.6 to about 1.2 mg/ml in the oral liquid formulation. In other embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.6 mg/ml, about 0.61 mg/ml, about 0.62 mg/ml, about 0.63 mg/ml, about 0.64 mg/ml, about 0.65 mg/ml, about 0.66 mg/ml, about 0.67 mg/ml, about 0.68 mg/ml, about 0.69 mg/ml, about 0.77 mg/ml, about 0.72 mg/ml, about 0.73 mg/ml, about 0.74 mg/ml, about 0.75 mg/ml, about 0.76 mg/ml, about 0.77 mg/ml, about 0.78 mg/ml, about 0.79 mg/ml, about 0.88 mg/ml, about 0.81 mg/ml, about 0.82 mg/ml, about 0.83

mg/ml, about 0.84 mg/ml, about 0.85 mg/ml, about 0.86 mg/ml, about 0.87 mg/ml, about 0.88 mg/ml, about 0.89 mg/ml, about 0.9 mg/ml, about 0.91 mg/ml, about 0.92 mg/ml, about 0.93 mg/ml, about 0.94 mg/ml, about 0.95 mg/ml, about 0.96 mg/ml, about 0.97 mg/ml, about 0.98 5 mg/ml, about 0.99 mg/ml, about 1 mg/ml, about 1.01 mg/ml, about 1.02, mg/ml, about 1.03 mg/ml, about 1.04 mg/ml, about 1.05 mg/ml, about 1.06 mg/ml, about 1.07 mg/ml, about 1.08 mg/ml, about 1.09 mg/ml, about 1.1 mg/ml, about 1.11 mg/ml, about 1.12, mg/ml, about 1.13 mg/ml, 10 about 1.14 mg/ml, about 1.15 mg/ml, about 1.16 mg/ml, about 1.17 mg/ml, about 1.18 mg/ml, about 1.19 mg/ml, or about 1.2 mg/ml in the liquid oral formulation. In some embodiments, enalapril is present in about 0.76 mg/ml in the oral liquid formulation. In some embodiments, enalapril 15 maleate is present in about 1 mg/ml in the oral liquid formulation. In some embodiments, the formulation contains enalapril or another pharmaceutically acceptable salt of enalapril in a molar concentration equivalent to 1 mg/mL enalapril maleate. In some embodiments, the formulation 20 contains enalapril or another pharmaceutically acceptable salt of enalapril in a molar concentration equivalent to 0.76

mg/mL enalapril.

In some embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.5% w/w to 25 about 30% w/w of the solids in the oral liquid formulation. In other embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.5% w/w, about 1% w/w, about 1.5% w/w, about 2% w/w, about 2.5% w/w, about 3% w/w, about 3.5% w/w, about 4% w/w, about 4.5% 30 w/w, about 5% w/w, about 5.5% w/w, about 6% w/w, about 6.5% w/w, about 7% w/w, about 7.5% w/w, about 8% w/w, about 8.5% w/w, about 9% w/w, about 9.5% w/w, about 10% w/w, about 10.5% w/w, about 11% w/w, about 11.5% w/w, about 12% w/w, about 12.5% w/w, about 13% w/w, about 35 13.5% w/w, about 14% w/w, about 14.5% w/w, about 15% w/w, about 15.1% w/w, about 15.2% w/w, about 15.3% w/w, about 15.4% w/w, about 15.5% w/w, about 15.6% w/w, about 15.7% w/w, about 15.8% w/w, about 15.9% w/w, about 16% w/w, about 16.1% w/w, about 16.2% w/w, about 40 16.3% w/w, about 16.4% w/w, about 16.5% w/w, about 16.6% w/w, about 16.7% w/w, about 16.8% w/w, about 16.9% w/w, about 17% w/w, about 17.1% w/w, about 17.2% w/w, about 17.3% w/w, about 17.4% w/w, about 17.5% w/w, about 17.6% w/w, about 17.7% w/w, about 17.8% w/w, 45 about 17.9% w/w, about 18% w/w, about 18.1% w/w, about 18.2% w/w, about 18.3% w/w, about 18.4% w/w, about 18.5% w/w, about 18.6% w/w, about 18.7% w/w, about 18.8% w/w, about 18.9% w/w, about 19% w/w, about 19.1% w/w, about 19.2% w/w, about 19.3% w/w, about 19.4% w/w, 50 about 19.5% w/w, about 19.6% w/w, about 19.7% w/w, about 19.8% w/w, about 19.9% w/w, about 20% w/w, about 20.1% w/w, about 20.2% w/w, about 20.3% w/w, about 20.4% w/w, about 20.5% w/w, about 20.6% w/w, about 20.7% w/w, about 20.8% w/w, about 20.9% w/w, about 21% 55 w/w, about 21.1% w/w, about 21.2% w/w, about 21.3% w/w, about 21.4% w/w, about 21.5% w/w, about 21.6% w/w, about 21.7% w/w, about 21.8% w/w, about 21.9% w/w, about 22% w/w, about 22.5% w/w, about 23% w/w, about 23.5% w/w, about 24% w/w, about 24.5% w/w, about 25% 60 w/w, about 25.5% w/w, about 26% w/w, about 26.5% w/w, about 27% w/w, about 27.5% w/w, about 28% w/w, about 28.5% w/w, about 29% w/w, about 29.5% w/w, or about 30% w/w of the solids in the oral liquid formulation. In some embodiments, enalapril or a pharmaceutically acceptable 65 salt thereof, is present in about 10% w/w to about 25% w/w of the solids in the oral liquid formulation. In some embodi-

ments, enalapril is present in about 10.5% w/w of the solids in the oral liquid formulation. In some embodiments, enalapril is present in about 15% w/w of the solids in the oral liquid formulation. In some embodiments, enalapril is present in about 18.2% w/w of the solids in the oral liquid formulation. In some embodiments, enalapril maleate is present in about 13.5% w/w of the solids in the oral liquid formulation. In some embodiments, enalapril maleate is

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formulation. In some embodiments, enalapril maleate is present in about 19.3% w/w of the solids in the oral liquid formulation. In some embodiments, enalapril maleate is present in about 24.5% w/w of the solids in the oral liquid formulation.

In some embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.1% w/w to about 1% w/w of the solids in the oral liquid formulation. In other embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.1% w/w, about 0.15% w/w, about 0.2% w/w, about 0.25% w/w, about 0.3% w/w, about 0.35% w/w, about 0.4% w/w, about 0.45% w/w, about 0.5% w/w, about 0.55% w/w, about 0.6% w/w, about 0.65% w/w, about 0.7% w/w, about 0.75% w/w, about 0.8% w/w, about 0.85% w/w, about 0.9% w/w, about 0.95% w/w, or about 1% w/w of the solids in the oral liquid formulation. In some embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.4% w/w to about 0.7% w/w of the solids in the oral liquid formulation. In some embodiments, enalapril is present in about 0.4% w/w of the solids in the oral liquid formulation. In some embodiments, enalapril is present in about 0.5% w/w of the solids in the oral liquid formulation. In some embodiments, enalapril maleate is present in about 0.5% w/w of the solids in the oral liquid formulation. In some embodiments, enalapril maleate is present in about 0.6% w/w of the solids in the oral liquid formulation.

Sweetener in the Enalapril Oral Liquid Formulations

Sweeteners or sweetening agents include any compounds that provide a sweet taste. This includes natural and synthetic sugars, natural and artificial sweeteners, natural extracts and any material that initiates a sweet sensation in a subject. In some embodiments, a solid/powder sweetener is used in the oral liquid formulation described herein. In other embodiments, a liquid sweetener is used in the oral liquid formulation described herein.

Sugars illustratively include glucose, fructose, sucrose, xylitol, tagatose, sucralose, maltitol, isomaltulose, IsomaltTM (hydrogenated isomaltulose), lactitol, sorbitol, erythritol, trehalose, maltodextrin, polydextrose, and the like. Other sweeteners illustratively include glycerin, inulin, erythritol, maltol, acesulfame and salts thereof, e.g., acesulfame potassium, alitame, aspartame, neotame, sodium cyclamate, saccharin and salts thereof, e.g., saccharin sodium or saccharin calcium, neohesperidin dihydrochalcone, stevioside, thaumatin, and the like. Sweeteners can be used in the form of crude or refined products such as hydrogenated starch hydrolysates, maltitol syrup, high fructose corn syrup, etc., and as branded products, e.g., Sweet AmTM liquid (Product Code 918.003-propylene glycol, ethyl alcohol, and proprietary artificial flavor combination, Flavors of North America) and Sweet AmTM powder (Product Code 918.005maltodextrin, sorbitol, and fructose combination and Product Code 918.010-water, propylene glycol, sorbitol, fructose, and proprietary natural and artificial flavor combination, Flavors of North America), ProSweetTM (1-10% proprietary plant/vegetable extract and 90-99% dextrose combination, Viriginia Dare), MaltisweetTM (maltitol solution, Ingredion), SorboTM (sorbitol and sorbitol/xylitol solution, SPI Polyols), InvertoseTM (high fructose corn

syrup, Ingredion), Rebalance M60 and X60 (sucralose and maltodextrin, Tate and Lyle), and Ora-Sweet® sugar-free flavored syrup (Paddock Laboratories, Inc.). Sweeteners can be used singly or in combinations of two or more. Suitable concentrations of different sweeteners can be selected based 5 on published information, manufacturers' data sheets and by routine testing.

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In some embodiments, the enalapril oral liquid formulation described herein comprises a sweetening agent. In some embodiments, the sweetening agent is sucralose. In some embodiments, the sweetening agent is xylitol. In some embodiments, the sweetener is not mannitol.

In some embodiments, the enalapril oral liquid formulation described herein comprises sucralose. In some embodiments, sucralose is present in about 0.5 to about 0.9 mg/ml 15 in the oral liquid formulation. In other embodiments, sucralose is present in about 0.51 mg/ml, about 0.52 mg/ml, about 0.53 mg/ml, about 0.54 mg/ml, about 0.55 mg/ml, about 0.56 mg/ml, about 0.57 mg/ml, about 0.58 mg/ml, about 0.59 mg/ml, about 0.60 mg/ml, about 0.61 mg/ml, about 20 0.62 mg/ml, about 0.63 mg/ml, about 0.64 mg/ml, about 0.65 mg/ml, about 0.66 mg/ml, about 0.67 mg/ml, about 0.68 mg/ml, about 0.69 mg/ml, about 0.70 mg/ml, about 0.71 mg/ml, about 0.72 mg/ml, about 0.73 mg/ml, about 0.74 mg/ml, about 0.75 mg/ml, about 0.76 mg/ml, about 25 agents that enhance sterility. Exemplary preservatives 0.77 mg/ml, about 0.78 mg/ml, about 0.79 mg/ml, about 0.80 mg/ml, about 0.81 mg/ml, about 0.82 mg/ml, about 0.83 mg/ml, about 0.84 mg/ml, about 0.85 mg/ml, about 0.86 mg/ml, about 0.87 mg/ml, about 0.88 mg/ml, about 0.89 mg/ml, or about 0.90 mg/ml in the oral liquid formulation. In some embodiments, sucralose is present in about 0.7 mg/ml in the oral liquid formulation.

In some embodiments, sucralose is present in about 1% w/w to about 30% w/w of the solids in the oral liquid formulation. In some embodiments, sucralose is present in 35 about 1% w/w, about 1.5% w/w, about 2% w/w, about 2.5% w/w, about 3% w/w, about 3.5% w/w, about 4% w/w, about 4.5% w/w, about 5% w/w, about 5.5% w/w, about 6% w/w, about 6.5% w/w, about 7% w/w, about 7.5% w/w, about 8% w/w, about 8.5% w/w, about 9% w/w, about 9.5% w/w, 40 about 10% w/w, about 10.5% w/w, about 11% w/w, about 11.5% w/w, about 12% w/w, about 12.5% w/w, about 13% w/w, about 13.5% w/w, about 14% w/w, about 14.5% w/w, about 15% w/w, about 15.5% w/w, about 16% w/w, about 16.5% w/w, about 17% w/w, about 17.5% w/w, about 18% 45 w/w, about 18.5% w/w, about 19% w/w, about 19.5% w/w, about 20% w/w, about 20.5% w/w, about 21% w/w, about 21.5% w/w, about 22% w/w, about 22.5% w/w, about 23% w/w, about 23.5% w/w, about 24% w/w, about 24.5% w/w, about 25% w/w, about 25.5% w/w, about 26% w/w, about 50 26.5% w/w, about 27% w/w, about 27.5% w/w, about 28% w/w, about 28.5% w/w, about 29% w/w, about 29.5% w/w, or about 30% w/w of the solids in the oral liquid formulation. In some embodiments, sucralose is present in about 8% w/w to about 18% w/w of the solids in the oral liquid 55 formulation. In some embodiments, sucralose is present in about 9.5% w/w of the solids in the oral liquid formulation. In some embodiments, sucralose is present in about 13.5% w/w of the solids in the oral liquid formulation. In some embodiments, sucralose is present in about 16.5% w/w of 60 the solids in the oral liquid formulation.

In some embodiments, the enalapril oral liquid formulation described herein comprises xylitol. In some embodiments, xylitol is present in about 140 mg/ml to about 210 mg/ml in the oral liquid formulation.

In some embodiments, xylitol is present in about 140 mg/ml, about 145 mg/ml, about 150 mg/ml, about 155

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mg/ml, about 160 mg/ml, about 165 mg/ml, about 170 mg/ml, about 175 mg/ml, about 180 mg/ml, about 185 mg/ml, about 190 mg/ml, about 195 mg/ml, about 200 mg/ml, about 205 mg/ml, or about 210 mg/ml of the oral liquid formulation. In some embodiments, xylitol is present in about 150 mg/ml in the oral liquid formulation. In some embodiments, xylitol is present in about 200 mg/ml in the oral liquid formulation.

In some embodiments, xylitol is present in about 80% w/w to about 99% w/w of the solids in the oral liquid formulation. In other embodiments, xylitol is present in about 80% w/w, about 81% w/w, about 82% w/w, about 83% w/w, about 84% w/w, about 85% w/w, about 86% w/w, about 87% w/w, about 88% w/w, about 89% w/w, about 90% w/w, about 91% w/w, about 92% w/w, about 93% w/w, about 94% w/w, about 95% w/w, about 96% w/w, about 97% w/w, about 98% w/w, or about 99% w/w of the solids in the oral liquid formulation. In some embodiments, xylitol is present in about 96% w/w to about 98% w/w of the solids in the oral liquid formulation. In some embodiments, xylitol is present in about 96% w/w of the solids in the oral liquid formulation.

Preservative in the Enalapril Oral Liquid Formulations

Preservatives include anti-microbials, anti-oxidants, and include ascorbic acid, ascorbyl palmitate, BHA, BHT, citric acid, EDTA and its salts, erythorbic acid, fumaric acid, malic acid, propyl gallate, sodium ascorbate, sodium bisulfate, sodium metabisulfite, sodium sulfite, parabens (such as methylparaben, ethylparaben, propylparaben, butylparaben and their salts), benzoic acid, sodium benzoate, potassium sorbate, vanillin, and the like.

In some embodiments, the enalapril oral liquid formulation described herein comprises a preservative.

In some embodiments, the preservative is a paraben and the sweetener is not a sugar (such as, but not limited to glucose, fructose, sucrose, lactose, maltose) or a sugar alcohol (such as, but not limited to xylitol, mannitol, lactitol, maltitol, sorbitol).

In some embodiments, the preservative is sodium benzoate.

In some embodiments, modulation of the pH is desired to provide the best antimicrobial activity of the preservative, sodium benzoate. In some embodiments, the antimicrobial activity of sodium benzoate drops when the pH is increased above 5.

In some embodiments, the pH of the enalapril oral liquid formulation described herein is less than about 4. In some embodiments, the pH of the enalapril oral liquid formulation described herein is less than about 3.5. In some embodiments, the pH of the enalapril oral liquid formulation described herein is between about 3 and about 4. In some embodiments, the pH of the enalapril oral liquid formulation described herein is between about 3 and about 3.5. In some embodiments, the pH of the enalapril oral liquid formulation described herein is about 3, about 3.1, about 3.2, about 3.3, about 3.4, about 3.5, about 3.6, about 3.7, about 3.8, about 3.9, or about 4. In some embodiments, the pH of the enalapril oral liquid formulation described herein is about

In some embodiments, sodium benzoate is present in about 0.2 to about 1.2 mg/ml in the oral liquid formulation. In other embodiments, sodium benzoate is present in about 0.2 mg/ml, about 0.21 mg/ml, about 0.22 mg/ml, about 0.23 mg/ml, about 0.24 mg/ml, about 0.25 mg/ml, about 0.26 mg/ml, about 0.27 mg/ml, about 0.28 mg/ml, about 0.29 mg/ml, about 0.3 mg/ml, about 0.31 mg/ml, about 0.32

mg/ml, about 0.33 mg/ml, about 0.34 mg/ml, about 0.35 mg/ml, about 0.36 mg/ml, about 0.37 mg/ml, about 0.38 mg/ml, about 0.39 mg/ml, about 0.4 mg/ml, about 0.41 mg/ml, about 0.42 mg/ml, about 0.43 mg/ml, about 0.44 mg/ml, about 0.45 mg/ml, about 0.46 mg/ml, about 0.47 mg/ml, about 0.48 mg/ml, about 0.49 mg/ml, about 0.5 mg/ml, about 0.51 mg/ml, about 0.52 mg/ml, about 0.53 mg/ml, about 0.54 mg/ml, about 0.55 mg/ml, about 0.56 mg/ml, about 0.57 mg/ml, about 0.58 mg/ml, about 0.59 mg/ml, about 0.6 mg/ml, about 0.61 mg/ml, about 0.62 mg/ml, about 0.63 mg/ml, about 0.64 mg/ml, about 0.65 mg/ml, about 0.66 mg/ml, about 0.67 mg/ml, about 0.68 mg/ml, about 0.69 mg/ml, about 0.7 mg/ml, about 0.71 mg/ml, about 0.72 mg/ml, about 0.73 mg/ml, about 0.74 mg/ml, about 0.75 mg/ml, about 0.76 mg/ml, about 0.77 15 mg/ml, about 0.78 mg/ml, about 0.79 mg/ml, about 0.8 mg/ml, about 0.81 mg/ml, about 0.82 mg/ml, about 0.83 mg/ml, about 0.84 mg/ml, about 0.85 mg/ml, about 0.86 mg/ml, about 0.87 mg/ml, about 0.88 mg/ml, about 0.89 mg/ml, about 0.9 mg/ml, about 0.91 mg/ml, about 0.92 20 mg/ml, about 0.93 mg/ml, about 0.94 mg/ml, about 0.95 mg/ml, about 0.96 mg/ml, about 0.97 mg/ml, about 0.98 mg/ml, about 0.99 mg/ml, about 1 mg/ml, about 1.01 mg/ml, about 1.02, mg/ml, about 1.03 mg/ml, about 1.04 mg/ml, about 1.05 mg/ml, about 1.06 mg/ml, about 1.07 mg/ml, 25 about 1.08 mg/ml, about 1.09 mg/ml, about 1.1 mg/ml, about 1.11 mg/ml, about 1.12, mg/ml, about 1.13 mg/ml, about 1.14 mg/ml, about 1.15 mg/ml, about 1.16 mg/ml,

about 1.17 mg/ml, about 1.18 mg/ml, about 1.19 mg/ml, or

embodiments, sodium benzoate is present in about 1 mg/ml

in the oral liquid formulation.

about 1.2 mg/ml in the liquid oral formulation. In some 30

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In some embodiments, sodium benzoate is present in about 1% w/w to about 30% w/w of the solids in the oral liquid formulation. In other embodiments, sodium benzoate 35 is present in about 1% w/w, about 1.5% w/w, about 2% w/w, about 2.5% w/w, about 3% w/w, about 3.5% w/w, about 4% w/w, about 4.5% w/w, about 5% w/w, about 5.5% w/w, about 6% w/w, about 6.5% w/w, about 7% w/w, about 7.5% w/w, about 8% w/w, about 8.5% w/w, about 9% w/w, about 40 9.5% w/w, about 10% w/w, about 10.5% w/w, about 11% w/w, about 11.5% w/w, about 12% w/w, about 12.5% w/w, about 13% w/w, about 13.5% w/w, about 14% w/w, about 14.5% w/w, about 15% w/w, about 15.1% w/w, about 15.2% w/w, about 15.3% w/w, about 15.4% w/w, about 15.5% w/w, 45 about 15.6% w/w, about 15.7% w/w, about 15.8% w/w, about 15.9% w/w, about 16% w/w, about 16.1% w/w, about 16.2% w/w, about 16.3% w/w, about 16.4% w/w, about 16.5% w/w, about 16.6% w/w, about 16.7% w/w, about 16.8% w/w, about 16.9% w/w, about 17% w/w, about 17.1% 50 w/w, about 17.2% w/w, about 17.3% w/w, about 17.4% w/w, about 17.5% w/w, about 17.6% w/w, about 17.7% w/w, about 17.8% w/w, about 17.9% w/w, about 18% w/w, about 18.1% w/w, about 18.2% w/w, about 18.3% w/w, about 18.4% w/w, about 18.5% w/w, about 18.6% w/w, about 55 18.7% w/w, about 18.8% w/w, about 18.9% w/w, about 19% w/w, about 19.1% w/w, about 19.2% w/w, about 19.3% w/w, about 19.4% w/w, about 19.5% w/w, about 19.6% w/w, about 19.7% w/w, about 19.8% w/w, about 19.9% w/w, about 20% w/w, about 20.1% w/w, about 20.2% w/w, about 60 20.3% w/w, about 20.4% w/w, about 20.5% w/w, about 20.6% w/w, about 20.7% w/w, about 20.8% w/w, about 20.9% w/w, about 21% w/w, about 21.1% w/w, about 21.2% w/w, about 21.3% w/w, about 21.4% w/w, about 21.5% w/w, about 21.6% w/w, about 21.7% w/w, about 21.8% w/w, 65 about 21.9% w/w, about 22% w/w, about 22.5% w/w, about 23% w/w, about 23.5% w/w, about 24% w/w, about 24.5%

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w/w, about 25% w/w, about 25.5% w/w, about 26.5% w/w, about 26.5% w/w, about 27% w/w, about 27.5% w/w, about 28% w/w, about 28.5% w/w, about 29% w/w, about 29.5% w/w, or about 30% w/w of the solids in the oral liquid formulation. In some embodiments, sodium benzoate is present in about 10% w/w to about 25% w/w of the solids in the oral liquid formulation. In some embodiments, sodium benzoate is present in about 13.5% w/w of the solids in the oral liquid formulation. In some embodiments, sodium benzoate is present in about 19.3% w/w of the solids in the oral liquid formulation. In some embodiments, sodium benzoate is present in about 23.5% w/w of the solids in the oral liquid formulation.

In some embodiments, sodium benzoate is present in about 0.1% w/w to about 1% w/w of the solids in the oral liquid formulation. In other embodiments, sodium benzoate is present in about 0.1% w/w, about 0.15% w/w, about 0.2% w/w, about 0.25% w/w, about 0.3% w/w, about 0.35% w/w, about 0.4% w/w, about 0.45% w/w, about 0.5% w/w, about 0.55% w/w, about 0.6% w/w, about 0.65% w/w, about 0.7% w/w, about 0.75% w/w, about 0.8% w/w, about 0.85% w/w, about 0.9% w/w, about 0.95% w/w, or about 1% w/w of the solids in the oral liquid formulation. In some embodiments, sodium benzoate is present in about 0.4% w/w to about 0.7% w/w of the solids in the oral liquid formulation. In some embodiments, sodium benzoate is present in about 0.45% w/w of the solids in the oral liquid formulation. In some embodiments, sodium benzoate is present in about 0.6% w/w of the solids in the oral liquid formulation.

In some embodiments, sodium benzoate is present in an amount sufficient to provide antimicrobial effectiveness to the enalapril oral liquid formulation described herein. (See Table G-1).

In some embodiments, the preservative is a paraben. In some embodiments, the preservative is a mixture of parabens. In some embodiments, the paraben or mixture of parabens is present in about 0.1 mg/ml to about 2 mg/ml in the oral liquid formulation. In other embodiments, the paraben or mixture of parabens is present in about 0.1 mg/ml, about 0.2 mg/ml, about 0.3 mg/ml, about 0.4 mg/ml, about 0.5 mg/ml, about 0.6 mg/ml, about 0.7 mg/ml, about 0.8 mg/ml, about 0.9 mg/ml, about 1 mg/ml, about 1.1 mg/ml, about 1.2 mg/ml, about 1.3 mg/ml, about 1.4 mg/ml, or about 1.5 mg/ml, about 1.6 mg/ml, about 1.7 mg/ml, about 1.8 mg/ml, about 1.9 mg/ml, or about 2 mg/ml in the liquid oral formulation. In some embodiments, the paraben or mixture of parabens is present in about 1.6 mg/ml to about 2 mg/ml in the oral liquid formulation. In some embodiments, the paraben or mixture of parabens is present in about 1.6 mg/ml to about 1.8 mg/ml in the oral liquid formulation. In some embodiments, the paraben or mixture of parabens is present in about 0.1 mg/ml to about 0.5 mg/ml in the oral

In some embodiments, the paraben or mixture of parabens is present in about 2% w/w to about 30% w/w of the solids in the oral liquid formulation. In other embodiments, the paraben or mixture of parabens is present in about 2% w/w, about 3% w/w, about 4% w/w, about 5% w/w, about 6% w/w, about 7% w/w, about 8% w/w, about 9% w/w, about 10% w/w, about 11% w/w, about 12% w/w, about 13% w/w, about 14% w/w, about 15% w/w, about 16% w/w, about 17% w/w, about 18% w/w, about 19% w/w, about 20% w/w, about 21% w/w, about 22% w/w, about 23% w/w, about 24% w/w, about 25% w/w, about 26% w/w, about 27% w/w, about 28% w/w, about 29% w/w, or about 30% w/w of the solids in the oral liquid formulation. In some embodiments, the paraben or mixture of parabens is present in about 2%

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w/w to about 3% w/w of the solids in the oral liquid formulation. In some embodiments, the paraben or mixture of parabens is present in about 23% w/w to about 26% w/w of the solids in the oral liquid formulation. In some embodiments, the paraben or mixture of parabens is present in about 56% w/w to about 30% w/w of the solids in the oral liquid formulation.

Sweetener and Preservative Incompatibility

Paraben preservatives (especially methylparaben) can react with selected sugars (glucose, fructose, sucrose, lactose, maltose) and sugar alcohols (xylitol, mannitol, lactitol, maltitol, sorbitol) to form transesterification reaction products. This can be undesirable from a formulation and stability standpoint as the transesterification creates additional degradants.

In some embodiments, the enalapril oral liquid formulation described herein does not comprise a paraben preservative. In further embodiments, the enalapril oral liquid formulation described herein does not comprise a paraben preservative when the formulation also comprises a sugar or 20 sugar alcohol.

pH of Enalapril Oral Liquid Formulations

Buffering agents maintain the pH of the liquid enalapril formulation. Non-limiting examples of buffering agents include, but are not limited to sodium bicarbonate, potas- 25 sium bicarbonate, magnesium hydroxide, magnesium lactate, magnesium glucomate, aluminum hydroxide, aluminum hydroxide/sodium bicarbonate co-precipitate, mixture of an amino acid and a buffer, a mixture of aluminum glycinate and a buffer, a mixture of an acid salt of an amino 30 acid and a buffer, and a mixture of an alkali salt of an amino acid and a buffer. Additional buffering agents include citric acid, sodium citrate, sodium tartarate, sodium acetate, sodium carbonate, sodium polyphosphate, potassium polyphosphate, sodium pyrophosphate, potassium pyrophos- 35 phate, disodium hydrogenphosphate, dipotassium hydrogenphosphate, trisodium phosphate, tripotassium phosphate, sodium acetate, potassium metaphosphate, magnesium oxide, magnesium hydroxide, magnesium carbonate, magnesium silicate, calcium acetate, calcium glycerophosphate, 40 calcium chloride, calcium hydroxide, calcium lactate, calcium carbonate, calcium bicarbonate, and other calcium salts. Some buffering agents also impart effervescent qualities when a powder is reconstituted in a solution. In some embodiments, the buffering agent is not sodium bicarbonate. 45

In some embodiments, the oral liquid formulation comprises a buffer.

In some embodiments, the buffer in the enalapril oral liquid formulation described herein comprises citric acid. In some embodiments, the buffer in the enalapril oral liquid 50 formulation described herein comprises citric acid and sodium citrate. In some embodiments, the buffer in the enalapril oral liquid formulation described herein comprises citric acid and sodium citrate dihydrate or an equivalent molar amount of sodium citrate anhydrous. In some embodiments, the sodium citrate is monosodium citrate. In some embodiments, the sodium citrate is disodium citrate. In some embodiments, the sodium citrate is trisodium citrate.

In some embodiments, the buffer in the enalapril oral liquid formulation described herein comprises phosphoric 60 acid. In some embodiments, the buffer in the enalapril oral liquid formulation described herein comprises sodium phosphate.

In some embodiments, modulation of the pH is desired to provide a lowered impurity profile. In the exemplary stability studies, the main enalapril degradants are enalapril diketopiperazine and enalaprilat:

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enalapril diketopiperazine

enalaprilat

In some embodiments, the percentage of enalaprilat formation is increased when the pH is above 3.5. (See table C-2 and FIG. 1 and FIG. 2). In some embodiments, the percentage of enalapril diketopiperazine formation is slightly increased as the pH is below 4.

In some embodiments, the pH of the enalapril oral liquid formulation described herein is less than about 4. In some embodiments, the pH of the enalapril oral liquid formulation described herein is less than about 3.5. In some embodiments, the pH of the enalapril oral liquid formulation described herein is between about 3 and about 4. In some embodiments, the pH of the enalapril oral liquid formulation described herein is between about 3 and about 3.5. In some embodiments, the pH of the enalapril oral liquid formulation described herein is about 3, about 3.1, about 3.2, about 3.3, about 3.4, about 3.5, about 3.6, about 3.7, about 3.8, about 3.9, or about 4. In some embodiments, the pH of the enalapril oral liquid formulation described herein is about 3.3.

In some embodiments, the formation of degradants is dependent on the buffer concentration. In some embodiments, the buffer concentration impacts the taste of the enalapril oral liquid formulation.

In some embodiments, the buffer concentration is between about 5 mM and about 20 mM. In some embodiments, the buffer concentration is about 5 mM, about 6 mM, about 7 mM, about 8 mM, about 9 mM, about 10 mM, about 11 mM, about 12 mM, about 13 mM, about 14 mM, about 15 mM, about 16 mM, about 17 mM, about 18 mM, about 19 mM, or about 20 mM. In some embodiments, the buffer concentration is about 10 mM. In some embodiments, the buffer concentration is about 20 mM.

In some embodiments, citric acid is present in about 0.7 to about 2 mg/ml in the oral liquid formulation. In other embodiments, citric acid is present in about 0.7 mg/ml, about 0.71 mg/ml, about 0.72 mg/ml, about 0.73 mg/ml, about 0.74 mg/ml, about 0.75 mg/ml, about 0.76 mg/ml, about 0.77 mg/ml, about 0.78 mg/ml, about 0.79 mg/ml, about 0.8 mg/ml, about 0.81 mg/ml, about 0.82 mg/ml,

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about 0.83 mg/ml, about 0.84 mg/ml, about 0.85 mg/ml, about 0.86 mg/ml, about 0.87 mg/ml, about 0.88 mg/ml, about 0.89 mg/ml, about 0.9 mg/mL, about 0.91 mg/mL, about 0.92 mg/mL, about 0.93 mg/mL, about 0.94 mg/mL, about 0.95 mg/mL, about 0.96 mg/mL, about 0.97 mg/mL, 5 about 0.98 mg/mL, about 0.99 mg/mL, about 1 mg/mL, about 1.11 mg/ml, about 1.12 mg/ml, about 1.13 mg/ml, about 1.14 mg/ml, about 1.15 mg/ml, about 1.16 mg/ml, about 1.17 mg/ml, about 1.18 mg/ml, about 1.19 mg/ml, about 1.2 mg/ml, about 1.21 mg/ml, about 1.22 mg/ml, 10 about 1.23 mg/ml, about 1.24 mg/ml, about 1.25 mg/ml, about 1.26 mg/ml, about 1.27 mg/ml, about 1.28 mg/ml, about 1.29 mg/ml, about 1.3 mg/mL, about 1.31 mg/mL, about 1.32 mg/mL, about 1.33 mg/mL, about 1.34 mg/mL, about 1.35 mg/mL, about 1.36 mg/mL, about 1.37 mg/mL, 15 about 1.38 mg/mL, about 1.39 mg/mL, about 1.4 mg/ml, about 1.41 mg/ml, about 1.42 mg/ml, about 1.43 mg/ml, about 1.44 mg/ml, about 1.45 mg/ml, about 1.46 mg/ml, about 1.47 mg/ml, about 1.48 mg/ml, about 1.49 mg/ml, about 1.5 mg/ml, about 1.51 mg/ml, about 1.52 mg/ml, 20 about 1.53 mg/ml, about 1.54 mg/ml, about 1.55 mg/ml, about 1.56 mg/ml, about 1.57 mg/ml, about 1.58 mg/ml, about 1.59 mg/ml, about 1.6 mg/mL, about 1.61 mg/mL, about 1.62 mg/mL, about 1.63 mg/mL, about 1.64 mg/mL, about 1.65 mg/mL, about 1.66 mg/mL, about 1.67 mg/mL, 25 about 1.68 mg/mL, about 1.69 mg/mL, about 1.7 mg/ml, about 1.71 mg/ml, about 1.72 mg/ml, about 1.73 mg/ml, about 1.74 mg/ml, about 1.75 mg/ml, about 1.76 mg/ml, about 1.77 mg/ml, about 1.78 mg/ml, about 1.79 mg/ml, about 1.8 mg/ml, about 1.81 mg/ml, about 1.82 mg/ml, 30 about 1.83 mg/ml, about 1.84 mg/ml, about 1.85 mg/ml, about 1.86 mg/ml, about 1.87 mg/ml, about 1.88 mg/ml, about 1.89 mg/ml, about 1.9 mg/mL, about 1.91 mg/mL, about 1.92 mg/mL, about 1.93 mg/mL, about 1.94 mg/mL, about 1.95 mg/mL, about 1.96 mg/mL, about 1.97 mg/mL, 35 about 1.98 mg/mL, about 1.99 mg/mL, or about 2 mg/mL in the oral liquid formulation. In some embodiments, citric acid is present in about 1.65 mg/ml in the oral liquid formulation. In some embodiments, citric acid is present in about 1.82 mg/ml in the oral liquid formulation. In some embodiments, 40 citric acid is present in about 0.82 mg/ml in the oral liquid formulation.

In some embodiments, citric acid is present in about 2 to about 3.5 mg/ml in the oral liquid formulation. In other embodiments, citric acid is present in about 2 mg/mL, about 2.05 mg/mL, about 2.1 mg/mL, about 2.15 mg/mL, about 2.2 mg/mL, about 2.25 mg/mL, about 2.3 mg/mL, about 2.35 mg/mL, about 2.4 mg/mL, about 2.45 mg/mL, about 2.5 mg/mL, about 2.5 mg/mL, about 2.5 mg/mL, about 2.5 mg/mL, about 2.7 mg/mL, about 2.6 mg/mL, about 2.8 mg/mL, about 2.8 mg/mL, about 3.8 mg/mL, about 3.9 mg/mL, about 3.1 mg/mL, about 3.15 mg/mL, about 3.25 mg/mL, about 3.35 mg/mL, about 3.4 mg/mL, about 3.45 mg/mL, or about 3.5 mg/mL in the oral 55 liquid formulation. In some embodiments, citric acid is present in about 3.3 mg/ml in the oral liquid formulation.

In some embodiments, citric acid is present in about 10% w/w to about 50% w/w of the solids in the oral liquid formulation. In other embodiments, citric acid is present in 60 about 10% w/w, about 11% w/w, about 12% w/w, about 13% w/w, about 14% w/w, about 15% w/w, about 16% w/w, about 17% w/w, about 18% w/w, about 19% w/w, about 20% w/w, about 21% w/w, about 22% w/w, about 23% w/w, about 24% w/w, about 25% w/w, about 26% w/w, about 27% 65 w/w, about 28% w/w, about 29% w/w, about 30% w/w, about 31% w/w, about 32% w/w, about 33% w/w, about 34%

w/w, about 35% w/w, about 36% w/w, about 37% w/w, about 38% w/w, about 39% w/w, about 40% w/w, about 41% w/w, about 42% w/w, about 43% w/w, about 44% w/w, about 45% w/w, about 46% w/w, about 47% w/w, about 48% w/w, about 49% w/w, about 50% w/w of the solids in the oral liquid formulation. In some embodiments, citric acid is present in about 45% w/w of the solids in the oral liquid formulation. In some embodiments, citric acid is present in

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about 31% w/w of the solids in the oral liquid formulation. In some embodiments, citric acid is present in about 35% w/w of the solids in the oral liquid formulation. In some embodiments, citric acid is present in about 19% w/w of the embodiments, citric acid is present in about 19% w/w of the

solids in the oral liquid formulation.

In some embodiments, citric acid is present in about 1% w/w to about 5% w/w of the solids in the oral liquid formulation. In other embodiments, citric acid is present in about 1% w/w, about 1.1% w/w, about 1.2% w/w, about 1.3% w/w, about 1.4% w/w, about 1.5% w/w, about 1.6% w/w, about 1.7% w/w, about 1.8% w/w, about 1.9% w/w, about 2% w/w, about 2.1% w/w, about 2.2% w/w, about 2.3% w/w, about 2.4% w/w, about 2.5% w/w, about 2.6% w/w, about 2.7% w/w, about 2.8% w/w, about 2.9% w/w, about 3% w/w, about 3.1% w/w, about 3.2% w/w, about 3.3% w/w, about 3.4% w/w, about 3.5% w/w, about 3.6% w/w, about 3.7% w/w, about 3.8% w/w, about 3.9% w/w, about 4% w/w, about 4.1% w/w, about 4.2% w/w, about 4.3% w/w, about 4.4% w/w, about 4.5% w/w, about 4.6% w/w, about 4.7% w/w, about 4.8% w/w, about 4.9% w/w, or about 5% w/w of the solids in the oral liquid formulation. In some embodiments, citric acid is present in about 2.1% w/w of the solids in the oral liquid formulation. In some embodiments, citric acid is present in about 1.6% w/w of the solids in the oral liquid formulation.

In some embodiments, sodium citrate dihydrate is present in about 0.1 to about 0.8 mg/ml in the oral liquid formulation. In other embodiments, sodium citrate dihydrate is present in the oral liquid formulation is about 0.1 mg/mL, about 0.11 mg/mL, about 0.12 mg/mL, about 0.13 mg/mL, about 0.14 mg/mL, about 0.15 mg/ml, about 0.16 mg/mL, about 0.17 mg/mL, about 0.18 mg/mL, about 0.19 mg/mL, about 0.2 mg/ml, about 0.21 mg/ml, about 0.22 mg/ml, about 0.23 mg/ml, about 0.24 mg/ml, about 0.25 mg/ml, about 0.26 mg/ml, about 0.27 mg/ml, about 0.28 mg/ml, about 0.29 mg/ml, about 0.3 mg/ml, about 0.31 mg/ml, about 0.32 mg/ml, about 0.33 mg/ml, about 0.34 mg/ml, about 0.35 mg/ml, about 0.36 mg/ml, about 0.37 mg/ml, about 0.38 mg/ml, about 0.39 mg/ml, about 0.4 mg/ml, about 0.41 mg/ml, about 0.42 mg/ml, about 0.43 mg/ml, about 0.44 mg/ml, about 0.45 mg/ml, about 0.46 mg/ml, about 0.47 mg/ml, about 0.48 mg/ml, about 0.49 mg/ml, about 0.5 mg/ml, about 0.51 mg/ml, about 0.52 mg/ml, about 0.53 mg/ml, about 0.54 mg/ml, about 0.55 mg/ml, about 0.56 mg/ml, about 0.57 mg/ml, about 0.58 mg/ml, about 0.59 mg/ml, about 0.6 mg/ml, about 0.61 mg/ml, about 0.62 mg/ml, about 0.63 mg/ml, about 0.64 mg/ml, about 0.65 mg/ml, about 0.66 mg/ml, about 0.67 mg/ml, about 0.68 mg/ml, about 0.69 mg/ml, about 0.7 mg/ml, about 0.71 mg/ml, about 0.72 mg/ml, about 0.73 mg/ml, about 0.74 mg/ml, about 0.75 mg/ml, about 0.76 mg/ml, about 0.77 mg/ml, about 0.78 mg/ml, about 0.79 mg/ml, or about 0.8 mg/ml in the oral liquid formulation. In some embodiments, sodium citrate dihydrate is present in about 0.75 mg/ml in the oral liquid formulation. In some embodiments, sodium citrate dihydrate is present in about 0.35 mg/ml in the oral liquid formulation. In some embodiments, sodium citrate dihydrate is present in about 0.2 mg/ml in the

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oral liquid formulation. In some embodiments, sodium citrate dihydrate is present in about 0.15 mg/ml in the oral liquid formulation.

In some embodiments, sodium citrate dihydrate is present in about 1% w/w to about 15% w/w of the solids in the oral 5 liquid formulation. In other embodiments, sodium citrate dihydrate is present in about 1% w/w, about 1.1% w/w, about 1.2% w/w, about 1.3% w/w, about 1.4% w/w, about 1.5% w/w, about 1.6% w/w, about 1.7% w/w, about 1.8% w/w, about 1.9% w/w, about 2% w/w, about 2.1% w/w, about 10 2.2% w/w, about 2.3% w/w, about 2.4% w/w, about 2.5% w/w, about 2.6% w/w, about 2.7% w/w, about 2.8% w/w, about 2.9% w/w, about 3% w/w, about 3.1% w/w, about 3.2% w/w, about 3.3% w/w, about 3.4% w/w, about 3.5% w/w, about 3.6% w/w, about 3.7% w/w, about 3.8% w/w, 15 about 3.9% w/w, about 4% w/w, about 4.5% w/w, about 5% w/w, about 5.5% w/w, about 6% w/w, about 6.5% w/w, about 7% w/w, about 7.5% w/w, about 8% w/w, about 8.5% w/w, about 9% w/w, about 9.5% w/w, about 10% w/w, about 10.5% w/w, about 11% w/w, about 11.5% w/w, about 12% 20 w/w, about 12.5% w/w, about 13% w/w, about 13.5% w/w, about 14% w/w, about 14.5% w/w, about 15% w/w of the solids in the oral liquid formulation. In some embodiments, sodium citrate dihydrate is present in about 10.5% w/w of the solids in the oral liquid formulation. In some embodi- 25 ments, sodium citrate dihydrate is present in about 7.5% w/w of the solids in the oral liquid formulation. In some embodiments, sodium citrate dihydrate is present in about 4.5% w/w of the solids in the oral liquid formulation. In some embodiments, sodium citrate dihydrate is present in 30 about 2.9% w/w of the solids in the oral liquid formulation.

In other embodiments, sodium citrate dihydrate is not added to the formulation.

Additional Excipients

In further embodiments, the enalapril liquid formulation 35 described herein comprises additional excipients including, but not limited to, glidants, flavoring agents, coloring agents and thickeners. Additional excipients such as bulking agents, tonicity agents and chelating agents are within the scope of the embodiments.

Glidants are substances that improve flowability of a powder. Suitable glidants include, but are not limited to, calcium phosphate tribasic, calcium silicate, cellulose (powdered), colloidal silicon dioxide, magnesium silicate, magnesium trisilicate, silicon dioxide, starch, talc and the like. In 45 some embodiments, the enalapril powder formulations described herein comprise a glidant. In some embodiments the glidant is not colloidal silicon dioxide.

In another embodiment, the enalapril liquid formulation comprises a flavoring agent or flavorant to enhance the taste 50 or aroma of the formulation in liquid form. Suitable natural or synthetic flavoring agents can be selected from standard reference books, for example Fenaroli's Handbook of Flavor Ingredients, 3rd edition (1995). Non-limiting examples of suitable natural flavors, some of which can readily be 55 simulated with synthetic agents or combinations thereof, include almond, anise, apple, apricot, bergamot, blackberry, blackcurrant, blueberry, cacao, caramel, cherry, cinnamon, clove, coffee, coriander, cranberry, cumin, dill, eucalyptus, fennel, fig, ginger, grape, grapefruit, guava, hop, lemon, 60 licorice, lime, malt, mandarin, molasses, nutmeg, mixed berry, orange, peach, pear, peppermint, pineapple, raspberry, rose, spearmint, strawberry, tangerine, tea, vanilla, wintergreen, etc. Also useful, particularly where the formulation is intended primarily for pediatric use, is tutti-frutti or bubble- 65 gum flavor, a compounded flavoring agent based on fruit flavors. Presently preferred flavoring agents include anise,

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cinnamon, cacao, orange, peppermint, cherry (in particular wild cherry), grape, bubblegum, vanilla, and mixed berry. In some embodiments, the enalapril liquid formulation described herein comprises a mixed berry flavoring agent. Flavoring agents can be used singly or in combinations of two or more.

In further embodiments, the enalapril liquid formulation comprises a coloring agent for identity and/or aesthetic purposes. Suitable coloring agents illustratively include FD&C Red No. 3, FD&C Red No. 20, FD&C Red No. 40, FD&C Yellow No. 6, FD&C Blue No. 2, FD&C Green No. 5, FD&C Orange No. 5, caramel, ferric oxide and mixtures thereof

Thickeners impart viscosity or weight to the resultant liquid forms from the enalapril formulation described herein. Exemplary thickeners include dextrin, cellulose derivatives (carboxymethylcellulose and its salts, ethylcellulose, hydroxyethyl cellulose, methylcellulose, hypromellose, and the like) starches, pectin, polyethylene glycol, polyethylene oxide, trehalose and certain gums (xanthan gum, locust bean gum, etc.). In certain embodiments, the enalapril liquid formulation comprises a thickener.

Additional excipients are contemplated in the enalapril liquid formulation embodiments. These additional excipients are selected based on function and compatibility with the enalapril liquid formulations described herein and may be found, for example in *Remington: The Science and Practice of Pharmacy*. Nineteenth Ed (Easton, Pa.: Mack Publishing Company, 1995); Hoover, John E., *Remington's Pharmaceutical Sciences*. (Easton, Pa.: Mack Publishing Co 1975); Liberman, H. A. and Lachman, L., Eds., *Pharmaceutical Dosage Forms* (New York, N.Y.: Marcel Decker 1980); and *Pharmaceutical Dosage Forms and Drug Delivery Systems*, Seventh Ed (Lippincott Williams & Wilkins 1999), herein incorporated by reference in their entirety. Stability

The main enalapril degradants are enalapril diketopiperazine and enalaprilat.

The enalapril oral liquid formulations described herein are 40 stable in various storage conditions including refrigerated, ambient and accelerated conditions. Stable as used herein refers to enalapril oral liquid formulations having about 95% or greater of the initial enalapril amount and about 5% w/w or less total impurities or related substances at the end of a given storage period. The percentage of impurities is calculated from the amount of impurities relative to the amount of enalapril. Stability is assessed by HPLC or any other known testing method. In some embodiments, the stable enalapril oral liquid formulations have about 5% w/w, about 4% w/w, about 3% w/w, about 2.5% w/w, about 2% w/w, about 1.5% w/w, about 1% w/w, or about 0.5% w/w total impurities or related substances. In other embodiments, the stable enalapril oral liquid formulations have about 5% w/w total impurities or related substances. In yet other embodiments, the stable enalapril oral liquid formulations have about 4% w/w total impurities or related substances. In yet other embodiments, the stable enalapril oral liquid formulations have about 3% w/w total impurities or related substances. In yet other embodiments, the stable enalapril oral liquid formulations have about 2% w/w total impurities or related substances. In yet other embodiments, the stable enalapril oral liquid formulations have about 1% w/w total impurities or related substances.

At refrigerated condition, the enalapril oral liquid formulations described herein are stable for at least 1 month, at least 2 months, at least 3 months, at least 6 months, at least 9 months, at least 12 months, at least 15 months, at least 18

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months, at least 24 months, at least 30 months and at least 36 months. In some embodiments, refrigerated condition is 5±3° C. In some embodiments, refrigerated condition is about 2° C., about 2.1° C., about 2.2° C., about 2.3° C., about 2.4° C., about 2.5° C., about 2.6° C., about 2.7° C., 5 about 2.8° C., about 2.9° C., about 3° C., about 3.1° C., about 3.2° C., about 3.3° C., about 3.4° C., about 3.5° C., about 3.6° C., about 3.7° C., about 3.8° C., about 3.9° C., about 4° C., about 4.1° C., about 4.2° C., about 4.3° C. about 4.4° C., about 4.5° C., about 4.6° C., about 4.7° C., 10 about 4.8° C., about 4.9° C., about 5° C., about 5.1° C., about 5.2° C., about 5.3° C., about 5.4° C., about 5.5° C., about 5.6° C., about 5.7° C., about 5.8° C., about 5.9° C., about 6° C., about 6.1° C., about 6.2° C., about 6.3° C., about 6.4° C., about 6.5° C., about 6.6° C., about 6.7° C., 15 about 6.8° C., about 6.9° C., about 7° C., about 7.1° C., about 7.2° C., about 7.3° C., about 7.4° C., about 7.5° C., about 7.6° C., about 7.7° C., about 7.8° C., about 7.9° C., or about 8° C. At accelerated conditions, the enalapril oral liquid formulations described herein are stable for at least 1 20 month, at least 2 months, at least 3 months, at least 4 months, at least 5 months, at least 6 months, at least 7 months, at least 8 months, at least 9 months, at least 10 months, at least 11 months or at least 12 months. Accelerated conditions for the enalapril oral liquid formulations described herein include 25 temperature and/or relative humidity (RH) that are at or above ambient levels (e.g. 25±5° C.; 55±10% RH). In some instances, an accelerated condition is at about 25° C., about 30° C., about 35° C., about 40° C., about 45° C., about 50° C., about 55° C. or about 60° C. In other instances, an 30 accelerated condition is above 55% RH, about 65% RH, about 70% RH, about 75% RH or about 80% RH. In further instances, an accelerated condition is about 40° C. or 60° C. at ambient humidity. In yet further instances, an accelerated condition is about 40° C. at 75±5% RH humidity. Enalapril Oral Powder Formulation

In another aspect, enalapril oral liquid formulations described herein are prepared from the reconstitution of an enalapril powder formulation. In some embodiments, the enalapril powder formulation comprising enalapril, a sweet- 40 ener, a preservative, and optionally an excipient is dissolved in water, a buffer, other aqueous solvent, or a liquid to form an enalapril oral liquid formulation. In one embodiment, the sweetening agent is sucralose. In one embodiment, the sweetener is not mannitol. In one embodiment, the sweet- 45 ening agent is xylitol. In another embodiment, the preservative is sodium benzoate. In one embodiment, the preservative is a paraben preservative. In one aspect, the enalapril powder formulation described herein comprises enalapril, sucralose, and sodium benzoate. In some embodiments, the 50 enalapril powder formulation herein further comprises a flavoring agent. In some embodiments, the enalapril powder formulation herein further comprises one or more buffering agents.

In some embodiments, enalapril or a pharmaceutically 55 acceptable salt thereof, is present in about 0.5% w/w to about 30% w/w of the powder formulation. In other embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.5% w/w, about 1% w/w, about 1.5% w/w, about 2% w/w, about 2.5% w/w, about 3% w/w, 60 about 3.5% w/w, about 4% w/w, about 4.5% w/w, about 5% w/w, about 5.5% w/w, about 6% w/w, about 6.5% w/w, about 7% w/w, about 7.5% w/w, about 8% w/w, about 8.5% w/w, about 9% w/w, about 9.5% w/w, about 10% w/w, about 10.5% w/w, about 11% w/w, about 11.5% w/w, about 12% 65 w/w, about 12.5% w/w, about 12.5% w/w, about 13.5% w/w, about 14.5% w/w, about 13.5% w/w, about 14.5% w/w, about 15% w/w, about 14.5% w/w, about 15% w/w, about 15% w/w, about 14% w/w, about 14.5% w/w, about 15% w/w, about 15% w/w, about 15% w/w, about 14% w/w, about 14.5% w/w, about 15% w/w,

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15.5% w/w, about 16% w/w, about 16.5% w/w, about 17% w/w, about 17.5% w/w, about 18% w/w, about 18.5% w/w, about 19% w/w, about 19.5% w/w, about 20% w/w, about 20.5% w/w, about 21% w/w, about 21.5% w/w, about 22% w/w, about 22.5% w/w, about 23% w/w, about 23.5% w/w, about 24% w/w, about 24.5% w/w, about 25% w/w, about 25.5% w/w, about 26% w/w, about 26.5% w/w, about 27% w/w, about 27.5% w/w, about 28% w/w, about 28.5% w/w, about 29% w/w, about 29.5% w/w, or about 30% w/w of the powder formulation. In some embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 10% w/w to about 25% w/w of the powder formulation. In some embodiments, enalapril maleate is present in about 13.5% w/w of the powder formulation. In some embodiments, enalapril maleate is present in about 19.5% w/w of the powder formulation. In some embodiments, enalapril maleate is present in about 24.5% w/w of the powder formulation. In some embodiments, enalapril is present in about 10.5% w/w of the powder formulation. In some embodiments, enalapril is present in about 14.5% w/w of the powder formulation. In some embodiments, enalapril is present in about 18% w/w of the powder formulation.

In some embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.1% w/w to about 1% w/w of the powder formulation. In other embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.1% w/w, about 0.15% w/w, about 0.2% w/w, about 0.25% w/w, about 0.3% w/w, about 0.35% w/w, about 0.4% w/w, about 0.45% w/w, about 0.5% w/w, about 0.55% w/w, about 0.6% w/w, about 0.65% w/w, about 0.7% w/w, about 0.75% w/w, about 0.8% w/w, about 0.85% w/w, about 0.9% w/w, about 0.95% w/w, or about 1% w/w of the powder formulation. In some embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.4% w/w to about 0.7% w/w of the powder formulation. In some embodiments, enalapril maleate is present in about 0.45% w/w of the powder formulation. In some embodiments, enalapril maleate is present in about 0.6% w/w of the powder formulation. In some embodiments, enalapril is present in about 0.4% w/w of the powder formulation. In some embodiments, enalapril is present in about 0.5% w/w of the powder formulation.

Various amounts and concentrations of other components (sweeteners, buffers, preservatives, and the like) in the enalapril powder formulations are found in the previous section describing the amounts and concentrations for the analogous enalapril oral liquid formulations. For example, in some embodiments where sucralose is present in about 1% w/w to about 30% w/w of the solids in the oral liquid formulation; in an analogous enalapril powder formulation, sucralose would be about 1% w/w to about 30% w/w in the powder formulation. In some embodiments where sodium benzoate is present in about 1% w/w to about 30% w/w of the solids in the oral liquid formulation, in an analogous enalapril powder formulation sodium benzoate is present in about 1% w/w to about 30% w/w in the powder formulation.

Liquid vehicles suitable for the enalapril powder formulations to be reconstituted into an oral solution described herein are selected for a particular oral liquid formulation (solution, suspension, etc.) as well as other qualities such as clarity, toxicity, viscosity, compatibility with excipients, chemical inertness, palatability, odor, color and economy. Exemplary liquid vehicles include water, ethyl alcohol, glycerin, propylene glycol, syrup (sugar or other sweetener based, e.g., Ora-Sweet® SF sugar-free flavored syrup), juices (apple, grape, orange, cranberry, cherry, tomato and the like), other beverages (tea, coffee, soft drinks, milk and

the like), oils (olive, soybean, corn, mineral, castor and the like), and combinations or mixtures thereof. Certain liquid vehicles, e.g., oil and water, can be combined together to form emissions. In some embodiments, water is used for as

form emulsions. In some embodiments, water is used for as a vehicle for a enalapril oral liquid formulation. In other 5 embodiments, a syrup is used for as a vehicle for a enalapril oral liquid formulation. In yet other embodiments, a juice is used for as a vehicle for a enalapril oral liquid formulation.

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Buffering agents maintain the pH of the liquid enalapril formulation. Non-limiting examples of buffering agents 10 include, but are not limited to sodium bicarbonate, potassium bicarbonate, magnesium hydroxide, magnesium lactate, magnesium gluconate, aluminum hydroxide, aluminum hydroxide/sodium bicarbonate co precipitate, mixture of an amino acid and a buffer, a mixture of aluminum glycinate 15 and a buffer, a mixture of an acid salt of an amino acid and a buffer, and a mixture of an alkali salt of an amino acid and a buffer. Additional buffering agents include citric acid, sodium citrate, sodium tartrate, sodium acetate, sodium carbonate, sodium polyphosphate, potassium polyphos- 20 phate, sodium pyrophosphate, potassium pyrophosphate, disodium hydrogenphosphate, dipotassium hydrogenphosphate, trisodium phosphate, tripotassium phosphate, sodium acetate, potassium metaphosphate, magnesium oxide, magnesium hydroxide, magnesium carbonate, magnesium sili- 25 cate, calcium acetate, calcium glycerophosphate, calcium chloride, calcium hydroxide, calcium lactate, calcium carbonate, calcium bicarbonate, and other calcium salts. Some buffering agents also impart effervescent qualities when a powder is reconstituted in a solution.

In some embodiments, the reconstituted oral liquid formulation comprises a buffer. In some embodiments, the buffer comprises citric acid and sodium citrate.

In further embodiments, the enalapril powder formulation described herein comprises additional excipients including, 35 but not limited to, glidants, flavoring agents, coloring agents and thickeners. Additional excipients such as bulking agents, tonicity agents and chelating agents are within the scope of the embodiments.

Glidants are substances that improve flowability of a 40 powder. Suitable glidants include, but are not limited to, calcium phosphate tribasic, calcium silicate, cellulose (powdered), colloidal silicon dioxide, magnesium silicate, magnesium trisilicate, silicon dioxide, starch, talc and the like. In some embodiments, the enalapril powder formulations 45 described herein comprise a glidant.

In another embodiment, the enalapril powder formulation described herein comprises a flavoring agent or flavorant to enhance the taste or aroma of the formulation in liquid form. Suitable natural or synthetic flavoring agents can be selected 50 from standard reference books, for example Fenaroli's Handbook of Flavor Ingredients, 3rd edition (1995). Nonlimiting examples of suitable natural flavors, some of which can readily be simulated with synthetic agents or combinations thereof, include almond, anise, apple, apricot, berga- 55 mot, blackberry, blackcurrant, blueberry, cacao, caramel, cherry, cinnamon, clove, coffee, coriander, cranberry, cumin, dill, eucalyptus, fennel, fig, ginger, grape, grapefruit, guava, hop, lemon, licorice, lime, malt, mandarin, molasses, nutmeg, mixed berry, orange, peach, pear, peppermint, pine- 60 apple, raspberry, rose, spearmint, strawberry, tangerine, tea, vanilla, wintergreen, etc. Also useful, particularly where the formulation is intended primarily for pediatric use, is tuttifrutti or bubblegum flavor, a compounded flavoring agent based on fruit flavors. Presently preferred flavoring agents 65 include anise, cinnamon, cacao, orange, peppermint, cherry (in particular wild cherry), grape, bubblegum, vanilla, and

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mixed berry. Flavoring agents can be used singly or in combinations of two or more.

In further embodiments, the enalapril powder formulation described herein comprises a coloring agent for identity and/or aesthetic purposes. Suitable coloring agents illustratively include FD&C Red No. 3, FD&C Red No. 20, FD&C Red No. 40, FD&C Yellow No. 6, FD&C Blue No. 2, D&C Green No. 5, D&C Orange No. 5, caramel, ferric oxide and mixtures thereof.

In further embodiments, the enalapril powder formulation described herein comprises a thickener. Thickeners impart viscosity or weight to the resultant liquid forms from the enalapril formulation described herein. Exemplary thickeners include dextrin, cellulose derivatives (carboxymethylcellulose and its salts, ethylcellulose, hydroxyethyl cellulose, methylcellulose, hypromellose, and the like) starches, pectin, polyethylene glycol, polyethylene oxide, trehalose and certain gums (xanthan gum, locust bean gum, etc.).

Additional excipients are contemplated in the enalapril powder formulation embodiments. These additional excipients are selected based on function and compatibility with the the enalapril powder formulation described herein and may be found, for example in *Remington: The Science and Practice of Pharmacy*. Nineteeth Ed (Easton, Pa.: Mack Publishing Company, 1995); Hoover, John E., *Remington's Pharmaceutical Sciences*. (Easton, Pa.: Mack Publishing Co 1975); Liberman, H. A. and Lachman, L., Eds., *Pharmaceutical Dosage Forms* (New York, N.Y.: Marcel Decker 1980); and *Pharmaceutical Dosage Forms and Drug Delivery Systems*, Seventh Ed (Lippincott Williams & Wilkins 1999), herein incorporated by reference in their entirety.

In some embodiments, the enalapril oral liquid formulation prepared from the powder formulations described herein are homogenous. Homogenous liquids as used herein refer to those liquids that are uniform in appearance, identity, consistency and drug concentration per volume. Non-homogenous liquids include such liquids that have varied coloring, viscosity and/or aggregation of solid particulates, as well as non-uniform drug concentration in a given unit volume. Homogeneity in liquids are assessed by qualitative identification or appearance tests and/or quantitative HPLC testing or the like. The mixing methods and excipients described herein are selected to impart a homogenous quality to a resultant enalapril oral liquid formulation.

Mixing methods encompass any type of mixing that result in a homogenous enalapril oral liquid formulation. In some embodiments, a quantity of an enalapril powder formulation is added to a liquid vehicle and then mixed by a stirring, shaking, swirling, agitation element or a combination thereof. In certain instances, a fraction of a enalapril powder formulation (i.e., one-half, one-third, one-fourth, etc.) is added to a liquid vehicle, mixed by stirring, shaking, swirling, agitation or a combination thereof, and the subsequent powder fraction(s) is added and mixed. In other embodiments, a liquid vehicle is added to an enalapril powder formulation in a container, for example, a bottle, vial, bag, beaker, syringe, or the like. The container is then mixed by stirring, shaking, swirling, agitation, inversion or a combination thereof. In certain instances, a fractional volume of the liquid vehicle (i.e., one-half, one-third, one-fourth volume, etc.) is added to a enalapril powder formulation in a container, mixed by stirring, shaking, swirling, agitation, inversion or a combination thereof, and the subsequent liquid fraction(s) is added and mixed. In certain instances, a one-half fractional volume of the liquid vehicle is added to an enalapril powder formulation in a container and mixing by shaking; the other one-half fractional volume of the

liquid vehicle is then subsequently added and mixed. In any of the above embodiments, mixing (i.e., stirring, shaking, swirling, agitation, inversion or a combination thereof) occurs for a certain time intervals such as about 10 seconds, about 20 seconds, about 30 seconds, about 45 seconds, about 60 seconds, about 90 seconds, about 120 seconds, about 2.5 minutes, about 3 minutes, about 3.5 minutes, about 4 minutes, or about 5 minutes. In embodiments, where there are two or more mixing steps, the time intervals for each mixing can be the same (e.g., 2×10 seconds) or different (e.g., 10 seconds for first mixing and 20 seconds for second mixing). In any of the above embodiments, a enalapril oral liquid formulation is allowed to stand for a period of time such as about 10 minutes, about 20 minutes, about 30 minutes, about 45 minutes, about 1 hour, about 1.5 hours or about 2 hours, to allow any air bubbles resultant from any of the mixing methods to dissipate.

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Stability of Enalapril Powder Formulation

The enalapril powder formulations described herein are 20 stable in various storage conditions including refrigerated, ambient and accelerated conditions. Stable as used herein refer to enalapril powder formulations having about 95% or greater of the initial enalapril amount and 5% w/w or less storage period. The percentage of impurities is calculated from the amount of impurities relative to the amount of enalapril. Stability is assessed by HPLC or any other known testing method. In some embodiments, the stable enalapril powder formulations have about 5% w/w, about 4% w/w, about 3% w/w, about 2.5% w/w, about 2% w/w, about 1.5% w/w, about 1% w/w, or about 0.5% w/w total impurities or related substances. In other embodiments, the stable enalapril powder formulations have about 5% w/w total impurities or related substances. In yet other embodiments, the stable enalapril powder formulations have about 4% w/w total impurities or related substances. In yet other embodiments, the stable enalapril powder formulations have about 3% w/w total impurities or related substances. In yet other 40 embodiments, the stable enalapril powder formulations have about 2% w/w total impurities or related substances. In yet other embodiments, the stable enalapril powder formulations have about 1% w/w total impurities or related sub-

At refrigerated and ambient conditions, in some embodiments, the enalapril powder formulations described herein are stable for at least 1 week, 2 weeks, 4 weeks, 6 weeks, 8 weeks, 10 weeks, 12 weeks, 16 weeks, 20 weeks, at least 24 weeks, at least 30 weeks, or at least 36 weeks. At accelerated 50 conditions, in some embodiments, the enalapril powder formulations described herein are stable for at least 1 week, at least 2 weeks, at least 3 weeks, at least 4 weeks, at least 5 weeks, at least 6 weeks, at least 7 weeks, at least 8 weeks, at least 9 weeks, at least 10 weeks, at least 11 weeks or at 55 least 12 weeks. Accelerated conditions for the enalapril powder formulations described herein include temperature and/or relative humidity (RH) that are above ambient levels (e.g. 25±4° C.; 55±10% RH). In some instances, an accelerated condition is at about 30° C., about 35° C., about 40° 60 C., about 45° C., about 50° C., about 55° C. or about 60° C. In other instances, an accelerated condition is above 65% RH, about 70% RH, about 75% RH or about 80% RH. In further instances, an accelerated condition is about 40° C. or 60° C. at ambient humidity. In yet further instances, an 65 accelerated condition is about 40° C. at 75±5% RH humid24

Kits and Articles of Manufacture

For the enalapril powder and liquid formulations described herein, kits and articles of manufacture are also described. Such kits can comprise a carrier, package, or container that is compartmentalized to receive one or more containers such as vials, tubes, and the like, each of the container(s) comprising one of the separate elements to be used in a method described herein including an enalapril powder or liquid formulation. Suitable containers include, for example, bottles, vials, syringes, and test tubes. The containers can be formed from a variety of materials such as glass or plastic.

A kit will typically may comprise one or more additional containers, each with one or more of various materials (such as reagents, optionally in concentrated form, and/or devices) desirable from a commercial and user standpoint for an enalapril powder or liquid formulation described herein. Non-limiting examples of such materials include, but not limited to, buffers, diluents, filters, needles, syringes; carrier, package, container, vial and/or tube labels listing contents and/or instructions for use, and package inserts with instructions for use associated with an enalapril powder or liquid formulation. A set of instructions will also typically be included.

A label can be on or associated with the container. A label total impurities or related substances at the end of a given 25 can be on a container when letters, numbers or other characters forming the label are attached, molded or etched into the container itself; a label can be associated with a container when it is present within a receptacle or carrier that also holds the container, e.g., as a package insert. A label can be used to indicate that the contents are to be used for a specific therapeutic application. The label can also indicate directions for use of the contents, such as in the methods described herein.

Provided herein, in one aspect, are methods of treatment comprising administration of the enalapril oral liquid formulations described herein to a subject. In some embodiments, the enalapril oral liquid formulations described herein treat hypertension in a subject. Hypertension as used herein includes both primary (essential) hypertension and secondary hypertension. In certain instances, hypertension is classified in cases when blood pressure values are greater than or equal to 140/90 (systolic/diastolic) mm Hg in a subject. In certain instances, the enalapril oral liquid formulations described herein treat a subject having a blood pressure values are greater than or equal to 140/90 mm Hg. In certain instances, the enalapril oral liquid formulations described herein treat primary (essential) hypertension in a subject. In other instances, the enalapril oral liquid formulations described herein treat secondary hypertension in a subject.

In other embodiments, the enalapril oral liquid formulations described herein treat prehypertension in a subject. Prehypertension as used herein refers to cases where a subject's blood pressure is elevated above normal but not to the level considered to be hypertension. In some instances, prehypertension is classified in cases when blood pressure values are 120-139/80-89 mm Hg. In certain instances, the enalapril oral liquid formulations described herein treat a subject having blood pressure values of 120-139/80-89 mm

In yet other embodiments, the enalapril oral liquid formulations described herein are prophylactically administered to subjects suspected of having, predisposed to, or at risk of developing hypertension. In some embodiments, the administration of enalapril oral liquid formulations described herein allow for early intervention prior to onset

of hypertension. In certain embodiments, upon detection of a biomarker, environmental, genetic factor, or other marker, the enalapril oral liquid formulations described herein are prophylactically administered to subjects.

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In further embodiments, the enalapril oral liquid formu- 5 lations described herein treat heart failure (e.g., symptomatic congestive), asymptomatic left ventricular dysfunction, myocardial infarction, diabetic nephropathy and chronic renal failure. In certain instances, the enalapril oral liquid formulations described herein treat symptomatic congestive 10 heart failure. In other instances, the enalapril oral liquid formulations described herein treat asymptomatic left ventricular dysfunction. In further instances, the enalapril oral liquid formulations described herein treat myocardial infarction. In yet further instances, the enalapril oral liquid for- 15 mulations described herein treat diabetic nephropathy. In yet further instances, the enalapril oral liquid formulations described herein treat chronic renal failure.

In one aspect, the enalapril oral liquid formulations are 20 used for the treatment of diseases and conditions described herein. In addition, a method for treating any of the diseases or conditions described herein in a subject in need of such treatment, involves administration of enalapril oral liquid formulations in therapeutically effective amounts to said 25 subject.

Dosages of enalapril oral liquid formulations described can be determined by any suitable method. Maximum tolerated doses (MTD) and maximum response doses (MRD) for enalapril and/or enalaprilat can be determined via estab- 30 lished animal and human experimental protocols as well as in the examples described herein. For example, toxicity and therapeutic efficacy of enalapril and/or enalaprilat can be determined by standard pharmaceutical procedures in cell cultures or experimental animals, including, but not limited 35 to, for determining the LD_{50} (the dose lethal to 50% of the population) and the ED_{50} (the dose therapeutically effective in 50% of the population). The dose ratio between the toxic and therapeutic effects is the therapeutic index and it can be dosages exhibiting high therapeutic indices are of interest. The data obtained from cell culture assays and animal studies can be used in formulating a range of dosage for use in human. The dosage of such compounds lies preferably within a range of circulating concentrations that include the 45 ED₅₀ with minimal toxicity. The dosage may vary within this range depending upon the dosage form employed and the route of administration utilized. Additional relative dosages, represented as a percent of maximal response or of maximum tolerated dose, are readily obtained via the pro- 50

In some embodiments, the amount of a given enalapril oral liquid formulation that corresponds to such an amount varies depending upon factors such as the particular enalapril salt or form, disease condition and its severity, the 55 identity (e.g., weight, sex) of the subject or host in need of treatment, but can nevertheless be determined according to the particular circumstances surrounding the case, including, e.g., the specific agent being administered, the liquid composition type, the condition being treated, and the subject or 60 host being treated.

In some embodiments, the enalapril oral liquid formulations described herein are provided in a dose per day from about 0.01 mg to 100 mg, from about 0.1 mg to about 80 mg, from about 1 to about 60, from about 2 mg to about 40 mg 65 of enalapril. In certain embodiments, the enalapril oral liquid formulations described herein are provided in a daily dose of

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about 0.01 mg, about 0.05 mg, about 0.1 mg, about 0.2 mg, about 0.4 mg, about 0.6 mg, about 0.8 mg, about 1 mg, about 1.5 mg, about 2 mg, about 2.5 mg, about 3 mg, about 4 mg, about 5 mg, about 6 mg, about 7 mg, about 8 mg, about 9 mg, about 10 mg, about 11 mg, about 12 mg, about 15 mg, about 20 mg, about 25 mg, about 30 mg, about 35 mg, about 40 mg, about 45 mg, about 50 mg, about 55 mg, about 60 mg, about 65 mg, about 70 mg, about 76, mg, about 80 mg, about 85 mg, about 90 mg or about 100 mg, or any range derivable therein. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 1 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 2 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 3 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 4 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 5 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 6 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 7 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 8 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 9 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 10 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 11 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 12 mg. The dose per day described herein can be given once per day or multiple times per day in the form of sub-doses given b.i.d., t.i.d., q.i.d., or the like where the number of sub-doses equal the dose per

In further embodiments, the daily dosages appropriate for expressed as the ratio between LD₅₀ and ED₅₀. Enalapril 40 the enalapril oral liquid formulations described herein are from about 0.01 to about 1.0 mg/kg per body weight. In one embodiment, the daily dosages appropriate for the enalapril oral liquid formulations are from about 0.02 to about 0.8 mg/kg enalapril per body weight. In another embodiment, the daily dosage appropriate for the enalapril oral liquid formulations are from about 0.05 to about 0.6 mg/kg per body weight. In another embodiment, the daily dosage appropriate for the enalapril oral liquid formulations is about 0.05 mg/kg, about 0.06 mg/kg, about 0.07 mg/kg, about 0.08 mg/kg, about 0.10 mg/kg, about 0.15 mg/kg, about 0.20 mg/kg, about 0.25 mg/kg, about 0.30 mg/kg, about 0.40 mg/kg, about 0.50 mg/kg, or about 0.60 mg/kg.

> In other embodiments the enalapril oral liquid formulations are provided at the maximum tolerated dose (MTD) for enalapril and/or enalaprilat. In other embodiments, the amount of the enalapril oral liquid formulations administered is from about 10% to about 90% of the maximum tolerated dose (MTD), from about 25% to about 75% of the MTD, or about 50% of the MTD. In particular embodiments, the amount of the enalapril oral liquid formulations administered is from about 5%, 10%, 15%, 20%, 25%, 30%, 35%, 40%, 45%, 50%, 55%, 60%, 65%, 70%, 75%, 80%, 85%, 90%, 95%, 99%, or higher, or any range derivable therein, of the MTD for enalapril and/or enalaprilat.

> In further embodiments, the enalapril oral liquid formulations are provided in a dosage that is similar, comparable or equivalent to a dosage of a known enalapril tablet

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formulation. In other embodiments, the enalapril oral liquid formulations are provided in a dosage that provides a similar, comparable or equivalent pharmacokinetic parameters (e.g., AUC, C_{max} , T_{max} , C_{min} , $T_{1/2}$) as a dosage of a known enalapril tablet formulation. Similar, comparable or equivalent pharmacokinetic parameters, in some instances, refer to within 80% to 125%, 80% to 120%, 85% to 125%, 90% to 110%, or increments therein, of the given values. It should be recognized that the ranges can, but need not be symmetrical, e.g., 85% to 105%.

Administration

Administration of an enalapril oral liquid formulation is at a dosage described herein or at other dose levels and formulations determined and contemplated by a medical practitioner. In certain embodiments, the enalapril oral liquid 15 formulations described herein are administered for prophylactic and/or therapeutic treatments. In certain therapeutic applications, the enalapril oral liquid formulations are administered to a patient already suffering from a disease, e.g., hypertension, in an amount sufficient to cure the disease 20 or at least partially arrest or ameliorate the symptoms, e.g., lower blood pressure. Amounts effective for this use depend on the severity of the disease, previous therapy, the patient's health status, weight, and response to the enalapril formulations, and the judgment of the treating physician. Thera- 25 peutically effective amounts are optionally determined by methods including, but not limited to, a dose escalation clinical trial.

In prophylactic applications, the enalapril oral liquid formulations described herein are administered to a patient 30 susceptible to or otherwise at risk of a particular disease, e.g., hypertension. Such an amount is defined to be a "prophylactically effective amount or dose." In this use, the precise amounts also depend on the patient's state of health, weight, and the like. When used in a patient, effective 35 amounts for this use will depend on the risk or susceptibility of developing the particular disease, previous therapy, the patient's health status and response to the enalapril formulations, and the judgment of the treating physician.

In certain embodiments wherein the patient's condition 40 does not improve, upon the doctor's discretion the administration of an enalapril oral liquid formulations described herein are administered chronically, that is, for an extended period of time, including throughout the duration of the patient's life in order to ameliorate or otherwise control or 45 limit the symptoms of the patient's disease. In other embodiments, administration of an enalapril oral liquid formulation continues until complete or partial response of a disease.

In certain embodiments wherein a patient's status does improve, the dose of an enalapril oral liquid formulation 50 being administered may be temporarily reduced or temporarily suspended for a certain length of time (i.e., a "drug holiday"). In specific embodiments, the length of the drug holiday is between 2 days and 1 year, including by way of example only, 2 days, 3 days, 4 days, 5 days, 6 days, 7 days, 55 10 days, 12 days, 15 days, 20 days, 28 days, 35 days, 50 days, 70 days, 100 days, 120 days, 150 days, 180 days, 200 days, 250 days, 280 days, 300 days, 320 days, 350 days, and 365 days. The dose reduction during a drug holiday is, by way of example only, by 10%-100%, including by way of example only 10%, 15%, 20%, 25%, 30%, 35%, 40%, 45%, 50%, 55%, 60%, 65%, 70%, 75%, 80%, 85%, 90%, 95%, and 100%.

In some embodiments, enalapril oral liquid formulations described herein are administered chronically. For example, 65 in some embodiments, an enalapril oral liquid formulation is administered as a continuous dose, i.e., administered daily to

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a subject. In some other embodiments, enalapril oral liquid formulations described herein are administered intermittently (e.g. drug holiday that includes a period of time in which the formulation is not administered or is administered in a reduced amount).

In some embodiments an enalapril oral liquid formulation is administered to a subject who is in a fasted state. A fasted state refers to a subject who has gone without food or fasted for a certain period of time. General fasting periods include at least 4 hours, at least 6 hours, at least 8 hours, at least 10 hours, at least 12 hours, at least 14 hours and at least 16 hours without food. In some embodiments, an enalapril oral liquid formulation is administered orally to a subject who is in a fasted state for at least 8 hours. In other embodiments, an enalapril oral liquid formulation is administered to a subject who is in a fasted state for at least 10 hours. In yet other embodiments, an enalapril oral liquid formulation is administered to a subject who is in a fasted state for at least 12 hours. In other embodiments, an enalapril oral liquid formulation is administered to a subject who has fasted overnight.

In other embodiments an enalapril oral liquid formulation is administered to a subject who is in a fed state. A fed state refers to a subject who has taken food or has had a meal. In certain embodiments, an enalapril oral liquid formulation is administered to a subject in a fed state 5 minutes post-meal, 10 minutes post-meal, 15 minutes post-meal, 20 minutes post-meal, 30 minutes post-meal, 40 minutes post-meal, 50 minutes post-meal, 1 hour post-meal, or 2 hours post-meal. In certain instances, an enalapril oral liquid formulation is administered to a subject in a fed state 30 minutes post-meal. In other instances, an enalapril oral liquid formulation is administered to a subject in a fed state 1 hour post-meal. In yet further embodiments, an enalapril oral liquid formulation is administered to a subject with food.

In further embodiments described herein, an enalapril oral liquid formulation is administered at a certain time of day for the entire administration period. For example, an enalapril oral liquid formulation can be administered at a certain time in the morning, in the evening, or prior to bed. In certain instances, an enalapril oral liquid formulation is administered in the morning. In other embodiments, an enalapril oral liquid formulation can be administered at different times of the day for the entire administration period. For example, an enalapril oral liquid formulation can be administered on 8:00 am in the morning for the first day, 12 pm noon for the next day or administration, 4 pm in the afternoon for the third day or administration, and so on.

Further Combinations

The treatment of certain diseases or conditions (e.g., hypertension, heart failure, myocardial infarction and the like) in a subject with an enalapril oral liquid formulation described herein encompass additional therapies and treatment regimens with other agents in some embodiments. Such additional therapies and treatment regimens can include another therapy, e.g., additional anti-hypertensives, for treatment of the particular disease or condition in some embodiments. Alternatively, in other embodiments, additional therapies and treatment regimens include other agents used to treat adjunct conditions associated with the disease or condition or a side effect from the enalapril oral liquid formulation in the therapy.

Additional agents for use in combination with an enalapril oral liquid formulation described herein include, but are not limited to, diuretics (loop, thiazide, potassium-sparing, and the like), beta blockers (metoprolol, propanolol, pronethalol, and the like), alpha blockers (phentolamine, phenoxyben-

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zamine, tamsulosin, prazosin, and the like), mixed alpha and beta blockers (bucindolol, carvedilol, labetalol), calcium channel blockers (dihydropyridines such as nifedipine, amlodipine, etc., dilitazem, verapamil and the like), angiotensin II receptor antagonists (saralasin, lsartan, eprosartin, 5 irbesartan, valsartan, and the like), other ACE inhibitors (captopril, quinapril, ramipril, lisinopril, zofenopril, and the like), aldosterone antagonists (eplerenone, spironolactone and the like), vasodilators (hydralazine and the like) and alpha-2 agonists (clonidine, moxonidine, guanabenz and the like).

Certain Definitions

Unless defined otherwise, all technical and scientific terms used herein have the same meanings as commonly understood by one of ordinary skill in the art. Although any 15 methods and materials similar or equivalent to those described herein can be used in the practice or testing of embodiments described herein, certain preferred methods, devices, and materials are now described.

As used herein and in the appended claims, the singular 20 forms "a", "an", and "the" include plural reference unless the context clearly dictates otherwise. Thus, for example, reference to "an excipient" is a reference to one or more excipients and equivalents thereof known to those skilled in the art, and so forth.

The term "about" is used to indicate that a value includes the standard level of error for the device or method being employed to determine the value. The use of the term "or" in the claims is used to mean "and/or" unless explicitly indicated to refer to alternatives only or the alternatives are 30 mutually exclusive, although the disclosure supports a definition that refers to only alternatives and to "and/or." The terms "comprise," "have" and "include" are open-ended linking verbs. Any forms or tenses of one or more of these verbs, such as "comprises," "comprising," "has," "having," 35 "includes" and "including," are also open-ended. For example, any method that "comprises," "has" or "includes" one or more steps is not limited to possessing only those one or more steps and also covers other unlisted steps.

"Optional" or "optionally" may be taken to mean that the 40 subsequently described structure, event or circumstance may or may not occur, and that the description includes instances where the events occurs and instances where it does not.

As used herein, the term "therapeutic" means an agent utilized to treat, combat, ameliorate, prevent or improve an 45 unwanted condition or disease of a patient. In some embodiments, a therapeutic agent such as enalapril is directed to the treatment and/or the amelioration of, reversal of, or stabilization of the symptoms of hypertension described herein.

"Administering" when used in conjunction with a therapeutic means to administer a therapeutic systemically or locally, as directly into or onto a target tissue, or to administer a therapeutic to a patient whereby the therapeutic positively impacts the tissue to which it is targeted. Thus, as used herein, the term "administering", when used in conjunction with an enalapril formulation, can include, but is not limited to, providing an enalapril formulation into or onto the target tissue; providing an enalapril formulation systemically to a patient by, e.g., oral administration whereby the therapeutic reaches the target tissue or cells. "Administering" a formulation may be accomplished by injection, topical administration, and oral administration or by other methods alone or in combination with other known techniques.

The term "animal" as used herein includes, but is not 65 limited to, humans and non-human vertebrates such as wild, domestic and farm animals. As used herein, the terms

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"patient," "subject" and "individual" are intended to include living organisms in which certain conditions as described herein can occur. Examples include humans, monkeys, cows, sheep, goats, dogs, cats, mice, rats, and transgenic species thereof. In a preferred embodiment, the patient is a primate. In certain embodiments, the primate or subject is a human. In certain instances, the human is an adult. In certain instances, the human is child. In further instances, the human is 12 years of age or younger. In certain instances, the human is elderly. In other instances, the human is 60 years of age or older. Other examples of subjects include experimental animals such as mice, rats, dogs, cats, goats, sheep, pigs, and cows. The experimental animal can be an animal model for a disorder, e.g., a transgenic mouse with hypertensive pathology. A patient can be a human suffering from hypertension, or its variants or etiological forms.

By "pharmaceutically acceptable", it is meant the carrier, diluent or excipient must be compatible with the other ingredients of the formulation and not deleterious to the recipient thereof.

The term "pharmaceutical composition" shall mean a composition comprising at least one active ingredient, whereby the composition is amenable to investigation for a specified, efficacious outcome in a mammal (for example, without limitation, a human). Those of ordinary skill in the art will understand and appreciate the techniques appropriate for determining whether an active ingredient has a desired efficacious outcome based upon the needs of the artisan.

A "therapeutically effective amount" or "effective amount" as used herein refers to the amount of active compound or pharmaceutical agent that elicits a biological or medicinal response in a tissue, system, animal, individual or human that is being sought by a researcher, veterinarian, medical doctor or other clinician, which includes one or more of the following: (1) preventing the disease; for example, preventing a disease, condition or disorder in an individual that may be predisposed to the disease, condition or disorder but does not yet experience or display the pathology or symptomatology of the disease, (2) inhibiting the disease; for example, inhibiting a disease, condition or disorder in an individual that is experiencing or displaying the pathology or symptomatology of the disease, condition or disorder (i.e., arresting further development of the pathology and/or symptomatology), and (3) ameliorating the disease; for example, ameliorating a disease, condition or disorder in an individual that is experiencing or displaying the pathology or symptomatology of the disease, condition or disorder (i.e., reversing the pathology and/or symptomatology). As such, a non-limiting example of a "therapeutically effective amount" or "effective amount" of a formulation of the present disclosure may be used to inhibit, block, or reverse the activation, migration, or proliferation of cells or to effectively treat hypertension or ameliorate the symptoms of hypertension.

The terms "treat," "treated," "treatment," or "treating" as used herein refers to both therapeutic treatment in some embodiments and prophylactic or preventative measures in other embodiments, wherein the object is to prevent or slow (lessen) an undesired physiological condition, disorder or disease, or to obtain beneficial or desired clinical results. For the purposes described herein, beneficial or desired clinical results include, but are not limited to, alleviation of symptoms; diminishment of the extent of the condition, disorder or disease; stabilization (i.e., not worsening) of the state of the condition, disorder or disease; delay in onset or slowing of the progression of the condition, disorder or disease state; and remission (whether partial or total), whether detectable or

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undetectable, or enhancement or improvement of the condition, disorder or disease. Treatment includes eliciting a clinically significant response without excessive levels of side effects. Treatment also includes prolonging survival as compared to expected survival if not receiving treatment. A prophylactic benefit of treatment includes prevention of a condition, retarding the progress of a condition, stabilization of a condition, or decreasing the likelihood of occurrence of a condition. As used herein, "treat," "treated," "treatment," or "treating" includes prophylaxis in some embodiments.

EXAMPLES

Example A: Effect of pH on the Formation of Degradants in Enalapril Formulations at 60° C.

Formulations were prepared containing enalapril maleate according to Table A-1. The pH of each solution was recorded. Five milliliters of each formulation were transferred to each of four 3-dram glass screw-capped vials with Teflon inserts in the caps. The vials were placed into a 60° C. heating chamber then one vial removed and analyzed by HPLC at times of zero, ~97 and ~180 hours.

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Example B: Effect of Buffer Concentration on the Formation of Degradants in Enalapril Formulations at 60° C.

Formulations were prepared containing enalapril maleate according to Table B-1. The pH of each solution was measured and adjusted as needed to pH 3.3 with ~1N HCl or ~0.5N NaOH. Five milliliters of each formulation were transferred to each of six 3-dram glass screw-capped vials with Teflon inserts in the caps. The vials were placed into a 60° C. heating chamber then two vials were removed and analyzed by HPLC at times of zero, ~66 and ~139 hours.

TABLE B-1

5	Formulation (in m Formulations at Varyin			ions
			Formulation	
0	Component	B1 (5 mM citrate)	B2 (10 mM citrate)	B3 (20 mM citrate)
	Enalapril maleate Citric acid, anhydrous	1.0 0.82	1.0 1.65	1.0 3.29

TABLE A-1

Formulation	(in	mg/r	nL) o	f E	nalapril	1 F	ormulations	at Varying	
	рΗ	and .	Citrat	e F	Buffer C	on	centration		

	Formulation (mM citrate)							
Component	A1 (50)	A2 (50)	A3 (50)	A4 (50)	A5 (50)	A6 (25)		
Enalapril maleate	1.0	1.0	1.0	1.0	1.0	1.0		
Mannitol	50	50	50		50	6.0		
Xylitol				50				
Citric acid, anhydrous	7.35	5.05	2.55	5.05	5.05	2.76		
Sodium citrate, dihydrate	3.45	7.0	10.8	7.0	7.0	3.15		
Sodium benzoate	1	1	1	1	1			
Methylparaben sodium					1.75	0.335		
Propylparaben sodium						0.095		
Potassium sorbate						1		
Sucralose	0.75	0.75	0.75	0.75	0.75	0.75		
Silicon dioxide						0.075		
Mixed berry flavor (powdered)	0.5	0.5	0.5	0.5	0.5	0.5		
Water	qs	qs	qs	qs	qs	qs		
pH	3.4	4.4	5.2	4.4	4.5	4.4		

qs = sufficient quantity

The results of the HPLC analysis for the two main degradants in the samples, enalapril diketopiperazine and enalaprilat, are provided in Table A-2.

TABLE A-2

	Primary Degradants Present in the Formulations (% w/w of enalapril maleate)									
-			Formu	llation						
Hours at 60° C.	A1	A2	A3	A4	A5	A 6				
Enalapril Diketopiperazine										
0	0.04	0.03	0.03	0.03	0.03	0.03				
97	3.10	0.88	0.33	0.86	0.70	0.53				
180	6.21	1.77	0.75	1.73	1.43	1.07				
		Ena	laprilat							
0	0.09	0.15	0.29	0.14	0.16	0.12				
97	5.20	16.9	47.4	16.1	20.3	15.6				
180	9.94	34.8	113	33.5	42.2	31.7				

TABLE B-1-continued

Formulation (in mg/mL) of Enalapril Maleate
Formulations at Varying Citrate Buffer Concentrations

	Formulation				
Component	B1 (5 mM citrate)	B2 (10 mM citrate)	B3 (20 mM citrate)		
Sodium citrate, anhydrous	0.19	0.38	0.75		
Sodium benzoate	1.0	1.0	1.0		
Sucralose	0.7	0.7	0.7		
Mixed berry flavor (powdered)	0.5	0.5	0.5		
Water	qs	qs	qs		
pH	3.3	3.3	3.3		

qs = sufficient quantity

The results of the HPLC analysis for the two main degradants in the samples, enalapril diketopiperazine and enalaprilat, are provided in Table B-2.

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33 TABLE B-2

Primary Degradants Present in the Formulations (% w/w of enalapril maleate)										
Hours		Formulation								
at 60° C.	B1 (5 mM citrate)	B2 (10 mM citrate)	B3 (20 mM citrate)							
	Enalapr	il Diketopiperazine								
0	0.01	0.01	0.01							
66	1.57	1.63	1.79							
139	3.70	3.94	4.24							
		Enalaprilat								
0	0 0.00 0.00 0.00									
66	2.98	2.88	3.19							
139	5.28	5.23	5.69							

Example C: Stability of Enalapril Maleate Formulations Containing Paraben Preservatives

Powder formulations were prepared according to Table C-1. All components in each formulation except mannitol or xylitol were added to a 2.5 liter polypropylene screw capped bottle. The bottle was mixed by inversion in a Turbula® 25 mixer for 5 minutes. The mannitol or xylitol was then added and the components mixed for 5 minutes, then the other half of the mannitol or xylitol was added and a final mix of 5 minutes was completed.

One liter of solution formulation was prepared for each formulation by adding an appropriate amount of each powdered formulation to a 1 liter volumetric flask and adding about 500 mL water. The powder was dissolved with mixing then the contents of the flask were brought to 1 liter with additional water. The amount of powder to add was determined such that the final concentration of enalapril maleate was 1.0 mg/mL. Fifty milliliter aliquots of each formulation were placed into HDPE bottles. The bottles were screwcapped and placed into storage at 5° C.±3° C., at room temperature (19-23° C.) and at 40° C.±2° C. At various times, bottles were removed from the storage condition and analyzed.

TABLE C-1

Composition	of Enalar	ril Maleat	te Formul	ations	
Component	C1	C2	С3	C4	C5
Po	wder Form	ıulation (g	rams)		
Enalapril maleate	12.3	12.3	8.86	2.16	2.16
Mannitol	74.4	74.4	394.0		
Xylitol				96.6	93.7
Citric acid, anhydrous	28.6	35.6	28.4	5.40	5.40
Sodium citrate,	24.5	14.7	7.73	4.10	4.10
anhydrous Sodium methylparaben	4.17	4.17	8.86	2.16	2.16
Sodium propylparaben	1.10	1.10			
Potassium sorbate	12.3	12.3			
Sodium benzoate			8.86	2.16	2.16
Xanthan Gum					1.62
Colloidal silicon dioxide	0.859	0.859	4.43		1.08
Sucralose	9.20	9.20	6.64	1.62	1.62
Mixed berry flavor	6.13	6.13	4.43	1.08	1.08
Total solids	173.5	170.7	472.3	115.2	115.2
Liq	uid Formu	lations (m	g/mL)		
Enalapril maleate	1.00	1.00	1.00	1.00	1.00
Mannitol	6.07	6.07	44.5		

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TABLE C-1-continued

Composition of Enalapril Maleate Formulations									
Component	C1	C2	C3	C4	C5				
Xylitol				44.7	43.4				
Citric acid, anhydrous	2.33	2.90	3.21	2.50	2.50				
Sodium citrate, anhydrous	2.00	1.20	0.87	1.90	1.90				
Sodium methylparaben	0.34	0.34	1.00	1.00	1.00				
Sodium propylparaben	0.09	0.09	1.00						
Potassium sorbate	1.00	1.00							
Sodium benzoate			1.00	1.00	1.00				
Xanthan Gum					0.75				
Colloidal silicon dioxide	0.07	0.07	0.50		0.50				
Sucralose	0.75	0.75	0.75	0.75	0.75				
Mixed berry flavor	0.50	0.50	0.50	0.50	0.50				
pH (measured)	4.4	3.8	3.7	4.4	4.6				

The results of the HPLC analysis for the diketopiperazine and enalaprilat degradants in the samples are provided in Table C-2.

TABLE C-2

	Degradant Content After Storage (% w/w of enalapril maleate)								
,		Sto	rage	Formulation					
		° C.	Weeks	C1	C2	СЗ	C4	C5	
			Liquid F	ormulat	ions				
	Diketopiperazine	5	0	0.03	0.04	0.04	0.02	0.02	
,		_	4	0.02	0.03	0.03	0.03	0.02	
			8	0.03	0.04	0.04			
		19-23	0	0.03	0.04	0.04	0.02	0.02	
			4	0.05	0.09	0.11	0.05	0.04	
			8	0.08	0.17	0.19			
		40	0	0.03	0.04	0.04	0.02	0.02	
•			4	0.35	0.91	1.10	0.31	0.21	
			8	0.65	1.80	2.05			
	Enalaprilat	5	0	0.18	0.14	0.12	0.13	0.19	
			4	0.18	0.15	0.12	0.43	0.53	
			8	0.55	0.38	0.34			
		19-23	0	0.18	0.14	0.12	0.13	0.19	
)			4	1.35	0.83	0.80	1.75	2.29	
			8	3.34	2.06	1.98			
		40	0	0.18	0.14	0.12	0.13	0.19	
			4	10.49	6.08	6.11	12.30	16.14	
			8	24.37	14.12	14.22			

Example D: Stability of Enalapril Maleate Formulations Containing Benzoate Preservative

Powder formulations were prepared according to Table D-1. All components in each formulation except enalapril maleate and mannitol or xylitol were blended with a mortar and pestle. The enalapril maleate was then triturated with the blend. The xylitol or mannitol was then triturated into the blend using a geometric dilution technique.

One liter of solution formulation was prepared for each formulation by adding an appropriate amount of each powdered formulation to a 1 liter volumetric flask and adding about 500 mL water. The powder was dissolved with mixing then the contents of the flask were brought to 1 liter with additional water. The amount of powder to add was determined such that the final concentration of enalapril maleate was 1.0 mg/mL. Fifty milliliter aliquots of each formulation were placed into HDPE bottles. The bottles were screwcapped and placed into storage at 5° C.±3° C., at room temperature (19-23° C.) and at 40° C.±2° C. At various times, bottles were removed from the storage condition and analyzed.

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TABLE D-1

Composition of E	nalapril N	Ialeate Fo	rmulation	s		
Component	D1	D2	D3	D4	D5	D6
Powder	Formulati	on (grams	s)			
Enalapril maleate	3.63	3.63	3.63	3.63	8.86	2.16
Xylitol	537.2	176.1		537.2		
Mannitol			319.4		401.2	98.9
Citric acid, anhydrous	11.9	11.9	11.9	10.4	26.6	6.48
Sodium citrate, anhydrous	2.72	2.72	2.72	4.86	11.3	2.76
Sodium benzoate	3.63	3.63	3.63	3.63	8.86	2.16
Rebalance X60 (sucralose and maltodextrin)		10.9				
Sucralose					6.64	1.62
Saccharin sodium			7.26			
Colloidal silicon dioxide					4.43	
Mixed berry flavor	1.82	1.82	1.82	1.82	4.43	1.08
Total solids	561	211	350.	561	472.3	115.2
Liquid F	ormulatio	ns (mg/ml	L)			
Enalapril maleate	1.00	1.00	1.00	1.00	1.00	1.00
Xylitol	148.0	48.5		148.0		
Mannitol			88.0		45.3	45.8
Citric acid, anhydrous	3.29	3.29	3.29	2.85	3.00	3.00
Sodium citrate, anhydrous	0.75	0.75	0.75	1.34	1.28	1.28
Sodium benzoate	1.00	1.00	1.00	1.00	1.00	1.00
Rebalance X60 (sucralose and maltodextrin)		3.00				
Sucralose					0.75	0.75
Saccharin sodium			2.00			
Colloidal silicon dioxide					0.50	
Mixed berry flavor	0.50	0.50	0.50	0.50	0.50	0.50
pH (measured)	3.2	3.2	3.4	3.7	3.6	3.6

The results of the HPLC analysis for the diketopiperazine and enalaprilat degradants in the samples are provided in Table D-2.

TABLE D-2

				(% w/w				
	Sto	rage			Form	ulation		
	° C.	Weeks	D1	D2	D3	D4	D5	D6
		Liq	uid Forn	nulations				
Diketopiperazine	5	0	0.04	0.02	0.03	0.03	0.04	0.04
1 1		4	0.07	0.03	0.05	0.05	0.03	
		8	0.11	0.06	0.08	0.08	0.05	
		12	0.08	0.04	0.06	0.06		
		26	0.11	0.07	0.09	0.07		
	19-23	0	0.04	0.02	0.03	0.03	0.04	0.04
		4	0.27	0.21	0.24	0.16	0.12	0.12
		8	0.50	0.41	0.47	0.30	0.21	0.22
		12	0.62	0.52	0.58	0.35		
		26	1.39	1.20	1.33	0.76		
	40	0	0.04	0.02	0.03	0.03	0.04	0.04
		4	2.87	2.32	2.73	1.57	1.21	1.13
		8	5.13	4.42	5.44	2.97	2.23	2.16
		12	6.86	5.90	6.90	3.91		
		26	13.63	12.18	13.56	7.74		
Enalaprilat	5	0	0.03	0.02	0.03	0.03	0.13	0.14
		4	0.15	0.12	0.06	0.17	0.13	
		8	0.22	0.19	0.22	0.27	0.34	
		12	0.20	0.17	0.19	0.22		
		8	0.32	0.30	0.30	0.39		
	19-23	0	0.03	0.02	0.03	0.03	0.13	0.14
		4	0.69	0.66	0.69	0.86	0.74	0.76
		8	1.38	1.33	1.41	1.68	1.83	1.82
		12	1.71	1.68	1.73	2.15		
		26	3.63	3.61	3.59	4.55		

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TABLE D-2-continued

Degradant Content After Storage (% w/w of enalapril maleate)								
	Sto	rage	Formulation					
	° C.	Weeks	D1	D2	D3	D4	D5	D6
	40	0 4 8 12 26	0.03 4.76 8.95 11.01 17.18	0.02 4.42 8.64 10.64 17.11	0.03 4.76 9.61 11.41 18.30	0.03 6.45 12.94 16.16 27.36	0.13 5.55 12.73	0.14 5.24 12.18

Example E: Stability of Solution Formulations of Enalapril Maleate

Solution formulations were prepared according to Table E-1. Thirty milliliter aliquots of each formulation were placed into HDPE bottles. The bottles were screw-capped and placed into storage at 5° C. $\pm 3^{\circ}$ C., at room temperature 20 (19-23° C.) and at 40° C. $\pm 2^{\circ}$ C. At various times, bottles were removed from the storage condition and analyzed.

Composition of Enalapril Maleate Formulations (mg/mL)								
Component	E1	E2	Е3	E4	E5	E6		
Enalapril maleate Xylitol	1.00 150	1.00 200	1.00	1.00 150	1.00	1.00		

-continued

15	5									
	Composition of Enalapril Maleate Formulations (mg/mL)									
	Component	E1	E2	E3	E4	E5	E6			
20	Citric acid anhydrous	3.29	3.29	3.29	3.29	1.65	0.82			
	Sodium citrate anhydrous	0.75	0.75	0.75	0.75	0.38	0.19			
	Sodium benzoate	1.00	1.00	1.00	1.00	1.00	1.00			
	Sucralose			0.70		0.70	0.70			
	Mixed berry flavor	0.50		0.50	0.50	0.50	0.50			
	Water	qs	qs	qs	qs	qs	qs			
25	pH (measured)	3.3	3.3	3.3	3.4	3.3	3.3			

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qs = sufficient quantity

The results of the HPLC analysis for the diketopiperazine and enalaprilat degradants in the samples are provided in Table E-2.

TABLE E-2

Degra	adant Con	tent After	Storage	(% w/w	of enala	pril male	eate)	
	Sto	rage	Formulation					
	° C.	Weeks	E1	E2	E3	E4	E5	E6
Diketopiperazine	5	0	0.01	0.01	0.01	0.01	0.01	0.01
		4	0.04	0.04	0.05	0.04	0.03	0.03
		8	0.04	0.04	0.04	0.04	0.03	0.03
		12	0.05	0.05	0.04	0.05	0.04	0.04
		26	0.07	0.06	0.05	0.06	0.05	0.05
		52					0.15	0.14
		62	0.18	0.18	0.16	0.14		
	19-23	0	0.01	0.01	0.01	0.01	0.01	0.01
		4	0.22	0.23	0.21	0.20	0.16	0.15
		8	0.35	0.35	0.32	0.31	0.29	0.28
		12	0.58	0.59	0.53	0.51	0.48	0.45
		26	1.10	1.10	1.00	0.95	0.97	0.92
		52					2.30	2.15
		62	3.02	3.04	2.75	2.64		
	40	0	0.01	0.01	0.01	0.01	0.01	0.01
		4	2.65	2.71	2.60	2.42	1.76	1.68
		8	4.02	3.99	3.99	3.62	3.37	3.13
		12	6.72	6.42	6.47	6.00	5.53	5.29
Enalaprilat	5	0	0.00	0.00	0.01	0.02	0.00	0.00
•		4	0.07	0.09	0.10	0.11	0.07	0.08
		8	0.12	0.14	0.10	0.13	0.09	0.08
		12	0.16	0.15	0.15	0.17	0.14	0.11
		26	0.31	0.30	0.29	0.31	0.27	0.24
		52					0.54	0.46
		62	0.75	0.75	0.74	0.71		
	19-23	0	0.00	0.00	0.01	0.02	0.00	0.00
		4	0.65	0.65	0.68	0.70	0.50	0.46
		8	1.17	1.19	1.20	1.23	1.03	0.95
		12	1.67	1.69	1.72	1.80	1.30	1.21
		26	3.36	3.38	3.42	3.57	3.07	2.90
		52					6.32	5.88
		62	7.99	8.02	8.04	8.57		

TABLE E-2-continued

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Degradant Content After Storage (% w/w of enalapril maleate) Storage Formulation ° C. Weeks E1 E2 E5 E3 E4 E6 0.01 0.02 0.00 4.85 4.93 5.19 5.42 3.33 3.25 8.08 8.06 8.56 9.01 6.35 6.65 12 10.70 10.48 11.01 11.97 8.14 7.96

Example F: Effect of pH on the Formation of Degradants in Enalapril Formulations at 5° C. and 19-23° C.

The content of enalapril diketopiperazine and enalaprilat that were formed after 8 weeks of storage for formulations C1-C3 and D1-D5 are plotted in FIG. 1 (5° C. \pm 3° C.) and FIG. 2 (19-23° C. storage). These formulations all contained 20 mM total citrate buffer content, but with varying pH. The general effects of formulation pH on the formation of the two main enalapril degradants are shown.

Example G: Antimicrobial Effectiveness Testing of Enalapril Maleate Formulations at pH 3.3

Enalapril formulations were prepared containing differing amounts of the antimicrobial preservative, sodium benzoate. The formulations were then tested for antimicrobial effectiveness (AET) according to the procedures in the 2014 United States Pharmacopeia 37, Chapter <51> for category 3 products. The formulation of the formulations and the AET results are included in Table G-1.

TABLE G-1

Formulat	ion and AE	T Testing	Results			
	Formulation					
	G1	G2	G3	G4	G5	
F	ormulation	(mg/mL)				
Enalapril maleate	1.00	1.00	1.00	1.00	1.00	
Xylitol	150	150	150	150		
Sucralose					0.70	
Citric acid, anhydrous	1.64	1.64	1.64	1.64	1.80	
Sodium citrate, anhydrous	0.322	0.322	0.322	0.322		
Sodium citrate, dihydrate					0.165	
Sodium benzoate	1.00	0.80	0.60	0.40	1.0	
Mixed berry flavor	0.50	0.50	0.50	0.50	0.50	
Water	q.s.	q.s.	q.s.	q.s.	q.s.	
HCl/NaOH		as need	to achiev	e pH		
Measured pH	3.3	3.3	3.3	3.3	3.3	
	AET R	esults				
USP <51>	Pass	Pass	Pass	Pass	Pass	

qs = sufficient quantity

Example H: Clinical Trial: Bioavailability Study of 10 mg Enalapril Maleate Oral Solution Vs. 10 mg Epaned® Powder for Oral Solution (Reconstituted) Under Fasted Conditions

The objective of this open-label, randomized, two-period, two-treatment, two-way crossover study was to compare the 65 oral bioavailability of a test formulation of 10 mL of enalapril maleate oral solution, 1 mg/mL (formulation E-5),

to an equivalent oral dose of the commercially available comparator product, Epaned® (enalapril maleate) Powder for Oral Solution, 1 mg/mL, when administered under fasted conditions in healthy adults.

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Study design: Thirty-two healthy adult subjects received a single 10 mL dose of enalapril maleate oral solution, 1 mg/mL, formulation E-5 (Treatment A), in one period and a separate single dose of Epaned Powder for Oral Solution (reconstituted with the supplied Ora-Sweet SF), 1 mg/mL (Treatment B) in another period. Each treatment was administered after an overnight fast of at least 10 hours, followed by a 4-hour fast postdose. Each treatment was administered via a 10 mL oral dosing syringe and followed with 240 mL of room temperature tap water. Each drug administration was separated by a washout period of at least 7 days.

During each study period, meals were the same and scheduled at approximately the same times relative to dose. In addition, during each period, blood samples were obtained prior to and following each dose at selected times through 72 hours postdose. Pharmacokinetic samples were analyzed for enalapril and its metabolite enalaprilat using a validated analytical method; appropriate pharmacokinetic parameters were calculated for each formulation using noncompartmental methods. Blood was also drawn and urine collected for clinical laboratory testing at screening and at the end of the study.

Statistical Methods: The concentration-time data were analyzed using noncompartmental methods in PhoenixTM WinNonlin® (Version 6.3, Pharsight Corporation). Concentration-time data that were below the limit of quantitation (BLQ) were treated as zero in the data summarization and 45 descriptive statistics. In the pharmacokinetic analysis, BLQ concentrations were treated as zero from time-zero up to the time at which the first quantifiable concentration was observed; embedded and/or terminal BLQ concentrations were treated as "missing". Actual sample times were used 50 for all pharmacokinetic and statistical analyses. Analysis of variance (ANOVA) and the Schuirmann's two one-sided t-test procedures at the 5% significance level were applied to the log-transformed pharmacokinetic exposure parameters, C_{max}, AUC_{last}, and AUC_{inf}. The 90% confidence interval for 55 the ratio of the geometric means (Test/Reference) was calculated. Bioequivalence was declared if the lower and upper confidence intervals (CIs) of the log-transformed parameters were within 80% to 125% for enalapril and enalaprilat.

Results: A total of 32 subjects participated in the study and 29 of these subjects completed both study periods. Based on the geometric mean ratios of enalapril and enalaprilat AUCs (AUC $_{last}$ and AUC $_{inf}$), the bioavailability of the enalapril maleate oral solution (formulation E-5) relative to the Epaned Powder for Oral Solution (reconstituted) was approximately 105% to 110%. The geometric mean ratios of enalapril and enalaprilat Cm were approximately 115% and

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109%, respectively. The 90% CI for comparing the maximum exposure to enalapril and enalaprilat, based on ln (C_{max}), was within the accepted 80% to 125% limits. The 90% CIs for comparing total systemic exposure to enalapril and enalaprilat, based on ln (AUC_{last}) and ln (AUC_{imj}), was within the accepted 80% to 125% limits. Therefore, the test formulation of enalapril maleate oral solution, 1 mg/mL, is bioequivalent to the reference product, Epaned Powder for Oral Solution (reconstituted), 1 mg/mL, under fasted conditions.

While preferred embodiments of the present invention have been shown and described herein, it will be obvious to those skilled in the art that such embodiments are provided by way of example only. Numerous variations, changes, and substitutions will now occur to those skilled in the art 15 without departing from the invention. It should be understood that various alternatives to the embodiments of the invention described herein may be employed in practicing the invention. It is intended that the following claims define the scope of the invention and that methods and structures within the scope of these claims and their equivalents be covered thereby.

What is claimed is:

- 1. An oral liquid formulation, comprising:
- (i) about 0.6 to about 1.2 mg/ml enalapril or a pharma- ²⁵ ceutically acceptable salt or solvate thereof;
- (ii) a buffer comprising a mixture of citric acid and sodium citrate, wherein the buffer is present at a concentration between about 5 mM and about 20 mM in the oral liquid formulation;
- (iii) about 1 mg/ml sodium benzoate; and
- (iv) water;
 - wherein the formulation maintains about 95% w/w or greater of the initial enalapril amount at the end of a storage period of at least 12 months at about 5±3° C. ³⁵
- 2. The oral liquid formulation of claim 1 further comprising a sweetener.
- 3. The oral liquid formulation of claim 2, wherein the sweetener is sucralose.
- **4**. The oral liquid formulation of claim **1** further comprising a flavoring agent.
- 5. The oral liquid formulation of claim 1, wherein the formulation does not contain mannitol.
- **6**. The oral liquid formulation of claim **1**, wherein the formulation does not contain silicon dioxide.
- 7. The oral liquid formulation of claim 1, wherein the buffer comprises about 0.8 to about 3.5 mg/ml citric acid.
- **8**. The oral liquid formulation of claim **1**, wherein the buffer comprises about 0.1 to about 0.8 mg/ml sodium citrate.
- **9**. The oral liquid formulation of claim **1**, wherein the pH of the oral liquid formulation is less than about 3.5.
- 10. The oral liquid formulation of claim 1, wherein the pH of the oral liquid formulation is between about 3 and about 3.5.
- 11. The oral liquid formulation of claim 1, wherein the pH of the oral liquid formulation is about 3.3.
- 12. The oral liquid formulation of claim 1, wherein the formulation maintains about 95% w/w or greater of the initial enalapril amount at the end of a storage period of at 60 least 18 months at about $5\pm3^{\circ}$ C.
 - 13. An oral liquid formulation, consisting essentially of:
 - (i) about 0.6 to about 1.2 mg/ml enalapril or a pharmaceutically acceptable salt or solvate thereof;

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- (ii) a buffer comprising a mixture of citric acid and sodium citrate, wherein the buffer is present at a concentration between about 5 mM and about 20 mM in the oral liquid formulation;
- (iii) about 1 mg/ml sodium benzoate;
- (iv) water; and
- (v) optionally a sweetener, a flavoring agent, or both;
- wherein the formulation maintains about 95% w/w or greater of the initial enalapril amount at the end of a storage period of at least 12 months at about 5±3° C.
- 14. An oral liquid formulation, comprising:
- (i) about 0.6 to about 1.2 mg/ml enalapril or a pharmaceutically acceptable salt or solvate thereof;
- (ii) a buffer comprising a mixture of citric acid and sodium citrate, wherein the buffer is present at a concentration between about 5 mM and about 20 mM in the oral liquid formulation;
- (iii) about 1 mg/ml of a preservative, wherein the preservative is a paraben or a mixture of parabens; and
- (iv) water;
- wherein the formulation maintains about 95% w/w or greater of the initial enalapril amount at the end of a storage period of at least 12 months at about 5±3° C.
- **15**. The oral liquid formulation of claim **14** further comprising a sweetener.
- 16. The oral liquid formulation of claim 15, wherein the sweetener is sucralose.
- 17. The oral liquid formulation of claim 14 further comprising a flavoring agent.
- 18. The oral liquid formulation of claim 14, wherein the formulation does not contain mannitol.
 - 19. The oral liquid formulation of claim 14, wherein the formulation does not contain silicon dioxide.
 - **20**. The oral liquid formulation of claim **14**, wherein the pH of the oral liquid formulation is less than about 3.5.
 - 21. The oral liquid formulation of claim 14, wherein the pH of the oral liquid formulation is between about 3 and about 3.5.
 - **22**. The oral liquid formulation of claim **14**, wherein the pH of the oral liquid formulation is about 3.3.
 - 23. The oral liquid formulation of claim 14, wherein the formulation maintains about 95% w/w or greater of the initial enalapril amount at the end of a storage period of at least 18 months at about $5\pm3^{\circ}$ C.
 - 24. The oral liquid formulation of claim 1, wherein the enalapril or a pharmaceutically acceptable salt or solvate thereof is enalapril maleate, and wherein the enalapril maleate is present in the oral liquid formulation at about 1.0 mg/ml.
 - **25**. The oral liquid formulation of claim 1, wherein the buffer is present at a concentration between about 10 mM and about 20 mM in the oral liquid formulation.
 - **26**. The oral liquid formulation of claim **1**, wherein the buffer is present at a concentration of about 10 mM in the oral liquid formulation.
 - 27. The oral liquid formulation of claim 14, wherein the enalapril or a pharmaceutically acceptable salt or solvate thereof is enalapril maleate, and wherein the enalapril maleate is present in the oral liquid formulation at about 1.0 mg/ml.
 - 28. The oral liquid formulation of claim 14, wherein the buffer is present at a concentration between about 10 mM and about 20 mM in the oral liquid formulation.

* * * * *



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(54) ENALAPRIL FORMULATIONS

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See application file for complete search history.

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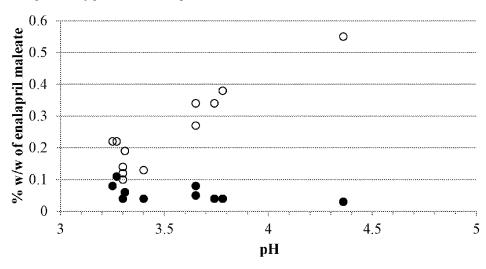
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(57) ABSTRACT

Provided herein are stable enalapril oral liquid formulations. Also provided herein are methods of using enalapril oral liquid formulations for the treatment of certain diseases including hypertension, heart failure and asymptomatic left ventricular dysfunction.

30 Claims, 2 Drawing Sheets

Enalapril diketopiperazine; O Enalaprilat



Page 2

Related U.S. Application Data

Jun. 8, 2018, now Pat. No. 10,154,987, which is a continuation of application No. 15/802,341, filed on Nov. 2, 2017, now Pat. No. 10,039,745, which is a continuation of application No. 15/613,622, filed on Jun. 5, 2017, now Pat. No. 9,808,442, which is a continuation of application No. 15/081,603, filed on Mar. 25, 2016, now Pat. No. 9,669,008.

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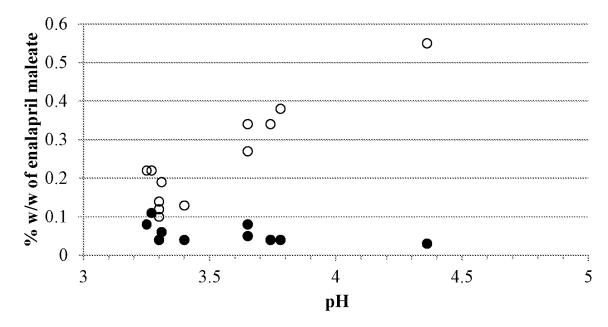
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FIG. 1

• Enalapril diketopiperazine; O Enalaprilat



U.S. Patent

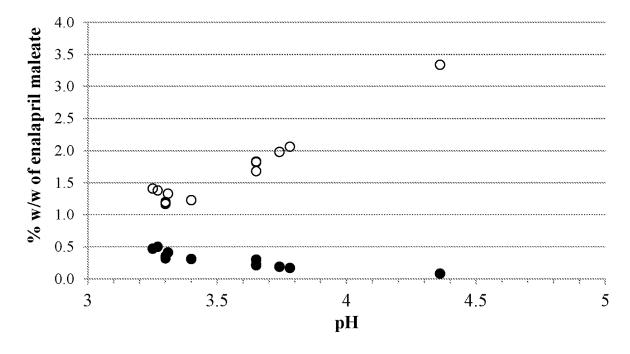
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FIG. 2

• Enalapril diketopiperazine; O Enalaprilat



1 ENALAPRIL FORMULATIONS

CROSS-REFERENCE OF RELATED APPLICATIONS

This application is a continuation of U.S. patent application Ser. No. 16/883,553, filed May 26, 2020 which is a continuation of U.S. patent application Ser. No. 16/242,898, filed Jan. 8, 2019, which is a continuation of Ser. No. 16/177,159, filed Oct. 31, 2018, which is a continuation of U.S. patent application Ser. No. 16/003,994, filed Jun. 8, 2018 (now U.S. Pat. No. 10,154,987, issued Dec. 18, 2018), which is a continuation of U.S. patent application Ser. No. 15/802,341, filed Nov. 2, 2017 (now U.S. Pat. No. 10,039, 745, issued Aug. 7, 2018), which is a continuation of U.S. patent application Ser. No. 15/613,622, filed Jun. 5, 2017 (now U.S. Pat. No. 9,808,442, issued Nov. 7, 2017), which is a continuation of U.S. patent application Ser. No. 15/081, 603, filed Mar. 25, 2016 (now U.S. Pat. No. 9,669,008, issued Jun. 6, 2017), which claims the benefit of U.S. Provisional Patent Application No. 62/310,198, filed Mar. 18, 2016, all of which are incorporated herein by reference 20 in their entirety.

BACKGROUND OF THE INVENTION

Hypertension, or high blood pressure, is a serious health issue in many countries. According to the National Heart Blood and Lung Institute, it is thought that about 1 in 3 adults in the United States alone have hypertension. Left unchecked, hypertension is considered a substantial risk factor for cardiovascular and other diseases including coronary heart disease, myocardial infarction, congestive heart failure, stroke and kidney failure. Hypertension is classified as primary (essential) hypertension or secondary hypertension. Primary hypertension has no known cause and may be related to a number of environmental, lifestyle and genetic factors such as stress, obesity, smoking, inactivity and sodium intake. Secondary hypertension can be caused by drug or surgical interventions, or by abnormalities in the renal, cardiovascular or endocrine system.

A number of antihypertensive drugs are available for treating hypertension. Various therapeutic classes of antihypertensive drugs include alpha-adrenergic blockers, beta-adrenergic blockers, calcium-channel blockers, hypotensives, mineralcorticoid antagonists, central alpha-agonists, diuretics and rennin-angiotensin-aldosterone inhibitors which include angiotensin II receptor antagonists (ARB) and angiotensin-converting enzyme (ACE) inhibitors. Angiotensin-converting enzyme (ACE) inhibitors inhibit angiotensin-converting enzyme (ACE), a peptydyl dipeptidase that catalyzes angiotension I to angiotension II, a potent vasoconstrictor involved in regulating blood pressure.

Enalapril is a prodrug belonging to the angiotensinconverting enzyme (ACE) inhibitor of medications. It is rapidly hydrolyzed in the liver to enalaprilat following oral administration. Enalaprilat acts as a potent inhibitor of ACE. The structural formulae of enalapril and enalaprilat are as follows:

Enalapril is currently administered in the form of oral tablets, (e.g., Vasotec®) or in the form of liquid formulations obtained by reconstitution of enalapril powder formulations. In addition to the treatment of hypertension, enalapril tablets have been used for symptomatic congestive heart failure, and asymptomatic left ventricular dysfunction.

SUMMARY OF THE INVENTION

Provided herein are enalapril oral liquid formulations. In one aspect, the enalapril oral liquid formulation, comprises (i) enalapril or a pharmaceutically acceptable salt or solvate thereof; (ii) sweetener that is sucralose (iii) a buffer comprising citric acid; (iv) a preservative that is sodium benzoate; and (v) water; wherein the pH of the formulation is less than about 3.5; and wherein the formulation is stable at about 5±3° C. for at least 12 months.

In some embodiments, the enalapril is enalapril maleate. In some embodiments, the formulation further comprises a flavoring agent. In some embodiments, the buffer in the formulation further comprises sodium citrate dihydrate. In some embodiments, the amount of enalapril or a pharmaceutically acceptable salt or solvate thereof is about 0.6 to about 1.2 mg/ml. In some embodiments, the amount of sucralose is about 0.5 to about 0.9 mg/ml. In some embodiments, the amount of citric acid in the buffer is about 0.8 to about 3.5 mg/ml. In some embodiments, the amount of sodium citrate dihydrate in the buffer is about 0.1 to about 0.80 In some embodiments, the amount of the sodium benzoate is about 0.2 to about 1.2 mg/ml. In some embodiments, the amount of enalapril or a pharmaceutically acceptable salt or solvate thereof is about 10 to about 25% (w/w of solids). In some embodiments, the amount of sucralose is about 8 to about 18% (w/w of solids). In some embodiments, the amount of citric acid in the buffer is about 17 to about 47% (w/w of solids). In some embodiments, the amount of sodium citrate dihydrate in the buffer is about 1 to about 11% (w/w of solids). In some embodiments, the amount of sodium benzoate is about 12 to about 25% (w/w of solids). In some embodiments, the pH of the formulation is between about 3 and about 3.5. In some embodiments, the pH of the formulation is about 3.3. In some embodiments, the citrate 55 concentration in the buffer is about 5 mM to about 20 mM. In some embodiments, the citrate concentration in the buffer is about 10 mM. In some embodiments, the formulation is stable at about $5\pm3^{\circ}$ C. for at least 18 months. In some embodiments, the formulation is stable at about 5±3° C. for at least 24 months. In some embodiments, the formulation does not contain mannitol. In some embodiments, the formulation does not contain silicon dioxide.

In one aspect, the enalapril oral liquid formulation, comprises (i) about 1 enalapril maleate; (ii) about 0.70 mg/ml of a sweetener that is sucralose; (iii) a buffer comprising about 1.82 mg/ml citric acid; (iv) about 1 mg/ml of a preservative that is sodium benzoate; and (v) water; wherein the pH of the

3 formulation is less than about 3.5; and wherein the formulation is stable at about 5±3° C. for at least 12 months.

In some embodiments, the formulation further comprises a flavoring agent. In some embodiments, the buffer further comprises about 0.15 mg/mL sodium citrate dihydrate. In 5 some embodiments, the pH of the formulation is between about 3 and about 3.5. In some embodiments, the pH of the formulation is about 3.3. In some embodiments, the citrate concentration in the buffer is about 5 mM to about 20 mM. In some embodiments, the citrate concentration in the buffer 10 is about 10 mM. In some embodiments, the formulation is stable at about 5±3° C. for at least 18 months. In some embodiments, the formulation is stable at about 5±3° C. for at least 24 months. In some embodiments, the formulation does not contain mannitol. In some embodiments, the formulation does not contain silicon dioxide.

In one aspect, the enalapril oral liquid formulation comprises (i) about 19.3% (w/w of solids) enalapril maleate; (ii) about 13.5% (w/w of solids) of a sweetener that is sucralose; (iii) a buffer comprising about 35.2% (w/w of solids) citric 20 acid; (iv) about 19.3% (w/w of solids) of a preservative that is sodium benzoate; and (v) water; wherein the pH of the formulation is less than about 3.5; and wherein the formulation is stable at about 5±3° C. for at least 12 months.

In some embodiments, the formulation further comprises 25 a flavoring agent. In some embodiments, the buffer further comprises about 2.9% (w/w solids) sodium citrate dihydrate. In some embodiments, the pH of the formulation is between about 3 and about 3.5. In some embodiments, the PH of the formulation is about 3.3. In some embodiments, the citrate 30 concentration in the buffer is about 5 mM to about 20 mM. In some embodiments, the citrate concentration in the buffer is about 10 mM. In some embodiments, the formulation is stable at about 5±3° C. for at least 18 months. In some embodiments, the formulation is stable at about 5±3° C. for 35 at least 24 months. In some embodiments, the formulation does not contain mannitol. In some embodiments, the formulation does not contain silicon dioxide.

In one aspect, the enalapril oral liquid formulation consists essentially of (i) about 1 mg/ml enalapril maleate; (ii) 40 about 0.70 mg/ml of a sweetener that is sucralose; (iii) a buffer comprising about 1.82 mg/ml citric acid and about 0.15 mg/ml sodium citrate dihydrate; (iv) about 1 of a preservative that is sodium benzoate; (v) a flavoring agent; and (vi) water; wherein the pH of the formulation is less than 45 about 3.5 adjusted by sodium hydroxide or hydrochloric acid; and wherein the formulation is stable at about 5±3° C. for at least 12 months.

Also provided herein are methods of treating hypertension in a subject comprising administering to that subject a 50 therapeutically effective amount of enalapril oral liquid formulation comprising (i) about 1 mg/ml enalapril maleate; (ii) about 0.7 mg/ml sucralose; (iii) a buffer comprising about 1.82 mg/ml citric acid and about 0.15 mg/ml sodium citrate dihydrate; (iv) about 1 mg/ml of a preservative that is 55 sodium benzoate; and (v) water; wherein the pH of the formulation is less than about 3.5; and wherein the formulation is stable at about 5±3° C. for at least 12 months. In some embodiments, the formulation does not contain mannitol. In some embodiments, the formulation does not con- 60 tain silicon dioxide.

In some embodiments, the hypertension is primary (essential) hypertension. In some embodiments, the hypertension is secondary hypertension. In some embodiments, the 140/90 mm Hg. In some embodiments, the subject is an adult. In some embodiments, the subject is elderly. In some

embodiments, the subject is a child. In some embodiments, the formulation is administered to the subject in a fasted state. In some embodiments, the formulation is administered to the subject in a fed state. In some embodiments, the formulation is further administered in combination with an agent selected from the group consisting of diuretics, beta blockers, alpha blockers, mixed alpha and beta blockers, calcium channel blockers, angiotensin II receptor antagonists, ACE inhibitors, aldosterone antagonists, and alpha-2

Also provided herein are methods of treating prehypertension in a subject comprising administering to that subject a therapeutically effective amount of enalapril oral liquid formulation comprising (i) about 1 mg/ml enalapril maleate; (ii) about 0.7 mg/ml of a sweetener that is sucralose; (ii) a buffer comprising about 1.82 mg/ml citric acid and about 0.15 mg/ml sodium citrate dihydrate; (iv) about 1 mg/ml of a preservative that is sodium benzoate; and (v) water; wherein the pH of the formulation is less than about 3.5; and wherein the formulation is stable at about $5\pm3^{\circ}$ C. for at least 12 months. In some embodiments, the formulation does not contain mannitol. In some embodiments, the formulation does not contain silicon dioxide.

In some embodiments, the subject has blood pressure values of about 120-139/80-89 mm Hg.

Also provided herein are methods of treating heart failure in a subject comprising administering to that subject a therapeutically effective amount of enalapril oral liquid formulation comprising (i) about 1 mg/ml enalapril maleate; (ii) about 0.70 mg/ml of a sweetener that is sucralose; (iii) a buffer comprising about 1.82 mg/ml citric acid and about 0.15 mg/ml sodium citrate dihydrate; (iv) about 1 mg/ml of a preservative that is sodium benzoate; and (v) water; wherein the pH of the formulation is less than about 3.5; and wherein the formulation is stable at about 5±3° C. for at least 12 months. In some embodiments, the formulation does not contain mannitol. In some embodiments, the formulation does not contain silicon dioxide.

Also provided herein are methods of treating left ventricular dysfunction in a subject comprising administering to that subject a therapeutically effective amount of enalapril oral liquid formulation comprising (i) about 1 mg/ml enalapril maleate; (ii) about 0.7 mg/ml of a sweetener that is sucralose; (iii) a buffer comprising about 1.82 mg/ml citric acid and about 0.15 mg/ml sodium citrate dihydrate; (iv) about 1 mg/ml of a preservative that is sodium benzoate; and (v) water; wherein the pH of the formulation is less than about 3.5; and wherein the formulation is stable at about 5±3° C. for at least 12 months. In some embodiments, the formulation does not contain mannitol. In some embodiments, the formulation does not contain silicon dioxide.

INCORPORATION BY REFERENCE

All publications, patents, and patent applications mentioned in this specification are herein incorporated by reference to the same extent as if each individual publication, patent, or patent application was specifically and individually indicated to be incorporated by reference.

BRIEF DESCRIPTION OF THE DRAWINGS

The novel features of the invention are set forth with subject has blood pressure values greater than or equal to 65 particularity in the appended claims. A better understanding of the features and advantages of the present invention will be obtained by reference to the following detailed descrip-

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tion that sets forth illustrative embodiments, in which the principles of the invention are utilized, and the accompanying drawings of which:

FIG. 1: Effect of pH on degradant formation after 8 weeks of storage of various enalapril solution formulations at 5° C. 5

FIG. 2: Effect of pH on degradant formation after 8 weeks of storage of various enalapril solution formulations at room temperature (19-22° C.).

DETAILED DESCRIPTION OF THE INVENTION

Provided herein are stable enalapril oral liquid formulations. Also provided herein are stable enalapril powder formulations for reconstitution for oral liquid administration. These enalapril formulations described herein are useful for the treatment of hypertension, prehypertension, heart failure as well as ventricular dysfunction. The formulations are advantageous over conventional solid dosage administration of enalapril ranging from ease of administration, 20 accuracy of dosing, accessibility to additional patient populations such as to children and the elderly, and an increased patient compliance to medication.

It is generally known that certain segments of the population have difficulty ingesting and swallowing solid oral 25 dosage forms such as tablets and capsules. As many as a quarter of the total population has this difficulty. Often, this leads to non-compliance with the recommended medical therapy with the solid dosage forms, thereby resulting in rending the therapy ineffective. Further, solid dosage forms 30 are not recommended for children or elderly due to increased risk in choking.

Furthermore, the dose of enalapril to be given to children is calculated according to the child's weight. When the calculated dose is something other than the amount present 35 in one or more intact solid dosage forms, the solid dosage form must be divided to provide the correct dose. This leads to inaccurate dosing when solid dosages forms, such as tablets, are compounded to prepare other formulations for children.

For enalapril, one solution to overcoming the use of the tablet form is for a compounding pharmacist to pulverize and crush the enalapril tablet(s) into a powder via mortar and pestle and reconstitute the powder in some liquid form. However forming a enalapril oral liquid in this fashion has significant drawbacks including large variability in the actual dosage, incomplete solubilizing of the enalapril tablet in the liquid, rapid instability, inconsistent formulation methods per compounding pharmacy, and a number of other potential issues. The crushed tablet liquid formulation may 50 also be potentially unsafe due to contamination with residual drugs and other substances from the mortar and pestle or other crushing agent.

Alternatively, enalapril is formulated as enalapril powder compositions for reconstitution as oral liquids as described 55 in U.S. Pat. No. 8,568,747. The powder compositions as described in this patent require mannitol and colloidal silicon dioxide for stability and dissolution. While these powder compositions are an improvement over crushing tablets, they still require a step of mixing with a diluent. The 60 stable enalapril oral liquid formulations described herein require no extra steps or manipulation prior to administration to a subject. Further, the stable enalapril oral liquid formulations described herein do not require or need mannitol or colloidal silicon dioxide for stability and dissolution. 65

The present embodiments described herein provide a safe and effective oral administration of enalapril for the treat6

ment of hypertension and other disorders. In particular, the embodiments provide stable enalapril oral liquid formulations as well as alternatively enalapril powder formulations for oral liquid administration.

As used herein, "enalapril" refers to enalapril base, its salt, or solvate or derivative or isomer or polymorph thereof. Suitable compounds include the free base, the organic and inorganic salts, isomers, isomer salts, solvates, polymorphs, complexes etc. U.S. Pat. Nos. 4,374,829; 4,472,380 and 4,510,083 disclose exemplary methods in the preparation of enalapril. In some embodiments, the enalapril used in the formulations described herein is an enalapril salt. In some instances, the enalapril salt is enalapril maleate. In other instances, the enalapril salt is in the form of enalapril solium.

Other ACE inhibitors are contemplated in the formulations within and include but are not limited to quinapril, indolapril, ramipril, perindopril, lisinopril, benazepril, imidapril, zofenopril, trandolapril, fosinopril, captopril, and their salts, solvates, derivatives, polymorphs, or complexes, thereof.

Enalapril Oral Liquid Formulations

Oral liquids include, but are not limited to, solutions (both aqueous and nonaqueous), suspensions, emulsions, syrups, slurries, juices, elixirs, dispersions, and the like. It is envisioned that solution/suspensions are also included where certain components described herein are in a solution while other components are in a suspension.

In one aspect, the enalapril liquid formulations described herein comprise enalapril, a preservative, a sweetening agent, a buffer, and water. In one embodiment, the sweetening agent is sucralose. In one embodiment, the sweetening agent is xylitol. In one embodiment, the sweetening agent is not mannitol. In another embodiment, the preservative is sodium benzoate. In some embodiments, the preservative is a paraben. In some embodiments, the preservative is a mixture of parabens. In yet another embodiment, the buffer comprises citric acid. In some embodiments, the buffer further comprises sodium citrate. In one aspect, the enalapril liquid formulation described herein comprises enalapril, sucralose, sodium benzoate, citric acid, sodium citrate, and water. In some embodiments, the enalapril liquid formulation herein further comprises a flavoring agent. In some embodiments, the enalapril liquid formulation is not obtained from crushing enalapril tablet and dissolving the powder in a suitable vehicle for oral administration. In some embodiments, the enalapril liquid formulation does not contain silicon dioxide. In some embodiments, the enalapril liquid formulation does not contain mannitol. In some embodiments, the enalapril liquid formulation does not contain lactose. In some embodiments, the enalapril liquid formulation does not contain magnesium stearate. In some embodiments, the enalapril liquid formulation does not contain sodium bicarbonate. In some embodiments, the enalapril liquid formulation does not contain iron oxides.

In some embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.6 to about 1.2 mg/ml in the oral liquid formulation. In other embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.6 mg/ml, about 0.61 mg/ml, about 0.62 mg/ml, about 0.63 mg/ml, about 0.64 mg/ml, about 0.65 mg/ml, about 0.66 mg/ml, about 0.67 mg/ml, about 0.68 mg/ml, about 0.69 mg/ml, about 0.7 mg/ml, about 0.71 mg/ml, about 0.72 mg/ml, about 0.73 mg/ml, about 0.74 mg/ml, about 0.75 mg/ml, about 0.76 mg/ml, about 0.77

mg/ml, about 0.78 mg/ml, about 0.79 mg/ml, about 0.8 mg/ml, about 0.81 mg/ml, about 0.82 mg/ml, about 0.83 mg/ml, about 0.84 mg/ml, about 0.85 mg/ml, about 0.86 mg/ml, about 0.87 mg/ml, about 0.88 mg/ml, about 0.89

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contains enalapril or another pharmaceutically acceptable

salt of enalapril in a molar concentration equivalent to 0.76

mg/mL enalapril.

In some embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.5% w/w to about 30% w/w of the solids in the oral liquid formulation. In other embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.5% w/w, about 30 1% w/w, about 1.5% w/w, about 2% w/w, about 2.5% w/w, about 3% w/w, about 3.5% w/w, about 4% w/w, about 4.5% w/w, about 5% w/w, about 5.5% w/w, about 6% w/w, about 6.5% w/w, about 7% w/w, about 7.5% w/w, about 8% w/w, about 8.5% w/w, about 9% w/w, about 9.5% w/w, about 10% 35 w/w, about 10.5% w/w, about 11% w/w, about 11.5% w/w, about 12% w/w, about 12.5% w/w, about 13% w/w, about 13.5% w/w, about 14% w/w, about 14.5% w/w, about 15% w/w, about 15.1% w/w, about 15.2% w/w, about 15.3% w/w, about 15.4% w/w, about 15.5% w/w, about 15.6% w/w, 40 about 15.7% w/w, about 15.8% w/w, about 15.9% w/w, about 16% w/w, about 16.1% w/w, about 16.2% w/w, about 16.3% w/w, about 16.4% w/w, about 16.5% w/w, about 16.6% w/w, about 16.7% w/w, about 16.8% w/w, about 16.9% w/w, about 17% w/w, about 17.1% w/w, about 17.2% 45 w/w, about 17.3% w/w, about 17.4% w/w, about 17.5% w/w, about 17.6% w/w, about 17.7% w/w, about 17.8% w/w, about 17.9% w/w, about 18% w/w, about 18.1% w/w, about 18.2% w/w, about 18.3% w/w, about 18.4% w/w, about 18.5% w/w, about 18.6% w/w, about 18.7% w/w, about 50 18.8% w/w, about 18.9% w/w, about 19% w/w, about 19.1% w/w, about 19.2% w/w, about 19.3% w/w, about 19.4% w/w, about 19.5% w/w, about 19.6% w/w, about 19.7% w/w, about 19.8% w/w, about 19.9% w/w, about 20% w/w, about 20.1% w/w, about 20.2% w/w, about 20.3% w/w, about 55 20.4% w/w, about 20.5% w/w, about 20.6% w/w, about 20.7% w/w, about 20.8% w/w, about 20.9% w/w, about 21% w/w, about 21.1% w/w, about 21.2% w/w, about 21.3% w/w, about 21.4% w/w, about 21.5% w/w, about 21.6% w/w, about 21.7% w/w, about 21.8% w/w, about 21.9% w/w, 60 about 22% w/w, about 22.5% w/w, about 23% w/w, about 23.5% w/w, about 24% w/w, about 24.5% w/w, about 25% w/w, about 25.5% w/w, about 26% w/w, about 26.5% w/w, about 27% w/w, about 27.5% w/w, about 28% w/w, about 28.5% w/w, about 29% w/w, about 29.5% w/w, or about 65 30% w/w of the solids in the oral liquid formulation. In some embodiments, enalapril or a pharmaceutically acceptable

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salt thereof, is present in about 10% w/w to about 25% w/w of the solids in the oral liquid formulation. In some embodiments, enalapril is present in about 10.5% w/w of the solids in the oral liquid formulation. In some embodiments, enalapril is present in about 15% w/w of the solids in the oral liquid formulation. In some embodiments, enalapril is present in about 18.2% w/w of the solids in the oral liquid formulation. In some embodiments, enalapril maleate is present in about 13.5% w/w of the solids in the oral liquid formulation. In some embodiments, enalapril maleate is present in about 19.3% w/w of the solids in the oral liquid formulation. In some embodiments, enalapril maleate is present in about 24.5% w/w of the solids in the oral liquid formulation.

In some embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.1% w/w to about 1% w/w of the solids in the oral liquid formulation. In other embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.1% w/w, about 0.15% w/w, about 0.2% w/w, about 0.25% w/w, about 0.3% w/w, about 0.35% w/w, about 0.4% w/w, about 0.45% w/w, about 0.5% w/w, about 0.55% w/w, about 0.6% w/w, about 0.65% w/w, about 0.7% w/w, about 0.75% w/w, about 0.8% w/w, about 0.85% w/w, about 0.9% w/w, about 0.95% w/w, or about 1% w/w of the solids in the oral liquid formulation. In some embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.4% w/w to about 0.7% w/w of the solids in the oral liquid formulation. In some embodiments, enalapril is present in about 0.4% w/w of the solids in the oral liquid formulation. In some embodiments, enalapril is present in about 0.5% w/w of the solids in the oral liquid formulation. In some embodiments, enalapril maleate is present in about 0.5% w/w of the solids in the oral liquid formulation. In some embodiments, enalapril maleate is present in about 0.6% w/w of the solids in the oral liquid formulation.

Sweetener in the Enalapril Oral Liquid Formulations

Sweeteners or sweetening agents include any compounds that provide a sweet taste. This includes natural and synthetic sugars, natural and artificial sweeteners, natural extracts and any material that initiates a sweet sensation in a subject. In some embodiments, a solid/powder sweetener is used in the oral liquid formulation described herein. In other embodiments, a liquid sweetener is used in the oral liquid formulation described herein.

Sugars illustratively include glucose, fructose, sucrose, xylitol, tagatose, sucralose, maltitol, isomaltulose, IsomaltTM (hydrogenated isomaltulose), lactitol, sorbitol, erythritol, trehalose, maltodextrin, polydextrose, and the like. Other sweeteners illustratively include glycerin, inulin, erythritol, maltol, acesulfame and salts thereof, e.g., acesulfame potassium, alitame, aspartame, neotame, sodium cyclamate, saccharin and salts thereof, e.g., saccharin sodium or saccharin calcium, neohesperidin dihydrochalcone, stevioside, thaumatin, and the like. Sweeteners can be used in the form of crude or refined products such as hydrogenated starch hydrolysates, maltitol syrup, high fructose corn syrup, etc., and as branded products, e.g., Sweet AmTM liquid (Product Code 918.003—propylene glycol, ethyl alcohol, and proprietary artificial flavor combination, Flavors of North America) and Sweet AmTM powder (Product Code 918.005—maltodextrin, sorbitol, and fructose combination and Product Code 918.010-water, propylene glycol, sorbitol, fructose, and proprietary natural and artificial flavor

combination, Flavors of North America), ProSweetTM (1-10% proprietary plant/vegetable extract and 90-99% dextrose combination, Viriginia Dare), MaltisweetTM (maltitol solution, Ingredion), SorboTM (sorbitol and sorbitol/xylitol solution, SPI Polyols), InvertoseTM (high fructose corn syrup, Ingredion), Rebalance M60 and X60 (sucralose and maltodextrin, Tate and Lyle), and Ora-Sweet® sugar-free flavored syrup (Paddock Laboratories, Inc.). Sweeteners can be used singly or in combinations of two or more. Suitable concentrations of different sweeteners can be selected based on published information, manufacturers' data sheets and by

In some embodiments, the enalapril oral liquid formulation described herein comprises a sweetening agent. In some embodiments, the sweetening agent is sucralose. In some embodiments, the sweetening agent is xylitol. In some embodiments, the sweetener is not mannitol.

In some embodiments, the enalapril oral liquid formulation described herein comprises sucralose. In some embodi- 20 ments, sucralose is present in about 0.5 to about 0.9 mg/ml in the oral liquid formulation. In other embodiments, sucralose is present in about 0.51 mg/ml, about 0.52 mg/ml, about 0.53 mg/ml, about 0.54 mg/ml, about 0.55 mg/ml, about 0.56 mg/ml, about 0.57 mg/ml, about 0.58 mg/ml, about 25 0.59 mg/ml, about 0.60 mg/ml, about 0.61 mg/ml, about 0.62 mg/ml, about 0.63 mg/ml, about 0.64 mg/ml, about 0.65 mg/ml, about 0.66 mg/ml, about 0.67 mg/ml, about 0.68 mg/ml, about 0.69 mg/ml, about 0.70 mg/ml, about 0.71 mg/ml, about 0.72 mg/ml, about 0.73 mg/ml, about 30 0.74 mg/ml, about 0.75 mg/ml, about 0.76 mg/ml, about 0.77 mg/ml, about 0.78 mg/ml, about 0.79 mg/ml, about 0.80 mg/ml, about 0.81 mg/ml, about 0.82 mg/ml, about 0.83 mg/ml, about 0.84 mg/ml, about 0.85 mg/ml, about 0.89 mg/ml, or about 0.90 mg/ml in the oral liquid formulation. In some embodiments, sucralose is present in about 0.7 mg/ml in the oral liquid formulation.

In some embodiments, sucralose is present in about 1% w/w to about 30% w/w of the solids in the oral liquid 40 formulation. In some embodiments, sucralose is present in about 1% w/w, about 1.5% w/w, about 2% w/w, about 2.5% w/w, about 3% w/w, about 3.5% w/w, about 4% w/w, about 4.5% w/w, about 5% w/w, about 5.5% w/w, about 6% w/w, about 6.5% w/w, about 7% w/w, about 7.5% w/w, about 8% 45 w/w, about 8.5% w/w, about 9% w/w, about 9.5% w/w, about 10% w/w, about 10.5% w/w, about 11% w/w, about 11.5% w/w, about 12% w/w, about 12.5% w/w, about 13% w/w, about 13.5% w/w, about 14% w/w, about 14.5% w/w, about 15% w/w, about 15.5% w/w, about 16% w/w, about 50 16.5% w/w, about 17% w/w, about 17.5% w/w, about 18% w/w, about 18.5% w/w, about 19% w/w, about 19.5% w/w, about 20% w/w, about 20.5% w/w, about 21% w/w, about 21.5% w/w, about 22% w/w, about 22.5% w/w, about 23% w/w, about 23.5% w/w, about 24% w/w, about 24.5% w/w, 55 about 25% w/w, about 25.5% w/w, about 26% w/w, about 26.5% w/w, about 27% w/w, about 27.5% w/w, about 28% w/w, about 28.5% w/w, about 29% w/w, about 29.5% w/w, or about 30% w/w of the solids in the oral liquid formulation. In some embodiments, sucralose is present in about 8% w/w to about 18% w/w of the solids in the oral liquid formulation. In some embodiments, sucralose is present in about 9.5% w/w of the solids in the oral liquid formulation. In some embodiments, sucralose is present in about 13.5% w/w of the solids in the oral liquid formulation. In some 65 embodiments, sucralose is present in about 16.5% w/w of the solids in the oral liquid formulation.

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In some embodiments, the enalapril oral liquid formulation described herein comprises xylitol. In some embodiments, xylitol is present in about 140 mg/ml to about 210 mg/ml in the oral liquid formulation.

In some embodiments, xylitol is present in about 140 mg/ml, about 145 mg/ml, about 150 mg/ml, about 155 mg/ml, about 160 mg/ml, about 165 mg/ml, about 170 mg/ml, about 175 mg/ml, about 180 mg/ml, about 185 mg/ml, about 190 mg/ml, about 195 mg/ml, about 200 mg/ml, about 205 mg/ml, or about 210 mg/ml of the oral liquid formulation. In some embodiments, xylitol is present in about 150 mg/ml in the oral liquid formulation. In some embodiments, xylitol is present in about 200 mg/ml in the oral liquid formulation.

In some embodiments, xylitol is present in about 80% w/w to about 99% w/w of the solids in the oral liquid formulation. In other embodiments, xylitol is present in about 80% w/w, about 81% w/w, about 82% w/w, about 83% w/w, about 84% w/w, about 85% w/w, about 86% w/w, about 87% w/w, about 88% w/w, about 89% w/w, about 90% w/w, about 91% w/w, about 92% w/w, about 93% w/w, about 94% w/w, about 95% w/w, about 96% w/w, about 97% w/w, about 98% w/w, or about 99% w/w of the solids in the oral liquid formulation. In some embodiments, xylitol is present in about 96% w/w to about 98% w/w of the solids in the oral liquid formulation. In some embodiments, xylitol is present in about 96% w/w of the solids in the oral liquid formulation.

Preservative in the Enalapril Oral Liquid Formulations

0.83 mg/ml, about 0.84 mg/ml, about 0.85 mg/ml, about 0.86 mg/ml, about 0.87 mg/ml, about 0.88 mg/ml, about 0.88 mg/ml, about 0.89 mg/ml, or about 0.90 mg/ml in the oral liquid formulation. In some embodiments, sucralose is present in about 1% w/w to about 30% w/w of the solids in the oral liquid 40 methylparaben, ethylparaben, propylparaben, butylparaben and their salts), benzoic acid, sodium benzoate, potassium sorbate, vanillin, and the like.

In some embodiments, the enalapril oral liquid formulation described herein comprises a preservative.

In some embodiments, the preservative is a paraben and the sweetener is not a sugar (such as, but not limited to glucose, fructose, sucrose, lactose, maltose) or a sugar alcohol (such as, but not limited to xylitol, mannitol, lactitol, maltitol, sorbitol).

In some embodiments, the preservative is sodium benzoate.

In some embodiments, modulation of the pH is desired to provide the best antimicrobial activity of the preservative, sodium benzoate. In some embodiments, the antimicrobial activity of sodium benzoate drops when the pH is increased above 5.

In some embodiments, the pH of the enalapril oral liquid formulation described herein is less than about 4. In some embodiments, the pH of the enalapril oral liquid formulation described herein is less than about 3.5. In some embodiments, the pH of the enalapril oral liquid formulation described herein is between about 3 and about 4. In some embodiments, the pH of the enalapril oral liquid formulation described herein is between about 3 and about 3.5. In some embodiments, the pH of the enalapril oral liquid formulation described herein is about 3, about 3 and about 3.2, about 3.3, about 3.4, about 3.5, about 3.6, about 3.7, about 3.8, about

3.9, or about 4. In some embodiments, the pH of the enalapril oral liquid formulation described herein is about

In some embodiments, sodium benzoate is present in about 0.2 to about 1.2 mg/ml in the oral liquid formulation. 5 In other embodiments, sodium benzoate is present in about 0.2 mg/ml, about 0.21 mg/ml, about 0.22 mg/ml, about 0.23 mg/ml, about 0.24 mg/ml, about 0.25 mg/ml, about 0.26 mg/ml, about 0.27 mg/ml, about 0.28 mg/ml, about 0.29 mg/ml, about 0.3 mg/ml, about 0.31 mg/ml, about 0.32 mg/ml, about 0.33 mg/ml, about 0.34 mg/ml, about 0.35 mg/ml, about 0.36 mg/ml, about 0.37 mg/ml, about 0.38 mg/ml, about 0.39 mg/ml, about 0.4 mg/ml, about 0.41 mg/ml, about 0.42 mg/ml, about 0.43 mg/ml, about 0.44 mg/ml, about 0.45 mg/ml, about 0.46 mg/ml, about 0.47 15 mg/ml, about 0.48 mg/ml, about 0.49 mg/ml, about 0.5 mg/ml, about 0.51 mg/ml, about 0.52 mg/ml, about 0.53 mg/ml, about 0.54 mg/ml, about 0.55 mg/ml, about 0.56 mg/ml, about 0.57 mg/ml, about 0.58 mg/ml, about 0.59 mg/ml, about 0.6 mg/ml, about 0.61 mg/ml, about 0.62 20 mg/ml, about 0.63 mg/ml, about 0.64 mg/ml, about 0.65 mg/ml, about 0.66 mg/ml, about 0.67 mg/ml, about 0.68 mg/ml, about 0.69 mg/ml, about 0.7 mg/ml, about 0.71 mg/ml, about 0.72 mg/ml, about 0.73 mg/ml, about 0.74 mg/ml, about 0.75 mg/ml, about 0.76 mg/ml, about 0.77 25 mg/ml, about 0.78 mg/ml, about 0.79 mg/ml, about 0.8 mg/ml, about 0.81 mg/ml, about 0.82 mg/ml, about 0.83 mg/ml, about 0.84 mg/ml, about 0.85 mg/ml, about 0.86 mg/ml, about 0.87 mg/ml, about 0.88 mg/ml, about 0.89 mg/ml, about 0.9 mg/ml, about 0.91 mg/ml, about 0.92 30 mg/ml, about 0.93 mg/ml, about 0.94 mg/ml, about 0.95 mg/ml, about 0.96 mg/ml, about 0.97 mg/ml, about 0.98 mg/ml, about 0.99 mg/ml, about 1 mg/ml, about 1.01 mg/ml, about 1.02, mg/ml, about 1.03 mg/ml, about 1.04 mg/ml, about 1.05 mg/ml, about 1.06 mg/ml, about 1.07 mg/ml, 35 about 1.08 mg/ml, about 1.09 mg/ml, about 1.1 mg/ml, about 1.11 mg/ml, about 1.12, mg/ml, about 1.13 mg/ml, about 1.14 mg/ml, about 1.15 mg/ml, about 1.16 mg/ml, about 1.17 mg/ml, about 1.18 mg/ml, about 1.19 mg/ml, or about 1.2 mg/ml in the liquid oral formulation. In some 40 embodiments, sodium benzoate is present in about 1 mg/ml in the oral liquid formulation.

In some embodiments, sodium benzoate is present in about 1% w/w to about 30% w/w of the solids in the oral liquid formulation. In other embodiments, sodium benzoate 45 is present in about 1% w/w, about 1.5% w/w, about 2% w/w, about 2.5% w/w, about 3% w/w, about 3.5% w/w, about 4% w/w, about 4.5% w/w, about 5% w/w, about 5.5% w/w, about 6% w/w, about 6.5% w/w, about 7% w/w, about 7.5% w/w, about 8% w/w, about 8.5% w/w, about 9% w/w, about 50 9.5% w/w, about 10% w/w, about 10.5% w/w, about 11% w/w, about 11.5% w/w, about 12% w/w, about 12.5% w/w, about 13% w/w, about 13.5% w/w, about 14% w/w, about 14.5% w/w, about 15% w/w, about 15.1% w/w, about 15.2% w/w, about 15.3% w/w, about 15.4% w/w, about 15.5% w/w, 55 about 15.6% w/w, about 15.7% w/w, about 15.8% w/w, about 15.9% w/w, about 16% w/w, about 16.1% w/w, about 16.2% w/w, about 16.3% w/w, about 16.4% w/w, about 16.5% w/w, about 16.6% w/w, about 16.7% w/w, about 16.8% w/w, about 16.9% w/w, about 17% w/w, about 17.1% 60 w/w, about 17.2% w/w, about 17.3% w/w, about 17.4% w/w, about 17.5% w/w, about 17.6% w/w, about 17.7% w/w, about 17.8% w/w, about 17.9% w/w, about 18% w/w, about 18.1% w/w, about 18.2% w/w, about 18.3% w/w, about 18.4% w/w, about 18.5% w/w, about 18.6% w/w, about 65 18.7% w/w, about 18.8% w/w, about 18.9% w/w, about 19% w/w, about 19.1% w/w, about 19.2% w/w, about 19.3% w/w,

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about 19.4% w/w, about 19.5% w/w, about 19.6% w/w, about 19.7% w/w, about 19.8% w/w, about 19.9% w/w, about 20% w/w, about 20.1% w/w, about 20.2% w/w, about 20.3% w/w, about 20.4% w/w, about 20.5% w/w, about 20.6% w/w, about 20.7% w/w, about 20.8% w/w, about 20.9% w/w, about 21% w/w, about 21.1% w/w, about 21.2% w/w, about 21.3% w/w, about 21.4% w/w, about 21.5% w/w, about 21.6% w/w, about 21.7% w/w, about 21.8% w/w, about 21.9% w/w, about 22% w/w, about 22.5% w/w, about 23% w/w, about 23.5% w/w, about 24% w/w, about 24.5% w/w, about 25% w/w, about 25.5% w/w, about 26% w/w, about 26.5% w/w, about 27% w/w, about 27.5% w/w, about 28% w/w, about 28.5% w/w, about 29% w/w, about 29.5% w/w, or about 30% w/w of the solids in the oral liquid formulation. In some embodiments, sodium benzoate is present in about 10% w/w to about 25% w/w of the solids in the oral liquid formulation. In some embodiments, sodium benzoate is present in about 13.5% w/w of the solids in the oral liquid formulation. In some embodiments, sodium benzoate is present in about 19.3% w/w of the solids in the oral liquid formulation. In some embodiments, sodium benzoate is present in about 23.5% w/w of the solids in the oral liquid formulation.

In some embodiments, sodium benzoate is present in about 0.1% w/w to about 1% w/w of the solids in the oral liquid formulation. In other embodiments, sodium benzoate is present in about 0.1% w/w, about 0.15% w/w, about 0.2% w/w, about 0.25% w/w, about 0.3% w/w, about 0.35% w/w, about 0.4% w/w, about 0.45% w/w, about 0.5% w/w, about 0.55% w/w, about 0.6% w/w, about 0.65% w/w, about 0.7% w/w, about 0.75% w/w, about 0.8% w/w, about 0.85% w/w, about 0.9% w/w, about 0.95% w/w, or about 1% w/w of the solids in the oral liquid formulation. In some embodiments, sodium benzoate is present in about 0.4% w/w to about 0.7% w/w of the solids in the oral liquid formulation. In some embodiments, sodium benzoate is present in about 0.45% w/w of the solids in the oral liquid formulation. In some embodiments, sodium benzoate is present in about 0.6% w/w of the solids in the oral liquid formulation.

In some embodiments, sodium benzoate is present in an amount sufficient to provide antimicrobial effectiveness to the enalapril oral liquid formulation described herein. (See Table G-1).

In some embodiments, the preservative is a paraben. In some embodiments, the preservative is a mixture of parabens. In some embodiments, the paraben or mixture of parabens is present in about 0.1 mg/ml to about 2 mg/ml in the oral liquid formulation. In other embodiments, the paraben or mixture of parabens is present in about 0.1 mg/ml, about 0.2 mg/ml, about 0.3 mg/ml, about 0.4 mg/ml, about 0.5 mg/ml, about 0.6 mg/ml, about 0.7 mg/ml, about 0.8 mg/ml, about 0.9 mg/ml, about 1 mg/ml, about 1.1 mg/ml, about 1.2 mg/ml, about 1.3 mg/ml, about 1.4 mg/ml, or about 1.5 mg/ml, about 1.6 mg/ml, about 1.7 mg/ml, about 1.8 mg/ml, about 1.9 mg/ml, or about 2 mg/ml in the liquid oral formulation. In some embodiments, the paraben or mixture of parabens is present in about 1.6 mg/ml to about 2 mg/ml in the oral liquid formulation. In some embodiments, the paraben or mixture of parabens is present in about 1.6 mg/ml to about 1.8 mg/ml in the oral liquid formulation. In some embodiments, the paraben or mixture of parabens is present in about 0.1 mg/ml to about 0.5 mg/ml in the oral liquid formulation.

In some embodiments, the paraben or mixture of parabens is present in about 2% w/w to about 30% w/w of the solids in the oral liquid formulation. In other embodiments, the paraben or mixture of parabens is present in about 2% w/w,

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about 3% w/w, about 4% w/w, about 5% w/w, about 6% w/w, about 7% w/w, about 8% w/w, about 9% w/w, about 10% w/w, about 11% w/w, about 12% w/w, about 13% w/w, about 14% w/w, about 15% w/w, about 16% w/w, about 17% w/w, about 18% w/w, about 19% w/w, about 20% w/w, about 21% w/w, about 22% w/w, about 23% w/w, about 24% w/w, about 25% w/w, about 26% w/w, about 27% w/w, about 28% w/w, about 29% w/w, or about 30% w/w of the solids in the oral liquid formulation. In some embodiments, the paraben or mixture of parabens is present in about 2% w/w to about 3% w/w of the solids in the oral liquid formulation. In some embodiments, the paraben or mixture of parabens is present in about 23% w/w to about 26% w/w of the solids in the oral liquid formulation. In some embodiments, the paraben or mixture of parabens is present in about 15 26% w/w to about 30% w/w of the solids in the oral liquid formulation.

Sweetener and preservative incompatibility

Paraben preservatives (especially methylparaben) can react with selected sugars (glucose, fructose, sucrose, lactose, maltose) and sugar alcohols (xylitol, mannitol, lactitol, maltitol, sorbitol) to form transesterification reaction products. This can be undesirable from a formulation and stability standpoint as the transesterification creates additional degradants.

In some embodiments, the enalapril oral liquid formulation described herein does not comprise a paraben preservative. In further embodiments, the enalapril oral liquid ³⁰ formulation described herein does not comprise a paraben preservative when the formulation also comprises a sugar or sugar alcohol.

pH of Enalapril Oral Liquid Formulations

Buffering agents maintain the pH of the liquid enalapril formulation. Non-limiting examples of buffering agents include, but are not limited to sodium bicarbonate, potassium bicarbonate, magnesium hydroxide, magnesium lac- 40 tate, magnesium glucomate, aluminum hydroxide, aluminum hydroxide/sodium bicarbonate co-precipitate, mixture of an amino acid and a buffer, a mixture of aluminum glycinate and a buffer, a mixture of an acid salt of an amino acid and a buffer, and a mixture of an alkali salt of an amino 45 acid and a buffer. Additional buffering agents include citric acid, sodium citrate, sodium tartarate, sodium acetate, sodium carbonate, sodium polyphosphate, potassium polyphosphate, sodium pyrophosphate, potassium pyrophosphate, disodium hydrogenphosphate, dipotassium hydrogen- 50 phosphate, trisodium phosphate, tripotassium phosphate, sodium acetate, potassium metaphosphate, magnesium oxide, magnesium hydroxide, magnesium carbonate, magnesium silicate, calcium acetate, calcium glycerophosphate, calcium chloride, calcium hydroxide, calcium lactate, cal- 55 cium carbonate, calcium bicarbonate, and other calcium salts. Some buffering agents also impart effervescent qualities when a powder is reconstituted in a solution. In some embodiments, the buffering agent is not sodium bicarbonate.

In some embodiments, the oral liquid formulation comprises a buffer.

In some embodiments, the buffer in the enalapril oral liquid formulation described herein comprises citric acid. In some embodiments, the buffer in the enalapril oral liquid formulation described herein comprises citric acid and sodium citrate. In some embodiments, the buffer in the enalapril oral liquid formulation described herein comprises

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citric acid and sodium citrate dihydrate or an equivalent molar amount of sodium citrate anhydrous. In some embodiments, the sodium citrate is monosodium citrate. In some embodiments, the sodium citrate is disodium citrate. In some embodiments, the sodium citrate is trisodium citrate.

In some embodiments, the buffer in the enalapril oral liquid formulation described herein comprises phosphoric acid. In some embodiments, the buffer in the enalapril oral liquid formulation described herein comprises sodium phosphate.

In some embodiments, modulation of the pH is desired to provide a lowered impurity profile. In the exemplary stability studies, the main enalapril degradants are enalapril diketopiperazine and enalaprilat:

enalapril diketopiperazine

enalaprilat

In some embodiments, the percentage of enalaprilat formation is increased when the pH is above 3.5. (See table C-2 and FIG. 1 and FIG. 2). In some embodiments, the percentage of enalapril diketopiperazine formation is slightly increased as the pH is below 4.

In some embodiments, the pH of the enalapril oral liquid formulation described herein is less than about 4. In some embodiments, the pH of the enalapril oral liquid formulation described herein is less than about 3.5. In some embodiments, the pH of the enalapril oral liquid formulation described herein is between about 3 and about 4. In some embodiments, the pH of the enalapril oral liquid formulation described herein is between about 3 and about 3.5. In some embodiments, the pH of the enalapril oral liquid formulation described herein is about 3, about 3.1, about 3.2, about 3.3, about 3.4, about 3.5, about 3.6, about 3.7, about 3.8, about 3.9, or about 4. In some embodiments, the pH of the enalapril oral liquid formulation described herein is about 3.3

In some embodiments, the formation of degradants is dependent on the buffer concentration. In some embodiments, the buffer concentration impacts the taste of the enalapril oral liquid formulation.

In some embodiments, the buffer concentration is between about 5 mM and about 20 mM. In some embodi-

ments, the buffer concentration is about 5 mM, about 6 mM, about 7 mM, about 8 mM, about 9 mM, about 10 mM, about 11 mM, about 12 mM, about 13 mM, about 14 mM, about 15 mM, about 16 mM, about 17 mM, about 18 mM, about 19 mM, or about 20 mM. In some embodiments, the buffer concentration is about 10 mM. In some embodiments, the buffer concentration is about 20 mM. In some embodiments, the buffer concentration is about 20 mM.

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In some embodiments, citric acid is present in about 0.7 to about 2 mg/ml in the oral liquid formulation. In other 10 embodiments, citric acid is present in about 0.7 mg/ml, about 0.71 mg/ml, about 0.72 mg/ml, about 0.73 mg/ml, about 0.74 mg/ml, about 0.75 mg/ml, about 0.76 mg/ml, about 0.77 mg/ml, about 0.78 mg/ml, about 0.79 mg/ml, about 0.8 mg/ml, about 0.81 mg/ml, about 0.82 mg/ml, 15 about 0.83 mg/ml, about 0.84 mg/ml, about 0.85 mg/ml, about 0.86 mg/ml, about 0.87 mg/ml, about 0.88 mg/ml, about 0.89 mg/ml, about 0.9 mg/mL, about 0.91 mg/mL, about 0.92 mg/mL, about 0.93 mg/mL, about 0.94 mg/mL, about 0.95 mg/mL, about 0.96 mg/mL, about 0.97 mg/mL, 20 about 0.98 mg/mL, about 0.99 mg/mL, about 1 mg/mL, about 1.11 mg/ml, about 1.12 mg/ml, about 1.13 mg/ml, about 1.14 mg/ml, about 1.15 mg/ml, about 1.16 mg/ml, about 1.17 mg/ml, about 1.18 mg/ml, about 1.19 mg/ml, about 1.2 mg/ml, about 1.21 mg/ml, about 1.22 mg/ml, 25 about 1.23 mg/ml, about 1.24 mg/ml, about 1.25 mg/ml, about 1.26 mg/ml, about 1.27 mg/ml, about 1.28 mg/ml, about 1.29 mg/ml, about 1.3 mg/mL, about 1.31 mg/mL, about 1.32 mg/mL, about 1.33 mg/mL, about 1.34 mg/mL, about 1.35 mg/mL, about 1.36 mg/mL, about 1.37 mg/mL, 30 about 1.38 mg/mL, about 1.39 mg/mL, about 1.4 mg/ml, about 1.41 mg/ml, about 1.42 mg/ml, about 1.43 mg/ml, about 1.44 mg/ml, about 1.45 mg/ml, about 1.46 mg/ml, about 1.47 mg/ml, about 1.48 mg/ml, about 1.49 mg/ml, about 1.5 mg/ml, about 1.51 mg/ml, about 1.52 mg/ml, 35 about 1.53 mg/ml, about 1.54 mg/ml, about 1.55 mg/ml, about 1.56 mg/ml, about 1.57 mg/ml, about 1.58 mg/ml, about 1.59 mg/ml, about 1.6 mg/mL, about 1.61 mg/mL, about 1.62 mg/mL, about 1.63 mg/mL, about 1.64 mg/mL, about 1.65 mg/mL, about 1.66 mg/mL, about 1.67 mg/mL, 40 about 1.68 mg/mL, about 1.69 mg/mL, about 1.7 mg/ml, about 1.71 mg/ml, about 1.72 mg/ml, about 1.73 mg/ml, about 1.74 mg/ml, about 1.75 mg/ml, about 1.76 mg/ml, about 1.77 mg/ml, about 1.78 mg/ml, about 1.79 mg/ml, about 1.8 mg/ml, about 1.81 mg/ml, about 1.82 mg/ml, 45 about 1.83 mg/ml, about 1.84 mg/ml, about 1.85 mg/ml, about 1.86 mg/ml, about 1.87 mg/ml, about 1.88 mg/ml, about 1.89 mg/ml, about 1.9 mg/mL, about 1.91 mg/mL, about 1.92 mg/mL, about 1.93 mg/mL, about 1.94 mg/mL, about 1.95 mg/mL, about 1.96 mg/mL, about 1.97 mg/mL, 50 about 1.98 mg/mL, about 1.99 mg/mL, or about 2 mg/mL in the oral liquid formulation. In some embodiments, citric acid is present in about 1.65 mg/ml in the oral liquid formulation. In some embodiments, citric acid is present in about 1.82 mg/ml in the oral liquid formulation. In some embodiments, 55 citric acid is present in about 0.82 mg/ml in the oral liquid

In some embodiments, citric acid is present in about 2 to about 3.5 mg/ml in the oral liquid formulation. In other embodiments, citric acid is present in about 2 mg/mL, about 60 2.05 mg/mL, about 2.1 mg/mL, about 2.15 mg/mL, about 2.2 mg/mL, about 2.25 mg/mL, about 2.3 mg/mL, about 2.35 mg/mL, about 2.4 mg/mL, about 2.45 mg/mL, about 2.5 mg/mL, about 2.5 mg/mL, about 2.5 mg/mL, about 2.5 mg/mL, about 2.7 mg/mL, about 2.6 mg/mL, about 2.8 mg/mL, about 2.8 mg/mL, about 2.85 mg/mL, about 2.9 mg/mL, about 3.1

mg/mL, about 3.15 mg/mL, about 3.2 mg/mL, about 3.25 mg/mL, about 3.3 mg/mL, about 3.35 mg/mL, about 3.4

mg/mL, about 3.45 mg/mL, or about 3.5 mg/mL in the oral liquid formulation. In some embodiments, citric acid is present in about 3.3 mg/ml in the oral liquid formulation.

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In some embodiments, citric acid is present in about 10% w/w to about 50% w/w of the solids in the oral liquid formulation. In other embodiments, citric acid is present in about 10% w/w, about 11% w/w, about 12% w/w, about 13% w/w, about 14% w/w, about 15% w/w, about 16% w/w, about 17% w/w, about 18% w/w, about 19% w/w, about 20% w/w, about 21% w/w, about 22% w/w, about 23% w/w, about 24% w/w, about 25% w/w, about 26% w/w, about 27% w/w, about 28% w/w, about 29% w/w, about 30% w/w, about 31% w/w, about 32% w/w, about 33% w/w, about 34% w/w, about 35% w/w, about 36% w/w, about 37% w/w, about 38% w/w, about 39% w/w, about 40% w/w, about 41% w/w, about 42% w/w, about 43% w/w, about 44% w/w, about 45% w/w, about 46% w/w, about 47% w/w, about 48% w/w, about 49% w/w, about 50% w/w of the solids in the oral liquid formulation. In some embodiments, citric acid is present in about 45% w/w of the solids in the oral liquid formulation. In some embodiments, citric acid is present in about 31% w/w of the solids in the oral liquid formulation. In some embodiments, citric acid is present in about 35% w/w of the solids in the oral liquid formulation. In some embodiments, citric acid is present in about 19% w/w of the solids in the oral liquid formulation.

In some embodiments, citric acid is present in about 1% w/w to about 5% w/w of the solids in the oral liquid formulation. In other embodiments, citric acid is present in about 1% w/w, about 1.1% w/w, about 1.2% w/w, about 1.3% w/w, about 1.4% w/w, about 1.5% w/w, about 1.6% w/w, about 1.7% w/w, about 1.8% w/w, about 1.9% w/w, about 2% w/w, about 2.1% w/w, about 2.2% w/w, about 2.3% w/w, about 2.4% w/w, about 2.5% w/w, about 2.6% w/w, about 2.7% w/w, about 2.8% w/w, about 2.9% w/w, about 3% w/w, about 3.1% w/w, about 3.2% w/w, about 3.3% w/w, about 3.4% w/w, about 3.5% w/w, about 3.6% w/w, about 3.7% w/w, about 3.8% w/w, about 3.9% w/w, about 4% w/w, about 4.1% w/w, about 4.2% w/w, about 4.3% w/w, about 4.4% w/w, about 4.5% w/w, about 4.6% w/w, about 4.7% w/w, about 4.8% w/w, about 4.9% w/w, or about 5% w/w of the solids in the oral liquid formulation. In some embodiments, citric acid is present in about 2.1% w/w of the solids in the oral liquid formulation. In some embodiments, citric acid is present in about 1.6% w/w of the solids in the oral liquid formulation.

In some embodiments, sodium citrate dihydrate is present in about 0.1 to about 0.8 mg/ml in the oral liquid formulation. In other embodiments, sodium citrate dihydrate is present in the oral liquid formulation is about 0.1 mg/mL, about 0.11 mg/mL, about 0.12 mg/mL, about 0.13 mg/mL, about 0.14 mg/mL, about 0.15 mg/ml, about 0.16 mg/mL, about 0.17 mg/mL, about 0.18 mg/mL, about 0.19 mg/mL, about 0.2 mg/ml, about 0.21 mg/ml, about 0.22 mg/ml, about 0.23 mg/ml, about 0.24 mg/ml, about 0.25 mg/ml, about 0.26 mg/ml, about 0.27 mg/ml, about 0.28 mg/ml, about 0.29 mg/ml, about 0.3 mg/ml, about 0.31 mg/ml, about 0.32 mg/ml, about 0.33 mg/ml, about 0.34 mg/ml, about 0.35 mg/ml, about 0.36 mg/ml, about 0.37 mg/ml, about 0.38 mg/ml, about 0.39 mg/ml, about 0.4 mg/ml, about 0.41 mg/ml, about 0.42 mg/ml, about 0.43 mg/ml, about 0.44 mg/ml, about 0.45 mg/ml, about 0.46 mg/ml, about 0.47 mg/ml, about 0.48 mg/ml, about 0.49 mg/ml, about 0.5 mg/ml, about 0.51 mg/ml, about 0.52 mg/ml, about 0.53 mg/ml, about 0.54 mg/ml, about 0.55 mg/ml,

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about 0.56 mg/ml, about 0.57 mg/ml, about 0.58 mg/ml, about 0.59 mg/ml, about 0.6 mg/ml, about 0.61 mg/ml, about 0.62 mg/ml, about 0.63 mg/ml, about 0.64 mg/ml, about 0.65 mg/ml, about 0.66 mg/ml, about 0.67 mg/ml, about 0.68 mg/ml, about 0.69 mg/ml, about 0.7 mg/ml, 5 about 0.71 mg/ml, about 0.72 mg/ml, about 0.73 mg/ml, about 0.74 mg/ml, about 0.75 mg/ml, about 0.76 mg/ml, about 0.77 mg/ml, about 0.78 mg/ml, about 0.79 mg/ml, or about 0.8 mg/ml in the oral liquid formulation. In some embodiments, sodium citrate dihydrate is present in about 10 0.75 mg/ml in the oral liquid formulation. In some embodiments, sodium citrate dihydrate is present in about 0.35 mg/ml in the oral liquid formulation. In some embodiments, sodium citrate dihydrate is present in about 0.2 mg/ml in the oral liquid formulation. In some embodiments, sodium cit- 15 rate dihydrate is present in about 0.15 mg/ml in the oral liquid formulation.

In some embodiments, sodium citrate dihydrate is present in about 1% w/w to about 15% w/w of the solids in the oral liquid formulation. In other embodiments, sodium citrate 20 dihydrate is present in about 1% w/w, about 1.1% w/w, about 1.2% w/w, about 1.3% w/w, about 1.4% w/w, about 1.5% w/w, about 1.6% w/w, about 1.7% w/w, about 1.8% w/w, about 1.9% w/w, about 2% w/w, about 2.1% w/w, about 2.2% w/w, about 2.3% w/w, about 2.4% w/w, about 2.5% 25 w/w, about 2.6% w/w, about 2.7% w/w, about 2.8% w/w, about 2.9% w/w, about 3% w/w, about 3.1% w/w, about 3.2% w/w, about 3.3% w/w, about 3.4% w/w, about 3.5% w/w, about 3.6% w/w, about 3.7% w/w, about 3.8% w/w, about 3.9% w/w, about 4% w/w, about 4.5% w/w, about 5% 30 w/w, about 5.5% w/w, about 6% w/w, about 6.5% w/w, about 7% w/w, about 7.5% w/w, about 8% w/w, about 8.5% w/w, about 9% w/w, about 9.5% w/w, about 10% w/w, about 10.5% w/w, about 11% w/w, about 11.5% w/w, about 12% w/w, about 12.5% w/w, about 13% w/w, about 13.5% w/w, 35 about 14% w/w, about 14.5% w/w, about 15% w/w of the solids in the oral liquid formulation. In some embodiments, sodium citrate dihydrate is present in about 10.5% w/w of the solids in the oral liquid formulation. In some embodiments, sodium citrate dihydrate is present in about 7.5% 40 w/w of the solids in the oral liquid formulation. In some embodiments, sodium citrate dihydrate is present in about 4.5% w/w of the solids in the oral liquid formulation. In some embodiments, sodium citrate dihydrate is present in about 2.9% w/w of the solids in the oral liquid formulation. 45

In other embodiments, sodium citrate dihydrate is not added to the formulation.

Additional Excipients

In further embodiments, the enalapril liquid formulation described herein comprises additional excipients including, but not limited to, glidants, flavoring agents, coloring agents and thickeners. Additional excipients such as bulking agents, tonicity agents and chelating agents are within the scope of 55 the embodiments.

Glidants are substances that improve flowability of a powder. Suitable glidants include, but are not limited to, calcium phosphate tribasic, calcium silicate, cellulose (powdered), colloidal silicon dioxide, magnesium silicate, magnesium trisilicate, silicon dioxide, starch, talc and the like. In some embodiments, the enalapril powder formulations described herein comprise a glidant. In some embodiments the glidant is not colloidal silicon dioxide.

In another embodiment, the enalapril liquid formulation 65 comprises a flavoring agent or flavorant to enhance the taste or aroma of the formulation in liquid form. Suitable natural

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or synthetic flavoring agents can be selected from standard reference books, for example Fenaroli's Handbook of Flavor Ingredients, 3rd edition (1995). Non-limiting examples of suitable natural flavors, some of which can readily be simulated with synthetic agents or combinations thereof, include almond, anise, apple, apricot, bergamot, blackberry, blackcurrant, blueberry, cacao, caramel, cherry, cinnamon, clove, coffee, coriander, cranberry, cumin, dill, eucalyptus, fennel, fig, ginger, grape, grapefruit, guava, hop, lemon, licorice, lime, malt, mandarin, molasses, nutmeg, mixed berry, orange, peach, pear, peppermint, pineapple, raspberry, rose, spearmint, strawberry, tangerine, tea, vanilla, wintergreen, etc. Also useful, particularly where the formulation is intended primarily for pediatric use, is tutti-frutti or bubblegum flavor, a compounded flavoring agent based on fruit flavors. Presently preferred flavoring agents include anise, cinnamon, cacao, orange, peppermint, cherry (in particular wild cherry), grape, bubblegum, vanilla, and mixed berry. In some embodiments, the enalapril liquid formulation described herein comprises a mixed berry flavoring agent. Flavoring agents can be used singly or in combinations of two or more.

In further embodiments, the enalapril liquid formulation comprises a coloring agent for identity and/or aesthetic purposes. Suitable coloring agents illustratively include FD&C Red No. 3, FD&C Red No. 20, FD&C Red No. 40, FD&C Yellow No. 6, FD&C Blue No. 2, FD&C Green No. 5, FD&C Orange No. 5, caramel, ferric oxide and mixtures thereof.

Thickeners impart viscosity or weight to the resultant liquid forms from the enalapril formulation described herein. Exemplary thickeners include dextrin, cellulose derivatives (carboxymethylcellulose and its salts, ethylcellulose, hydroxyethyl cellulose, methylcellulose, hypromellose, and the like) starches, pectin, polyethylene glycol, polyethylene oxide, trehalose and certain gums (xanthan gum, locust bean gum, etc.). In certain embodiments, the enalapril liquid formulation comprises a thickener.

Additional excipients are contemplated in the enalapril liquid formulation embodiments. These additional excipients are selected based on function and compatibility with the enalapril liquid formulations described herein and may be found, for example in *Remington: The Science and Practice of Pharmacy*, Nineteenth Ed (Easton, Pa.: Mack Publishing Company, 1995); Hoover, John E., *Remington's Pharmaceutical Sciences*, (Easton, Pa.: Mack Publishing Co 1975); Liberman, H. A. and Lachman, L., Eds., *Pharmaceutical Dosage Forms* (New York, N.Y.: Marcel Decker 1980); and *Pharmaceutical Dosage Forms and Drug Delivery Systems*, Seventh Ed (Lippincott Williams & Wilkins 1999), herein incorporated by reference in their entirety.

Stability

The main enalapril degradants are enalapril diketopiperazine and enalaprilat.

The enalapril oral liquid formulations described herein are stable in various storage conditions including refrigerated, ambient and accelerated conditions. Stable as used herein refers to enalapril oral liquid formulations having about 95% or greater of the initial enalapril amount and about 5% w/w or less total impurities or related substances at the end of a given storage period. The percentage of impurities is calculated from the amount of impurities relative to the amount of enalapril. Stability is assessed by HPLC or any other known testing method. In some embodiments, the stable enalapril oral liquid formulations have about 5% w/w, about 4% w/w,

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about 3% w/w, about 2.5% w/w, about 2% w/w, about 1.5% w/w, about 1% w/w, or about 0.5% w/w total impurities or related substances. In other embodiments, the stable enalapril oral liquid formulations have about 5% w/w total impurities or related substances. In yet other embodiments, the stable enalapril oral liquid formulations have about 4% w/w total impurities or related substances. In yet other embodiments, the stable enalapril oral liquid formulations have about 3% w/w total impurities or related substances. In yet other embodiments, the stable enalapril oral liquid formulations have about 2% w/w total impurities or related substances. In yet other embodiments, the stable enalapril oral liquid formulations have about 1% w/w total impurities or related substances.

At refrigerated condition, the enalapril oral liquid formu- 15 lations described herein are stable for at least 1 month, at least 2 months, at least 3 months, at least 6 months, at least 9 months, at least 12 months, at least 15 months, at least 18 months, at least 24 months, at least 30 months and at least 36 months. In some embodiments, refrigerated condition is 20 5±3° C. In some embodiments, refrigerated condition is about 2° C., about 2.1° C., about 2.2° C., about 2.3° C., about 2.4° C., about 2.5° C., about 2.6° C., about 2.7° C., about 2.8° C., about 2.9° C., about 3° C., about 3.1° C., about 3.2° C., about 3.3° C., about 3.4° C., about 3.5° C., 25 about 3.6° C., about 3.7° C., about 3.8° C., about 3.9° C., about 4° C., about 4.1° C., about 4.2° C., about 4.3° C., about 4.4° C., about 4.5° C., about 4.6° C., about 4.7° C., about 4.8° C., about 4.9° C., about 5° C., about 5.1° C., about 5.2° C., about 5.3° C., about 5.4° C., about 5.5° C., 30 about 5.6° C., about 5.7° C., about 5.8° C., about 5.9° C., about 6° C., about 6.1° C., about 6.2° C., about 6.3° C., about 6.4° C., about 6.5° C., about 6.6° C., about 6.7° C., about 6.8° C., about 6.9° C., about 7° C., about 7.1° C., about 7.2° C., about 7.3° C., about 7.4° C., about 7.5° C., 35 about 7.6° C., about 7.7° C., about 7.8° C., about 7.9° C., or about 8° C. At accelerated conditions, the enalapril oral liquid formulations described herein are stable for at least 1 month, at least 2 months, at least 3 months, at least 4 months, at least 5 months, at least 6 months, at least 7 months, at least 40 8 months, at least 9 months, at least 10 months, at least 11 months or at least 12 months. Accelerated conditions for the enalapril oral liquid formulations described herein include temperature and/or relative humidity (RH) that are at or above ambient levels (e.g. 25±5° C.; 55±10% RH). In some 45 instances, an accelerated condition is at about 25° C., about 30° C., about 35° C., about 40° C., about 45° C., about 50° C., about 55° C. or about 60° C. In other instances, an accelerated condition is above 55% RH, about 65% RH, about 70% RH, about 75% RH or about 80% RH. In further 50 instances, an accelerated condition is about 40° C. or 60° C. at ambient humidity. In yet further instances, an accelerated condition is about 40° C. at 75±5% RH humidity.

Enalapril Oral Powder Formulation

In another aspect, enalapril oral liquid formulations described herein are prepared from the reconstitution of an enalapril powder formulation. In some embodiments, the enalapril powder formulation comprising enalapril, a sweetener, a preservative, and optionally an excipient is dissolved in water, a buffer, other aqueous solvent, or a liquid to form an enalapril oral liquid formulation. In one embodiment, the sweetening agent is sucralose. In one embodiment, the sweetening agent is xylitol. In another embodiment, the preservative is sodium benzoate. In one embodiment, the preservative is sodium benzoate. In one embodiment, the preservative is

vative is a paraben preservative. In one aspect, the enalapril powder formulation described herein comprises enalapril, sucralose, and sodium benzoate. In some embodiments, the enalapril powder formulation herein further comprises a flavoring agent. In some embodiments, the enalapril powder formulation herein further comprises one or more buffering

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In some embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.5% w/w to about 30% w/w of the powder formulation. In other embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.5% w/w, about 1% w/w, about 1.5% w/w, about 2% w/w, about 2.5% w/w, about 3% w/w, about 3.5% w/w, about 4% w/w, about 4.5% w/w, about 5% w/w, about 5.5% w/w, about 6% w/w, about 6.5% w/w, about 7% w/w, about 7.5% w/w, about 8% w/w, about 8.5% w/w, about 9% w/w, about 9.5% w/w, about 10% w/w, about 10.5% w/w, about 11% w/w, about 11.5% w/w, about 12% w/w, about 12.5% w/w, about 13% w/w, about 13.5% w/w, about 14% w/w, about 14.5% w/w, about 15% w/w, about 15.5% w/w, about 16% w/w, about 16.5% w/w, about 17% w/w, about 17.5% w/w, about 18% w/w, about 18.5% w/w, about 19% w/w, about 19.5% w/w, about 20% w/w, about 20.5% w/w, about 21% w/w, about 21.5% w/w, about 22% w/w, about 22.5% w/w, about 23% w/w, about 23.5% w/w, about 24% w/w, about 24.5% w/w, about w/w, about 25.5% w/w, about 26% w/w, about 26.5% w/w, about 27% w/w, about 27.5% w/w, about 28% w/w, about 28.5% w/w, about 29% w/w, about 29.5% w/w, or about 30% w/w of the powder formulation. In some embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 10% w/w to about 25% w/w of the powder formulation. In some embodiments, enalapril maleate is present in about 13.5% w/w of the powder formulation. In some embodiments, enalapril maleate is present in about 19.5% w/w of the powder formulation. In some embodiments, enalapril maleate is present in about 24.5% w/w of the powder formulation. In some embodiments, enalapril is present in about 10.5% w/w of the powder formulation. In some embodiments, enalapril is present in about 14.5% w/w of the powder formulation. In some embodiments, enalapril is present in about 18% w/w of the powder formulation.

In some embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.1% w/w to about 1% w/w of the powder formulation. In other embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.1% w/w, about 0.15% w/w, about 0.2% w/w, about 0.25% w/w, about 0.3% w/w, about 0.35% w/w, about 0.4% w/w, about 0.45% w/w, about 0.5% w/w, about 0.55% w/w, about 0.6% w/w, about 0.65% w/w, about 0.7% w/w, about 0.75% w/w, about 0.8% w/w, about 0.85% w/w, about 0.9% w/w, about 0.95% w/w, or about 1% w/w of the powder formulation. In some embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.4% w/w to about 0.7% w/w of the powder formulation. In some embodiments, enalapril maleate is present in about 0.45% w/w of the powder formulation. In some embodiments, enalapril maleate is present in about 0.6% w/w of the powder formulation. In some embodiments, enalapril is present in about 0.4% w/w of the powder formulation. In some embodiments, enalapril is present in about 0.5% w/w of the powder formulation.

Various amounts and concentrations of other components (sweeteners, buffers, preservatives, and the like) in the enalapril powder formulations are found in the previous section describing the amounts and concentrations for the analogous enalapril oral liquid formulations. For example, in

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some embodiments where sucralose is present in about 1% w/w to about 30% w/w of the solids in the oral liquid formulation; in an analogous enalapril powder formulation, sucralose would be about 1% w/w to about 30% w/w in the powder formulation. In some embodiments where sodium benzoate is present in about 1% w/w to about 30% w/w of the solids in the oral liquid formulation, in an analogous enalapril powder formulation sodium benzoate is present in about 1% w/w to about 30% w/w in the powder formulation.

Liquid vehicles suitable for the enalapril powder formulations to be reconstituted into an oral solution described herein are selected for a particular oral liquid formulation (solution, suspension, etc.) as well as other qualities such as clarity, toxicity, viscosity, compatibility with excipients, 15 chemical inertness, palatability, odor, color and economy. Exemplary liquid vehicles include water, ethyl alcohol, glycerin, propylene glycol, syrup (sugar or other sweetener based, e.g., Ora-Sweet® SF sugar-free flavored syrup), juices (apple, grape, orange, cranberry, cherry, tomato and 20 the like), other beverages (tea, coffee, soft drinks, milk and the like), oils (olive, soybean, corn, mineral, castor and the like), and combinations or mixtures thereof. Certain liquid vehicles, e.g., oil and water, can be combined together to form emulsions. In some embodiments, water is used for as 25 a vehicle for a enalapril oral liquid formulation. In other embodiments, a syrup is used for as a vehicle for a enalapril oral liquid formulation. In yet other embodiments, a juice is used for as a vehicle for a enalapril oral liquid formulation.

Buffering agents maintain the pH of the liquid enalapril 30 formulation. Non-limiting examples of buffering agents include, but are not limited to sodium bicarbonate, potassium bicarbonate, magnesium hydroxide, magnesium lactate, magnesium gluconate, aluminum hydroxide, aluminum hydroxide/sodium bicarbonate co precipitate, mixture of an 35 amino acid and a buffer, a mixture of aluminum glycinate and a buffer, a mixture of an acid salt of an amino acid and a buffer, and a mixture of an alkali salt of an amino acid and a buffer. Additional buffering agents include citric acid, sodium citrate, sodium tartrate, sodium acetate, sodium 40 carbonate, sodium polyphosphate, potassium polyphosphate, sodium pyrophosphate, potassium pyrophosphate, disodium hydrogenphosphate, dipotassium hydrogenphosphate, trisodium phosphate, tripotassium phosphate, sodium acetate, potassium metaphosphate, magnesium oxide, mag- 45 nesium hydroxide, magnesium carbonate, magnesium silicate, calcium acetate, calcium glycerophosphate, calcium chloride, calcium hydroxide, calcium lactate, calcium carbonate, calcium bicarbonate, and other calcium salts. Some buffering agents also impart effervescent qualities when a 50 powder is reconstituted in a solution.

In some embodiments, the reconstituted oral liquid formulation comprises a buffer. In some embodiments, the buffer comprises citric acid and sodium citrate.

In further embodiments, the enalapril powder formulation 55 described herein comprises additional excipients including, but not limited to, glidants, flavoring agents, coloring agents and thickeners. Additional excipients such as bulking agents, tonicity agents and chelating agents are within the scope of the embodiments.

Glidants are substances that improve flowability of a powder. Suitable glidants include, but are not limited to, calcium phosphate tribasic, calcium silicate, cellulose (powdered), colloidal silicon dioxide, magnesium silicate, magnesium trisilicate, silicon dioxide, starch, talc and the like. In 65 some embodiments, the enalapril powder formulations described herein comprise a glidant.

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In another embodiment, the enalapril powder formulation described herein comprises a flavoring agent or flavorant to enhance the taste or aroma of the formulation in liquid form. Suitable natural or synthetic flavoring agents can be selected from standard reference books, for example Fenaroli's Handbook of Flavor Ingredients, 3rd edition (1995). Nonlimiting examples of suitable natural flavors, some of which can readily be simulated with synthetic agents or combinations thereof, include almond, anise, apple, apricot, bergamot, blackberry, blackcurrant, blueberry, cacao, caramel, cherry, cinnamon, clove, coffee, coriander, cranberry, cumin, dill, eucalyptus, fennel, fig, ginger, grape, grapefruit, guava, hop, lemon, licorice, lime, malt, mandarin, molasses, nutmeg, mixed berry, orange, peach, pear, peppermint, pineapple, raspberry, rose, spearmint, strawberry, tangerine, tea, vanilla, wintergreen, etc. Also useful, particularly where the formulation is intended primarily for pediatric use, is tuttifrutti or bubblegum flavor, a compounded flavoring agent based on fruit flavors. Presently preferred flavoring agents include anise, cinnamon, cacao, orange, peppermint, cherry (in particular wild cherry), grape, bubblegum, vanilla, and mixed berry. Flavoring agents can be used singly or in combinations of two or more.

In further embodiments, the enalapril powder formulation described herein comprises a coloring agent for identity and/or aesthetic purposes. Suitable coloring agents illustratively include FD&C Red No. 3, FD&C Red No. 20, FD&C Red No. 40, FD&C Yellow No. 6, FD&C Blue No. 2, D&C Green No. 5, D&C Orange No. 5, caramel, ferric oxide and mixtures thereof.

In further embodiments, the enalapril powder formulation described herein comprises a thickener. Thickeners impart viscosity or weight to the resultant liquid forms from the enalapril formulation described herein. Exemplary thickeners include dextrin, cellulose derivatives (carboxymethylcellulose and its salts, ethylcellulose, hydroxyethyl cellulose, methylcellulose, hypromellose, and the like) starches, pectin, polyethylene glycol, polyethylene oxide, trehalose and certain gums (xanthan gum, locust bean gum, etc.).

Additional excipients are contemplated in the enalapril powder formulation embodiments. These additional excipients are selected based on function and compatibility with the the enalapril powder formulation described herein and may be found, for example in *Remington: The Science and Practice of Pharmacy*, Nineteenth Ed (Easton, Pa.: Mack Publishing Company, 1995); Hoover, John E., *Remington's Pharmaceutical Sciences*, (Easton, Pa.: Mack Publishing Co 1975); Liberman, H. A. and Lachman, L., Eds., *Pharmaceutical Dosage Forms* (New York, N.Y.: Marcel Decker 1980); and *Pharmaceutical Dosage Forms and Drug Delivery Systems*, Seventh Ed (Lippincott Williams & Wilkins 1999), herein incorporated by reference in their entirety.

In some embodiments, the enalapril oral liquid formulation prepared from the powder formulations described berein are homogenous. Homogenous liquids as used herein refer to those liquids that are uniform in appearance, identity, consistency and drug concentration per volume. Non-homogenous liquids include such liquids that have varied coloring, viscosity and/or aggregation of solid particulates, as well as non-uniform drug concentration in a given unit volume. Homogeneity in liquids are assessed by qualitative identification or appearance tests and/or quantitative HPLC testing or the like. The mixing methods and excipients described herein are selected to impart a homogenous quality to a resultant enalapril oral liquid formulation.

Mixing methods encompass any type of mixing that result in a homogenous enalapril oral liquid formulation. In some

embodiments, a quantity of an enalapril powder formulation is added to a liquid vehicle and then mixed by a stirring, shaking, swirling, agitation element or a combination thereof. In certain instances, a fraction of a enalapril powder formulation (i.e., one-half, one-third, one-fourth, etc.) is added to a liquid vehicle, mixed by stirring, shaking, swirling, agitation or a combination thereof, and the subsequent powder fraction(s) is added and mixed. In other embodiments, a liquid vehicle is added to an enalapril powder formulation in a container, for example, a bottle, vial, bag, beaker, syringe, or the like. The container is then mixed by stirring, shaking, swirling, agitation, inversion or a combination thereof. In certain instances, a fractional volume of the liquid vehicle (i.e., one-half, one-third, one-fourth volume, etc.) is added to a enalapril powder formulation in a container, mixed by stirring, shaking, swirling, agitation, inversion or a combination thereof and the subsequent liquid fraction(s) is added and mixed. In certain instances, a one-half fractional volume of the liquid vehicle is added to 20 an enalapril powder formulation in a container and mixing by shaking; the other one-half fractional volume of the liquid vehicle is then subsequently added and mixed. In any of the above embodiments, mixing (i.e., stirring, shaking, swirling, agitation, inversion or a combination thereof) 25 occurs for a certain time intervals such as about 10 seconds. about 20 seconds, about 30 seconds, about 45 seconds, about 60 seconds, about 90 seconds, about 120 seconds, about 2.5 minutes, about 3 minutes, about 3.5 minutes, about 4 minutes, or about 5 minutes. In embodiments, where there are 30 two or more mixing steps, the time intervals for each mixing can be the same (e.g., 2×10 seconds) or different (e.g., 10 seconds for first mixing and 20 seconds for second mixing). In any of the above embodiments, a enalapril oral liquid formulation is allowed to stand for a period of time such as 35 about 10 minutes, about 20 minutes, about 30 minutes, about 45 minutes, about 1 hour, about 1.5 hours or about 2 hours, to allow any air bubbles resultant from any of the mixing methods to dissipate.

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Stability of Enalapril Powder Formulation

The enalapril powder formulations described herein are stable in various storage conditions including refrigerated, ambient and accelerated conditions. Stable as used herein 45 refer to enalapril powder formulations having about 95% or greater of the initial enalapril amount and 5% w/w or less total impurities or related substances at the end of a given storage period. The percentage of impurities is calculated from the amount of impurities relative to the amount of 50 enalapril. Stability is assessed by HPLC or any other known testing method. In some embodiments, the stable enalapril powder formulations have about 5% w/w, about 4% w/w, about 3% w/w, about 2.5% w/w, about 2% w/w, about 1.5% w/w, about 1% w/w, or about 0.5% w/w total impurities or 55 related substances. In other embodiments, the stable enalapril powder formulations have about 5% w/w total impurities or related substances. In yet other embodiments, the stable enalapril powder formulations have about 4% w/w total impurities or related substances. In yet other embodi- 60 ments, the stable enalapril powder formulations have about 3% w/w total impurities or related substances. In yet other embodiments, the stable enalapril powder formulations have about 2% w/w total impurities or related substances. In yet other embodiments, the stable enalapril powder formula- 65 tions have about 1% w/w total impurities or related substances.

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At refrigerated and ambient conditions, in some embodiments, the enalapril powder formulations described herein are stable for at least 1 week, 2 weeks, 4 weeks, 6 weeks, 8 weeks, 10 weeks, 12 weeks, 16 weeks, 20 weeks, at least 24 weeks, at least 30 weeks, or at least 36 weeks. At accelerated conditions, in some embodiments, the enalapril powder formulations described herein are stable for at least 1 week, at least 2 weeks, at least 3 weeks, at least 4 weeks, at least 5 weeks, at least 6 weeks, at least 7 weeks, at least 8 weeks, at least 9 weeks, at least 10 weeks, at least 11 weeks or at least 12 weeks. Accelerated conditions for the enalapril powder formulations described herein include temperature and/or relative humidity (RH) that are above ambient levels (e.g. 25±4° C.; 55±10% RH). In some instances, an accelerated condition is at about 30° C., about 35° C., about 40° C., about 45° C., about 50° C., about 55° C. or about 60° C. In other instances, an accelerated condition is above 65% RH, about 70% RH, about 75% RH or about 80% RH. In further instances, an accelerated condition is about 40° C. or 60° C. at ambient humidity. In yet further instances, an accelerated condition is about 40° C. at 75±5% RH humiditv.

Kits and Articles of Manufacture

For the enalapril powder and liquid formulations described herein, kits and articles of manufacture are also described. Such kits can comprise a carrier, package, or container that is compartmentalized to receive one or more containers such as vials, tubes, and the like, each of the container(s) comprising one of the separate elements to be used in a method described herein including an enalapril powder or liquid formulation. Suitable containers include, for example, bottles, vials, syringes, and test tubes. The containers can be formed from a variety of materials such as glass or plastic.

A kit will typically may comprise one or more additional containers, each with one or more of various materials (such as reagents, optionally in concentrated form, and/or devices) desirable from a commercial and user standpoint for an enalapril powder or liquid formulation described herein. Non-limiting examples of such materials include, but not limited to, buffers, diluents, filters, needles, syringes; carrier, package, container, vial and/or tube labels listing contents and/or instructions for use, and package inserts with instructions for use associated with an enalapril powder or liquid formulation. A set of instructions will also typically be included.

A label can be on or associated with the container. A label can be on a container when letters, numbers or other characters forming the label are attached, molded or etched into the container itself; a label can be associated with a container when it is present within a receptacle or carrier that also holds the container, e.g., as a package insert. A label can be used to indicate that the contents are to be used for a specific therapeutic application. The label can also indicate directions for use of the contents, such as in the methods described herein.

Methods

Provided herein, in one aspect, are methods of treatment comprising administration of the enalapril oral liquid formulations described herein to a subject. In some embodiments, the enalapril oral liquid formulations described herein treat hypertension in a subject. Hypertension as used herein includes both primary (essential) hypertension and

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secondary hypertension. In certain instances, hypertension is classified in cases when blood pressure values are greater than or equal to 140/90 (systolic/diastolic) mm Hg in a subject. In certain instances, the enalapril oral liquid formulations described herein treat a subject having a blood 5 pressure values are greater than or equal to 140/90 mm Hg. In certain instances, the enalapril oral liquid formulations described herein treat primary (essential) hypertension in a subject. In other instances, the enalapril oral liquid formulations described herein treat secondary hypertension in a 10 subject.

In other embodiments, the enalapril oral liquid formulations described herein treat prehypertension in a subject. Prehypertension as used herein refers to cases where a subject's blood pressure is elevated above normal but not to 15 the level considered to be hypertension. In some instances, prehypertension is classified in cases when blood pressure values are 120-139/80-89 mm Hg. In certain instances, the enalapril oral liquid formulations described herein treat a subject having blood pressure values of 120-139/80-89 mm 20

In yet other embodiments, the enalapril oral liquid formulations described herein are prophylactically administered to subjects suspected of having, predisposed to, or at administration of enalapril oral liquid formulations described herein allow for early intervention prior to onset of hypertension. In certain embodiments, upon detection of a biomarker, environmental, genetic factor, or other marker, the enalapril oral liquid formulations described herein are 30 prophylactically administered to subjects.

In further embodiments, the enalapril oral liquid formulations described herein treat heart failure (e.g., symptomatic congestive), asymptomatic left ventricular dysfunction, myocardial infarction, diabetic nephropathy and chronic 35 renal failure. In certain instances, the enalapril oral liquid formulations described herein treat symptomatic congestive heart failure. In other instances, the enalapril oral liquid formulations described herein treat asymptomatic left ventricular dysfunction. In further instances, the enalapril oral 40 liquid formulations described herein treat myocardial infarction. In yet further instances, the enalapril oral liquid formulations described herein treat diabetic nephropathy. In yet further instances, the enalapril oral liquid formulations described herein treat chronic renal failure.

Dosing

In one aspect, the enalapril oral liquid formulations are used for the treatment of diseases and conditions described 50 herein. In addition, a method for treating any of the diseases or conditions described herein in a subject in need of such treatment, involves administration of enalapril oral liquid formulations in therapeutically effective amounts to said

Dosages of enalapril oral liquid formulations described can be determined by any suitable method. Maximum tolerated doses (MTD) and maximum response doses (MRD) for enalapril and/or enalaprilat can be determined via established animal and human experimental protocols as well as 60 in the examples described herein. For example, toxicity and therapeutic efficacy of enalapril and/or enalaprilat can be determined by standard pharmaceutical procedures in cell cultures or experimental animals, including, but not limited to, for determining the LD_{50} (the dose lethal to 50% of the $\,^{65}$ population) and the ED_{50} (the dose therapeutically effective in 50% of the population). The dose ratio between the toxic

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and therapeutic effects is the therapeutic index and it can be expressed as the ratio between LD_{50} and ED_{50} . Enalapril dosages exhibiting high therapeutic indices are of interest. The data obtained from cell culture assays and animal studies can be used in formulating a range of dosage for use in human. The dosage of such compounds lies preferably within a range of circulating concentrations that include the ED₅₀ with minimal toxicity. The dosage may vary within this range depending upon the dosage form employed and the route of administration utilized. Additional relative dosages, represented as a percent of maximal response or of maximum tolerated dose, are readily obtained via the pro-

In some embodiments, the amount of a given enalapril oral liquid formulation that corresponds to such an amount varies depending upon factors such as the particular enalapril salt or form, disease condition and its severity, the identity (e.g., weight, sex) of the subject or host in need of treatment, but can nevertheless be determined according to the particular circumstances surrounding the case, including, e.g., the specific agent being administered, the liquid composition type, the condition being treated, and the subject or host being treated.

In some embodiments, the enalapril oral liquid formularisk of developing hypertension. In some embodiments, the 25 tions described herein are provided in a dose per day from about 0.01 mg to 100 mg, from about 0.1 mg to about 80 mg, from about 1 to about 60, from about 2 mg to about 40 mg of enalapril. In certain embodiments, the enalapril oral liquid formulations described herein are provided in a daily dose of about 0.01 mg, about 0.05 mg, about 0.1 mg, about 0.2 mg, about 0.4 mg, about 0.6 mg, about 0.8 mg, about 1 mg, about 1.5 mg, about 2 mg, about 2.5 mg, about 3 mg, about 4 mg, about 5 mg, about 6 mg, about 7 mg, about 8 mg, about 9 mg, about 10 mg, about 11 mg, about 12 mg, about 15 mg, about 20 mg, about 25 mg, about 30 mg, about 35 mg, about 40 mg, about 45 mg, about 50 mg, about 55 mg, about 60 mg, about 65 mg, about 70 mg, about 76, mg, about 80 mg, about 85 mg, about 90 mg or about 100 mg, or any range derivable therein. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 1 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 2 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose 45 per day of about 3 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 4 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 5 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 6 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 7 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose 55 per day of about 8 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 9 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 10 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 11 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 12 mg. The dose per day described herein can be given once per day or multiple times per day in the form of sub-doses given b.i.d., t.i.d., q.i.d., or the like where the number of sub-doses equal the dose per

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In further embodiments, the daily dosages appropriate for the enalapril oral liquid formulations described herein are from about 0.01 to about 1.0 mg/kg per body weight. In one embodiment, the daily dosages appropriate for the enalapril oral liquid formulations are from about 0.02 to about 0.8 5 mg/kg enalapril per body weight. In another embodiment, the daily dosage appropriate for the enalapril oral liquid formulations are from about 0.05 to about 0.6 mg/kg per body weight. In another embodiment, the daily dosage appropriate for the enalapril oral liquid formulations is about 0.05 mg/kg, about 0.06 mg/kg, about 0.07 mg/kg, about 0.08 mg/kg, about 0.10 mg/kg, about 0.15 mg/kg, about 0.20 mg/kg, about 0.25 mg/kg, about 0.30 mg/kg, about 0.40 mg/kg, about 0.50 mg/kg, or about 0.60 mg/kg.

In other embodiments the enalapril oral liquid formulations are provided at the maximum tolerated dose (MTD) for enalapril and/or enalaprilat. In other embodiments, the amount of the enalapril oral liquid formulations administered is from about 10% to about 90% of the maximum tolerated dose (MTD), from about 25% to about 75% of the MTD, or about 50% of the MTD. In particular embodiments, the amount of the enalapril oral liquid formulations administered is from about 5%, 10%, 15%, 20%, 25%, 30%, 35%, 40%, 45%, 50%, 55%, 60%, 65%, 70%, 75%, 80%, 85%, 90%, 95%, 99%, or higher, or any range derivable therein, 25 of the MTD for enalapril and/or enalaprilat.

In further embodiments, the enalapril oral liquid formulations are provided in a dosage that is similar, comparable or equivalent to a dosage of a known enalapril tablet formulation. In other embodiments, the enalapril oral liquid 30 formulations are provided in a dosage that provides a similar, comparable or equivalent pharmacokinetic parameters (e.g., AUC, C_{max} , T_{max} , C_{min} , $T_{1/2}$) as a dosage of a known enalapril tablet formulation. Similar, comparable or equivalent pharmacokinetic parameters, in some instances, 35 refer to within 80% to 125%, 80% to 120%, 85% to 125%, 90% to 110%, or increments therein, of the given values. It should be recognized that the ranges can, but need not be symmetrical, e.g., 85% to 105%.

Administration

Administration of an enalapril oral liquid formulation is at a dosage described herein or at other dose levels and formulations determined and contemplated by a medical 45 practitioner. In certain embodiments, the enalapril oral liquid formulations described herein are administered for prophylactic and/or therapeutic treatments. In certain therapeutic applications, the enalapril oral liquid formulations are administered to a patient already suffering from a disease, 50 e.g., hypertension, in an amount sufficient to cure the disease or at least partially arrest or ameliorate the symptoms, e.g., lower blood pressure. Amounts effective for this use depend on the severity of the disease, previous therapy, the patient's health status, weight, and response to the enalapril formu- 55 lations, and the judgment of the treating physician. Therapeutically effective amounts are optionally determined by methods including, but not limited to, a dose escalation clinical trial.

In prophylactic applications, the enalapril oral liquid 60 formulations described herein are administered to a patient susceptible to or otherwise at risk of a particular disease, e.g., hypertension. Such an amount is defined to be a "prophylactically effective amount or dose." In this use, the precise amounts also depend on the patient's state of health, 65 weight, and the like. When used in a patient, effective amounts for this use will depend on the risk or susceptibility

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of developing the particular disease, previous therapy, the patient's health status and response to the enalapril formulations, and the judgment of the treating physician.

In certain embodiments wherein the patient's condition does not improve, upon the doctor's discretion the administration of an enalapril oral liquid formulations described herein are administered chronically, that is, for an extended period of time, including throughout the duration of the patient's life in order to ameliorate or otherwise control or limit the symptoms of the patient's disease. In other embodiments, administration of an enalapril oral liquid formulation continues until complete or partial response of a disease.

In certain embodiments wherein a patient's status does improve, the dose of an enalapril oral liquid formulation being administered may be temporarily reduced or temporarily suspended for a certain length of time (i.e., a "drug holiday"). In specific embodiments, the length of the drug holiday is between 2 days and 1 year, including by way of example only, 2 days, 3 days, 4 days, 5 days, 6 days, 7 days, 10 days, 12 days, 15 days, 20 days, 28 days, 35 days, 50 days, 70 days, 100 days, 120 days, 150 days, 180 days, 200 days, 250 days, 280 days, 300 days, 320 days, 350 days, and 365 days. The dose reduction during a drug holiday is, by way of example only, by 10%-100%, including by way of example only 10%, 15%, 20%, 25%, 30%, 35%, 40%, 45%, 50%, 55%, 60%, 65%, 70%, 75%, 80%, 85%, 90%, 95%, and 100%.

In some embodiments, enalapril oral liquid formulations described herein are administered chronically. For example, in some embodiments, an enalapril oral liquid formulation is administered as a continuous dose, i.e., administered daily to a subject. In some other embodiments, enalapril oral liquid formulations described herein are administered intermittently (e.g. drug holiday that includes a period of time in which the formulation is not administered or is administered in a reduced amount).

In some embodiments an enalapril oral liquid formulation is administered to a subject who is in a fasted state. A fasted state refers to a subject who has gone without food or fasted for a certain period of time. General fasting periods include at least 4 hours, at least 6 hours, at least 8 hours, at least 10 hours, at least 12 hours, at least 14 hours and at least 16 hours without food. In some embodiments, an enalapril oral liquid formulation is administered orally to a subject who is in a fasted state for at least 8 hours. In other embodiments, an enalapril oral liquid formulation is administered to a subject who is in a fasted state for at least 10 hours. In yet other embodiments, an enalapril oral liquid formulation is administered to a subject who is in a fasted state for at least 12 hours. In other embodiments, an enalapril oral liquid formulation is administered to a subject who has fasted overnight.

In other embodiments an enalapril oral liquid formulation is administered to a subject who is in a fed state. A fed state refers to a subject who has taken food or has had a meal. In certain embodiments, an enalapril oral liquid formulation is administered to a subject in a fed state 5 minutes post-meal, 10 minutes post-meal, 15 minutes post-meal, 20 minutes post-meal, 30 minutes post-meal, 40 minutes post-meal, 50 minutes post-meal, 1 hour post-meal, or 2 hours post-meal. In certain instances, an enalapril oral liquid formulation is administered to a subject in a fed state 30 minutes post-meal. In other instances, an enalapril oral liquid formulation is administered to a subject in a fed state 1 hour post-meal. In yet further embodiments, an enalapril oral liquid formulation is administered to a subject with food.

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In further embodiments described herein, an enalapril oral liquid formulation is administered at a certain time of day for the entire administration period. For example, an enalapril oral liquid formulation can be administered at a certain time in the morning, in the evening, or prior to bed. In certain instances, an enalapril oral liquid formulation is administered in the morning. In other embodiments, an enalapril oral liquid formulation can be administered at different times of the day for the entire administration period. For example, an enalapril oral liquid formulation can be administered on 8:00 am in the morning for the first day, 12 pm noon for the next day or administration, 4 pm in the afternoon for the third day or administration, and so on.

Further Combinations

The treatment of certain diseases or conditions (e.g., hypertension, heart failure, myocardial infarction and the like) in a subject with an enalapril oral liquid formulation described herein encompass additional therapies and treatment regimens with other agents in some embodiments. Such additional therapies and treatment regimens can include another therapy, e.g., additional anti-hypertensives, for treatment of the particular disease or condition in some embodiments. Alternatively, in other embodiments, additional therapies and treatment regimens include other agents used to treat adjunct conditions associated with the disease or condition or a side effect from the enalapril oral liquid formulation in the therapy.

Additional agents for use in combination with an enalapril 30 oral liquid formulation described herein include, but are not limited to, diuretics (loop, thiazide, potassium-sparing, and the like), beta blockers (metoprolol, propanolol, pronethalol, and the like), alpha blockers (phentolamine, phenoxybenzamine, tamsulosin, prazosin, and the like), mixed alpha and 35 beta blockers (bucindolol, carvedilol, labetalol), calcium channel blockers (dihydropyridines such as nifedipine, amlodipine, etc., dilitazem, verapamil and the like), angiotensin II receptor antagonists (saralasin, lsartan, eprosartin, irbesartan, valsartan, and the like), other ACE inhibitors 40 (captopril, quinapril, ramipril, lisinopril, zofenopril, and the like), aldosterone antagonists (eplerenone, spironolactone and the like), vasodilators (hydralazine and the like) and alpha-2 agonists (clonidine, moxonidine, guanabenz and the like).

Certain Definitions

Unless defined otherwise, all technical and scientific terms used herein have the same meanings as commonly 50 understood by one of ordinary skill in the art. Although any methods and materials similar or equivalent to those described herein can be used in the practice or testing of embodiments described herein, certain preferred methods, devices, and materials are now described.

As used herein and in the appended claims, the singular forms "a", "an", and "the" include plural reference unless the context clearly dictates otherwise. Thus, for example, reference to "an excipient" is a reference to one or more excipients and equivalents thereof known to those skilled in 60 the art, and so forth.

The term "about" is used to indicate that a value includes the standard level of error for the device or method being employed to determine the value. The use of the term "or" in the claims is used to mean "and/or" unless explicitly 65 indicated to refer to alternatives only or the alternatives are mutually exclusive, although the disclosure supports a defi30

nition that refers to only alternatives and to "and/or." The terms "comprise," "have" and "include" are open-ended linking verbs. Any forms or tenses of one or more of these verbs, such as "comprises," "comprising," "has," "having," "includes" and "including," are also open-ended. For example, any method that "comprises," "has" or "includes" one or more steps is not limited to possessing only those one or more steps and also covers other unlisted steps.

"Optional" or "optionally" may be taken to mean that the subsequently described structure, event or circumstance may or may not occur, and that the description includes instances where the events occurs and instances where it does not.

As used herein, the term "therapeutic" means an agent utilized to treat, combat, ameliorate, prevent or improve an unwanted condition or disease of a patient. In some embodiments, a therapeutic agent such as enalapril is directed to the treatment and/or the amelioration of, reversal of, or stabilization of the symptoms of hypertension described herein.

"Administering" when used in conjunction with a therapeutic means to administer a therapeutic systemically or locally, as directly into or onto a target tissue, or to administer a therapeutic to a patient whereby the therapeutic positively impacts the tissue to which it is targeted. Thus, as used herein, the term "administering", when used in conjunction with an enalapril formulation, can include, but is not limited to, providing an enalapril formulation into or onto the target tissue; providing an enalapril formulation systemically to a patient by, e.g., oral administration whereby the therapeutic reaches the target tissue or cells. "Administering" a formulation may be accomplished by injection, topical administration, and oral administration or by other methods alone or in combination with other known techniques.

The term "animal" as used herein includes, but is not limited to, humans and non-human vertebrates such as wild, domestic and farm animals. As used herein, the terms "patient," "subject" and "individual" are intended to include living organisms in which certain conditions as described herein can occur. Examples include humans, monkeys, cows, sheep, goats, dogs, cats, mice, rats, and transgenic species thereof. In a preferred embodiment, the patient is a primate. In certain embodiments, the primate or subject is a human. In certain instances, the human is an adult. In certain instances, the human is child. In further instances, the human 45 is 12 years of age or younger. In certain instances, the human is elderly. In other instances, the human is 60 years of age or older. Other examples of subjects include experimental animals such as mice, rats, dogs, cats, goats, sheep, pigs, and cows. The experimental animal can be an animal model for a disorder, e.g., a transgenic mouse with hypertensive pathology. A patient can be a human suffering from hypertension, or its variants or etiological forms.

By "pharmaceutically acceptable", it is meant the carrier, diluent or excipient must be compatible with the other ingredients of the formulation and not deleterious to the recipient thereof.

The term "pharmaceutical composition" shall mean a composition comprising at least one active ingredient, whereby the composition is amenable to investigation for a specified, efficacious outcome in a mammal (for example, without limitation, a human). Those of ordinary skill in the art will understand and appreciate the techniques appropriate for determining whether an active ingredient has a desired efficacious outcome based upon the needs of the artisan.

A "therapeutically effective amount" or "effective amount" as used herein refers to the amount of active compound or pharmaceutical agent that elicits a biological

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or medicinal response in a tissue, system, animal, individual or human that is being sought by a researcher, veterinarian, medical doctor or other clinician, which includes one or more of the following: (1) preventing the disease; for example, preventing a disease, condition or disorder in an 5 individual that may be predisposed to the disease, condition or disorder but does not yet experience or display the pathology or symptomatology of the disease, (2) inhibiting the disease; for example, inhibiting a disease, condition or disorder in an individual that is experiencing or displaying 10 the pathology or symptomatology of the disease, condition or disorder (i.e., arresting further development of the pathology and/or symptomatology), and (3) ameliorating the disease; for example, ameliorating a disease, condition or disorder in an individual that is experiencing or displaying the pathology or symptomatology of the disease, condition

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a condition. As used herein, "treat," "treated," "treatment," or "treating" includes prophylaxis in some embodiments.

EXAMPLES

Example A: Effect of pH on the Formation of Degradants in Enalapril Formulations at 60° C.

Formulations were prepared containing enalapril maleate according to Table A-1. The pH of each solution was recorded. Five milliliters of each formulation were transferred to each of four 3-dram glass screw-capped vials with Teflon inserts in the caps. The vials were placed into a 60° C. heating chamber then one vial removed and analyzed by HPLC at times of zero, ~97 and ~180 hours.

TABLE A-1

	Enalapril Formulations at Varying pH and Citrate Buffer Concentration Formulation (mM citrate)						
Component	A1 (50)	A2 (50)	A3 (50)	A4 (50)	A5 (50)	A6 (25)	
Enalapril maleate	1.0	1.0	1.0	1.0	1.0	1.0	
Mannitol	50	50	50		50	6.0	
Xylitol				50			
Citric acid, anhydrous	7.35	5.05	2.55	5.05	5.05	2.76	
Sodium citrate, dihydrate	3.45	7.0	10.8	7.0	7.0	3.15	
Sodium benzoate	1	1	1	1	1		
Methylparaben sodium					1.75	0.335	
Propylparaben sodium						0.095	
Potassium sorbate						1	
Sucralose	0.75	0.75	0.75	0.75	0.75	0.75	
Silicon dioxide						0.075	
Mixed berry flavor (powdered)	0.5	0.5	0.5	0.5	0.5	0.5	
Water	qs	qs	qs	qs	qs	qs	
pH	3.4	4.4	5.2	4.4	4.5	$4.\hat{4}$	

qs = sufficient quantity

or disorder (i.e., reversing the pathology and/or symptomatology). As such, a non-limiting example of a "therapeutically effective amount" or "effective amount" of a formulation of the present disclosure may be used to inhibit, block, or reverse the activation, migration, or proliferation of cells or to effectively treat hypertension or ameliorate the symptoms of hypertension.

The terms "treat," "treated," "treatment," or "treating" as used herein refers to both therapeutic treatment in some embodiments and prophylactic or preventative measures in other embodiments, wherein the object is to prevent or slow (lessen) an undesired physiological condition, disorder or 50 disease, or to obtain beneficial or desired clinical results. For the purposes described herein, beneficial or desired clinical results include, but are not limited to, alleviation of symptoms; diminishment of the extent of the condition, disorder or disease; stabilization (i.e., not worsening) of the state of 55 the condition, disorder or disease; delay in onset or slowing of the progression of the condition, disorder or disease; amelioration of the condition, disorder or disease state; and remission (whether partial or total), whether detectable or undetectable, or enhancement or improvement of the con- 60 dition, disorder or disease. Treatment includes eliciting a clinically significant response without excessive levels of side effects. Treatment also includes prolonging survival as compared to expected survival if not receiving treatment. A prophylactic benefit of treatment includes prevention of a 65 condition, retarding the progress of a condition, stabilization of a condition, or decreasing the likelihood of occurrence of

The results of the HPLC analysis for the two main degradants in the samples, enalapril diketopiperazine and enalaprilat, are provided in Table A-2.

TABLE A-2

5	Primary Degradants Present in the Formulations (% w/w of enalapril maleate)							
	Formulation							
	Hours at 60° C.	A 1	A2	A3	A4	A5	A 6	
)		Eı	ıalapril D	iketopipera	zine			
	0	0.04	0.03	0.03	0.03	0.03	0.03	
	97	3.10	0.88	0.33	0.86	0.70	0.53	
	180	6.21	1.77	0.75	1.73	1.43	1.07	
			Ena	laprilat				
	0	0.09	0.15	0.29	0.14	0.16	0.12	
	97	5.20	16.9	47.4	16.1	20.3	15.6	
	180	9.94	34.8	113	33.5	42.2	31.7	

Example B: Effect of Buffer Concentration on the Formation of Degradants in Enalapril Formulations at 60° C.

Formulations were prepared containing enalapril maleate according to Table B-1. The pH of each solution was measured and adjusted as needed to pH 3.3 with ~1N HCl or ~0.5N NaOH. Five milliliters of each formulation were

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transferred to each of six 3-dram glass screw-capped vials with Teflon inserts in the caps. The vials were placed into a 60° C. heating chamber then two vials were removed and analyzed by HPLC at times of zero, ~66 and ~139 hours.

TABLE B-1

Formulation (in mg/mL) of Enalapril Maleate Formulations
at Varying Citrate Buffer Concentrations

		Formulation				
Component	B1 (5 mM citrate)	B2 (10 mM citrate)	B3 (20 mM citrate)			
Enalapril maleate	1.0	1.0	1.0			
Citric acid, anhydrous	0.82	1.65	3.29			
Sodium citrate, anhydrous	0.19	0.38	0.75			
Sodium benzoate	1.0	1.0	1.0			
Sucralose	0.7	0.7	0.7			
Mixed berry flavor (powdered)	0.5	0.5	0.5			
Water	qs	qs	qs			
pH	3.3	3.3	3.3			

qs = sufficient quantity

The results of the HPLC analysis for the two main degradants in the samples, enalapril diketopiperazine and enalaprilat, are provided in Table B-2.

TABLE B-2

(% w/w of enalapril maleate) Formulation								
Hours at 60° C.	B1 (5 mM citrate)	B2 (10 mM citrate)	B3 (20 mM citrate					
	Enalapril I	Diketopiperazine						
0	0.01	0.01	0.01					
66	1.57	1.63	1.79					
139	3.70	3.94	4.24					
	En	alaprilat						
0	0.00	0.00	0.00					
66	2.98	2.88	3.19					
139	5.28	5.23	5.69					

Example C: Stability of Enalapril Maleate Formulations Containing Paraben Preservatives

Powder formulations were prepared according to Table $_{50}$ C-1. All components in each formulation except mannitol or xylitol were added to a 2.5 liter polypropylene screw capped bottle. The bottle was mixed by inversion in a Turbula®

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mixer for 5 minutes. The mannitol or xylitol was then added and the components mixed for 5 minutes, then the other half of the mannitol or xylitol was added and a final mix of 5 minutes was completed.

One liter of solution formulation was prepared for each formulation by adding an appropriate amount of each powdered formulation to a 1 liter volumetric flask and adding about 500 mL water. The powder was dissolved with mixing then the contents of the flask were brought to 1 liter with additional water. The amount of powder to add was determined such that the final concentration of enalapril maleate was 1.0 mg/mL. Fifty milliliter aliquots of each formulation were placed into HDPE bottles. The bottles were screwcapped and placed into storage at 5° C.±3° C., at room temperature (19-23° C.) and at 40° C.±2° C. At various times, bottles were removed from the storage condition and analyzed.

TABLE C-1

20	Composition	of Enalap	ril Maleat	e Formula	ations	
20	Component	Cl	C2	С3	C4	C5
	Pow	der Form	ulation (g	rams)		
25	Enalapril maleate Mannitol	12.3 74.4	12.3 74.4	8.86 394.0	2.16	2.16
	Xylitol				96.6	93.7
	Citric acid, anhydrous	28.6	35.6	28.4	5.40	5.40
	Sodium citrate, anhydrous	24.5	14.7	7.73	4.10	4.10
	Sodium methylparaben	4.17	4.17	8.86	2.16	2.16
	Sodium propylparaben	1.10	1.10			
30	Potassium sorbate	12.3	12.3			
	Sodium benzoate			8.86	2.16	2.16
	Xanthan Gum Colloidal silicon dioxide	0.859	0.859	4.43		1.62 1.08
	Sucralose	9.20	9.20	6.64	1.62	1.62
	Mixed berry flavor	6.13	6.13	4.43	1.02	1.02
	Wilked berry havor	0.15	0.13	7.73	1.00	1.06
35	Total solids	173.5	170.7	472.3	115.2	115.2
			lations (m			
	-					
	Enalapril maleate	1.00	1.00	1.00	1.00	1.00
	Mannitol	6.07	6.07	44.5		
40	Xylitol				44.7	43.4
40	Citric acid, anhydrous	2.33	2.90	3.21	2.50	2.50
	Sodium citrate, anhydrous	2.00	1.20	0.87	1.90	1.90
	Sodium methylparaben	0.34	0.34	1.00	1.00	1.00
	Sodium propylparaben	0.09	0.09	1.00		
	Potassium sorbate	1.00	1.00			
45	Sodium benzoate			1.00	1.00	1.00
43	Xanthan Gum					0.75
	Colloidal silicon dioxide	0.07	0.07	0.50	0.75	0.50
	Sucralose	0.75	0.75	0.75	0.75	0.75
	Mixed berry flavor	0.50 4.4	0.50	0.50	0.50 4.4	0.50 4.6
	pH (measured)	4.4	3.8	3.7	4.4	4.0

The results of the HPLC analysis for the diketopiperazine and enalaprilat degradants in the samples are provided in Table C-2.

TABLE C-2

	Sto	Storage		Formulation				
	° C.	Weeks Liquid I	C1 Formulati	C2 ons	C3	C4	C5	
Diketopiperazine	5	0 4	0.03 0.02	0.04 0.03	0.04 0.03	0.02 0.03	0.02 0.02	
	19-23	8 0 4 8	0.03 0.03 0.05 0.08	0.04 0.04 0.09 0.17	0.04 0.04 0.11 0.19	0.02 0.05	0.02 0.04	

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TABLE C-2-continued

De	gradant Content	After Stor	rage (% w	/w of ena	lapril mal	eate)			
	Sto	rage		Formulation					
	° C.	Weeks Liquid I	C1 Formulation	C2	C3	C4	C5		
	40	0	0.03	0.04	0.04	0.02	0.02		
		4	0.35	0.91	1.10	0.31	0.21		
		8	0.65	1.80	2.05				
Enalaprilat	5	0	0.18	0.14	0.12	0.13	0.19		
-		4	0.18	0.15	0.12	0.43	0.53		
		8	0.55	0.38	0.34				
	19-23	0	0.18	0.14	0.12	0.13	0.19		
		4	1.35	0.83	0.80	1.75	2.29		
		8	3.34	2.06	1.98				
	40	0	0.18	0.14	0.12	0.13	0.19		
		4	10.49	6.08	6.11	12.30	16.14		
		8	24.37	14.12	14.22				

Example D: Stability of Enalapril Maleate Formulations Containing Benzoate Preservative

Powder formulations were prepared according to Table D-1. All components in each formulation except enalapril ²⁵ maleate and mannitol or xylitol were blended with a mortar and pestle. The enalapril maleate was then triturated with the blend. The xylitol or mannitol was then triturated into the blend using a geometric dilution technique.

One liter of solution formulation was prepared for each formulation by adding an appropriate amount of each pow-

dered formulation to a 1 liter volumetric flask and adding about 500 mL water. The powder was dissolved with mixing then the contents of the flask were brought to 1 liter with additional water. The amount of powder to add was determined such that the final concentration of enalapril maleate was 1.0 mg/mL. Fifty milliliter aliquots of each formulation were placed into HDPE bottles. The bottles were screwcapped and placed into storage at 5° C.±3° C., at room temperature (19-23° C.) and at 40° C.±2° C. At various times, bottles were removed from the storage condition and analyzed.

TABLE D-1

Composition of	Enalapril	Maleate F	ormulatio	ns		
Component	D1	D2	D3	D4	D5	D6
Powde	r Formula	tion (gran	ıs)			
Enalapril maleate	3.63	3.63	3.63	3.63	8.86	2.16
Xylitol	537.2	176.1		537.2		
Mannitol			319.4		401.2	98.9
Citric acid, anhydrous	11.9	11.9	11.9	10.4	26.6	6.48
Sodium citrate, anhydrous	2.72	2.72	2.72	4.86	11.3	2.70
Sodium benzoate	3.63	3.63	3.63	3.63	8.86	2.10
Rebalance X60 (sucralose and maltodextrin)		10.9				
Sucralose					6.64	1.62
Saccharin sodium			7.26			
Colloidal silicon dioxide					4.43	
Mixed berry flavor	1.82	1.82	1.82	1.82	4.43	1.08
Total solids	561	211	350.	561	472.3	115.2
Liquid	Formulati	ons (mg/n	ıL)			
Enalapril maleate	1.00	1.00	1.00	1.00	1.00	1.00
Xylitol	148.0	48.5		148.0		
Mannitol			88.0		45.3	45.8
Citric acid, anhydrous	3.29	3.29	3.29	2.85	3.00	3.00
Sodium citrate, anhydrous	0.75	0.75	0.75	1.34	1.28	1.2
Sodium benzoate	1.00	1.00	1.00	1.00	1.00	1.00
Rebalance X60 (sucralose and maltodextrin)		3.00				
Sucralose					0.75	0.73
Saccharin sodium			2.00			
Colloidal silicon dioxide					0.50	
Mixed berry flavor	0.50	0.50	0.50	0.50	0.50	0.50
		3.2	3.4	3.7	3.6	3.6

The results of the HPLC analysis for the diketopiperazine and enalaprilat degradants in the samples are provided in Table D-2.

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De	egradant	Content 2	After Stora	ıge (% w/	w of enals	pril male	ate)	
	Sto	orage			Form	ılation		
	° C.	Weeks	D1 Liquid F	D2 ormulatio	D3	D4	D5	D6
Diketopiperazine	5	0	0.04	0.02	0.03	0.03	0.04	0.04
		4	0.07	0.03	0.05	0.05	0.03	
		8	0.11	0.06	0.08	0.08	0.05	
		12	0.08	0.04	0.06	0.06		
		26	0.11	0.07	0.09	0.07		
	19-23	0	0.04	0.02	0.03	0.03	0.04	0.04
		4	0.27	0.21	0.24	0.16	0.12	0.12
		8	0.50	0.41	0.47	0.30	0.21	0.22
		12	0.62	0.52	0.58	0.35		
		26	1.39	1.20	1.33	0.76		
	40	0	0.04	0.02	0.03	0.03	0.04	0.04
		4	2.87	2.32	2.73	1.57	1.21	1.13
		8	5.13	4.42	5.44	2.97	2.23	2.16
		12	6.86	5.90	6.90	3.91		
		26	13.63	12.18	13.56	7.74		
Enalaprilat	5	0	0.03	0.02	0.03	0.03	0.13	0.14
-		4	0.15	0.12	0.06	0.17	0.13	
		8	0.22	0.19	0.22	0.27	0.34	
		12	0.20	0.17	0.19	0.22		
		8	0.32	0.30	0.30	0.39		
	19-23	0	0.03	0.02	0.03	0.03	0.13	0.14
		4	0.69	0.66	0.69	0.86	0.74	0.76
		8	1.38	1.33	1.41	1.68	1.83	1.82
		12	1.71	1.68	1.73	2.15		
		26	3.63	3.61	3.59	4.55		
	40	0	0.03	0.02	0.03	0.03	0.13	0.14
		4	4.76	4.42	4.76	6.45	5.55	5.24
		8	8.95	8.64	9.61	12.94	12.73	12.18
		12	11.01	10.64	11.41	16.16		
		26	17.18	17.11	18.30	27.36		

Example E: Stability of Solution Formulations of Enalapril Maleate

Solution formulations were prepared according to Table E-1. Thirty milliliter aliquots of each formulation were placed into HDPE bottles. The bottles were screw-capped 40 and placed into storage at 5° C.±3° C., at room temperature (19-23° C.) and at 40° C.±2° C. At various times, bottles were removed from the storage condition and analyzed.

Composition	n of Enalap	ril Malea	te Form	ulations (mg/mL)	
Component	E1	E2	ЕЗ	E4	E5	Е6
Enalapril maleate Xvlitol	1.00 150	1.00 200	1.00	1.00 150	1.00	1.00

-continued

	Composition of	f Enalap	ril Malea	ate Form	ulations	(mg/mL)	
	Component	E1	E2	E3	E4	E5	E6
40	Citric acid anhydrous Sodium citrate anhydrous	3.29 0.75	3.29 0.75	3.29 0.75	3.29 0.75	1.65 0.38	0.82 0.19
	Sodium benzoate Sucralose Mixed berry flavor	0.50	1.00	1.00 0.70 0.50	1.00 0.50	1.00 0.70 0.50	1.00 0.70 0.50
45	Water pH (measured)	qs 3.3	qs 3.3	qs 3.3	qs 3.4	qs 3.3	qs 3.3

qs = sufficient quantity

The results of the HPLC analysis for the diketopiperazine and enalaprilat degradants in the samples are provided in Table E-2.

TABLE E-2

	Sto	Storage		Formulation					
	° C.	Weeks	E1	E2	E3	E4	E5	E6	
Diketopiperazine	5	0	0.01	0.01	0.01	0.01	0.01	0.01	
		4	0.04	0.04	0.05	0.04	0.03	0.03	
		8	0.04	0.04	0.04	0.04	0.03	0.03	
		12	0.05	0.05	0.04	0.05	0.04	0.04	
		26	0.07	0.06	0.05	0.06	0.05	0.05	
		52					0.15	0.14	
		62	0.18	0.18	0.16	0.14			
	19-23	0	0.01	0.01	0.01	0.01	0.01	0.01	
		4	0.22	0.23	0.21	0.20	0.16	0.15	
		8	0.35	0.35	0.32	0.31	0.29	0.28	

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39TABLE E-2-continued

	Ct.		Formulation						
		orage			Formula	tion			
	° C.	Weeks	E1	E2	E3	E4	E5	E6	
		12	0.58	0.59	0.53	0.51	0.48	0.45	
		26	1.10	1.10	1.00	0.95	0.97	0.92	
		52					2.30	2.15	
		62	3.02	3.04	2.75	2.64			
	40	0	0.01	0.01	0.01	0.01	0.01	0.01	
		4	2.65	2.71	2.60	2.42	1.76	1.68	
		8	4.02	3.99	3.99	3.62	3.37	3.13	
		12	6.72	6.42	6.47	6.00	5.53	5.29	
Enalaprilat	5	0	0.00	0.00	0.01	0.02	0.00	0.00	
		4	0.07	0.09	0.10	0.11	0.07	0.08	
		8	0.12	0.14	0.10	0.13	0.09	0.08	
		12	0.16	0.15	0.15	0.17	0.14	0.11	
		26	0.31	0.30	0.29	0.31	0.27	0.24	
		52					0.54	0.46	
		62	0.75	0.75	0.74	0.71			
	19-23	0	0.00	0.00	0.01	0.02	0.00	0.00	
		4	0.65	0.65	0.68	0.70	0.50	0.46	
		8	1.17	1.19	1.20	1.23	1.03	0.95	
		12	1.67	1.69	1.72	1.80	1.30	1.21	
		26	3.36	3.38	3.42	3.57	3.07	2.90	
		52					6.32	5.88	
		62	7.99	8.02	8.04	8.57			
	40	0	0.00	0.00	0.01	0.02	0.00	0.00	
		4	4.85	4.93	5.19	5.42	3.33	3.25	
		8	8.08	8.06	8.56	9.01	6.65	6.35	
		12	10.70	10.48	11.01	11.97	8.14	7.96	

Example F: Effect of pH on the Formation of Degradants in Enalapril Formulations at 5° C. and 19-23° C.

The content of enalapril diketopiperazine and enalaprilat 35 that were formed after 8 weeks of storage for formulations C1-C3 and D1-D5 are plotted in FIG. 1 (5° C.±3° C.) and FIG. 2 (19-23° C. storage). These formulations all contained 20 mM total citrate buffer content, but with varying pH. The general effects of formulation pH on the formation of the 40 two main enalapril degradants are shown.

Example G: Antimicrobial Effectiveness Testing of Enalapril Maleate Formulations at pH 3.3

Enalapril formulations were prepared containing differing amounts of the antimicrobial preservative, sodium benzoate. The formulations were then tested for antimicrobial effectiveness (AET) according to the procedures in the 2014 United States Pharmacopeia 37, Chapter <51> for category 50 3 products. The formulation of the formulations and the AET results are included in Table G-1.

TABLE G-1

Formula	ation and	AET Testi	ng Result	S				
		F	ormulatio	n				
	G1 G2 G3 G4 G5							
	Formulati	on (mg/m	L)					
Enalapril maleate	1.00	1.00	1.00	1.00	1.00			
Xylitol	150	150	150	150				
Sucralose					0.70			
Citric acid, anhydrous	1.64	1.64	1.64	1.64	1.80			
Sodium citrate, anhydrous	0.322	0.322	0.322	0.322				
Sodium citrate, dihydrate					0.165			

TABLE G-1-continued

		F	ormulatio	n	
	G1	G2	G3	G4	G5
Sodium benzoate	1.00	0.80	0.60	0.40	1.0
Mixed berry flavor	0.50	0.50	0.50	0.50	0.50
Water	q.s.	q.s.	q.s.	q.s.	q.s.
HCl/NaOH		as nee	d to achie	ve pH	
Measured pH	3.3	3.3	3.3	3.3	3.3
	AET	Results			
USP <51>	Pass	Pass	Pass	Pass	Pass

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qs = sufficient quantity

Example H: Clinical Trial: Bioavailability Study of 10 mg Enalapril Maleate Oral Solution vs. 10 mg Epaned® Powder for Oral Solution (Reconstituted)

Under Fasted Conditions

The objective of this open-label, randomized, two-period, two-treatment, two-way crossover study was to compare the oral bioavailability of a test formulation of 10 mL of enalapril maleate oral solution, 1 mg/mL (formulation E-5), to an equivalent oral dose of the commercially available comparator product, Epaned® (enalapril maleate) Powder for Oral Solution, 1 mg/mL, when administered under fasted conditions in healthy adults.

Study design: Thirty-two healthy adult subjects received a single 10 mL dose of enalapril maleate oral solution, 1 mg/mL, formulation E-5 (Treatment A), in one period and a separate single dose of Epaned Powder for Oral Solution (reconstituted with the supplied Ora-Sweet SF), 1 mg/mL
 (Treatment B) in another period. Each treatment was administered after an overnight fast of at least 10 hours, followed by a 4-hour fast postdose. Each treatment was administered

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via a 10 mL oral dosing syringe and followed with 240 mL of room temperature tap water. Each drug administration was separated by a washout period of at least 7 days.

During each study period, meals were the same and scheduled at approximately the same times relative to dose. In addition, during each period, blood samples were obtained prior to and following each dose at selected times through 72 hours postdose. Pharmacokinetic samples were analyzed for enalapril and its metabolite enalaprilat using a validated analytical method; appropriate pharmacokinetic parameters were calculated for each formulation using noncompartmental methods. Blood was also drawn and urine collected for clinical laboratory testing at screening and at the end of the study.

Statistical Methods: The concentration-time data were analyzed using noncompartmental methods in PhoenixTM WinNonlin® (Version 6.3, Pharsight Corporation). Concentration-time data that were below the limit of quantitation (BLQ) were treated as zero in the data summarization and 20 descriptive statistics. In the pharmacokinetic analysis, BLQ concentrations were treated as zero from time-zero up to the time at which the first quantifiable concentration was observed; embedded and/or terminal BLQ concentrations were treated as "missing". Actual sample times were used 25 the buffer comprises a citrate, a phosphate, a citrate/phosfor all pharmacokinetic and statistical analyses. Analysis of variance (ANOVA) and the Schuirmann's two one-sided t-test procedures at the 5% significance level were applied to the log-transformed pharmacokinetic exposure parameters, C_{max} , AUC_{last} , and AUC_{inf} . The 90% confidence interval for the ratio of the geometric means (Test/Reference) was calculated. Bioequivalence was declared if the lower and upper confidence intervals (CIs) of the log-transformed parameters were within 80% to 125% for enalapril and enalaprilat.

Results: A total of 32 subjects participated in the study and 29 of these subjects completed both study periods. Based on the geometric mean ratios of enalapril and enalaprilat AUCs (AUC_{last} and AUC_{inf}), the bioavailability of the enalapril 40 maleate oral solution (formulation E-5) relative to the Epaned Powder for Oral Solution (reconstituted) was approximately 105% to 110%. The geometric mean ratios of enalapril and enalaprilat C_{max} were approximately 115% and 109%, respectively. The 90% CI for comparing the maxi- 45 mum exposure to enalapril and enalaprilat, based on ln (C_{max}) , was within the accepted 80% to 125% limits. The 90% CIs for comparing total systemic exposure to enalapril and enalaprilat, based on $\ln (AUC_{last})$ and $\ln (AUC_{inf})$, was within the accepted 80% to 125% limits. Therefore, the test formulation of enalapril maleate oral solution, 1 mg/mL, is bioequivalent to the reference product, Epaned Powder for Oral Solution (reconstituted), 1 mg/mL, under fasted con-

While preferred embodiments of the present invention have been shown and described herein, it will be obvious to those skilled in the art that such embodiments are provided by way of example only. Numerous variations, changes, and substitutions will now occur to those skilled in the art 60 without departing from the invention. It should be understood that various alternatives to the embodiments of the invention described herein may be employed in practicing the invention. It is intended that the following claims define the scope of the invention and that methods and structures 65 within the scope of these claims and their equivalents be covered thereby.

What is claimed is:

- 1. A stable oral liquid formulation, consisting essentially
 - (i) about 0.6 to about 1.2 mg/ml enalapril or a pharmaceutically acceptable salt or solvate thereof;
 - (ii) a buffer to maintain the pH about 4.5 or below, wherein the buffer concentration is about 5 mM to about 20 mM;
 - (iii) a preservative, wherein the preservative is a paraben or a mixture of parabens; and

(iv) water:

- wherein the formulation optionally comprises a sweetener, a flavoring agent, or both;
- wherein the formulation is stable at about $5\pm3^{\circ}$ C. for at least 12 months; and
- wherein the stable oral liquid formulation has about 95% w/w or greater of the initial enalapril amount and about 5% w/w or less total impurity or related substances at the end of the given storage period.
- 2. The stable oral liquid formulation of claim 1, compris-
- 3. The stable oral liquid formulation of claim 1, comprising a flavoring agent.
- 4. The stable oral liquid formulation of claim 1, wherein phate, an acetate, a glycinate, an amino acid, or a tartrate
- 5. The stable oral liquid formulation of claim 1, wherein the buffer concentration is about 10 mM to about 20 mM.
- **6**. The stable oral liquid formulation of claim **1**, wherein the buffer maintains the pH between about 3 and about 4.
- 7. The stable oral liquid formulation of claim 1, wherein the buffer maintains the pH at about 3.3.
- 8. The stable oral liquid formulation of claim 1, compris-35 ing about 1.0 mg/ml enalapril or a pharmaceutically acceptable salt or solvate thereof.
 - 9. The stable oral liquid formulation of claim 1, wherein the enalapril or a pharmaceutically acceptable salt or solvate thereof is enalapril maleate.
 - 10. The stable oral liquid formulation of claim 1, wherein the preservative is a mixture of parabens.
 - 11. The stable oral liquid formulation of claim 1, wherein the paraben or the mixture of parabens is methylparaben, ethylparaben, propylparaben, butylparaben, salts thereof, or a combination thereof.
 - 12. The stable oral liquid formulation of claim 1, wherein the preservative is a mixture of methylparaben and propy-
 - 13. The stable oral liquid formulation of claim 1, wherein the paraben or the mixture of parabens is present at about 0.1 mg/ml to about 2 mg/ml in the oral liquid formulation.
 - 14. The stable oral liquid formulation of claim 1, wherein the paraben or the mixture of parabens is present at about 1.6 mg/ml to about 2 mg/ml in the oral liquid formulation.
 - 15. The stable oral liquid formulation of claim 1, wherein the paraben or the mixture of parabens is present at about 0.1 mg/ml to about 0.5 mg/ml in the oral liquid formulation.
 - **16**. The stable oral liquid formulation of claim **1**, wherein the paraben or the mixture of parabens is present at about 2% w/w to about 30% w/w of the solids in the oral liquid formulation.
 - 17. The stable oral liquid formulation of claim 1, wherein the formulation is stable at about 5±3° C. for at least 18
 - 18. The stable oral liquid formulation of claim 1, wherein the formulation is stable at about 5±3° C. for at least 24

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- 19. A stable oral liquid formulation, consisting essentially of:
 - (i) about 0.6 to about 1.2 mg/ml enalapril or a pharmaceutically acceptable salt or solvate thereof;
 - (ii) a buffer to maintain the pH about 4.5 or below, wherein the buffer concentration is about 5 mM to about 20 mM;
 - (iii) a preservative, wherein the preservative is methylparaben, ethylparaben, propylparaben, butylparaben, or a combination thereof; and
 - (iv) water:
 - wherein the formulation optionally comprises a sweetener, a flavoring agent, or both;
 - wherein the formulation is stable at about 5±3° C. for at least 12 months; and
 - wherein the stable oral liquid formulation has about 95% w/w or greater of the initial enalapril amount and about 5% w/w or less total impurity or related substances at the end of the given storage period.
- **20**. The stable oral liquid formulation of claim **19**, ²⁰ wherein the buffer comprises a citrate, a phosphate, a citrate/phosphate, an acetate, a glycinate, an amino acid, or a tartrate buffer.
- 21. The stable oral liquid formulation of claim 19, wherein the buffer concentration is about 10 mM to about 20 25 mM.
- 22. The stable oral liquid formulation of claim 19, wherein the buffer maintains the pH between about 3 and about 4
- 23. The stable oral liquid formulation of claim 19, 30 wherein the buffer maintains the pH at about 3.3.
- **24**. The stable oral liquid formulation of claim **19**, comprising about 1.0 mg/ml enalapril or a pharmaceutically acceptable salt or solvate thereof.

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- 25. The stable oral liquid formulation of claim 19, wherein the enalapril or a pharmaceutically acceptable salt or solvate thereof is enalapril maleate.
- 26. The stable oral liquid formulation of claim 19, wherein the preservative is a mixture of parabens that are selected from methylparaben, ethylparaben, propylparaben, and butylparaben.
- 27. The stable oral liquid formulation of claim 19, wherein the preservative is present at about 0.1 mg/ml to about 2 mg/ml in the oral liquid formulation.
- **28**. The stable oral liquid formulation of claim **19**, wherein the preservative is present at about 1.6 mg/ml to about 2 mg/ml in the oral liquid formulation.
- 29. The stable oral liquid formulation of claim 19, wherein the preservative is present at about 0.1 mg/ml to about 0.5 mg/ml in the oral liquid formulation.
- **30**. A stable oral liquid formulation, consisting essentially of:
- (i) about 0.6 to about 1.2 mg/ml enalapril or a pharmaceutically acceptable salt or solvate thereof;
- (ii) a buffer to maintain the pH about 4.5 or below;
- (iii) a preservative, wherein the preservative is methylparaben, ethylparaben, propylparaben, butylparaben, or a combination thereof; and
- (iv) water;
- wherein the formulation optionally comprises a sweetener, a flavoring agent, or both;
- wherein the formulation is stable at about 5±3° C. for at least 12 months; and
- wherein the stable oral liquid formulation has about 95% w/w or greater of the initial enalapril amount and about 5% w/w or less total impurity or related substances at the end of the given storage period.

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	UTILITY		Attorney Docket No.		-707.201	
	PATENT APPLICATION	ON	Flist Named inventor	Gerolo	L MOSHER	
	TRANSMITTAL		Title	Enala	oril Formulations	
(Only f	for new nonprovisional applications under 37	CFR 1,53(b))	Express Mall Label N	 Electron 	ically filed on March 25, 2016	
See MRE!	APPLICATION ELEMENT P chapter 900 concerning utility patent oppli		ADDRESS TO		ommissioner for Patents P.O. Box 1450 exandria, VA 22313-1450	
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Name (Print/Type)	Clark Y. Lin			gstration No. torney/Agent)	67,024	

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Pasent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450. Alexandria, VA 22513-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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WSGR Docket No. 43060-707.201

PATENT APPLICATION

ENALAPRIL FORMULATIONS

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Filed Electronically on: March 25, 2016

CLAIMS

WHAT IS CLAIMED IS:

- 1. An oral liquid formulation, comprising.
 - (i) about 1 mg/ml enalapril maleate:
 - (ii) about 0.70 mg/ml of a sweetener that is sucralose;
 - (iii) a buffer comprising about 1.82 mg/ml citric acid:
 - (iv) about I mg/ml of a preservative that is sodium benzoate; and
 - (v) water:

wherein the pH of the formulation is less than about 3.5; and wherein the formulation is stable at about 5±3 °C for at least 12 months.

- 2. The formulation of claim 1, further comprising a flavoring agent
- The formulation of claim 1, wherein the buffer further comprises about 0.15 mg/mL sodium citrate dihydrate.
- 1. The formulation of claim 1, wherein the pH is between about 3 and about 3.5.
- The formulation of claim 4, wherein the pH is about 3.3.
- The formulation of claim 1, wherein the citrate concentration in the buffer is about 5 mM to about 20 mM.
- 7. The formulation of claim 6, wherein the citrate concentration in the buffer is about 10 mM.
- The formulation of claim 1, wherein the formulation is stable at about 5±3 °C for at least 18 months.
 - The formulation of claim 1, wherein the formulation is stable at about 5±3 °C for at least 24 months.
 - 10. The formulation of claim 1, wherein the formulation does not contain mannitol.
 - 11. The formulation of claim 1, wherein the formulation does not contain silicon dioxide.
 - 12. An oral liquid formulation, comprising:
 - (i) about 19.3 % (w/w of solids) enalapril maleate:
 - (ii) about 13.5 % (w/w of solids) of a sweetener that is sucralose;
 - (iii) a buffer comprising about 35.2 % (w/w of solids) entric acid:
 - (iv) about 19.3 % (w/w of solids) of a preservative that is sodium benzoate: and
 - (v) water:

wherein the pH of the formulation is less than about 3.5; and wherein the formulation is stable at about 5 ± 3 °C for at least 12 months.

13. The formulation of claim 12, further comprising a flavoring agent

- 14. The formulation of claim 12, wherein the buffer further comprises about 2.9 % (w/w of solids) sodium citrate dihydrate.
- 15 The formulation of claim 12, wherein the pH is between about 3 and about 3.5.
 - 16 The formulation of claim 15, wherein the pH is about 3.3.
- 17 The formulation of claim 12, wherein the citrate concentration in the buffer is about 5 mM to about 20 mM.
- 18. The formulation of claim 17, wherein the citrate concentration in the buffer is about 10 mM.
 - 19. The formulation of claim 12, wherein the formulation is stable at about 5±3 °C for at least 24 months.
 - 20. An oral liquid formulation, consisting essentially of.
 - (i) about 1 mg/ml enalapril maleate;
 - (ii) about 0.70 mg/ml of a sweetener that is sucralose;
 - (iii) a buffer comprising about 1.82 mg/ml citric acid and about 0.15 mg/ml sodium citrate dihydrate:
 - (iv) about 1 mg/ml of a preservative that is sodium benzoate:
 - (v) a flavoring agent; and
 - (vi) water:

wherein the pH of the formulation is less than about 3.5 adjusted by sodium bydroxide or hydrochloric acid if needed; and

wherein the formulation is stable at about 5±3 °C for at least 12 months.

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Document Description: Request First Action Interview

PTO/8B/413C (05-11) Approved for use through 01/31/2013, OMB 0651-0031

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REQUEST FOR FIRST ACTION INTERVIEW (FULL PILOT PROGRAM)

Attorney Docket Application Number Filing date: 43060-707.201 Filed Herewith March 25, 2016 Number: (if known): First Named **ENALAPRIL FORMULATIONS** Gerold L. MOSHER Title: Inventor

APPLICANT HEREBY REQUESTS A FIRST ACTION INTERVIEW IN THE ABOVE-IDENTIFIED APPLICATION. See Instruction Sheet on page 2.

- The application must contain three (3) or fewer independent claims and twenty (20) or fewer total claims.
- 2 The application must not contain any multiple dependent claims.
- 3. By filing this request:

Applicant is agreeing to make an election without traverse if the Office determines that the claims are not obviously directed to a single invention; and

Applicant is agreeing not to request for a refund of the search fee and any excess claims fee paid in the application after the mailing or notification of the pre-interview communication prepared by the examiner.

4.	Other attachments:	

Signature /Clark Lin/	Date March 25, 2016
Name (Print/Typed) Clark Y. Lin	Registration Number 67024
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The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce P.O. Box 1458, Alexandria, VA 22313-1459. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Application Data Sheet 37 CFR 1.76		Attorney Docket Number	43060-707,201	
Application Da	ata Sheet 3/ CFR 1./6	Application Number		
Title of Invention Enalapril Formulations				
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Secrecy Order 37 CFR 5.2:

Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to
37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)

Inventor Information:

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Title of the Invention	Enalapril Formulalio	ns		
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Application Type	Nonprovisional			
Subject Matter	Utility			
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Application Data Sheet 37 CFR 1.76		Attorney Docket Number	43060-707.201
		Application Number	
Title of Invention	Enalapril Formulations		

Domestic Benefit/National Stage Information:

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Foreign Priority Information:

This section allows for the applicant to claim priority to a foreign application. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55. When priority is claimed to a foreign application that is eligible for retrieval under the priority document exchange program (PDX)¹ the information will be used by the Office to automatically attempt retrieval pursuant to 37 CFR 1.55(i)(1) and (2). Under the PDX program, applicant bears the ultimate responsibility for ensuring that a copy of the foreign application is received by the Office from the participating foreign intellectual property office, or a certified copy of the foreign priority application is filed, within the time period specified in 37 CFR 1.55(g)(1).

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Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications

This application (1) claims priority to or the benefit of an application filed before March 16, 2013 and (2) also contains, or contained at any time, a claim to a claimed invention that has an effective filing date on or after March 16, 2013.
NOTE: By providing this statement under 37 CFR 1.55 or 1.78, this application, with a filing date on or after March 16, 2013, will be examined under the first inventor to file provisions of the AIA.

Case 1:20-cv-01256-LPS Document 74-1 Filed 04/05/21 Page 1.27 of 3/18 Bage 1.47 of 3/18 Bage 1.48 page 1.4

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	43060-707.201	
		Application Number		
Title of Invention	Enalapril Formulations			

Authorization or Opt-Out of Authorization to Permit Access:

When this Application Data Sheet is properly signed and filed with the application, applicant has provided written authority to permit a participating foreign intellectual property (IP) office access to the instant application-as-filed (see paragraph A in subsection 1 below) and the European Patent Office (EPO) access to any search results from the instant application (see paragraph B in subsection 1 below).

Should applicant choose not to provide an authorization identified in subsection 1 below, applicant must opt-out of the authorization by checking the corresponding box A or B or both in subsection 2 below.

NOTE: This section of the Application Data Sheet is ONLY reviewed and processed with the INITIAL filing of an application. After the initial filing of an application, an Application Data Sheet cannot be used to provide or rescind authorization for access by a foreign IP office(s). Instead, Form PTO/SB/39 or PTO/SB/69 must be used as appropriate.

- 1. Authorization to Permit Access by a Foreign Intellectual Property Office(s)
- A. Priority Document Exchange (PDX) Unless box A in subsection 2 (opt-out of authorization) is checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the State Intellectual Property Office of the People's Republic of China (SIPO), the World Intellectual Property Organization (WIPO), and any other foreign intellectual property office participating with the USPTO in a bilateral or multilateral priority document exchange agreement in which a foreign application claiming priority to the instant patent application is filed, access to: (1) the instant patent application-as-filed and its related bibliographic data, (2) any foreign or domestic application to which priority or benefit is claimed by the instant application and its related bibliographic data, and (3) the date of filing of this Authorization. See 37 CFR 1.14(h) (1).
- B. Search Results from U.S. Application to EPO Unless box B in subsection 2 (opt-out of authorization) is checked, the undersigned hereby grants the USPTO authority to provide the EPO access to the bibliographic data and search results from the instant patent application when a European patent application claiming priority to the instant patent application is filed. See 37 CFR 1.14(h)(2).

The applicant is reminded that the EPO's Rule 141(1) EPC (European Patent Convention) requires applicants to submit a copy of search results from the instant application without delay in a European patent application that claims priority to the instant application.

Op	t-Out of Authorizations to	Permit Access	by a Foreign	Intellectual I	Property	Office(s)
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- A. Applicant <u>DOES NOT</u> authorize the USPTO to permit a participating foreign IP office access to the instant application-as-filed. If this box is checked, the USPTO will not be providing a participating foreign IP office with any documents and information identified in subsection 1A above.
- B. Applicant <u>DOES NOT</u> authorize the USPTO to transmit to the EPO any search results from the instant patent application. If this box is checked, the USPTO will not be providing the EPO with search results from the instant application.

NOTE: Once the application has published or is otherwise publicly available, the USPTO may provide access to the application in accordance with 37 CFR 1.14.

Case 1:20-cv-01256-LPS Document 74-1 Filed 04/05/21 Page 130 of 3/18 Gage Day 1000 11-0032

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Application D	oto Shoot 27 CED 4 76	Attorney Docket Number	43060-707.201
Application Data Sheet 37 CFR 1.76		Application Number	
Title of Invention Enalapril Formulations			

Applicant Information:

Applicant 1			Remove
The information to be prov 1.43; or the name and add who otherwise shows suffi applicant under 37 CFR 1.	ided in this section is the name and ress of the assignee, person to who pient proprietary interest in the matte 46 (assignee, person to whom the in	address of the legal represent m the inventor is under an obli er who is the applicant under 3 wentor is obligated to assign,	i), this section should not be completed alive who is the applicant under 37 CFR gation to assign the invention, or person 7 CFR 1.46. If the applicant is an or person who otherwise shows sufficient cors who are also the applicant should be clear
 Assignee 	Legal Represent	ative under 35 U.S.C. 117	Joint Inventor
Person to whom the inv	entor is obligated to assign.	Person who st	nows sufficient proprietary interest
f applicant is the legal r	epresentative, indicate the author	rity to file the patent applica	ation, the inventor is:
			1
Name of the Deceased	or Legally Incapacitated Invento	c	
If the Applicant is an O	rganization check here.		
Organization Name	Silvergate Pharmaceuticals, Inc.		
Mailing Address Info	mation For Applicant:		
Address 1	5251 Greenwood Plaza Blvd.		
Address 2	Bidg, 6, Suite 101		
City	Greenwood Village	State/Province	co
Country US		Postal Code	80111
Phone Number		Fax Number	
Email Address			

Assignee Information including Non-Applicant Assignee Information:

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.

Case 1:20-cv-01256-LPS Document 74-1 Filed 04/05/21 Page 1-19 of 74 m. Gage 1915 (11-15)

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76		Attorney Docket Number 43060-707.201					
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Assignee 1							
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NOTE: This App Data Sheet is si subsection 2 of also be signed This Applic entity (e.g., corp patent practitions power of attorne	ubmitted the "Au in accor- ation Da poration o er, all join y (e.g., se	Data Sheet must be sign I with the INITIAL filing thorization or Opt-Out dance with 37 CFR 1.1 ta Sheet must be signed or association). If the ap not inventors who are the ee USPTO Form PTO/A for the manner of making the signed states the signed signed to the manner of making the signed signed the signed signed the signed signed the signed the the signed the	g of the applicate t of Authorization (4(c). d by a patent pro- plicant is two or on (4A/81) on behalf	tion and eit on to Permi actitioner if c more joint in e or more jo of all joint i	her box A or B is I Access" section one or more of the eventors, this form int inventor-applic nventor-applicants	ver, if to not che n, then applied must be ants wi	his Application lecked in this form must ants is a juristic e signed by a
Signature /C	larik Lin/				Date (YYYY-MI	M-DD)	2016-03-25
First Name	Clark	Last Name	Lin		Registration Nu	mber	67024
Additional Sign	alure ma	y be generated within the	his form by selec	ting the Add	button.	A	da

Case 1:20-cv-01256-LPS Document 74-1 Filed 04/05/21 Page 1:20 of JAN GROWN #: ONE 1661-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application D	nto Choot 27 CED 4 76	Attorney Docket Number	43060-707.201	
Application Data Sheet 37 CFR 1.76		Application Number		
Title of Invention	Enalapril Formulations			

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Doc Code: TRACK1.REQ

Document Description: TrackOne Request

PTO/AIA/424 (04-14)

CERTIFICATION AND REQUEST FOR PRIORITIZED EXAMINATION UNDER 37 CFR 1.102(e) (Page 1 of 1)

First Named Inventor	Gerold L. MOSHER	Nonprevisional Application Number (if knewn):	
Title of Invention:	ENALAPRIL FORMULA	TIONS	

APPLICANT HEREBY CERTIFIES THE FOLLOWING AND REQUESTS PRIORITIZED EXAMINATION FOR THE ABOVE-DENTIFIED APPLICATION.

- 1. The processing fee set forth in 37 CFR 1 17(i)(1) and the prioritized examination fee set forth in 37 CFR 1.17(c) have been filed with the request. The publication fee requirement is met because that fee, set forth in 37 CFR 1.18(d), is currently \$0. The basic filing fee, search fee, and examination fee are filed with the request or have been already been paid. I understand that any required excess claims fees or application size fee must be paid for the application.
- I understand that the application may not contain, or be amended to contain, more than four independent claims, more than thirty total claims, or any multiple dependent claims, and that any request for an extension of time will cause an outstanding Track I request to be dismissed.
- 3. The applicable box is checked below:
 - I. Original Application (Track One) Prioritized Examination under § 1.102(e)(1)
- (a) The application is an original nonprovisional utility application filed under 35 U.S.C. 111(a). This certification and request is being filed with the utility application via EFS-Web.
 —OR—
 - (b) The application is an original nonprovisional plant application filed under 35 U.S.C. 111(a). This certification and request is being filed with the plant application in paper.
- ii. An executed inventor's oath or declaration under 37 CFR 1.63 or 37 CFR 1.64 for each inventor, <u>or</u> the application data sheet meeting the conditions specified in 37 CFR 1.53(f)(3)(i) is filed with the application.
 - II. Request for Continued Examination Prioritized Examination under § 1.102(e)(2)
- A request for continued examination has been filed with, or prior to, this form.
- If the application is a utility application, this certification and request is being filed via EFS-Web.
- The application is an original nonprovisional utility application filed under 35 U.S.C. 111(a), or is a national stage entry under 35 U.S.C. 371.
- iv. This certification and request is being filed prior to the mailing of a first Office action responsive to the request for continued examination.
- No prior request for continued examination has been granted prioritized examination status under 37 CFR 1.102(e)(2).

Signature / Clark Lin/	Date March 25, 2016
Name (Print/Typed) Clark Y. Lin	Practitioner Registration Number 67,024
Note: This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4 Submit multiple forms if more than one signature is required.*	(d) for signature requirements and certifications
✓ *Total of 1 forms are submitted.	

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DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

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A CONTRACTOR OF THE PROPERTY O
The attached applicht on the United States application of PCT international application number 15/081,603 history March 25, 2016
ntified application was made or autication to be made by me
am the original inventor or an original foot inventor of a claimed invention in the apolication
wiedge hiet any wildu feise stetement made in this declaration is punishable under 18 U.S.C. 1004 comment of not more than five (fi) years, or both
WARNING:
cant is cautioned to a void extending personal information in documents filed in a patent application tret may antity theft. Personal information auch as additional security numbers, bank account numbers, or treditioned numbers less of credit cerd sustaination form PTO-2038 submitted for payment purcoses) is never required by the USPTO into ut an application. If this type of personal information is included in documents submitted to me USPTO, locality should consider reducing such personal information from the documents before submitting them to the instruction of the problemation of the problemation of the problemation of the problemation and the record of a patent application is available to the public after cubication of the essain and information required from an abandoned application may also be available to the public if the application is published application or an issued patent (see 37 CFR 1.14). Checks and credit card, authorization forms intend for payment purposes are not retained in the application file and therefore are not papility available.
OF INVENTOR
TOID L. MOSHER Dane (Optional)

This production of orthogon is deposed by 35 Te C. 116 and 37 CEP 1.53 The information is required in protein a flavored by the public arrival is a flavored by the USPTO to amount a special confidentially is governed by the USPTO Toward in the consistence of companies of the companies of the companies of the companies of the public of the companies of the observation of the companies of the arrival of the contraction of the con

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DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

Title of Invention	ENALAF	PRIL FORMULATIONS
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United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERC United States Patent and Tendemark Office Address Orbit ISSI (MER. FOR PATENTS Alexandra Vigana 2211-1430 www.ignib.gov

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CONFIRMATION NO. 3892 FILING RECEIPT

FILING

Date Mailed: 04/12/2016

21971 WILSON, SONSINI, GOODRICH & ROSATI 650 PAGE MILL ROAD PALO ALTO, CA 94304-1050

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filling Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Inventor(s)

Gerold L. MOSHER, Kansas City, MO; David W. MILES, Kansas City, MO;

Applicant(s)

Silvergate Pharmaceuticals, Inc., Greenwood Village, CO:

Power of Attorney: None

Domestic Priority data as claimed by applicant

This appln claims benefit of 62/310, 198 03/18/2016

Foreign Applications for which priority is claimed (You may be eligible to benefit from the Patent Prosecution Highway program at the USPTO. Please see http://www.uspto.gov for more information.) - None. Foreign application information must be provided in an Application Data Sheet in order to constitute a claim to foreign priority. See 37 CFR 1.55 and 1.76.

Permission to Access Application via Priority Document Exchange: Yes

Permission to Access Search Results: Yes

Applicant may provide or rescind an authorization for access using Form PTO/SB/39 or Form PTO/SB/69 as appropriate.

If Required, Foreign Filing License Granted: 04/08/2016

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 15/081,603**

page 1 of 3

Projected Publication Date: 09/21/2017

Non-Publication Request: No

Early Publication Request: No

Title

Enalapril Formulations

Preliminary Class

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications: No.

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4258).

page 2 of 3

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

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The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 GFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

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The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The U.S. offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to promote and facilitate business investment. SelectUSA provides information assistance to the international investor community; serves as an ombudsman for existing and potential investors; advocates on behalf of U.S. cities, states, and regions competing for global investment; and counsels U.S. economic development organizations on investment attraction best practices. To learn more about why the United States is the best country in the world to develop technology, manufacture products, deliver services, and grow your business, visit http://www.SelectUSA.gov or call +1-202-482-6800.

page 3 of 3

Case 1:20-cv-01256-LPS Document 74-1 Filed 04/05/21 Page 127 of 748 PageID #: 2318

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box. (450) Alexandria, Virginia 22313-1450 www.uspro.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
15/081,603	03/25/2016	Gerold L. MOSHER	43060-707.201 389		
	/S90 ISINL GOODRICH & RO	EXAMINER			
650 PAGE MIL	L ROAD	MII	SPRINGER, S	TEPHANIE K	
PALO ALTO, CA 94304-1050			ARTUNIT PAPER NUMBER		
			1629		
			NOTIFICATION DATE	DELIVERYMODE	
			09/02/2016	ELECTRONIC	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patentdocket@wsgr.com

Case 1:20-cv-01256-LPS Docume	nt 74-1 Filed 04/05/21 P:	age 128 of 74	48 PageID # ⁻ 2319
	Application No.	Applicant(s)
First Action Interview Pilot Program	15/081,603	MOSHER E	ET AL.
Pre-Interview Communication	Examiner	Art Unit	AIA (First Inventor to File)
	STEPHANIE SPRINGER	1629	Status Yes
-The MAILING OR NOTIFICATION DATE of this co	mmunication appears on the cov	er sheet with the	1.755
THE SHORTENED STATUTORY PERIOD FOR F WHICHEVER IS LONGER, FROM THE MAILING			
This time period for reply is extendable under	37 CFR 1.136(a) for only ONE :	additional MOI	NTH.
This communication constitutes notice under	1 Grand A. H. B.	A COLUMN TO A COLU	P. CACO
Applicant must, within the time period for reply, file: (1), 1.111 waiving the first action interview and First Action (413A) electronically via EFS-Web, accompanied by a pithe filing of the request. A fallure to respond to this conthe First Action Interview Office Action, the instant Presubsequent Office action may be made final if appropria	A letter requesting not to have a first Interview Office Action; or (3) An Ap- roposed amendment or arguments, nmunication will be treated as a requent interview Communication is deemed	plicant Initiated In and schedule the Jest not to have a	nterview Request Form (PTOL- interview within 2 months from in interview. If applicant waives
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	A 11 1.100(b) Was were mod on _		
Disposition of Claims			
2) ☐ Claim(s) 1-20 is/are pending in the appli			
2a) Of the above claim(s) is/are v	vilnarawn from consideration.		
3) Claim(s) is/are allowed.			
4) Claim(s) <u>1-20</u> is/are rejected.			
5) Claim(s) is/are objected to.	Annual Control		
6) ☐ Claim(s) are subject to restriction	and/or election requirement.		
Application Papers			
7) The specification is objected to by the Ex	aminer.		
8) The drawing(s) filed on 25 March 2016 is	s/are; a)⊠ accepted or b)□ obj	ected to by the	Examiner
Applicant may not request that any objection	to the drawing(s) be held in abeyar	ice. See 37 CFR	1.85(a).
Replacement drawing sheet(s) including the	correction is required if the drawing	(s) is objected to.	See 37 CFH 1,121(d).
Priority under 35 U.S.C. § 119			
9) Acknowledgment is made of a claim for for	reign priority under 35 U.S.C. §	119(a)-(d) or (f)	(
a) ☐ All b) ☐ Some * c) ☐ None of:			
 Certified copies of the priority doc 	uments have been received.		
2. Certified copies of the priority doc	uments have been received in A	pplication No	
Copies of the certified copies of the		received in this	National Stage
application from the International	Bureau (PCT Rule 17.2(a)).		a contract
*See the attached detailed Office action for a list of	the certified copies not received.		
Acceptable for the second second			
Contact Information Examiner's Telephone Number: (571)270-7380			
Examiner's Typical Work Schedule: Monday thro	ugh Friday 9 am to 5 pm		
			CONTRACTOR OF THE PROPERTY OF
Supervisor's Name: Jeffrey Lundgren	Super	visor's Telepho	ne Number: (571)272-5541
Attachment(s)	3) Interview Summary (PTO-41	(3)	
Notice of References Cited (PTO-892) Minformation Disclosure Statement(s) (PTO/SB/08).	Paper No(s)/Mail Date.		
Paper No(s)/Mail Date <u>13 pgs</u> .	4) Other		

U.S.Patent and Trademark Office PTOL-413FP (Rev. 08-13)

Rev. 08-13) First Action Interview Pilot Program - Pre-Interview Communication

Part of Paper No./Mail Date 20160805

	Case 1	:20-cv-01256-	LPS Documer	nt 74-1 Filed 04/05	/21 Page 12	9 of 74	48 PageID #: 2320
				Application No.		ilicani(s SHER E	
First Action Interview Pilot Program Pre-Interview Communication			Examiner STEPHANIE SPRING	Art	Unit	AIA (First Inventor to File) Status No	
			Notificat	ion of Rejection(s) and/or C	bjection(s)		
#	Claim(s)	Reference(s) (if applicable)	Rejection Statutory Basis		Brief Explanation	of Rejec	etion
16	1-20 013 043 028 103 /			Applicants claim an oral liquidacid, sodium benzoate, and formualtion is stable for at le	water, wherein the p		lapril maleate sucralose, citric than about 3,5 and the
	1		Ex	panded Discussion/Comme	ntary		
	747 teaches oral liquid compositions comprising enalapril, mannitol, and a sweetener, wherein the oral liquid is formed using a powder formulation, wherein the liquid is stable for at least 36 weeks at ambient or retrigerated conditions. See, e.g., col 3, lines 12-17, col 13, 29-33. The composition may comprise additional excipients, including buffering agents such as sodium citrate; preservatives, such as a acid and benzoic acid; and sweeteners, such as sucratose or branded products such as Ora-Sweet.					cal 3, lines 12-17, cal 13, lines	
	the er are in	'747 discloses that Ora-Sweet sugar-free flavored syrup is used to solvate or dissolve enalapril. See column 8, lines 34-37, '747 teaches the enalapril oral figuid compositions encompass both solutions and suspensions, and certain components may be in suspension while care in solution. See column 11, lines 40-60; Rippley discloses oral liquid formulations comprising 1 mg/mL enalapril. Rippley teaches the preparation of said solution using 10 mL BICITRA, 2 x 20 mg enalapril tablets, and 30 mL Ora-Sweet SF. See p 340, col 2, para 3					
	Rippley teaches that the liquid formulations are referred to as a suspension because the tablet excipients do not fully dissolve, but the activing redient, enalapril maleate, is in solution. See p 343-344, bridging paragraph. Nahata discloses a composition comprising 1 mg/mL enalapritrate buffer, sweetened suspending agent. The composition was prepared from enalapril maleate tablets, citric acid buffer, and a mixture. Ora-Sweet and Ora-Plus, See p 1156, col 1, para 2, The solutions were stable for 91 days at 4 and 25 C.						tion comprising 1 mg/mL enalapril.
	benzo Thus	ate, and sorbitol solu oral liquid composition	tion. Ora-Sweet packa ins comprising 1 mg/m		weet comprises suc ers such as sucralos	rose, sor	itrate, offric acid, sodium bitol, citric acid, preservatives. s such as citric acid, preservatives
	tablet:	s in Bicitra and Ora-S w of the ordinarily sk	weet. While the prior a illed artisan to optimize		recited amounts of sentially removing to	each cor he tablet	
	would amou	have been obvious I	o the skilled artisan. Ti dients, such as eviden	he Examiner suggests preser	ling evidence demo	nstrating	a instantly claimed composition criticality of the selection of the ave the same long ferm stability as
DATE: Stephania Springer Examiner Art Unit: 1629			ęr.	/Jeffrey S Lundgi SPE of AU 1629	en/		

U.S. Patent and Trademark Office PTOL-413FP (Rev. 08-15)

First Action Interview Pilot Program - Pre-Interview Communication

Part of Paper No /Mail Date 20160805

Case 1:20-cv-01256-LPS Document 74-1 Filed 04/05/21 Page 130 of 748 Page ID #:433/67-16) Doc Code: M865 or FAI.REQ.INTV Approved for use through 08/31/2016. OMB 0651-0031 U.S. Paterit and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Application No.: 15/0	81,603	First Named Applic	ant Gerold L. Mosher		
Examiner: Stephanle fc		Art Unit: 1828	Status of App	olication: President	rview Communication mailed
Tentative Participa (1) Clark V. Lin	nts;	(2) Celine Bonnefous			
(3) Gerold L. Mosher		(4)		_	
Proposed Date of L	nterview: To be D	etermined	Proposed T	ime; TBD	(OAMOPM
Type of Interview I (1) ☑ Telephonic Eveligit To Do Show	(2) Pers		eo Conference		
Exhibit To Be Shov If yes, provide brie		rateo: 🔟 YES omparative data will be shown : W	☐ NO It be submitted prior to the	interview	_
		Issues To Be Di	scussed		
Issues (Rej., Obj., etc)	Claims/ Fig. #s	Prior Art	Discussed	Agreed	Not Agree
(1) 103(a)	1-20	US3568747 Nahata.			
(2) 103 (a) cont'd		Bictira, Ora-Sweet, and			
(3) 103 (a) contid		Rippley et al.			
(4)		-			
Continuation Sh Brief Description of			idment or Argume	ents Attached	
An interview was c	onducted on th	e above-identified appl	ication on		
NOTE: This form shall this form is signed by the sauthorized to come the contract of the comporated by references to the comporated by references and the comporated by the component by	iould be complete a registered pra onduct an intervi- f attorney to any- rence. By signing ter the interview 133(b)) as soon a	ed and filed by applicant ctitioner not of record, the ctitioner not of record, the princabove named practitions this form, applicant or plicant is conducted, applicant is possible. This application record of this interview.	in advance of the lace of the lace office will accept ip al (37 CFR 1.32) or. See the Instruct or actitioner is certified to file a son will not be delay	it this as an ind (a)(3)) pursuan ion Sheet for il fying that he of tatement of the	lication that he or it to 37 CFR 1.34. his form, which is r she has read the substance of this
/Clark Lin/	ant's Represents	tive Signature	Exan	niner/SPE Sign	nature
Applicant/Applica					
Applicant/Application Clark Y. Lin Typed/Printed Nam			858-350-2318		

This collection of information is required by 37 CFR 1.113. The information is required to obtain or retain a french by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 14 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be seas to the Chief Information Officer, U.S. Patent and Trademark. Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

If you need assistance in completing the form-call 1-800-PTO-9199 and select aption 2.

Case 1:20-cv-01256-LPS Document 74-1 Filed 04/05/21 Page 131 of 748 PageID #: 2322

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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box. (450) Alexandria, Virginia 22313-1450 www.uspro.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
15/081.603	03/25/2016	Gerold L. MOSHER	43060-707.201	3892
	/490 ISINL GOODRICH & RO	Ŝ\$A*Ω	EXAM	INER
650 PAGE MIL		33411	SPRINGER, S	TEPHANIE K
PALISALISA	CA 94304: 1030		ART UNIT	PAPER NUMBER
			1629	
			NOTIFICATION DATE	DELIVERYMODE
			01/17/2017	ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

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patentdocket@wsgr.com

Ju	22 2.20 3. 32233 2. 3 3000	Application No.		Applicant(s)		
	First Action Interview	15/081,603		MOSHER ET AL.		
Office Action Summary		Examiner STEPHANIE SPRINGE	Art Unit	AIA (First Inventor to File) Status Yes		
The MAIL	ING OR NOTIFICATION DATE of this	communication appears on	the cover sheet wit	h the correspondence address.		
NOTIFIC This tim	ORTENED STATUTORY PERIOD F CATION DATE OF THIS COMMUNIC e period for reply is extendable un cant's request to not have a first-action	CATION. nder 37 CFR 1.136(a) for c on interview is acknowledge	only TWO additioned (or the time perion	nal MONTHS.		
30.00	riew Communication has expired and	d the Office aid not receive	any reply).			
Status		and the second				
1)⊠	Responsive to communication(s) fi A declaration(s)/affidavit(s) und Since this application is in condition closed in accordance with the practice.	er 37 CFR 1.130(b) was/we in for allowance except for f	ere filed on ormal matters, pros	secution as to the merits is		
Disposit	tion of Claims	Manager and the second section of	MARK PARK TO THE	to the new rate of		
4)□ 5)⊠ 6)□		/are withdrawn from consid				
Applicat	tion Papers					
9)🛛	The specification is objected to by the drawing(s) filed on 25 March 2 Applicant may not request that any objection and the drawing sheet(s) including under 35 U.S.C. § 119	016 is/are: a) accepted (ld in abeyance. See	37 CFR 1.85(a).		
	Acknowledgment is made of a clain	n for foreign priority under 3	(5 U.S.C. 6 119/a)-	(d) or (f)		
	All b) Some * c) None of:	To foreign priority and or o	(0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,	(9) 3, (1)		
	1. Certified copies of the priorit	y documents have been rec	ceived,			
	2. Certified copies of the priorit	y documents have been rec	ceived in Applicatio	in No		
	 Copies of the certified copies application from the Internat 			d in this National Stage		
*See	the attached detailed Office action	for a list of the certified copi	es not received.			
Contact	Information					
Exan	niner's Telephone Number. (571)270	-7380				
Exan	niner's Typical Work Schedule: Mond	day through Friday, 9 am to 5 p	om			
Supe	ervisor's Name: Jeffrey Lundgren		Supervisor's Tele	ephone Number: (571)272-5541		
Attachmer	nt(s)					
1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 -	ce of References Cited (PTO-892)		nterview Summary (PT) Paper No(s)/Mail Date	0-413)		

 Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/SB/08b) Paper No(s)/Mail Date 13 pgs. U.S. Patent and Trademark Office PTOL-413FA (Rev. 11-13)

First Action Interview Office Action Summary

Part of Paper No./Mail Date 20161024

4) X Other: AF/D. 19 pgs.

	First Action Interview			Application No. 15/081,603		S) ET AL.		
	Section 1	ce Action Sun	and the same of th	STEPHANIE SPRINGER 1899 Status		AIA (First Inventor to File) Status		
			Notifica	tion of Rejection(s) and/or Objection	n(s)			
#	Claim(s)	Reference(s) (If applicable)	Rejection Statutory Basis	Brief Explanation of Rejection				
¥	1-20	013, 043, 028, 036, 037	112, 2nd	The term "stable" is a reative term, and renders the claims indefinite. It is unclear if stable refers to, e.g., homogeneity, amount of enalapril, amount of precipitation, amount of impurities. Claims do not disclose a standard or threshold of measurement.				
2	2 1-20 013, 043, 028; 103 036, 097			Applicants claim an oral liquid formulation comprising (i) enalapril maleate; (ii) sucralose (iii) citric acid; (iv) sodium benzoate; (v) water, wherein the pH is less than about 3.5 and the formulation is stable for at least 12 months.				

		Expanded Discussion	n/Commentary					
2	stable for at least 36 will powder composition, w	747 teaches oral liquid compositions comprising enalopral, mannitol, and sweetener, formed using a powder formulation, wherein the liquid is stable for at least 36 wks at ambient or refrigerated conditions. The oral liquid is prepared by adding an amount of sweetner in liquid form to a powder composition, wherein the powder composition comprises enalopral, mannitol, and colloidal silicon dioxide. The composition may comprise additional excipients, including buffering agents such as sodium citrate, preservatives, such as citric acid and benzoic acid;						
2	and sweetners, such as sucrose, mannitol, sucralose or branded products such as Ora-Sweet. 747 discloses studies comparing lactose, mannitol, and sucrose as stabilizing agents. Ex1 is directed towards a powder formulation; mannitol is most stable, but the sucrose formulation was also stable. Ex2 is directed towards a solution; 1 mg/mL enalapril w/ mannitol is compared to 2 mg/mL enalapril w/ sucrose 747 teaches that colloidal silicon dioxide is a glidant, which improve flowability of a powder. When the powder is reconstituted in liquid, the							
2	using Ora-Sweet. The opposition, H	composition w/ colloidal silicon dioxide did no owever, additional mixing steps are still requi	orms a suspension 747 discloses reconstitution of powder composition that the provided in the suspension of the reconstitution of powder compositions is constituted from liquid compositions reconstituted from liquid compositions comprising 1 mg/mL enalapril maleate, sweeteners					
2	water were well known component. It would be	at the time of the invention. The instantly clair within the purview of the ordinarily skilled art	itric acid and sodium citrate, preservatives such as sodium benzoate; and med invention differs in that it recites precise amounts of each isan to reconstitute enalapril tablets w/ Bicitra, Ora-Sweet and Ora-Plus pluble ingredients. Applicant presents Nahata as the sole comparative					
2	of claim 5, to Nahata, E Ora-Sweet Ora-Plus, H	nalapril tablets are crushed to powder and m lowever, the solutions are not filtered. As Exa	icitra taken together. Applicant compares Formula E5, i.e. the composition ixed w/ water, citric acid, sodium citrate, sodium chloride, or mixed w/ 1.1 miner stated, a skilled artisan would reverse engineer the tablets essary excipients, i.e., excipients needed for powders or tablets. Proper					
2	requires sodium citrate	dihydrate, and is not representative of the bro amounts of the components taught by Ripple	rior art as a whole, not just Nanata. Further, the inventive example badest claimed composition. Applicant is invited to present evidence y and Nahata, particularly Ora-Sweet, Ora-Plus, and Bicitra, are different					
DATE		/STEPHANIE SPRINGER/ Examiner, Art Unit 1629	/JEFFREY'S LUNDGREN/ Supervisory Patent Examiner, AU 1629					

PTOL-418FA (Rev. 11-13)

First Action Interview Office Action Summary

Part of Paper No./Mail Date 20161024



Date: 2016-10-14 09:26:19 PDT

Fax: 15712708380

From: Hicks, Keiko

Subject: Deliver to, Examiner S. Springer

Dear Examiner Springer,

Please see the attached.

Best Regards Keiko Hicks Patent Group Assistant Wilson Sonsini Goodrich & Rosati 12235 El Camino Real, Suite 200 San Diego, Callfornia 92130-3002 858-350-2300

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Case 1:20-cv-01256-LPS Document 74-1 Filed 04/05/21 Pa

UNITED STATES PATENT AND TRADEMARK OFFICE

Page 135 of January Page 13050 467.201

DOCKETED JGUI; LCO; CBON; RD8; DG8

Final:

TRACK ONE

TATTED STATE Action: 1st Action Interview United States Paule: 10/2/16

11/2/16

APPLICATION NO. ITE INCIDATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONTRIBUTION NO 15/034,603 03/25/2016 Geroid L. MOSHER 43060-707.201 3892 31971 99/02/2016 EXAMINER WILSON, SONSINL GOODRICH & ROSATI SPRINGER, STEPHANE R 650 PAGE MILL ROAD PALO ALTO, CA 94304-1050 ART UNIT PAFILR NUMBER 1609 NOMERATION DATE DELIVERY MOD 09/02/2015 **ELECTRONIC**

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PTOL 90A (Rev. 04/07)

To: Page 3 of 19 2016-10-14 09:27:10 PDT Wilson Sonsini Goodr From: Hicks, Keiko

First Action Interview Pilot Program Pre-Interview Communication Examiner STEPHANIE SPRINGER -The MAILING OR NOTIFICATION DATE of this communication appears on the cover sheet with the correspondence THE SH-ORTENED STATUTCRY PERIOD FOR REPLY IS SET TO EXPIRE ONE MONTH OR THIRTY (30) DAYS WHICHEVER IS LONGER, FROM THE MAILING OR NOTIFICATION DATE OF THIS COMMUNICATION. This time period for reply is extendable under 37 CFR 1.136(a) for only ONE additional MONTH. This communication constitutes notice under 37 CFR 1.136(a) for only ONE additional MONTH. This time period for reply is extendable under 37 CFR 1.136(a) for only ONE additional MONTH. This communication constitutes notice under 37 CFR 1.136(a) for only ONE additional MONTH. This time period for reply, like: (1) A letter requesting not to have a first action interview; (2) A reply under 31.111 waiving the first action interview and First Action Interview Office Action; or (3) An Applicant initiated interview Request Ft 413A) electronication is electronication will be treated as a request not to have an interview within 27 the filling of the request. A failure to respond to this communication will be treated as a request not to have an interview. If applit the First Action Interview Office Action, the Instant Pre-Interview Communication is deemed the first Office Action on the Merits. Status 1) Responsive to communication(s) filled on 25 March 2016. A declaration(s)/affidavit(s) under 37 CFR 1.130(b) was/ware filed on	address - S, 7 CFR orm (PTOL- months from icant walves
The MAILING OR NOTIFICATION DATE of this communication appears on the cover sheet with the correspondence THE SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE ONE MONTH OR THIRTY (30) DAYS WHICHEVER IS LONGER, FROM THE MAILING OR NOTIFICATION DATE OF THIS COMMUNICATION. This time period for reply is extendable under 37 CFR 1.136(a) for only ONE additional MONTH. This communication constitutes notice under 37 CFR 1.136(a) (1)(i). This time period for reply is extendable under 37 CFR 1.136(a) (1)(i). This communication constitutes notice under 37 CFR 1.136(a) (1)(i). This communication constitutes notice under 37 CFR 1.136(a) (1)(i). This communication constitutes notice under 37 CFR 1.136(a) (1)(i). This communication constitutes notice under 37 CFR 1.136(a) (1)(i). This communication constitutes notice under 37 CFR 1.136(a) (1)(i). This communication interview office action interview office Action; or (3) An Applicant initiated Interview Requests Fr 4.13A) electronically via EFS-Web, accompanied by a proposed amendment or arguments, and schedule the interview Request Fr 4.13A) electronically via EFS-Web, accompanied by a proposed amendment or arguments, and schedule the interview Request Fr 4.13A) electronically via EFS-Web, accompanied by a proposed amendment or arguments, and schedule the interview Request Fr 4.13A) electronically via EFS-Web, accompanied by a proposed amendment or arguments, and schedule the interview Request Fr 4.13A) electronically via EFS-Web, accompanied by a proposed amendment or arguments, and schedule the interview Request Fr 4.13A) electronically via EFS-Web, accompanied by a proposed amendment or arguments, and schedule the interview Request Fr 4.13A) electronically via EFS-Web, accompanied by a proposed amendment or arguments, and schedule the interview Request Fr 4.13A) electronically via EFS-Web, accompanied by a proposed amendment or arguments, and schedule the interview Arguments Fr 4.13A) electronically via EFS-Web, accompanied by a proposed amendment or arguments	address - S, 7 CFR orm (PTOL- months from icant walves
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THE SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE ONE MONTH OR THIRTY (30) DAYS WHICHEVER IS LONGER, FROM THE MAILING OR NOTIFICATION DATE OF THIS COMMUNICATION. This time period for reply is extendable under 37 CFR 1.136(a) for only ONE additional MONTH. This communication constitutes notice under 37 CFR 1.136(a) for only ONE additional MONTH. This communication constitutes notice under 37 CFR 1.136(a) for only ONE additional MONTH. This communication constitutes notice under 37 CFR 1.136(a) for only ONE additional MONTH. This communication constitutes notice under 37 CFR 1.136(b) (1)(i). Applicant must: within the time period for reply, file: (1) A letter requesting not to have a first action interview and First Action interview Office Action; or (3) An Applicant initiated interview Request F4 134(a) electronically via EFS-Web, accompanied by a proposed amendment or arguments, and schedule the interview in First Action interview Office Action, the instant Pre-Interview Communication will be treated as a request not to have an interview. If applied the First Action interview Office Action on the Merits, subsequent Office action may be made final if appropriate. See MPEP 706.07(a). Status 1) Responsive to communication(s) filled on 25 March 2016. A declaration(s)/affidavit(s) under 37 CFR 1.130(b) was/ware filled on	S, GFR orm (PTOL-months from icant walves
This communication constitutes notice under 37 CFR 1.136(a)(1)(f). Applicant must, within the time period for reply, file: (1) A letter requesting not to have a first action interview; (2) A reply under 31.111 waiving the first action interview and First Action Interview Office Action; or (3) An Applicant initiated Interview Request R413A) electronically via EFS-Web, accompanied by a proposed amendment or arguments, and schedule the interview Request R413A) electronically via EFS-Web, accompanied by a proposed amendment or arguments, and schedule the interview Request R413A) electronically via EFS-Web, accompanied by a proposed amendment or arguments, and schedule the interview Request R413A) electronically via EFS-Web, accompanied by a proposed amendment or arguments, and schedule the interview Request R413A) electronically via EFS-Web, accompanied by a proposed amendment or arguments, and schedule the interview Request R413A) electronically interview and schedule the interview Request R413A) electronically interview and schedule the interview Request R413A) electronical interview and schedule the interview Request R413A) and schedule the interview R413A) and schedule the interview R413A) and schedule the interview Argument R413A). The drawing(s) filed on 25 March 2016 is/are. (a) Accepted or b) objected to by the Examiner. Applicant may not request that any objection to the drawing(s) be field in abeyance. See 37 CFR 1.85(a).	orm (PTOL- months from loant walves
This communication constitutes notice under 37 CFR 1.136(a)(1)(f). Applicant must, within the time period for reply, file: (1) A letter requesting not to have a first action interview; (2) A reply under 31.111 waiving the first action interview and First Action Interview Office Action; or (3) An Applicant initiated Interview Request R413A) electronically via EFS-Web, accompanied by a proposed amendment or arguments, and schedule the interview Request R413A) electronically via EFS-Web, accompanied by a proposed amendment or arguments, and schedule the interview Request R413A) electronically via EFS-Web, accompanied by a proposed amendment or arguments, and schedule the interview Request R413A) electronically via EFS-Web, accompanied by a proposed amendment or arguments, and schedule the interview Request R413A) electronically via EFS-Web, accompanied by a proposed amendment or arguments, and schedule the interview Request R413A) electronically interview and schedule the interview Request R413A) electronically interview and schedule the interview Request R413A) electronical interview and schedule the interview Request R413A) and schedule the interview R413A) and schedule the interview R413A) and schedule the interview Argument R413A). The drawing(s) filed on 25 March 2016 is/are. (a) Accepted or b) objected to by the Examiner. Applicant may not request that any objection to the drawing(s) be field in abeyance. See 37 CFR 1.85(a).	orm (PTOL- months from loant walves
 1.111 waiving the first action interview and First Action Interview Office Action; or (3) An Applicant initiated Interview Request Ft 413A) electronically via EFS-Web, accompanied by a proposed amendment or arguments, and schedule the interview within 2 the filling of the request. A failure to respond to this communication will be treated as a request not to have an interview. If applithe First Action Interview Office Action, the Instant Pre-Interview Communication is deemed the first Office Action on the Merits, subsequent Office action may be made final if appropriate. See MPEP 706.07(a). Status 1) ★ Responsive to communication(s) filled on 25 March 2016. A declaration(s)/affidavit(s) under 37 CFR 1.130(b) was/ware filled on Disposition of Claims 2) ★ Claim(s) 1-20 is/are pending in the application. 2a) Of the above claim(s) is/are withdrawn from consideration. 3) ★ Claim(s) is/are allowed. 4) ★ Claim(s) is/are rejected. 5) ★ Claim(s) is/are rejected to Polymore Requirement. Application Papers 7) ★ The specification is objected to by the Examiner. 8) ★ The drawing(s) filled on 25 March 2016 is/are. a) ★ accepted or b) ★ objected to by the Examiner. Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a). 	orm (PTOL- months from loant walves
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2) Solution Claim(s) 1-20 is/are pending in the application. 2a) Of the above claim(s) is/are withdrawn from consideration. 3) Claim(s) is/are allowed. 4) Claim(s) 1-20 is/are rejected. 5) Claim(s) is/are objected to. 6) Claim(s) are subject to restriction and/or election requirement. Application Papers 7) The specification is objected to by the Examiner. 8) The drawing(s) filed on 25 March 2016 is/are: a) accepted or b) objected to by the Examiner. Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).	
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Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1,85(a).	
	(d).
Priority under 35 U.S.C. § 119	
9) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).	
a) All b) Some c) None of:	
1. Certified copies of the priority documents have been received.	
2. Certified copies of the priority documents have been received in Application No	
3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).	
"See the attached detailed Office action for a list of the certified copies not received.	
See the Bilderick detailed office action for a first of the definise copies for received.	
After the way to be a second of the second o	
Contact Information	
Examiner's Telephone Number: (571)270-7380 Examiner's Typical Work Schedule: Monday through Friday, 9 am to 5 pm	
Supervisor's Name: Jeffrey Lundgren Supervisor's Telephone Number: (571)	272-5541
Attachment(s) 1) Notice of References Cited (PTC-892) 3) Interview Summary (PTO-413)	
Paner March Mail Date	
2) Sintermation Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date 13 pas. US Palent and Trademark Office	

Page 1 of 2

				Application No.	Applicant	s)
lε			2000 2000 000	15/081,603	MOSHER	ET AL,
	First Action Interview Pilot Program Pre-Interview Communication			Examiner STEPHANIE SPRINGER	Art Unit 1629	AIA (First Inventor to File) Status No
			Notificat	ion of Rejection(s) and/or Objection((s)	
#	Claim(s)	Reference(s) (if applicable)	Rejection Statutory Basis	Brief E	xplanation of Reje	ection
1	1-20	013, 043, 028, 036, 037	Applicants claim an oral liquid formulation comprising enalapril maleate; sucra acid; sodium benzoate and water, wherein the pH is less than about 3,5 and formulation is stable for at least 12 months.			
	·					
H						
		n e Allo anazumoji. 12				
		_	Ex	panded Discussion/Commentary		
	tormi. 29-33	lation wherein the lig I. The composition mo	und is stable for at leas ay comprise additional	enslapril, mannitol, and a sweetener, w it 96 weeks at ambient or retrigerated o excipients, including buffering agents s accraiose or branded products such as	onditions See e.g. uch as sodium citr	col 3 lines 12-17 col 13 lines
	the exare in	nalapril oral liquid com solution. See column	npositions encompass 11. lines 40-60. Rippi	syrup is used to solvate or dissolve er both solutions and suspensions, and cr ey discloses oral liquid formulations col t, 2 x 20 mg enalapril tablets, and 30 m	ertain components mprising 1 mg/mL	may be in suspension while others enalaprii. Rippley teaches the
	ingred citrate	tient, enalapril maleat buffer sweetened si	te is in solution. See puspending agent. The	iferred to as a suspension because the 846-344 bridging paragraph Nahata c composition was prepared from enalap 2. The solutions were stable for 91 da	discloses a compo ril maleate tablets.	silion comprising 1 mg/mL enalapril.
	benza Thus	rate, and sorbitol solu oral liquid compositio	ition. Ora-Sweet packa ons comprising 1 mg/m	in package insert discloses that Biotra ge insert discloses that Ora-Sweet con IL englaprii maleate, sweeteners such a nown at the time of the invention.	nprises sucrose, so	orbitol, citric acid, preservatives.
	tablet purvis	s in Bictra and Ora-S w of the ordinarily ski	weet. While the prior a illed artisan to optimize	everse engineering the composition wh in does not explicitly teach the recited a the resulting composition, essentially nor ari teaches that the oral liquid comp	amounts of each co removing the table	emponent it would be within the texcipients and including the
	wash	e the numerant is cilen	with remarks to the st	shillty of cald solution over a longer per	and of time. Thus th	re instantly daimed administration

US Patent and Trademark Office PTOL-413FP (Rev. 08-13)

DATE:

the instantly claimed composition.

To

First Action Interview Pilot Program - Pre-Interview Communication

Stephanie Springer

Examiner Art Unit: 1629

Part of Paper No./Mail Date 20160805

would have been obvious to the skilled artisan. The Examiner suggests presenting evidence demonstrating criticality of the salection of the amounts and specific ingredients, such as evidence demonstrating that the prior an composition does not have the same long term stability as

/Jeffrey S Lundgren/ SPE of AU 1629 To

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Substitute for form 1449/PTC				Complete If Known		
INFORMATION DISCLOSURE				Application Number	15081603	
			OSURE	Filing Date	03-25-2016	
				First Named Inventor	MOSHER, Gerold L.	
STATEMENT BY APPLICANT (Use as many sheets as necessary)				Art Unit	Not assigned	
(may as death elects as lienesseld)		81	Examiner Name	Not assigned		
Sheet	1	of	13	Attorney Docket Number	43060-707 201	

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	□(ne. No.	Qire Document Number	Publication Date MM-DD-YYYY	Name of Palentee or Applicant of Cited Cocument	Pages, Columns, Lines, Where Rolevant Passages or Refevant Figures Appear
		Number-Kind Code ^{2 (7 Minum)}) (genes of the sec
/sks/	001	US-4374829	02-22-1983	HARRIS, Elbert E. et al.	
/SKS/	002	US-4472380	09-18-1984	HARRIS, Elbert E, et al.	
/SKS/	003	US-4510083	04-09-1985	BLACKLOCK; Thomas J, et al.	
/SKS/	004	US-4743450	05-10-1988	HARRIS, Michael et al.	
/SKS/	005	US-4830853	05-16-1989	MURTHY; Kuchi S, et al.	at memorals
/SKS/	006	US-5698562	12-16-1997	MENDES; Robert W. et al.	
/SKS/	007	US-6028222	02-22-2000	DIETLIN; Francois et al.	
/sks/	008	US-6413988	07-02-2002	DE; Proost Eddy André Josée	
/SKS/	009	US-6977257	12-20-2005	PARAB; Prakash V, et al.	
/SKS/	010	US-7101888	09-05-2006	REO; Joseph P. et al.	
/sks/	011	US-7605148	10-20-2009	BATTA; Ramesh Babu et al.	
/SKS/	012	US-8153824	04-10-2012	SESHA; Ramesh	
/SKS/	013	US-8568747	10-29-2013	RAJEWSKI; Lian G, et al.	
/SKS/	014	US-8778366	07-15-2014	RAJEWSKI; Lian G, et al.	
/sxs/	015	US-20040171669	09-02-2004	CHENEVIER; Philippe	and the
/sks/	016	US-20040258757	12-23-2004	BOSCH; H. William et al.	
/SKS/	017	US-20060094760	05-04-2006	FAWZY; Abdel A. et al.	
/sks/	018	US-20070265344	11-15-2007	STROBEL; Michael et al.	

Examiner Signature	/Stephanie K Springer/	Date Considered	08/05/2016	
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Case 1:20-cv-01256-LPS Document 74-1 Filed 04/05/21 Page 139 of 748 PageID #: 2330

To

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Substitute for form 1449/PTC	Co	Complete if Known		
	Application Number	15081603		
INFORMATION DISCLOSUR	Filing Date	03-25-2016		
STATEMENT BY APPLICAN	First Named Inventor	MOSHER: Gemid L.		
(Use as many sheets as necessary)	Art Unit	Not assigned		
Ingo so statist outcore as (lenecontry)	Examiner Name	Not assigned		
Sheet 2 of 13.	Attorney Docket Number	43060-707 201		

			U.S. PATENT	DOCUMENTS	
Examiner Initials*	Oile No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Jocument	Pages, Columns, Lines, Where Rolevant Passages of Relevant Figures Appear
		Number-Kind Code ^{2 or Million}) ignise news
/SKS/	019	US-20080221156	09-11-2008	SPIREAS, Spiridon	
/sks/	020	US-20080234291	09-25-2008	FRANCOIS; Marc Karel Jozef et al	
/SKS/	021	US-20090269287	10-29-2009	BERTA, James Albert	
/sks/	022	US-20100222334	09-02-2010	TALAMONTI; Wayne et al.	
/SKS/	023	US-20140100260	04-10-2014	RAJEWSKI; Lian G. et al.	11

		FOREIGN	PATENT DOCUM	ENTS		
Examiner Initials*	Cité No	Foreign Patent Document	Publication Option MM-DD-YYYY	Name of Patenies or Applicant of Cited Decument	Pages, Columns, Lines Where Relevant Passages Or Relevant Figures Appeal	T6
		Country Sedal "Number" Kind Code (If known)				
/sks/	001	EP-2903690-A1	08-12-2015	SILVERGATE PHARMACEUTICA LS INC [US], et al.	See WO 2014/055667-A1 for full text	n
/sks/	002	WO-0145667-A2	06-28-2001	LEK TOVARNA FARMACEVTSKIH [SI], et al.		п
/sks/	003	WO-02089775-A1	11-14-2002	ETHYPHARM SA. [FR], et al.	In French, with English language abstract	×
/sks/	004	WO-2007070843-A2	06-21-2007	ACUSPHERE INC [US], et al.	The state of the s	O

Examiner /Stephanie K Springer/	Date Considered	08/05/2016
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Substitute for form 1449/PTC	Complete If Known		
	Application Number	15081603	
INFORMATION DISCLOSURE	Filing Date	03-25-2016	
	First Named Inventor	MOSHER: Gerold L	
STATEMENT BY APPLICANT (Use as many sheets as necessary)	Ait Unit	Not assigned	
(mag as utality officers as (lignessed A)	Examiner Name	Not assigned	
Sheet 4 of 13	Attamey Docket Number	43060-707.201	

		NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Ŧ
/SKS/	002	ALLEN et al., "Stability of alprazolam, chloroquine phosphate, cisapride, enalapril maleate, and hydralazine hydrochloride in extemporaneously compounded oral liquids," Am J. Health-Syst Pharm, Vol. 55, pp. 1915-1920, (1998).	0
/sks/	003	Allen, Lisinopril 1 mg/mL oral liquid US Pharm., 38(2):36-37 (2013).	П
/sks/	004	Al-OMARI et al. "Effect of the drug-matrix on the stability of enalapril maleate in tablet formulations." Journal of Pharmaceutical and Biomedical Analysis, Vol. 25:893-902 (2001).	o
/sks/	005	BHARDWAJ et al., "Study of forced degradation behavior of enalapril maleate by LC and LC-MS and development of a validated stability-indicating assay method," Jeurn. Pharmac, and Biomed Analysis, 46, pp 113-120 (2008).	O
/sks/	006	BLOWEY, "Update on the pharmacologic treatment of hypertension in pediatrics," Journal of Clinical Hypertension (Hoboken, NJ, United States) 14(6), 383-387 (2012). Database; CAPLUS, DOI:10.1111/j.1751-7176.2012.00659.x.	C
/sks/	007	BOURGAULT et al., "Reference-based pricing of prescription drugs; exploring the equivalence of angiotensin-converting-enzyme inhibitors," CMAJ, 161;255-60 (1999).	D
/sks/	800	CABOT CORPORATION. "Influence of CAB-O-SIL® M-5P on the Angle of Repose and Flow Rates of Pharmaceutical Powders," 10 pages (2004).	E

Examiner Signature	/Stephanie K Springer/	Date Considered	08/05/2016
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Complete if Known Substitute for form 1449/PTC Application Number 15081603 Filing Date 03-25-2016 INFORMATION DISCLOSURE First Named Inventor MOSHER: Gerold L STATEMENT BY APPLICANT Art Unit Not assigned (Use as many sheets as necessary) Examiner Name Not assigned Attorney Docket Number 43060-707.201 Sheet 5 of 13

		NON-PATENT LITERATURE DOCUMENTS	
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/sks/	009	CALABRO et al., "Hemodynamic effects of a single oral dose of enalapril among children with asymptomatic chronic mitral regurgitation," American Heart Journal (1999), 138(5, Pt. 1), 955-961. Database: CAPLUS, DOI:10.1016/S0002-8703(99)70023-2.	0
/sks/	010	Definition of Hypertension (1 page) retrieved from: http://medical- dictionary.thefreedictionary.com/hypertension.	D
/sks/	011	DELUCCHI et al., "Enalapril and prednisone in children with nephrotic-range proteinuria," Pediatric nephrology (Berlin, Germany) (2000), 14(12), 1088-91, Database: MEDLINE.	а
/sks/	012	Drug Information on Enalapril (3 pages) retrieved from: http://www.accessdata.fda.gov/drugsatfda_docs/nda/2001/18-998s058_Vasotec.cfm	О
/SKS/	013	DRUGS.COM. Enalapril Tablets Soluble. Website [online]. [available online 09 May 2010] [retrieved on 16 January 2014]. Retrieved from the Internet & It; URL: https://web.archive.org/web/20100509220009/http://www.drugs.com/pro/enalapril-tablets-soluble.html>. Enalapril Tablets Soluble- Clinical Pharmacology; Indications and Usage for Enalapril Tablets Soluble; Enalapril Tablets Soluble Dosage and Administration	О
sks/	014	European Patent Application No. 13844343,7 Extended European Search Report dated February 19, 2016.	п

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Substante	for form 1449/PTO			Complete If Known		
4				Application Number	15081603	
IN	FORMATI	ON DISC	OSURE	Filing Date	03-25-2016	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				First Named Inventor	MOSHER, Gerold L	
				Art Unit	Not assigned	
				Examiner Name	Not assigned	
Sheet	6	of	13	Attorney Docket Number	43060-707.201	

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/sks/	015	GLASS et al. Stability considerations in liquid dosage forms extemporaneously prepared from commercially available products. Journal of Pharmacy & Pharmaceutical Sciences, 14 December 2006, Vol. 9, No. 3; pages 398-426.	D
/SKS/	016	Gulf Cooperation Council. The GCC Guidelines for Stability Testing of Drug Substances and Pharmaceutical Products. Publication [online]. Edition Two. 1428 H-2007 G [available online July 2011] [retrieved on 3 February 2014]. Retrieved from the Internet: <,URL: https://web.archive.org/web/20110726040053/http://www.ich.org/fileadmin/Public_Web_Site/ABOUT_ICH/Organisation/GCC/T opics under_Harmonisation/Stability.pdf>:, page 22, 2.9.3, page 25, 2.9.7.	n
/sks/	017	HSU et al., "Enalapril in Infants With Single Ventricle: Results of a Multicenter Randomized Trial." Circulation (2010), 122(4), 333-340, Database: CAPLUS, DOI:10.1161/CIRGULATIONAHA.109.927988.	п
/SKS/	018	HSU et al., "Rationale and design of a trial of angiotensin-converting enzyme inhibition in infants with single ventricle," American Heart Journal (2009), 157(1), 37-45, Database: CAPLUS, DOI:10.1016/j.ahj.2008.08.030.	
/sks/	019	KALAITZIDIS et al. Prehypertension: is it relevant for nephrologists?" Kidney International, 2010, 77:194-200.	o

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				Application Number	15081603	
INF	ORMATI	ON DISCL	OSURE	Filing Date	03-25-2016	
		T BY APP		First Named Inventor	MOSHER; Gerold L	
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Sheet	7	of	13	Attorney Docket Number	43060-707.201	

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/sks/	020	Li et al., "Lessons learned from a pediatric clinical trial: The Pediatric Heart Network Anglotensin-Converting Enzyme Inhibition in Mitral Regurgitation Study," American Heart Journal (2011), 161(2):233-240. Database: CAPLUS, DOI:10.1016/j.ahj.2010.10.080.	0
/sks/	021	LIMA et al., "Stability and in vitro release profile of enalapril maleate from different commercially available tablets: Possible therapeutic implications." Journ. Pharmac, and Biomed. Analysis, 47, pp 934-937 (2008).	0
/sks/	022	LIPSHULTZ, "Exposure to anthracyclines during childhood causes cardiac injury," Seminars in Oncology (2006), 33(3, Suppl. 8), S8-S14, Database: CAPLUS, DOI:10.1053/j.seminoncol.2006.04.019.	0
/sks/	023	MEYERS et al., "Pharmacotherapy Review of Chronic Pediatric Hypertension," Clinical Therapeutics (2011), 33(10), p.1331-1356. Database: CAPLUS, DOI:10.1016/j.clinthera,2011.09.003.	С
/sks/	024	MILLER et al., "Enalapril: a well-tolerated and efficacious agent for the paediatric hypertensive patient," Journal of hypertension. Supplement: official journal of the International Society of Hypertension (1986), 4(5), S413-16. Database: MEDLINE.	E
/sks/	025	MILLER et al., "Enalapril: a well-tolerated and efficacious agent for the pediatric hypertensive patient," Journal of cardiovascular pharmacology (1987), 10 Suppl 7S, p.154-56, Database: MEDLINE.	О

Examiner Signature /Stephanie K Springer/	Date Considered	08/05/2016
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INFORMATION DISCLOSURE	Filing Date	03-25-2016	
STATEMENT BY APPLICANT	First Named Inventor	MOSHER; Gerold L	
(Use as many sheets as necessary)	Art Unit	Not assigned	
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heet 8 of 13	Attorney Docket Number	43060-707.201	

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Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher city and/or country where published	T ²
/sks/	026	MIR et al., "Effect of carvedilol on QT duration in pediatric patients with congestive heart failure," Clinical Drug Investigation (2004), 24(1), 9-15. Database: CAPLUS, DOI:10.2165/00044011-200424010-00002.	0
/sks/	027	MOMMA, "ACE inhibitors in pediatric patients with heart fallure," Paediatric drugs (2006), 8(1), 55-69	О
/SKS/	028	NAHATA et al., "Stability of enalapril maleate in three extemporaneously prepared oral liquids," Am. J. Health-Syst Pharm Vol. 55, pp. 1155-1157 (1998)	П
/sks/	029	NAKAMURA et al., "The kinetic profiles of enalapril and enalaprilat and their possible developmental changes in pediatric patients with congestive heart failure," Clinical pharmacology and therapeutics (1994), 56(2), 160-8.	п
/sks/	030	National Institutes of Health, 'MedlinePius; Hypertension', Website [online], [available online 20 May 2012] [retrieved on 16 January 2014]. Retrieved from the Internet: <url:https: 000468.htm="" 20120520035026="" article="" ency="" http:="" medlineplus="" web="" web.archive.org="" www.nlm.nih.gov="">.</url:https:>	0
/SKS/	031	Nationwide Children's Hospital. 'Enalaprii Oral Suspension' Publication [online]. 29 March 2010 [retrieved on 14 Juanary 2014]. Retrieved from the Internet: <url:http: 78785="" document="" get="" www.nationwidechildrens.org="">.</url:http:>	п

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INFORMATION DISCLOSURE	Filing Date	03-25-2016	
STATEMENT BY APPLICANT	First Named Inventor	MOSHER, Gerold L	
(Use as many sheets as necessary)	Art Unit	Not assigned	
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Sheet 9 of 13	Attorney Docket Number	43060-707.201	

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/383/	032	NUNN et al., "Formulation of medicines for children," British Journal of Clinical Pharmacology, 59:6, pp 674-676 (2005).	П
/sk\$/	033	PATEL et al., "Extemporaneous Dosage Form for Oral Liquids," Pharmacophore, Vol. 2, No. 2, pp. 86-103 (2011).	ū
/sks/	034	PCT/US2013/63096 International Preliminary Report on Patentability issued April 7, 2015.	
/sks/	035	PCT/US2013/63096 International Search Report and Written Opinion dated February 20, 2014	U
/sks/	036	Product Information of Bicitra, "Sodium Citrate and Citric Acid Oral Solution USP." 2 pages.	п
/sks/	037	Product Information of Ora-Sweet (1 page) retrieved from, http://www.stobec.com/documents/data/8196.pdf.	п
/sks/	038	PROESMANS et al., "Englapril in children with Alport syndrome," Pediatric nephrology (Berlin, Germany) (2004), 19(3), 271-75.	П
/sks/	039	PROESMANS et al., 'Long-term therapy with enalapril in patients with nephrotic-range proteinuriam," Pediatric nephrology (Berlin, Germany) (1996), 10(5), 587-89.	П

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Signature	/Stephania K Springer/	Considered	08/05/2016

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0.1.3.130.010.00.01 P1333.3.1	Application Number	15081603	
INFORMATION DISCLOSURE	Filing Date	03-25-2016	
STATEMENT BY APPLICANT	First Named Inventor	MOSHER; Gerold L	
(Use as many sheets as necessary)	Art Unit	Not assigned	
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Sheet 10 of 13	Attorney Docket Number	43060-707.201	

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/388/	040	PROSEMANS et al., "Enalapril in pediatric patients with Alport syndrome: 2 years' experience," European Journal of Pediatrics (2000), 159(6), 430-433. Database: CAPLUS, DOI:10.1007/s004310051301.	0
/SRS/	041	RAMUSOVIC ET AL., "Determination of enalapril and enalaprilat in small human serum quantities for pediatric trials by HPLC-tandem mass spectrometry," Biomedical Chromatography (2012), 26(6), 697-702. Database; CAPLUS, DOI:10.1002/bmc.1716.	П
/sks/	042	REZENDE et al., "Stability and Compatibility Study on Enalapril Maleate Using Thermoanalytical Techniques," Journ Thermal Analysis and Calorimetry, 93:3, pp 881-886 (2008).	0
/SKS/	043	RIPPLEY et al., "Pharmacokinetic Assessment of an Oral Enalapril Suspension for Use in Children," Biopharmaceutics & Drug Disposition, 21:339-344 (2000).	ø
/sks/	044	SANDOZ, Limited, Amoxicillin 125 mg/5 ml Powder for Oral Suspension. Product brochure [online]. July 2012, 3 pages. [retrieved on 17 January 2014]. Retrieved from the Internet <url:http: 196044.pdf="" leaflet="" uklpdf="" www.drugs.com="">.</url:http:>	D
/sks/	045	SILBER et al., "Design and baseline characteristics for the ACE inhibitor after anthracycline (AAA) study of cardiac dysfunction in long-term pediatric cancer survivors," American Heart Journal (2001), 142(4):577-585. Database: CAPLUS, DOI:10.1067/mhj.2001.118115.	п

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	/Stephanie K Springer/	30103021221	09\00\Z0T0

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/sks/	046	SILBER et al., "Enalapril to prevent cardiac function decline in long-term survivors of pediatric cancer exposed to anthracyclines," Journal of Clinical Oncology (2004), 22(5), 820-828. Database: CAPLUS, DOI:10.1200/JGO.2004.06.022.	П
/sks/	047	SIMONČIČ et al., "Use of microcalorimetry in determination of stability of enalapril maleate and enalapril maleate table formulations," Int'l. Journ. Pharmaceutics, 342, pp. 145-151 (2007).	a
/SKS/	048	SIPAHI et al. Effect of Antihypertensive Therapy on Incident Stroke in Cohorts with Prehypertensive Blood Pressure Levels: A Meta-Analysis of Randomized Controlled Trials, Stroke: Journal of the America! Heart Association (online), 8 December 2011 (retrieved 16 January 2014). Retrieved from the Internet: &ItURL:http://www.medpagetoday.com/upload/2011/12/9/Stroke-2011-Sipahi-STROKEAHA.111.636829.pdf>.	
/SK\$/	049	SIPAHI et al., "Effects of normal, pre-hypertensive, and hypertensive blood pressure levels on progression of coronary atherosclerosis. J. Am. Coll. Cardiol. 48, 833-838, 2006.	D
-lares !	050	SOSNOWSKA et al., "Stability of Extemporaneous Enalapril Maleate Suspensions for Pediatric. Use Prepared from Commercially Available Tablets," Acta Poloniae Pharmaceutica, Vol. 66, No. 3, pp. 321-326 (2009).	D
1 24631	051	STANDING et al., "Paediatric formulations-Getting to the heart of the problem," International Journal of Pharmaceutics (2005), 300(1-2), 56-66.	D

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		NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher city and/or country where published	T ²
/sks/	052	STANISZ, "Evaluation of stability of enalapril maleate in solid phase," Journ. Pharma, and Biomed Analysis, 31, pp 375-380 (2003).	П
/sks/	053	TEVA UK, Limited, Enalapril Maleate 2.5 mg, 5 mg, 10 mg and 20 mg Tablets. Product Brochure [online]. March 2011 [retrieved on 14 January 2014]. Retrieved from the Internet: <url:http: 213793.pdf="" leaflet="" pdf="" uk="" www.drugs.com="">. column 2, lines 70-76.</url:http:>	
/sks/	054	Tian et al., Effect of organic anion-transporting polypeptide 1B1 (OATP1B1) polymorphism on the single- and multiple-dose pharmacokinetics of enalapril in healthy Chinese adult men. Clinical Therapeutics, 33(5):655 (2011).	
/SKS/	055	U.S. Patent Application No. 13/670,355 Office Action dated February 8, 2013.	D
/SK9/	056	U.S. Patent Application No. 13/670,355 Office Action dated July 30, 2013.	
/ers/	057	U.S. Patent Application No. 14/934,752 First Action Interview dated January 25, 2016.	0
/sks/	058	VAN HECKEN et al. "Absence of a pharmacokinetic interaction between enalapril and frusemide." British Journal of Clinical Pharmacology, 1987, Vol. 23:84-87.	п
/SRS/	059	VASOTEC (Enalapril Maleale) Product Insert (2010), 2 pages.	

Examiner Signature	/Stephanie K Springer/	Date Considered	08/05/2016
			221 121 2020

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INFORMATION DISCLOSURE	Filing Date	03-25-2016	
STATEMENT BY APPLICANT	First Named Inventor	MOSHER; Gerold L	
(Use as many sheets as necessary)	Art Unit	Not assigned	
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Sheet 13 of 13	Attomey Docket Number	43060-707.201	

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/sks/	060	WANG et al., "Eudragit E Accelerated the Diketopiperazine Formation of Enalapril Maleate Determined by Thermal FTIR Microspectroscopic Technique," Pharmaceutical Research, Vol. 21, No. 11, p. 2127-2132, November 2004.	О		
/sks/	061	WELLS et al., "A double-blind, placebo-controlled, dose-response study of the effectiveness and safety of enalapril for children with hypertension." Journal of Clinical Pharmacology (2002), 42(8), 870-880. Database: CAPLUS, DOI:10.1177/009127002401102786.	п		
/sks/	062	WELLS et al., "The Pharmacokinetics of Enalapril in Children and Infants with Hypertension," J. Clin Pharmacol 41:1064-1074 (2001).	п		
/SKS/	063	WILLIAMS et al, "Factors affecting growth in infants with single ventricle physiology: a report from the Pediatric Heart Network Infant Single Ventricle Trial," The Journal of Pediatrics (2011), 159(6), 1017-1022.	П		

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U.S. Patent Application No. 15/081,603

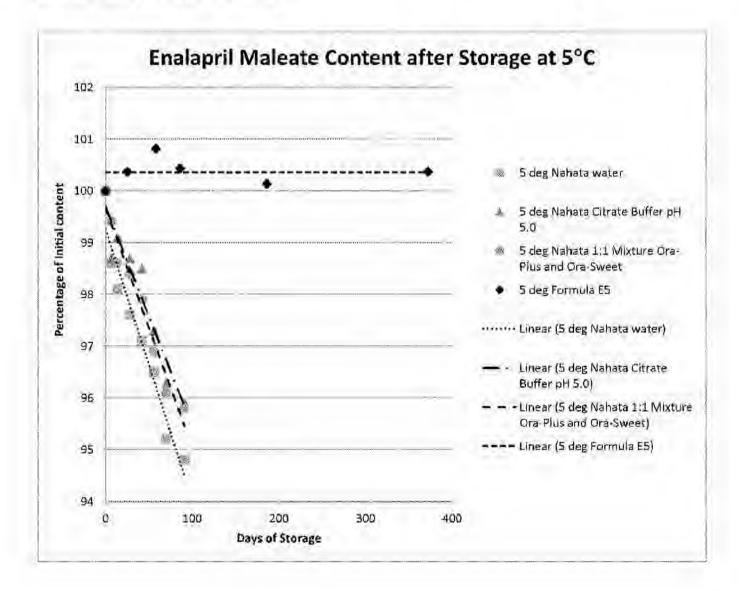
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INTERVIEW EXHIBIT

The Office contends that Nahata *et al.* ("Stability of enalapril maleate in three extemporaneously prepared oral liquids" Am. J. Health-Syst Pharm Vol. 55, pp 1155-1157) teaches an oral liquid enalapril formulation (prepared from crushed enalapril maleate tablets) stable for about 90 days.

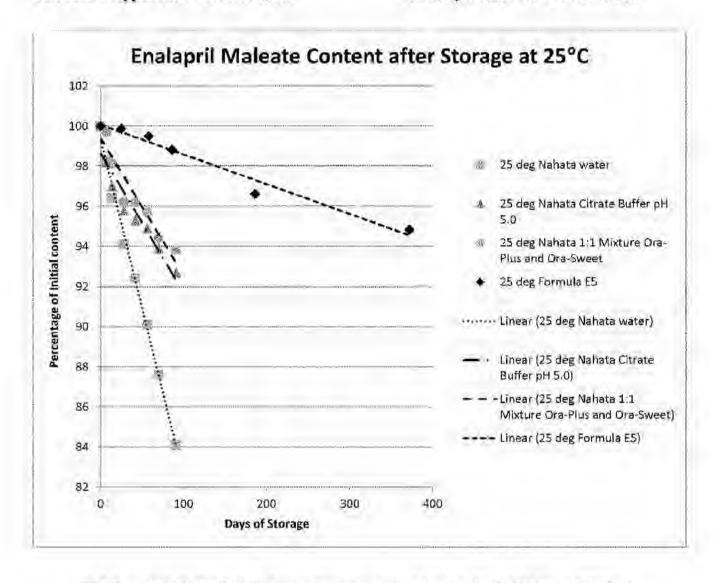
The stability data at 5 °C and 25 °C published by Nahata et al. are plotted graphically below with linear regression of the data for extrapolation. Also included are the enalapril concentrations from Formula E5 of the instant application which comprises citric acid, sodium citrate, sodium benzoate, and sucralose.



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At 5 °C and 25 °C the Nahata formulations are only stable for about 100 days (stability is defined as no more than 5% formation of degradants or 5% loss of enalapril). In contrast, formulation E5 of the instant application is stable for about one year at 5 °C and at 25 °C.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Inventors: Gerold L. Mosher, et al.

Serial No. 15/081,603

Filed: March 03, 2016

Title: ENALAPRIL FORMULATIONS

Group Art Unit: 1629

Confirmation No.: 3892

Examiner: Stephanie K. Springer

Customer No.: 21971

Certificate of Electronic Filing

I hereby certify that the attached response and all marked attachments are being deposited by Electronic Filing on **February 3, 2017**, by using the EFS – Web patent filing system and addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By: /Rose Andico /
Rose Andico

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Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

AMENDMENT IN RESPONSE TO NON-FINAL OFFICE ACTION DATED JANUARY 17, 2017

Commissioner:

Applicant hereby submits a response to the Office Action dated January 17, 2017 (the "Office Action"), in the above-identified application. Applicant respectfully requests amendment of the patent application, and reconsideration and allowance of the pending claims.

Amendments to the Claims begins on page 2.

Remarks begin on page 5.

The Conclusion is on page 23

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Amendments to the Claims

This listing of claims will replace all prior versions, and listings, of claims in this application. The following amendments do not constitute an admission regarding the patentability of the amended subject matter and should not be so construed.

Listing of the Claims:

- 1 (Currently Amended) An A stable oral liquid formulation, comprising:
 - (i) about 1 mg/ml enalapril maleate;
 - (ii) about 0.70 mg/ml of a sweetener that is sucralose;
 - (iii) a buffer comprising about 1.82 mg/ml citric acid and about 0.15 mg/mL sodium citrate dihydrate;
 - (iv) about I mg/ml of a preservative that is sodium benzoate, and
 - (v) water,

wherein the pH of the formulation is less than about 3.5; and wherein the formulation is stable at about 5±3 °C for at least 12 months, wherein the stable oral liquid formulation has about 95% or greater of the initial enalapril amount and about 5% w/w or less total impurities or related substances at the end of the given storage period.

- 2. (Original) The formulation of claim 1, further comprising a flavoring agent.
- 3 (Cancelled)
- 4 (Original) The formulation of claim 1, wherein the pH is between about 3 and about 3.5.
 - (Original) The formulation of claim 4, wherein the pH is about 3.3.
- (Original) The formulation of claim 1, wherein the citrate concentration in the buffer is about 5 mM to about 20 mM.
- 7 (Original) The formulation of claim 6, wherein the citrate concentration in the buffer is about 10 mM.
- 8 (Original) The formulation of claim 1, wherein the formulation is stable at about 5±3 °C for at least 18 months.
- 9 (Original) The formulation of claim 1, wherein the formulation is stable at about 5±3 °C for at least 24 months.

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- 10 (Original) The formulation of claim 1, wherein the formulation does not contain mannitol.
- (Original) The formulation of claim 1, wherein the formulation does not contain silicon dioxide.
- 12 (Currently Amended) An A stable oral liquid formulation, comprising
 - (i) about 19.3 % (w/w of solids) enalapril maleate;
 - (ii) about 13.5% (w/w of solids) of a sweetener that is sucralose:
 - (iii) a buffer comprising about 35.2 % (w/w of solids) citric acid and about 2.9 % (w/w of solids) sodium citrate dihydrate;
 - (iv) about 19.3 % (w/w of solids) of a preservative that is sodium benzoate; and
 - (v) water,

wherein the pH of the formulation is less than about 3.5; and wherein the formulation is stable at about 5±3 °C for at least 12 months, wherein the stable oral liquid formulation has about 95% or greater of the initial enalapril amount and about 5% w/w or less total impurities or related substances at the end of the given storage period.

- 13. (Original) The formulation of claim 12, further comprising a flavoring agent.
- 14 (Cancelled).
- 15 (Original) The formulation of claim 12, wherein the pH is between about 3 and about 3.5.
 - 16. (Original) The formulation of claim 15, wherein the pH is about 3.3.
- 17. (Original) The formulation of claim 12, wherein the citrate concentration in the buffer is about 5 mM to about 20 mM.
 - 18 (Original) The formulation of claim 17, wherein the citrate concentration in the buffer is about 10 mM.
 - 19. (Original) The formulation of claim 12, wherein the formulation is stable at about 5=3 °C for at least 24 months.
 - 20 (Currently Amended) An-A stable oral liquid formulation, consisting essentially of:
 - (i) about 1 mg/ml enalapril maleate;
 - (ii) about 0.70 mg/ml of a sweetener that is sucralose;
 - (iii) a buffer comprising about 1.82 mg/ml citric acid and about 0.15 mg/ml sodium citrate dihydrate:

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- (iv) about 1 mg/ml of a preservative that is sodium benzoate;
- (v) a flavoring agent; and
- (vi) water,

wherein the pH of the formulation is less than about 3.5 adjusted by sodium hydroxide or hydrochloric acid if needed, and

wherein the formulation is stable at about 5±3 °C for at least 12 months, wherein the stable oral liquid formulation has about 95% or greater of the initial enalapril amount and about 5% w/w or less total impurities or related substances at the end of the given storage period

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REMARKS

Status of the Claims

Claims 1, 12 and 20 have been amended and claims 3 and 14 have been cancelled. Upon entry of the proposed amendment, claims 1-2, 4-13 and 15-20 will be under examination. Support for the amendments to the claims is found in the original claims and throughout the specification, including for example, para. [0080]. No new matter is presented by way of the amendments.

First Action Interview

Applicant would like to extend thanks to Examiners Springer and Lundgren for the telephonic interview on October 14, 2016 with Applicant's representative, Clark Lin and inventor, Gerold Mosher. The pending claims were discussed along with potential rejections. Applicant and the Examiners further discussed potential prior art references and the differences from the claimed subject matter. Although no agreement was reached at that time and Examiner Springer indicated that she would issue a formal Office Action, Applicant feels that the discussion was helping in the preparation of this response and claim amendments.

Claim Rejection - 35 U.S.C. § 112(b)

Claims 1-20 are rejected under 35 U.S.C. 112(b) as allegedly being indefinite. More specifically, the Office alleges that it is unclear if stability refers to e.g., homogeneity, amount of enalapril, amount of precipitation, amount of impurities. Applicant respectfully disagrees but in order to solely advance prosecution, Applicant has amended claims 1, 12, and 20 to add: "wherein the stable oral liquid formulation has about 95% or greater of the initial enalapril amount and about 5% w/w or less total impurities or related substances at the end of the given storage period". This amendment is supported, for example, in para [0080] of the instant application. Applicant respectfully requests the withdrawal of this rejection.

Claim Rejection - 35 U.S.C. § 103

Claims 1-20 are rejected under 35 U.S.C. 103 as allegedly being unpatentable over US Pat. No. 8,568,747 ("the '747 patent"), Nahata et al. (Stability of Enalapril Maleate in three Extemporaneously Prepared Oral Liquids) ("Nahata"), Product Information of Bicitra (Sodium

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Citrate and Citric Acid Oral Solution) ("Bicitra"), Product Information of Ora-Sweet ("Ora-sweet"), and Rippley at al. (Pharmacokinetics Assessment of an Oral Englapril Suspension for Use in Children) ("Rippley").

Obviousness is a question of law based on underlying factual inquiries. The factual inquiries enunciated by the Court are as follows:

- (A) Ascertaining the scope and content of the prior art; and
- (B) Ascertaining the differences between the claimed invention and the prior art; and
 - (C) Resolving the level of ordinary skill in the pertinent art.

See Graham v. John Deere Co., 383 U.S. 1, (1966); see also M.P.E.P. § 2141(II).

Once these factual inquiries have been completed, the Office must explain why the difference(s) between the prior art and the claimed invention would have been obvious to one of ordinary skill in the art. According to the M.P.E.P., "The key to supporting any rejection under 35 U.S.C. 103 is the clear articulation of the reason(s) why the claimed invention would have been obvious." M.P.E.P. § 2141(III). Moreover, the result of any obviousness inquiry must, generally, provide a predictable result or have an expectation of success. *See id*.

The Office asserts that:

747 teaches oral liquid compositions comprising enalapril, mannitol, and sweetener, formed using a powder formulation, wherein the liquid is stable for at least 36 wks [sic] at ambient or refrigerated conditions.

Rippley and Nahata also disclose compositions reconstituted from enalapril tablets, using Bicitra, Ora-Sweet, and Ora-Plus.

Office Action, page 2.

The Office concludes that:

Thus, oral liquid compositions comprising 1 mg/ml enalapril maleate, sweeteners such as mannitol, sucrose, sorbitol, and sucralose; buffers such as citric acid and sodium citrate; preservatives such as sodium benzoate; and water were well known at the time of the invention.

ld., page 2.

The current standard of obviousness takes into account (1) whether there would have been a "reason that would have prompted a person of ordinary skill in the relevant field to combine the elements in the way the claimed invention does;" and (2) whether the combination of elements would have yielded "predictable results" i.e., whether there would have been a reasonable expectation of success. (See e.g., KSR International Co. v. Teleflex Inc., 127 S.Ct. 1727, 1731 (2007), see also PharmaStem Therapeutics, Inc. v. ViaCell, Inc., 491 F.3d at 1342, 1360 (Fed. Cir. 2007) ("The burden falls on the patent challenger to show by clear and convincing evidence that a person of ordinary skill in the art would have had reason to attempt to make the composition or device, or carry out the claimed process, and would have had a reasonable expectation of success in doing so.") (emphasis added, internal quotations omitted)).

With regard to the instant claims, Applicant respectfully submits that the Office has not established a *prima facie* case of obviousness. Specifically, Applicant respectfully submits that US 8,568,747, Nahata, Bicitra, Ora-sweet, and Rippley do not provide or suggest all the elements of the claims. Moreover, the cited references have not provided any reason to single out the specific components at the requisite concentrations for a pharmaceutical liquid recited in the instant claims, and further that US 8,568,747, Nahata, Bicitra, Ora-sweet, and Rippley do not provide the legally required reasonable expectation of success. Applicant further submits an Inventor Declaration by Dr. Gerold Mosher ("Mosher Declaration"), with evidence to overcome the obviousness rejection asserted in the Office Action, as discussed in greater detail below

A. The Cited References Alone or in Combination Do Not Teach All the Elements of the Claimed Stable Enalapril Oral Liquid Formulations

Applicant respectfully points out that the instant application is directed to novel stable enalapril oral liquid formulations with excellent stability and uniformity properties where the formulation is stable at about 5=3 °C for at least 12 months. Specifically, claim 1 is directed to a stable oral liquid formulation comprising (i) about 1 mg/ml enalapril maleate; (ii) about 0.70

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mg/ml of a sweetener that is socralose; (iii) a buffer comprising about 1.82 mg/ml citric acid and about 0.15 mg/mL sodium citrate dihydrate; (iv) about 1 mg/ml of a preservative that is sodium benzoate and water at a pH of less than about 3.5. Claim 12 recites a formulation similarly in a % w/w format, and claim 20 recites a formulation similarly in a 'consisting essentially of' format. Further, the stable enalapril oral liquid formulations of these claims represent an elegant solution over the previous methods (extemporaneous and powder for reconstitution) of obtaining liquid enalapril formulations, namely grinding or crushing commercially available enalapril tablets and then suspending the ground tablets in a liquid vehicle or mixing and dissolving a powder for reconstitution with a diluent.

As pointed from above, the claims require that the formulations are <u>stable at about 5±3 °C</u> for at least 12 months. Applicant respectfully points out that, according to the present specification,

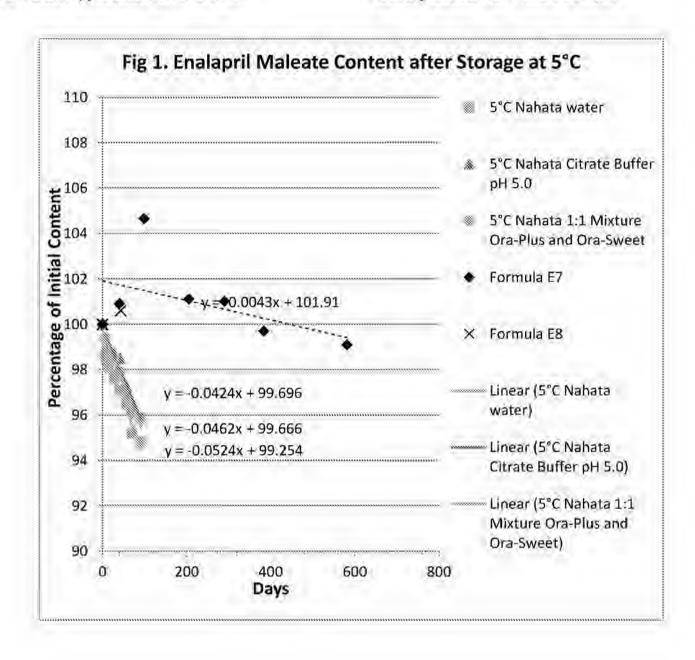
Stable as used herein refer to enalapril oral liquid formulations having about 95 % or greater of the initial enalapril amount and about 5 % w/w or less total impurities or related substances at the end of a given storage period.

Specification, ¶ [0080].

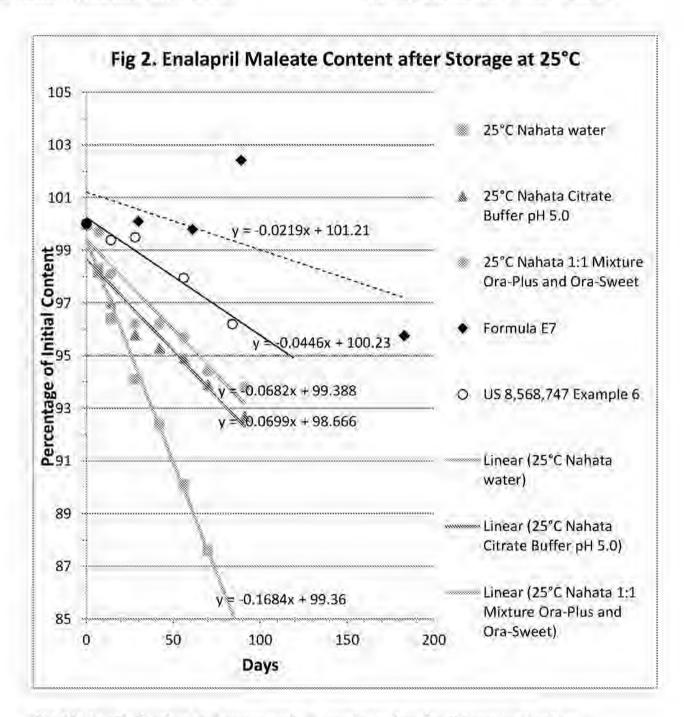
This stability is required by the claims for at least a duration of 12 months at about 5±3 °C. The Specification and Drawings of the instant application provide support and evidence of this stability in, for example, Table E-2 depicting very little amounts of diketopiperazine or enalaprilat degradants formed in the E3, E5 and E6 formulations when stored at 5 °C. Table E-1 depicts that E3, E5 and E6 formulations contain enalapril, citric acid, sodium citrate, sodium benzoate, sucralose and water, which Applicant notes are the claimed components of the instant claims, albeit at different concentrations.

Moreover, the Mosher Declaration provides additional data supporting the claimed stability by comparing the dramatic differences in stability between the enalapril oral liquid formulations of the present claims with the stability of the enalapril liquid preparations in Nahata and the '747 patent. In the Mosher Declaration, Mosher plotted graphically with linear regression of the data for extrapolation of the available refrigerated (4 or 5 °C) and room temperature (25 °C) stability data published by Nahata and the '747 patent as well as E7 and E8 enalapril formulations, which is or very similar to the formulation of the instant claims:

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The Mosher Declaration also compares the percentage of enalapril between the above formulations in the following tables.

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Table A: Enalapril content in formulations after storage at 4 or 5 °C1

		Nahata			
Days	water	Citrate Buffer pH 5,0	1:1 Ora- Plus/Ora- Sweet	E7	E8
0	100	100	100	100	100
7	98,6	98.7	99.4		
14	98.1	99.1	98.6		
28	97,6	98.7	98 4	4,75,64	
40				100,9	
42	97.1	98.5	97,9		100.3
56	96.5	97.3	96 9		
70	95.2	96.3	96.1		
91	94.8	95.9	95.8		
99				104.7	
205				101.1	
290		1		101.0	
383				99.7	
581				99.1	

Table B: Enalapril content in formulations after storage at 25 °C

		Nahata			
Days	water	Citrate Buffer pH 5.0	1:1 Ora- Plus/Ora-Sweet	Example 6	E7
0	100	100	100	100	100
7	98.3	98.2	99.7		
T4	96.4	97	98.1	99.4	
28	94.1	95.8	96.2	99.5	
30					100.1
42.	92,4	95.3	96.2		
56	90.1	94.9	95.7	97.9	
61					99.8

At refrigerated and room temperature conditions, the enalapril liquid formulations of Nahata is not stable as there is a loss of nearly 5% after only 91 days refrigerated and 28 days at

¹ Moslier notes that the '747 patent does not provide stability data of the reconstituted liquid formulation at 4 or 5.°C.

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25°C. The enalapril concentration in these Nahata preparations decrease rapidly as evidenced by the linear regression in Figs. 1 and 2. Similarly, the reconstituted enalapril liquid formulation of the '747 patent shows that there is a loss of about 5% around 100 days at 25 °C.

Thus, the data presented in the Mosher Declaration clearly demonstrates that the extemporaneous preparations of Nahata and the reconstituted preparations of '747 patent do not meet the stability requirements of the present claims. In contrast, the E7 formulation demonstrates no loss of enalapril for at least 12 months at 5 °C and about 100 days at 25 °C Further, while the E8 formulation has only one data point, it is expected to track similarly to E7 in terms of stability.

As such, Nahata and the 747 patent do not disclose or suggest any liquid formulations of enalapril having this stability at *ahout 5±3 °C for at least 12 months* nor any methods of achieving this stability. None of the other cited references, Bicitra, Ora-sweet, and Rippley, reveal any enalapril or other pharmaceutical liquid formulations having this stability or methods thereof. Because this stability element is not present in any of the cited references, the Office has not set forth a *prima facie* case of obviousness.

The Cited References Also Do Not Teach the Claimed Combination of Components in the Present Englapril Formulations

As above, the claimed stable enalapril oral liquid formulation of claim 20 'consists' essentially of enalapril, citric acid, sodium citrate, sodium benzoate, sucralose and water at the recited concentrations and at a pH of less than about 3.5. As noted in the MPEP, "[t]he transitional phrase 'consisting essentially of limits the scope of a claim to the specified materials or steps 'and those that do not materially affect the basic and novel characteristic(s)' of the claimed invention. MPEP § 2111.03 (citing *In re Herz*, 537 F.2d 549, 551-52 (CCPA 1976) (emphasis in original). While these claimed ingredients and excipients may individually be disclosed in the '747 patent, Nahata, Bicitra, Ora-sweet, and Rippley, none of these references teach or suggest the claimed combination of <u>only</u> enalapril, citric acid, sodium citrate, sodium benzoate, sucralose and water at the recited concentrations and pH as stated in claim 20.

Because these cited references alone or together do not teach or suggest this claimed

formulation, the Office has not set forth a *prima facie* case of obviousness for this additional reason.

B. The Office Fails to Provide a Reason to Arrive at the Enalapril Oral Liquid Formulation of the Instant Claims from the Cited References

The '747 Patent solely leaches enalapril powders with certain excipients and their reconstitution into liquids with stability at 12 weeks only

As the Office has pointed out, the '747 patent teaches enalapril powders with enalapril, mannitol and colloidal silicon dioxide that are for reconstitution by a syrup (e.g., Ora-Sweet). However, in contrast to the Office's assertion that the liquid preparations in the '747 patent are "stable for at least 36 wks at ambient or refrigerated conditions", Applicant respectfully points out the "747 patent does not teach that the reconstituted enalapril liquid is stable for at least 36 weeks, but rather stability of the reconstituted liquid at ambient temperatures was assessed only up to 12 weeks. See '747 patent, Example 6, col. 29, also reproduced below.

Exaliprii Reconstituted Laquid - Ambient					
Time (Weeks) (Englane) (%) Final and (%) DR2					
10	97.4	0.43	0.04		
- 24	96.8	0.75	0.08		
4	96.9	4.970, A.	(1.162)		
-86	415.4	1,35	0.12		
13	9.9.7	2.22	9.17		

As the '747 patent clearly shows, the reconstituted enalapril liquid formulation is stable for only 8 weeks and has unacceptable stability (less than 95% enalapril) at week 12. The '747 patent does not provide for, nor teach stability of enalapril liquid formulations for longer periods of time, much less stability for at least 12 months about 5±3 °C. Further, the '747 patent requires mannitol and colloidal silicon dioxide for stability and use of the disclosed enalapril powders, both of which are not required whatsoever in the present claims.

The '747 patent therefore fails to provide one of ordinary skill in the art any <u>reason</u> to attempt to make the claimed enalapril oral liquid formulations as this reference only describes

the preparation and use of enalapril powders for reconstitution. The '747 patent does not teach or suggest modifying or improving these enalapril powders into a ready-to-use enalapril liquid formulation that is stable for 12 months. Furthermore, one of ordinary skill in the art would be led by the '747 patent to include mannitol and colloidal silicon dioxide due to their important functions for powder formulations, which is not needed nor contemplated in the enalapril liquid formulations of the present claims.

Nahata only teaches the extemporaneous preparation of oral enalapril suspensions from grinding commercially available tablets and fails to provide a reason for modification of these preparations

As mentioned previously, Nahata describes in detail the process of grinding the enalapril tablets, mixing with certain suspending and syrup liquid vehicles to form a resultant oral suspension. While Nahata teaches enalapril oral suspensions from ground enalapril tablets, nothing in Nahata provides any reason or rationale of how one of ordinary skill in the art would use these teachings to arrive at the claimed stable enalapril oral liquid formulations, let alone pharmaceutical enalapril oral liquid formulations with enalapril, citric acid, sodium citrate, sodium benzoate, sucralose and water at the recited concentrations and at a pH of less than about 3.5. In fact, Nahata does not even teach, disclose or suggest the preparation of any enalapril oral liquid formulations whatsoever as an alternative to the extemporaneous preparation method.

Further, Applicant respectfully points out that one skilled in the art would not use Nahata to arrive at the claimed enalapril oral liquid formulations. As the Office is no doubt aware, the crushing or grinding of tablets to form oral suspensions has many issues including stability, solubility, uniformity, etc. Indeed, the Mosher Declaration states that when compounding extemporaneous preparations, "[t]here is no guarantee that the drug dissolves in, or is dispersed evenly in the liquid thus leading to potential dosing errors" and that "there is always the chance of contamination of the resulting liquid by residual drugs or substances in the mortar." See Mosher Declaration, ¶ 12.

Nahata therefore fails to provide one of ordinary skill in the art any <u>reason</u> to attempt to make the claimed enalapril oral liquid formulations as this reference only describes extemporaneously making oral liquid suspensions from enalapril tablets. Nahata does not teach

or suggest modifying or improving these oral liquid suspensions by adding or changing excipients. In forming new enalapril oral liquid formulations that exhibit the stability and homogeneity properties recited in the instant claims, one of ordinary skill in the art would not select Nahata by virtue of its teaching in the use of enalapril tablets as a starting material.

Bicitra, Ora-sweet, and Rippley also fail to provide a reason to arrive at the claimed enalapril liquid formulation alone or in combination with the '747 Patent and or Nahata

The Bicitra and Ora-sweet references describe commonly used excipients: a citric acid buffering solution and syrup for extemporaneous or reconstituted preparations respectively. Applicant does not disagree nor dispute their various teachings. However, Applicant respectfully points out that neither Bictra nor Ora-sweet provide any reason to make a stable enalapril liquid formulation alone or in combination with the '747 Patent and/or Nahata.

Further, Rippley is cited for its teaching of using a Bicitra solution in its extemporaneous preparations from enalapril tablets, however the reference itself provides no stability data whatsoever.

Accordingly, the Office has not demonstrated a reason or rationale for arriving at the claimed enalapril liquid compositions of the present application based on the disclosures in the '747 patent, Nahata, Bicitra, Ora-sweet, and Rippley.

C. The Combination of the '747 patent, Nahata, Bicitra, Ora-sweet, and Rippley Provide No Reasonable Expectation of Success of the Claimed Subject Matter

The second measure of obviousness requires that the combination of elements would have yielded "predictable results" *i.e.*, whether there would have been a reasonable expectation of success. To have a reasonable expectation of success, "one must be motivated to do more than merely "vary all parameters or try each of numerous possible choices until one possibly arrived at a successful result, where the prior art gave no indication of which parameters were critical or no direction as to which of many possible choices is likely to be successful."

Medichem, S.A. v. Robaldo, 327 F.3d 1157, 1165 (Fed. Cir. 2006).

At the outset, Applicant respectfully points out that the Office is combining five disparate references to arrive at the claimed enalapril formulations of the present application. The '747

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patnet is directed to enalapril powders for reconstitution, Nahata and Rippley are directed to extemporaneous oral suspensions based on grinding enalapril tablets, and Ora-Sweet and Bicitra are descriptions of commonly used pharmaceutical excipients. While elements of the instant claims can be found scattered throughout these different references, there is no context or disclosure which brings forth these elements to the forefront and allows one to combine them successfully. Instead each reference discloses many other excipients that could potentially be used in equal measure.

The enalapril tablets used in the extemporaneous preparations of Nahata contain, in addition to enalapril, lactose, magnesium stearate, sodium bicarbonate, starch, and iron oxide. Ora-Plus is an oral suspending vehicle that has a pH of approximately 4.2 and that contains purified water, microcrystalline cellulose, sodium carboxymethylcellulose, xanthan gum, carrageenan, buffering agents (trisodium phosphate and citric acid), an antifoaming agent (simethicone), and preservatives (potassium sorbate and methylparaben). Ora-Sweet syrup vehicle is a flavoring vehicle that is buffered to a pH of approximately 4.2 and that contains purified water, sucrose, glycerin, sorbitol (5%), flavoring, buffering agents (sodium phosphate and citric acid), and preservatives (potassium sorbate and methylparaben). Nahata therefore teaches that these extemporaneously prepared suspensions from enalapril tablets contain a myriad of components, the majority of which are not present in the claimed formulations of the '603 application.

Similarly, the reconstituted enalapril formulations of the ³747 patent contain, in additional to enalapril, mannitol, colloidal silicon dioxide and the Ora-Sweet syrup which also contains water, sucrose, glycerin, sorbitol (5%), flavoring, buffering agents (sodium phosphate and citric acid), and preservatives (potassium sorbate and methylparaben) as discussed above

The following table lists the components that are present in the Nahata and the '747 patent formulations in comparison with the stable enalapril liquid formulation of the present claims:

Enalapril Extempo	raneous Enalapril Powder for	Formulation of Present
Formulation (Ora-	Reconstitution	Claims
Sweet/Ora-Plus)	Formulation	

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Enalapril Extemporaneous Formulation (Ora- Sweet/Ora-Plus)	Enalapril Powder for Reconstitution Formulation	Formulation of Present Claims
Enalapril	enalapril	enalapril
lactose	mannifol	sucralose
magnesium stearate	colloidal silicon dioxide	citric acid
sodium bicarbonate	sucrose	sodium citrate dihydrate
starch	glycerin	sodium benzoate
iron oxide	sorbitol	water
microcrystalline cellulose	flavoring	
carboxymethylcellulose sodium	citric acid	
xanthan gum	sodium phosphate	
carrageenan	methylparaben	
calcium sulphate	potassium sorbate	
trisodium phosphate	water	
citric acid		
dimethicone		
potassium sorbate		
methylparaben		
flavoring		
sorbitol		
glycerin	1	4 6 =
sucrose		
water		

As apparent, the extemporaneously prepared formulation from Nahata contains 19 components in addition to enalapril and water and the reconstituted formulation from the `747 patent contains 10 components in addition to enalapril and water. In contrast, the formulation of the present claims has only <u>four</u> ingredients along with enalapril and water. Moreover, these additional excipients in the other formulations are not needed or contemplated in the claimed enalapril liquid formulations as none of them are needed or necessary to produce an oral enalapril liquid formulation of the present claims that is stable and homogeneous for at least 12 months at 5±3 °C.

In addition, there is no guidance whatsoever to keep or eliminate the components if one were to use Nahata or the '747 patent as a starting point to arrive at the claimed enalapril liquid formulations. When the '747 patent, Nahata, Bicitra, Ora-sweet, and Rippley are combined, one ordinarily skilled in the art is merely taught that any one of the many of excipients disclosed in

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these references may potentially be combined with the extemporaneous enalapril formulations of Nahata and/or the reconstituted enalapril formulations of the '747 patent. As such, the prior art does not provide any expectation that any <u>particular</u> combination would be successful for stable enalapril oral liquid formulations, much less any expectation that the combination of with enalapril, citric acid, sodium citrate, sodium benzoate, sucralose and water at the recited concentrations and at a pH of less than about 3.5 would be successful in forming a stable enalapril liquid formulation. One would need to consider all of these excipients and, through trial-and-error, determine whether each and every one of these components was necessary for stability or if they could be varied or eliminated. Simply put, to arrive at the combination of these specific components using the '747 patent, Nahata, Bicitra, Ora-sweet, and Rippley, one skilled in the art must "vary all parameters or try each of the numerous possible choices" of the references without "direction as to which of the many choices is likely to be successful."

Medichem, 437 F.3d at 1165. This is precisely what courts have held <u>not</u> to be a reasonable expectation of success. *Id.*; see also, In re O'Farrell, 853 F.3d 894, 903-4.

Since a reasonable expectation of success cannot be derived from the '747 patent, Nahata, Bicitra, Ora-sweet, and Rippley, Applicant respectively submits that the Office is improperly relying on the prior art disclosures as a basis for finding reasonable expectation of success and is using a hindsight reconstruction analysis to arrive at the present claims.

Accordingly, because the Office has not demonstrated a rationale for arriving at the claimed composition nor a reasonable expectation of success based on the '747 patent, Nahata, Bicitra, Ora-sweet, and Rippley, the Office has failed to establish a *prima facte* case of obviousness. Applicant, therefore, respectfully requests that this rejection be withdrawn.

The Office must consider the secondary considerations of the claimed invention

Finally, as well settled, presuming a *prima facie* case of obviousness were properly established, the Office is still required to consider all rebuttal evidence submitted by an Applicant. See, e.g., MPEP §2145. This requirement remains unchanged following KSR, as the Federal Circuit has made clear. (See In re Sullivan, 498 F.3d 1345 (Fed. Cir. 2007); MPEP §2145). In In re Sullivan, the Federal Circuit vacated and remanded a Board rejection of

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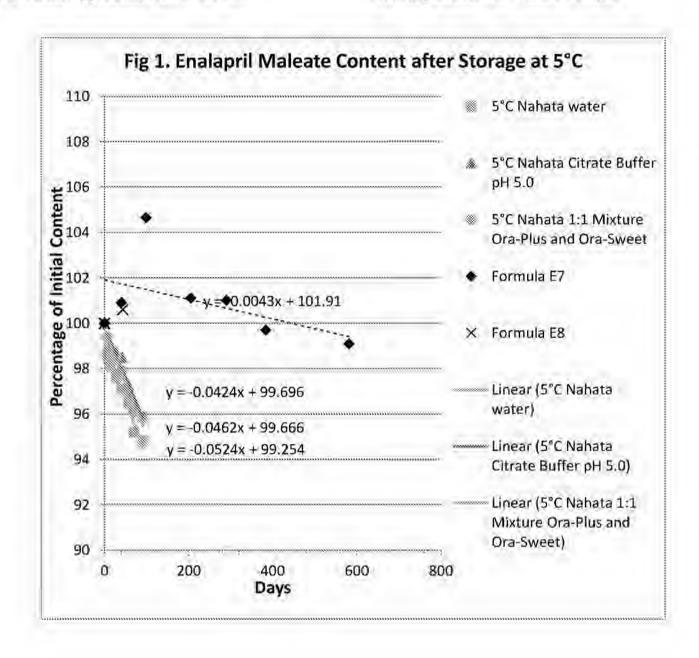
antivenom-composition claims because the Board failed to give any weight to the applicant's rebuttal evidence demonstrating that, *inter alia*, the combination of prior art elements exhibited unexpected efficacy while reducing the occurrence of adverse immune reactions in humans. (*Id* at 1353). As the Court explained, "[w]hen a patent applicant puts forth rebuttal evidence, the Board <u>must</u> consider that evidence." (*Id*. at 1351).

Applicant submits that the present claims are not *prima facie* obvious over the '747 patent, Nahata, Bicitra, Ora-sweet, and Rippley, as discussed above, and further submits that the subject matter in the claims have unexpected results with respect to stability of present enalapril liquid formulations.

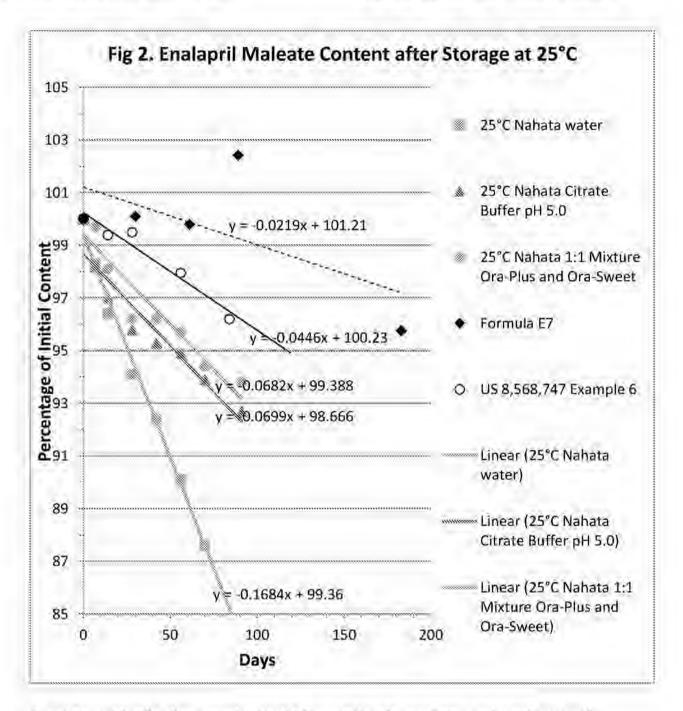
Unexpected Results

As explained in the Mosher Declaration, the claimed stable enalapril liquid formulations are dramatically much more stable than the extemporaneous enalapril preparations of Nahata and the reconstituted enalapril formulations of the '747 patent. In the Mosher Declaration, Mosher plotted graphically, with linear regression of the data for extrapolation of the stability data published by Nahata et al. and the '747 patent, as well as corresponding E7 and E8 enalapril formulations, which are similar to or within the instant claims.

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As evidenced by the above graphs, the E7 formulation demonstrates no loss of enalapril for at least 12 months at 5 °C and about 100 days at 25 °C. The E8 formulation, which has only one data point, is expected to trend similarly. These results drastically contrast with the stability or lack thereof in the extemporaneous and reconstituted enalapril preparations where these cases, the enalapril degrades substantially after initial preparation. At about 90-100 days, the extemporaneous preparations are at about 95% of the starting enalapril concentration when

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stored at either 4 °C or 25 °C and the reconstituted formulation degrades after 8 weeks at 25 °C. The unexpected stability results of the E7 and E8 formulations are not taught by Nahata or the '747 patent, and could not have been predicted or contemplated by the cited prior art. Nowhere does the prior art teach or suggest that a combination of enalapril, citric acid, sodium citrate, sodium benzoate, sucralose and water at the recited concentrations and at a pH of less than about 3.5 at the claimed concentrations would have resulted in such a dramatic stabilization of enalapril. Accordingly, Applicant has submitted evidence supporting the unexpected technical results achieved by the claimed stable enalapril liquid formulations which rebut any presumption of *prima facie* obviousness.

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CONCLUSION

Applicant submits that this response fully addresses the Office Action mailed

January 17, 2017. Applicant believes that for the reasons set forth herein, the pending claims are
in condition for allowance and early and favorable consideration is respectfully requested.

In the event that any fees are required in connection with this submission, the Commissioner is hereby authorized to charge any fees that may be required, or credit any overpayment to Deposit Account No. 23-2415 (Attorney Docket No. 43060-707.201).

Should the Examiner have any questions, the Examiner is encouraged to telephone the undersigned at (858) 350-2318.

Respectfully submitted.

WILSON SONSINI GOODRICH & ROSATI Professional Corporation

Date: February 3, 2017

By: /Clark Lin /

Clark Y. Lin, Ph.D., Esq. Reg. No. 67,024

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: Art Unit: 1629

Inventors: Gerold L. Mosher, et al. Examiner: Stephanie K. Springer

Serial No.: 15/081,603 Confirmation No.: 3892

Filed: March 25, 2016 Customer No.: 021971

Title: ENALAPRIL FORMULATIONS

Mail Stop Amendment Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

DECLARATION OF GEROLD MOSHER UNDER 37 C.F.R. § 1.132

I, Gerold Mosher, do hereby declare as follows:

- I am currently employed at Silvergate Pharmaceuticals, Inc.
- I received my Bachelor's degree in Pharmacy from the University of Kansas in
 I also received a Master and a Doctor of Philosophy in Pharmaceutical Chemistry in 1984
 and 1986, respectively, from the University of Kansas.
- 3. I have been employed at Silvergate Pharmaceuticals since 2013, as Vice President of Drug Development. As part of my job duties, I develop oral solutions for pediatric use. I have a small laboratory where I develop, characterize and move formulations through the steps required for FDA approval and eventual sale.
- 4. Early in my career, I practiced pharmacy for two years from 1979 to 1981.

 Subsequently, I worked in large pharmaceutical companies (Eli Lilly and Merck) for about ten years where I focused primarily on pre-formulation and early phase formulations of new drug products. After leaving these companies and prior to Silvergate Pharmaceuticals, I have also

been employed by small startup companies to develop new solubilizing technology for oral, injectable and inhalation formulations.

- In total, I have been in the field of pharmaceutical chemistry for almost 38 years.
 and have extensive experience in developing pharmaceutical formulations. My Curriculum Vitae is attached as Exhibit A.
- 6. I am familiar with the subject matter claimed in patent application 15/081,603, and am a named inventor on this application. Silvergate Pharmaceuticals is also the Assignee of the '603 application.
- 7. I am aware of the Non-Final Office Action mailed in this matter on January 17, 2017 I am also aware that the oral enalapril liquid formulation claims stand rejected under 35 U.S.C. § 103 as allegedly being unpatentable over US 8,568,747, Nahata et al. (Stability of Enalapril Maleate in three Extemporaneously Prepared Oral Liquids) ("Nahata"), Product Information of Bicitra (Sodium Citrate and Citric Acid Oral Solution) ("Bicitra"), Product Information of Ora-Sweet ("Ora-sweet"), and Rippley at al. (Pharmacokinetics Assessment of an Oral Enalapril Suspension for Use in Children) ("Rippley"). Thave reviewed these cited references in the Non-Final Office Action.
- I am submitting this declaration to address the comments made in the Office
 Action.
- 9 The '603 application relates to enalapril oral liquid formulations that are stable for least 12 months at 5±3 °C. The present oral liquid formulations contain enalapril, sucralose, a citric acid buffer, sodium benzoate and water at a pH of less than 3.5. Development of this described enalapril formulation was oriented on preparing a safe, stable, soluble oral liquid with minimal degradation and having acceptable taste for pediatric patients.
- 10. The currently approved methods of delivering enalapril to pediatric patients requires (1) administering a solid enalapril tablet or portion thereof to the patient, (2) extemporaneously preparing an oral liquid suspension from enalapril tablets and a diluent, such as the method described in "Nahata" and subsequently administering the suspension to the

patient, or (3) reconstituting a powder in a liquid carrier, such as the described enalapril powder in US 8,568,747

- All of these methods are undesirable and have limitations. For tablets, it is well known that children have difficulty in swallowing oral dosage forms. For the second method, extemporaneously prepared oral liquids present additional challenges and issues with respect to dosing accuracy and stability, as well as can introduce compounding errors and cross-contamination. Similarly, reconstituting powders into a liquid carrier also requires an extra step and could introduce variability, solubility and contamination issues during the reconstitution.
- As compared to these currently available methods, the enalapril oral liquid formulations claimed in the '603 application provides several advantages:
 - Improved ease of administration. It is easier for many patients to swallow a liquid than to swallow a tablet,
 - Patient Compliance Patients are more likely to take a dose that is not difficult to swallow, or difficult to prepare,
 - Accuracy of dosing. The prescribing information for enalapril tablets provides dosing guidelines based on the weight of the child. When one only has fixed 2.5. 5 or 10 mg tablets available, it is difficult if not impossible to break the tablets in such a way to get an exact dose if the dose is something other than the tablet strength. In addition, if tablets are compounded into a suspension, the tablets are crushed in a mortar and then mixed with a liquid. There is no guarantee that the drug dissolves in, or is dispersed evenly in the liquid (thus leading to potential dosing errors. Moreover, there is always the chance of contamination of the resulting liquid by residual drugs or substances in the mortar. Similarly, in reconstitutable powders, there is also no guarantee that the powder dissolves or disperses evenly in the diluent.
- 13 It should be appreciated that the oral enalapril liquid formulations of the present claims are stable at 5±3 °C for 12 months or longer with minimal degradation. The stability is an important aspect of the present formulations. It contributes to the consistency and uniformity of the formulations as well as allows for accuracy of dosing to patients.

14. Evidence of this stability is found in exemplary formulations E7 and E8 which show minimal degradation as compared to current formulations. In this study, exemplary formulations E7 and E8 were stored at either refrigerated condition (5 °C) or at ambient condition (25 °C). Formulations details for E7 and E8 are as follows:

Formulations		
Component	E7	E8
Enalapril maleate	1.00	1.00
Citric acid anhydrous	1.80	1.82
Sodium citrate anhydrous	0.16	0.15
Sodium benzoate	1.00	1.00
Sucralose	0.70	0.70
Mixed berry flavor	0,50	0.50
Water	qs	qs
pH (measured)	3.3	3.3

- 15. In my review of the references cited in the Office Action, none of the references describe this stability of at least 12 months at 5±3 °C or any means of achieving this stability for enalapril formulations.
- 16. I have reviewed Nahata which describes the extemporaneous preparation of oral liquid enalapril formulations by crushing enalapril tablets with a mortar and pestle and suspending the resulting ground tablets in water, citrate buffer, or Ora-Plus/Ora-Sweet. On stability, Nahata states that the "compounded oral liquids [were] stable for 91 days at 4 and 25 °C" defining stable as "concentration after storage was ≥90% of the initial concentration. Table 1 of Nahata shows that the enalapril extemporaneous formulations exhibited about 5% loss of enalapril after about 56 days at 4 °C and about 5% loss of enalapril after about 91 days at 25 °C.
- 17. I have also reviewed US 8,568,747 which describes an oral liquid enalapril formulation obtained by reconstituting an enalapril powder in a liquid. The table in example 6 of US 8,568,747 shows that the resulting oral liquid formulation exhibited about 5% loss of enalapril after about 8 weeks at 25 °C.
- 18. I additionally reviewed Bicitra, Ora-sweet, and Rippley and they do not provide any stability of enalapril formulations whatsoever.

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19. To compare the stability of the enalapril extemporaneous preparations as described in Nahata and the reconstituted liquid formulation of US 8,568,747, I submit the following data which depicts the enalapril content of formulations E7 at 5°C and 25 °C and E8 at 5 °C in Table A and Table B:

Table A: Enalapril content in formulations after storage at 5 °C1

		Nahata			
Days	water	Citrate Buffer pH 5.0	1:1 Ora- Plus/Ora- Sweet	E7	E8
0	100	100	100	100	100
7	98.6	98.7	99.4		
14	98,1	99.1	98,6		
28	97.6	98.7	98.4		
40				100.9	
42	97.1	98.5	97.9		100.3
56	96,5	97.3	96,9		
70	95.2	96.3	96 1		
91	94.8	95.9	95.8		
99				104.7	
205		1		101,1	
290				101.0	
383				99.7	
581				99.1	

Table B: Enalapril content in formulations after storage at 25 °C

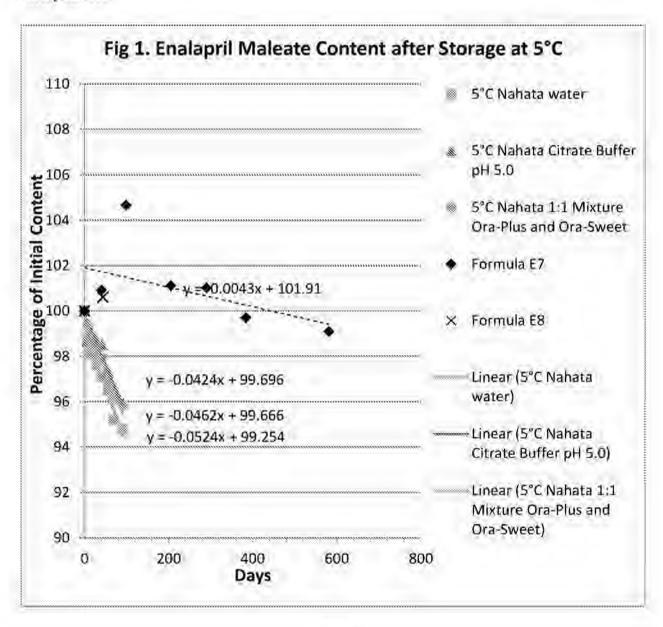
		Nahata		US 8,568,747	
Days	water	Citrate Buffer pH 5.0	1;1 Ora- Plus/Ora-Sweet	Example 6	E7
0	100	100	100	100	100
7	98,3	98.2	99.7		
14	96,4	97	98.1	99.4	
28	94.1	95.8	96.2	99.5	
30					100.1
42	92,4	95,3	96.2		
56	90,1	94.9	95.7	97.9	
61					99.8

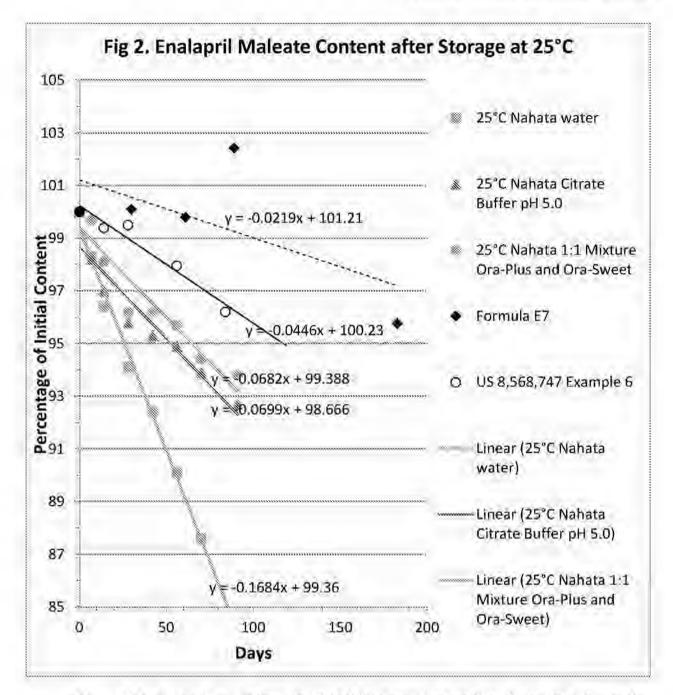
¹ note that US 8,568,747 does not provide stability data of the reconstituted liquid formulation at 5 °C.

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70	87.6	93.9	94.4		
84				96.2	
89					102.4
91	84.1	92.7	93.8		
183					95.8

20. To further describe the contrast in stability, the enalapril concentrations published by Nahata, the US 8,568,747 enalapril concentrations, and the concentrations from E7 and E8 are plotted graphically (Fig. 1: 5 °C and Fig. 2: 25 °C) with linear regression of the data for extrapolation.





21. Table A and Fig. 1 show that E7 exhibits excellent stability for at least 18 months (581 days) at 5 °C with essentially no loss of enalapril content in contrast to the extemporaneous preparations of Nahata (stability is defined as no more than 5% formation of degradants and 5% loss of enalapril). While Nahata does not disclose stability at 5 °C for more than 90 days, the extrapolated lines show that at about 100 days, the extemporaneous preparations are unstable with respect to the enalapril content in the preparation.

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22. Table B and Fig. 2 show that E7 also exhibits better stability for at least 6 months (183 days) at 25 °C in contrast to the Nahata preparations and the reconstituted formulation of US 8,568,747.

- 23. The additional enalapril content data submitted for E7 and E8 shows that the formulations of the present application are significantly more stable, which in my opinion reflects the superior results and advantages, obtained with the oral liquid enalapril formulation of the present claims.
- 24. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under 18 U.S.C. 1001.

Respectfully submitted on this 2nd day of February, 2017

Gerold L. Mosher, Ph.D.

ADDRESSES

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MEMBERSHIPS

American Association of Pharmaceutical Scientists (AAPS) Phi Lambda Upsilon Honorary Chemical Society

Sigma XI

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UNITED STATES PATENT AND TRADEMARK OFFICE

USPTO Automated Interview Request (AIR)

Feb 22 2017

This paper requesting to schedule and/or conduct an interview is appropriate because:

This submission is requested to be accepted as an authorization for this interview to communicate via the internet. Recognizing that Internet communications are not secure, I hereby authorize the USPTO to communicate with the undersigned concerning scheduling of the interview via video conference, instant messaging, or electronic mail, and to conduct the interview in accordance with office practice including video conferencing.

Name(s): Clark Lin

S-signature: /Clark Lin/

Registration Number: 67024

U.S. Application Number: 15081603

Confirmation Number; 3892

E-mail Address: clin@wsgr.com

Phone Number: 8583502318

Proposed Time of Interview: 3-20-2017 11:00 AM ET

Prefered Interview Type: In-person

I am the applicant or applicant's representative for this application:



Attorney Docket No.: 43060-707,201

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Inventors: Gerold L, Mosher, et al.

Serial No.: 15/081,603

Filed: March 03, 2016

Title: ENALAPRIL FORMULATIONS

Group Art Unit: 1629

Confirmation No.; 3892

Examiner: Stephanie K. Springer

Customer No.: 21971

Certificate of Electronic Filing

I hereby certify that the attached response and all marked attachments are being deposited by Electronic Filing on March 22, 2017, by using the EFS – Web patent filing system and addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By: /Keiko A. Masuyama Hicks/
Keiko A. Masuyama Hicks

Mail Stop Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL AMENDMENT IN RESPONSE TO NON-FINAL OFFICE ACTION DATED JANUARY 17, 2017

Commissioner:

This is a supplemental amendment provided pursuant to an interview with the Office on March 20, 2017. This amendment supplements and incorporates the February 3rd response and amendments to the Office's January 17, 2017 Office Action. Applicant respectfully requests amendment of the patent application, and reconsideration and allowance of the pending claims.

Amendments to the Claims begins on page 2.

Remarks begin on page 5.

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Attorney Docket No.: 43060-707.201

Amendments to the Claims

This listing of claims will replace all prior versions, and listings, of claims in this application. The following amendments do not constitute an admission regarding the patentability of the amended subject matter and should not be so construed.

Listing of the Claims:

- 1. (Currently Amended) A stable oral liquid formulation, comprising:
 - (i) about 1 mg/ml enalapril maleate;
 - (ii) about 0.70 mg/ml of a sweetener that is sucralose;
 - (ii) (iii) a buffer comprising about 1.82 mg/ml citric acid and about 0.15 mg/mL sodium citrate dihydrate;
 - (iii) (iv) about 1 mg/ml of a preservative that is sodium benzoate; and
 - (iv) (w) water;
 - wherein the pH of the formulation is less than about 3.5; and
 - wherein the formulation is stable at about 5±3 °C for at least 12 months;
 - wherein the stable oral liquid formulation has about 95% or greater of the initial enalapril amount and about 5% w/w or less total impurities or related substances at the end of the given storage period.
- 2. (Original) The formulation of claim 1, further comprising a flavoring agent.
- 3. (Cancelled).
- 4. (Original) The formulation of claim 1, wherein the pH is between about 3 and about 3.5.
 - 5. (Original) The formulation of claim 4, wherein the pH is about 3.3.
 - (Original) The formulation of claim 1, wherein the citrate concentration in the buffer is about 5 mM to about 20 mM.
- (Original) The formulation of claim 6, wherein the citrate concentration in the huffer is about 10 mM.
- (Original) The formulation of claim 1, wherein the formulation is stable at about 5±3 °C
 for at least 18 months.
 - (Original) The formulation of claim 1, wherein the formulation is stable at about 5±3 °C for at least 24 months.

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- 10. (Original) The formulation of claim 1, wherein the formulation does not contain
- (formulation of claim 1, wherein the formulation does not contain silicon dioxide.
- 12. (Currently Amended) A stable oral liquid formulation, comprising:
 - (i) about 19.3 % (w/w of solids) enalapril maleate:
 - (ii) about 13.5 % (w/w of solids) of a sweetener that is sucralose;
 - (ii) (iii) a buffer comprising about 35.2 % (w/w of solids) citric acid and about 2.9 % (w/w of solids) sodium citrate dihydrate;
 - (iii) (iv) about 19.3 % (w/w of solids) of a preservative that is sodium benzoate; and (iv) (v) water;

wherein the pH of the formulation is less than about 3.5; and wherein the formulation is stable at about 5±3 °C for at least 12 months; wherein the stable oral liquid formulation has about 95% or greater of the initial enalapril amount and about 5% w/w or less total impurities or related substances at the end of the given storage period.

- 13. (Original) The formulation of claim 12, further comprising a flavoring agent.
- 14. (Cancelled).
- 15. (Original) The formulation of claim 12, wherein the pH is between about 3 and about 3.5.
 - 16. (Original) The formulation of claim 15, wherein the pH is about 3.3.
 - 17. (Original) The formulation of claim 12, wherein the citrate concentration in the buffer is about 5 mM to about 20 mM.
 - (Original) The formulation of claim 17, wherein the citrate concentration in the buffer is about 10 mM.
 - 19. (Original) The formulation of claim 12, wherein the formulation is stable at about 5±3 °C for at least 24 months.
 - 20. (Previously Presented) A stable oral liquid formulation, consisting essentially of:
 - (i) about 1 mg/ml enalapril maleate;
 - (ii) about 0.70 mg/ml of a sweetener that is sucralose;
 - (iii) a buffer comprising about 1.82 mg/ml citric acid and about 0.15 mg/ml sodium citrate dihydrate;
 - (iv) about I mg/ml of a preservative that is sodium benzoate;

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Attorney Docket No.: 43060-707.201

- (v) a flavoring agent; and
- (vi) water:

wherein the pH of the formulation is less than about 3.5 adjusted by sodium hydroxide or hydrochloric acid if needed; and

wherein the formulation is stable at about 5±3 °C for at least 12 months;

- wherein the stable oral liquid formulation has about 95% or greater of the initial enalapril amount and about 5% w/w or less total impurities or related substances at the end of the given storage period.
- 21. (New) The stable oral liquid formulation of claim 1, further comprising about 0.70 mg/ml of a sweetener that is sucralose.
- 22. (New) The stable oral liquid formulation of claim 12, further comprising about 13.5 % (w/w of solids) of a sweetener that is sucralose.

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REMARKS

Status of the Claims

Claims 1 and 12 have been amended. Claims 21-22 have been added. Support for the new claims and the amendments is found at least in the original claims and throughout the specification. No new matter is presented by way of the amendments. Upon entry of the proposed amendment, claims 1-2, 4-13 and 15-22 will be under examination.

March 20 Applicant-Initiated Interview Summary

Applicant would like to extend thanks to Examiners Springer and Lundgren for a productive in-person interview on March 20, 2017 with Applicant's representative, Clark Lin and inventor, Gerold Mosher. Applicant and the Examiners discussed the enalapril formulation of the instant claims and its stability properties in comparison to those described in prior art references, Nahata, Rippley and the 8,568,747 patent. It is the Applicant's understanding that the Examiners appreciated the superior stability provided by the components and pH as recited in the claims. It is further Applicant's understanding that Examiner Lundgren suggested moving the sweetener, sucralose, from the independent claims to dependent claims. This reply and supplemental amendment submitted herewith adopts the Examiner's suggestion for the claim amendments.

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CONCLUSION

Applicant submits that this supplemental amendment pursuant to the interview dated March 20, 2017. Applicant believes that for the reasons set forth herein, the pending claims are in condition for allowance and early and favorable consideration is respectfully requested.

In the event that any fees are required in connection with this submission, the Commissioner is hereby authorized to charge any fees that may be required, or credit any overpayment to Deposit Account No. 23-2415 (Attorney Docket No. 43060-707.201).

Should the Examiner have any questions, the Examiner is encouraged to telephone the undersigned at (858) 350-2318.

Respectfully submitted,

WILSON SONSINI GOODRICH & ROSATI Professional Corporation

Attorney Docket No.: 43060-707.201

Date: March 22, 2017

By:/Clark Lin/

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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPAREMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Hoc. 1450 Alexandra, Vriginia 22313-1450 www.mspto.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

WILSON, SONSINI, GOODRICH & ROSATI 650 PAGE MILL ROAD PALO ALTO, CA 94304-1050 SERINGER, STEPHANIE K

ARTINIT PAPER NUMBER

1629

DATE MAILED: 04/19/2017

APPLICATION NO. FILING DATE		FIRST NAMED INVENTOR	ATTORNLY DOCKET NO	CONFIRMATION NO.	
15/081,603	03/25/2016	Gerold L. MOSHER	43060-707,201	3892	

TITLE OF INVENTION: Enalapril Formulations

APPLN TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
lknois/ivorquon	UNDISCOUNTED	\$960	\$0.	-50	5960	07/19/2017

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1,313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

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III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE PEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

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or Fax (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 3 should be completed where appropriate. All further correspondence lackading the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying CURRENT CORRESPONDENCE ADDRESS (Note: Use Block I for any change of address) papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of nailing or transmission. Certificate of Mailing or Transmission 04/19/2017 21971 I hereby certify that this Fec(s) Transmittal is being deposited with the United States Protal Service with safficient postage for first class mail in an eavelope addressed to the Mail Step ISSUE FEE address above, or being facsimale transmitted to the USPTO (571) 273-2885, on the date indicated below. WILSON, SONSINI, GOODRICH & ROSATI 650 PAGE MILL ROAD PALO ALTO, CA 94304-1050 (Depositors name (Signature Daic APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO 15/081.603 03/25/2016 Gerold I. MOSHER 43060-707.201 3997 TITLE OF INVENTION: Enalapril Formulations APPLN. TYPE ENTITY STATUS ISSUE FEE DUE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE DATE DUE UNDISCOUNTED 50 07/19/2017 nonprovisional 5960 EXAMINER ARTUNIT CLASS-SUBCLASS SPRINGER, STEPHANIE K 1629 514-183000 Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list The names of up to 3 registered patent autorneys or agents OR, alternatively. Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. (2) The name of a single form (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47: Rev 03-02 or more recent) attached. Use of a Customer Number is required. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filled for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for fifting an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE; (CTTY and STATE OR COUNTRY) ☐ Individual ☐ Corporation or other private group entity ☐ Government Please check the appropriate assignee category or categories (will not be printed on the patent): 4a. The following fee(s) are submitted: 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) Issue Fee A check is enclosed. D Publication Fee (No small entity discount permitted) Payment by credit eard. Form PTO-2038 is alunched. The director is hereby authorized to charge the required feets), any deficiency or credits any overpayment to Deposit Account Number ________ fenciose an extra copy of this for Advance Order - # of Copies (enclose an extra copy of this form), Change in Entity Status (from status indicated above). NOTE: Absent a valid certification of Micro Entity Status (see forms PTO/SB/15/A and 15B), issue fee payment in the micro entity amount will not be accepted at the risk of application abandonment. Applicant certifying micro entity status. See 37 (FR 1 29) NOTE: If the application was previously under micro entity status, checking this box will be taken to be a notification of loss of entitlement to micro entity status. Applicant asserting small entity status. See 37 CFR 1.27 NOTE: Checking this box will be taken to be a notification of loss of entitlement to small or micro entity status, as applicable. Applicant changing to regular undercounted fee status. NOTE: This form must be signed in accordance with 37 CFR 1.31 and 1.33. See 37 CFR 1.4 for signature requirements and certifications Authorized Signature Date Typed or printed name Registration No.

Page 2 of 3

Appx172

Case 1:20-cv-01256-LPS Document 74-1 Filed 04/05/21 Page 198 of 748 PageID #: 2389



United States Patent and Trademark Office.

UNITED STATES DEPARTMENT OF COMMERCE United States Palent and Trademark Office Address: COMMISSIONER FOR PATTENTS. 1'O Box 1450 Alexandra, Vriginia, 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	AUTORNEY DOCKET NO.	CONFIRMATION NO	
15/081;603 03/25/2016 Gerold L. MC		Gerold L. MOSIER	43060-707,201	3892	
20071 950	04/19/201	EXAMINER			
WILSON, SONSINI, GOODRICH & ROSATI			SPRINGER, STEPHANIE K		
550 PAGE MILL R PALO ALTO, CA 9			ARTTINIT PAPER NUMBE		
			DATE MAILED: 04/19/2017		

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(Applications filed on or after May 29, 2000)

The Office has discontinued providing a Patent Term Adjustment (PTA) calculation with the Notice of Allowance.

Section 1(h)(2) of the AIA Technical Corrections Act amended 35 U.S.C. 154(b)(3)(B)(i) to eliminate the requirement that the Office provide a patent term adjustment determination with the notice of allowance. See Revisions to Patent Term Adjustment, 78 Fed. Reg. 19416, 19417 (Apr. 1, 2013). Therefore, the Office is no longer providing an initial patent term adjustment determination with the notice of allowance. The Office will continue to provide a patent term adjustment determination with the Issue Notification Letter that is mailed to applicant approximately three weeks prior to the issue date of the patent, and will include the patent term adjustment on the patent. Any request for reconsideration of the patent term adjustment determination (or reinstatement of patent term adjustment) should follow the process outlined in 37 CFR 1.705.

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

OMB Clearance and PRA Burden Statement for PTOL-85 Part B

The Paperwork Reduction Act (PRA) of 1995 requires Federal agencies to obtain Office of Management and Budget approval before requesting most types of information from the public. When OMB approves an agency request to collect information from the public, OMB (i) provides a valid OMB Control Number and expiration date for the agency to display on the instrument that will be used to collect the information and (ii) requires the agency to inform the public about the OMB Control Number's legal significance in accordance with 5 CFR 1320.5(b).

The information collected by PTOL-85 Part B is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. Z(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a
 request involving an individual, to whom the record pertains, when the individual has requested assistance
 from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed: as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes
 of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C.
 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator. General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

	Application No. 15/081,603	Applicant(s) MOSHER ET AL		
Notice of Allowability	Examiner STEPHANIE SPRINGER	Art Unit 1629	AIA (First Inventor to File) Status Yes	
The MAILING DATE of this communication app All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85 NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT F of the Office or upon petition by the applicant. See 37 CFR 1.31	S (OR REMAINS) CLOSED in this i) or other appropriate communical RIGHTS. This application is subject	application. If ne tion will be maile	ot included d in due course, THIS	
1. Mail This communication is responsive to Supplemental amend A declaration(s)/affidavit(s) under 37 CFR 1.130(b) was				
 An election was made by the applicant in response to a re- requirement and election have been incorporated into this a 		ng the interview of	on; the restriction	
 The allowed claim(s) is/are 1.2.4-13 and 15-22. As a result Prosecution Highway program at a participating intellectu please see http://www.uspto.gov/patents/init_events/pph/in 	al property office for the correspon	nding application	. For more information.	
4.	re been received. re been received in Application No ocuments have been received in the second of this communication to file a remember of this application. st be submitted. r's Amendment / Comment or in the first the header according to 37 CFR 1.1 BIOLOGICAL MATERIAL must be	nis national stage ply complying wi e Office action o awings in the fron 21(d),	th the requirements f I (not the back) of	
Attachment(s) 1. ☐ Notice of References Cited (PTO-892) 2. ☒ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date 2 pgs; 3 pgs 3. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material 4. ☒ Interview Summary (PTO-413), Paper No./Mail Date 20 March 2017.	5. ☐ Examiner's Ame 6. ☐ Examiner's Stat	endment/Comme		
/STEPHANIE SPRINGER/ Examiner, Art Unit 1629	/JEFFREY S. LUNI Supervisory Patent		Unit 1629	
U.S. Patent and Trademark Office		, e	The set of the set	

PTOL-37 (Rev. 08-13) 20170323

Notice of Allowability

Part of Paper No./Mail Date

	Application No. 15/081,603	Applicant(s) MOSHER ET AL.				
Applicant-Initiated Interview Summary	Examiner STEPHANIE SPRINGER	Art Unit 1629	AIA (First Inventor to File) Status Yes	Page 1 of 2		

All participants (applicant, applicant's representative, PTO personnel):

STEPHANIE SPRINGER (Examiner): Telephonic

2. Jeff Lundgren (SPE); In-Person

Clark Lin (Attorney); In-Person

4. Gerold Mosher (Inventor); In-Person

Date of Interview: 20 March 2017

Claim(s) discussed: 1, 12, 20

Identification of prior art discussed: '747, Rippley, Nahata

Amendment Proposed: Examiners suggested removing limitations directed towards the use of sucraiose as the sweetener

Brief Description of main topic of discussion: Discussed claim amendments and declaration filed 3 February 2017.

Issues Discussed:

Item(s) under 35 U.S.C. 112:

Examiners agreed the claim amendments overcome the 112, 2nd rejection of record

Item(s) under 35 U.S.C. 103:

It was mutually agreed that '747 is the closest prior art. The teachings of the prior art as a whole would not reasonably suggest that the instantly claimed composition would provide a stable solution at the recited pH over the recited timeframe.

JEFFREY S. LUNDGREN/ Supervisory Patent Examiner, Art Unit 1629

Applicant recordation instructions: The formal written reply to the last Office action must include the substance of the interview. (See MPEP section 713.04). If a reply to the last Office action has already been filled, applicant is given a non-extendable time limit of the longer of one month or thirty days from this interview date, or the mailing date of this interview summary form, whichever is later, to file a statement of the substance of the interview.

Examiner recordation instructions: Examiners must summarize the substance of any interview of record. A complete and proper recordation of the substance of an interview should include the items listed in MPEP 713.04 for complete and proper recordation including the identification of the general thrust of each argument or issue discussed, a general indication of any other pertinent matters discussed regarding patentability and the general results or outcome of the interview, to include an indication as to whether or not agreement was reached on the issues raised.

Applicant is reminded that a complete written statement as to the substance of the interview must be made of record in the application file. It is the applicant's responsibility to provide the written statement, unless the interview was initiated by the Examiner and the Examiner has indicated that a written summary will be provided. See MPEP 713.04

Please further see:

MPEP 713.04

Title 37 Code of Federal Regulations (CFR) § 1.193 Interviews, paragraph (b) 37 CFR § 1.2 Business to be transacted in writing

U.S. Palent and Trademark Office.

Application No. 15/081,603 Page 2 of 2

PTOL-413/413b (Rev. 01/01/2015)

Interview Summary

Paper No. 20170323

Case 1:20-cv-01256-LPS Document 74-1 Filed 04/05/21 Page 203 of 748 PageID #: 2394

PART B - FEE(S) TRANSMITTAL Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 (571)-273-2885 or Eax INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks I through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block I, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications. Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) Certificate of Mailing or Transmission I hereby certify that this Fee(s) Transmitted is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below. 04/19/2017 21971 WILSON, SONSINI, GOODRICH & ROSATI 650 PAGE MILL ROAD PALO ALTO, CA 94304-1050 (Depositor's name (Signature Date FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. FILING DATE APPLICATION NO. 43060-707:201 3892 Gerold L. MOSHER 03/25/2016 15/081,603 TITLE OF INVENTION: Englapril Formulations DATEDUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE PUBLICATION FEE DUE ISSUE FEE DUE ENTITY STATUS APPLN. TYPE \$960 07/19/2017 50 50 UNDISCOUNTED \$960 nonprovisional CLASS-SUBCLASS ART UNIT EXAMINER 1629 514-183000 SPRINGER, STEPHANIE K Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list Wilson Sonsini Goodrich & Rosali (1) The names of up to 3 registered patent attorneys or agents OR, alternatively, Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. (2) The name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. "Free Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignce is identified below, no assignce data will appear on the patent. If an assignce is identified below, the document has been filed for recordnition as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (B) RESIDENCE: (CITY and STATE OR COUNTRY) (A) NAME OF ASSIGNEE Greenwood Village, CO 80111 Silvergate Pharmaceuticals, Inc. Please check the appropriate assignee category or categories (will not be printed on the patent): 🔲 Individual 🗵 Corporation or other private group entity 🚨 Government 4b. Payment of Fce(s): (Please first reapply any previously paid issue fee shown above) 4a. The following fee(s) are submitted: A check is enclosed. A Issue Fee Payment by credit card. Form PTO-2038 is attached. Publication Fee (No small entity discount permitted) The director is hereby authorized to charge the required fee(s), any deficiency, or credits any overpayment, to Deposit Account Number 232415 (enclose an extra cupy of this form). Advance Order - # of Copies Change in Entity Status (from status indicated above) NOTE: Absent a valid certification of Micro Entity Status (see forms PTO/SB/15A and 15B), issue fee payment in the micro entity amount will not be accepted at the risk of application abandonment. Applicant certifying micro entity status. See 37 CFR 1.29 NOTE: If the application was previously under micro entity status, checking this box will be taken to be a polification of loss of entitlement to micro entity status. Applicant asserting small entity status. See 37 CFR 1.27 NOTE: Checking this box will be taken to be a notification of loss of entitlement to small or micro entity status, as applicable. Applicant changing to regular undiscounted fee status. NOTE: This form must be signed in accordance with 37 CFR 1.31 and 1.33. See 37 CFR 1.4 for signature requirements and certifications

Anthorized Stenature

Date

Typed or primed name Clark LIN

Registration No.

67024

Page 2 of 3

PTOL-35 Part B (10-13) Approved for use through 10/31/2013.

OMB 0651-0033

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1430.
Alexandria, Virginia 2251-1450.
Www.septo.go.

APPLICATION NO.	ISSUE DATE	PATENT NO.	ATTORNEY DOCKET NO.	CONFIRMATION NO
15/081-603	06/06/2017	9669008	43060-707-201	3807

21971

75011

05/17/2017

WILSON, SONSINI, GOODRICH & ROSATI 650 PAGE MILL ROAD PALO ALTO, CA 94304-1050

ISSUE NOTIFICATION

The projected patent number and issue date are specified above.

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 0 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

Gerold L. MOSHER, Kausas City, MO; Silvergate Pharmaceuticals, Inc., Greenwood Village, CO; David W. MILES, Kansas City, MO;

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The USA offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to encourage and facilitate business investment. To learn more about why the USA is the best country in the world to develop technology, manufacture products, and grow your business, visit <u>SelectUSA.gov</u>.

IR 103 (Rev. 10/09)

PTO/AIA/15 (10-17)

Approved for use through 11/30/2020. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number. 43060-707.305 Attorney Docket No. UTILITY Gerold L. MOSHER First Named Inventor PATENT APPLICATION Title ENALAPRIL FORMULATIONS TRANSMITTAL Priority Mail Express® Filed Electronically via EFS-Web on January 8, 2019 (Only for new nonprovisional applications under 37 CFR 1.53(b)) abel No **Commissioner for Patents** APPLICATION ELEMENTS ADDRESS TO: P.O. Box 1450 See MPEP chapter 600 concerning utility patent application contents. Alexandria, VA 22313-1450 Fee Transmittal Form **ACCOMPANYING APPLICATION PAPERS** (PTO/SB/17 or equivalent) Assignment Papers Applicant asserts small entity status. (cover sheet & document(s)) See 37 CFR 1 27 Name of Assignee Applicant certifies micro entity status. See 37 CFR 1.29. Applicant must attach form PTO/SB/15A or B or equivalent. [Total Pages 52 37 CFR 3.73(c) Statement Power of Attorney Specification Both the claims and abstract must start on a new page. (when there is an assignee) (See MPEP § 608.01(a) for information on the preferred arrangement) **English Translation Document** 5. / Drawing(s) (35 U.S.C. 113) [Total Sheets 2 (if applicable) Total Pages 2 Information Disclosure Statement 6. Inventor's Oath or Declaration 13. (including substitute statements under 37 CFR 1.64 and assignments (PTO/SB/08 or PTO-1449) serving as an oath or declaration under 37 CFR 1.63(e)) Copies of citations attached Newly executed (original or copy) **Preliminary Amendment** A copy from a prior application (37 CFR 1.63(d)) **Return Receipt Postcard** 7. Application Data Sheet * See note below. (MPEP § 503) (Should be specifically itemized) See 37 CFR 1.76 (PTO/AIA/14 or equivalent) Certified Copy of Priority Document(s) CD-ROM or CD-R (if foreign priority is claimed) in duplicate, large table, or Computer Program (Appendix) Nonpublication Request Landscape Table on CD Under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or equivalent. 9. Nucleotide and/or Amino Acid Sequence Submission 18. Other: Certification and Request for Prioritized (if applicable, items a. - c. are required) Examination Under 37 CFR 1.102(e) - 1 pp. Computer Readable Form (CRF) Specification Sequence Listing on: CD-ROM or CD-R (2 copies); or Paper Statements verifying identity of above copies *Note: (1) Benefit claims under 37 CFR 1.78 and foreign priority claims under 1.55 must be included in an Application Data Sheet (ADS). (2) For applications filed under 35 U.S.C. 111, the application must contain an ADS specifying the applicant if the applicant is an assignee, person to whom the inventor is under an obligation to assign, or person who otherwise shows sufficient proprietary interest in the matter. See 37 CFR 1.46(b) 19. CORRESPONDENCE ADDRESS ✓ The address associated with Customer Number: 21971 OR Correspondence address below Name Address City State Zip Code Country Telephone Email

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date

Registration No.

/Clark Lin/

Clark Y. Lin

Signature

Name

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

January 8, 2019

67024

Doc Code: TRACK1.REQ

First Named Inventor:
Title of

Invention:

Document Description: TrackOne Request

PTO/AIA/424 (04-14)

C	ERTIFICATION AND REQUES UNDER 37 CFI	ST FOR PRIORITIZED EXAM R 1.102(e) (Page 1 of 1)	INATION				
00000000	Gerold L. MOSHER	Nonprovisional Application Number (if known):					
.0000000	ENALAPRIL FORMULATIONS						

APPLICANT HEREBY CERTIFIES THE FOLLOWING AND REQUESTS PRIORITIZED EXAMINATION FOR THE ABOVE-IDENTIFIED APPLICATION.

- 1. The processing fee set forth in 37 CFR 1.17(i)(1) and the prioritized examination fee set forth in 37 CFR 1.17(c) have been filed with the request. The publication fee requirement is met because that fee, set forth in 37 CFR 1.18(d), is currently \$0. The basic filing fee, search fee, and examination fee are filed with the request or have been already been paid. I understand that any required excess claims fees or application size fee must be paid for the application.
- 2. I understand that the application may not contain, or be amended to contain, more than four independent claims, more than thirty total claims, or any multiple dependent claims, and that any request for an extension of time will cause an outstanding Track I request to be dismissed.
- 3. The applicable box is checked below:
 - I. V Original Application (Track One) Prioritized Examination under § 1.102(e)(1)
- i. (a) The application is an original nonprovisional utility application filed under 35 U.S.C. 111(a).
 This certification and request is being filed with the utility application via EFS-Web.
 - (b) The application is an original nonprovisional plant application filed under 35 U.S.C. 111(a). This certification and request is being filed with the plant application in paper.
- ii. An executed inventor's oath or declaration under 37 CFR 1.63 or 37 CFR 1.64 for each inventor, **or** the application data sheet meeting the conditions specified in 37 CFR 1.53(f)(3)(i) is filed with the application.
 - II. Request for Continued Examination Prioritized Examination under § 1.102(e)(2)
- i. A request for continued examination has been filed with, or prior to, this form.
- ii. If the application is a utility application, this certification and request is being filed via EFS-Web.
- iii. The application is an original nonprovisional utility application filed under 35 U.S.C. 111(a), or is a national stage entry under 35 U.S.C. 371.
- iv. This certification and request is being filed prior to the mailing of a first Office action responsive to the request for continued examination.
- v. No prior request for continued examination has been granted prioritized examination status under 37 CFR 1.102(e)(2).

Signature / Clark Lin/	_{Date} January 8, 2019
Name (Print/Typed) Clark Y. Lin	Practitioner Registration Number
<u>Note</u> : This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4(d) for Submit multiple forms if more than one signature is required.*	or signature requirements and certifications.
✓ *Total of _1 forms are submitted.	

CLAIMS

WHAT IS CLAIMED IS:

- 1. A stable oral liquid formulation, comprising:
 - (i) about 0.6 to about 1.2 mg/ml enalapril or a pharmaceutically acceptable salt or solvate thereof;
 - (ii) a buffer to maintain the pH about 4.5 or below;
 - (iii) about 1 mg/ml of a preservative that is sodium benzoate; and
 - (iv) water;

wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 12 months; and wherein the stable oral liquid formulation has about 95% w/w or greater of the initial enalapril amount and about 5% w/w or less total impurity or related substances at the end of the given storage period.

- 2. The stable oral liquid formulation of claim 1 further comprising a sweetener.
- 3. The stable oral liquid formulation of claim 2, wherein the sweetener is sucralose.
- 4. The stable oral liquid formulation of claim 1 further comprising a flavoring agent.
- 5. The stable oral liquid formulation of claim 1, wherein the buffer comprises a citrate, a phosphate, a citrate/phosphate, an acetate, or a tartrate buffer.
- 6. The stable oral liquid formulation of claim 1, wherein the buffer comprises citric acid and sodium citrate.
- 7. The stable oral liquid formulation of claim 6, wherein the citric acid and/or the sodium citrate is anhydrous, monohydrate or dihydrate.
- 8. The stable oral liquid formulation of claim 1, wherein the buffer comprises phosphoric acid and sodium phosphate.
- 9. The stable oral liquid formulation of claim 1, wherein the buffer concentration is about 5 mM to about 20 mM.
- 10. The stable oral liquid formulation of claim 1, wherein the buffer maintains the pH between about 3 and about 4.

- 11. The stable oral liquid formulation of claim 1, wherein the buffer maintains the pH at about 3.3.
- 12. The stable oral liquid formulation of claim 1, wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 18 months.
- 13. The stable oral liquid formulation of claim 1, wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 24 months.
- 14. A stable oral liquid formulation, consisting essentially of:
 - (i) about 0.6 to about 1.2 mg/ml enalapril or a pharmaceutically acceptable salt or solvate thereof;
 - (ii) a buffer to maintain the pH about 4.5 or below;
 - (iii) about 1 mg/ml of a preservative that is sodium benzoate; and
 - (iv) water;

wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 12 months; and wherein the stable oral liquid formulation has about 95% w/w or greater of the initial enalapril amount and about 5% w/w or less total impurity or related substances at the end of the given storage period.

- 15. A stable oral liquid formulation, comprising:
 - (i) about 10% to about 25% (w/w of solids) enalapril or a pharmaceutically acceptable salt or solvate thereof;
 - (ii) a buffer to maintain the pH about 4.5 or below;
 - (iii) about 19.3% (w/w of solids) of a preservative that is sodium benzoate; and
 - (iv) water;

wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 12 months; and wherein the stable oral liquid formulation has about 95% w/w or greater of the initial enalapril amount and about 5% w/w or less total impurity or related substances at the end of the given storage period.

- 16. The stable oral liquid formulation of claim 15 further comprising a sweetener.
- 17. The stable oral liquid formulation of claim 16, wherein the sweetener is sucralose.

- 18. The stable oral liquid formulation of claim 15 further comprising a flavoring agent.
- 19. The stable oral liquid formulation of claim 15, wherein the buffer comprises a citrate, a phosphate, a citrate/phosphate, an acetate, or a tartrate buffer.
- 20. The stable oral liquid formulation of claim 15, wherein the buffer comprises citric acid and sodium citrate.
- 21. The stable oral liquid formulation of claim 20, wherein the citric acid and/or the sodium citrate is anhydrous, monohydrate or dihydrate.
- 22. The stable oral liquid formulation of claim 15, wherein the buffer comprises citric acid and sodium citrate.
- 23. The stable oral liquid formulation of claim 15, wherein the buffer comprises phosphoric acid and sodium phosphate.
- 24. The stable oral liquid formulation of claim 15, wherein the buffer concentration is about 5 mM to about 20 mM.
- 25. The stable oral liquid formulation of claim 15, wherein the buffer maintains the pH between about 3 and about 3.5.
- 26. The stable oral liquid formulation of claim 15, wherein the buffer maintains the pH at about 3.3.
- 27. The stable oral liquid formulation of claim 15, wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 18 months.
- 28. The stable oral liquid formulation of claim 15, wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 24 months.
- 29. A stable oral liquid formulation, comprising:
 - (i) about 0.6 to about 1.2 mg/ml enalapril or a pharmaceutically acceptable salt or solvate thereof;
 - (ii) a citrate buffer to maintain the pH about 4.5 or below comprising citric acid and sodium citrate;
 - (iii) about 1 mg/ml of a preservative that is sodium benzoate; and

(iv) water;

wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 12 months; and wherein the stable oral liquid formulation has about 95% w/w or greater of the initial enalapril amount and about 5% w/w or less total impurity or related substances at the end of the given storage period.

30. The stable oral liquid formulation of claim 29, wherein the citric acid and/or the sodium citrate is anhydrous, monohydrate or dihydrate.

ENALAPRIL FORMULATIONS

ABSTRACT OF THE DISCLOSURE

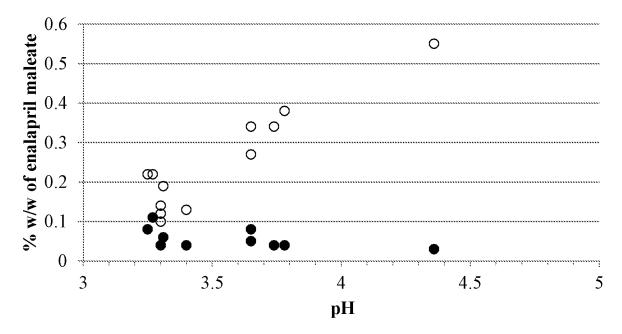
Provided herein are stable enalapril oral liquid formulations. Also provided herein are methods of using enalapril oral liquid formulations for the treatment of certain diseases including hypertension, heart failure and asymptomatic left ventricular dysfunction.

WSGR Docket No. 43060-707.305

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FIG. 1

• Enalapril diketopiperazine; O Enalaprilat

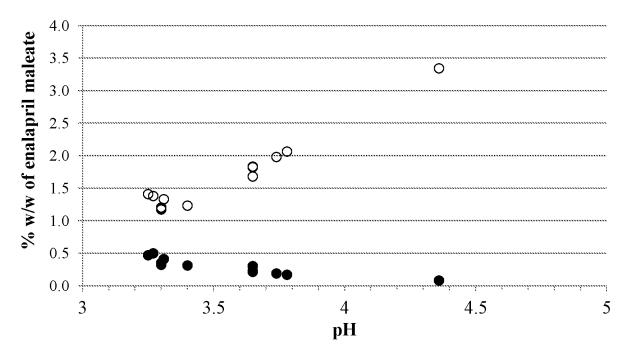


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FIG. 2

• Enalapril diketopiperazine; O Enalaprilat



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Application Data Sheet 37 CFR 1.76		Attorney Docket Number	43060-707.305				
		Application Number					
Title of Invention ENALAPRIL FORMULATIONS							
bibliographic data arrar This document may be	The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76. This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.						

Secrecy Order 37 CFR 5.2:

Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to
☐ 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)

Inventor Information:

Inventor 1							R	emove]		
Legal Name											
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Gerole	d		L.			MOSHE	R			1	•
Residence I	nformation (Select One)	US Residency	US Residency Non US Residency Active US Military Se					ilitary Servic	e	
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Legal Name	1						<u> </u>		<u>-</u>		
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▼ David			W.			MILES				Ī	-
Residence I	nformation (Select One)	US Residency		Non US R	esidency	Activ	e US Mi	ilitary Servic	e	
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Enter either Customer Number or complete the Correspondence Information section below. For further information see 37 CFR 1.33(a).

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Application Data S	Shee	et 37 CFR 1.76 \vdash		-	Application Number				
Title of Invention EN	ALAF	PRIL FORM	IULATION						
☐ An Address is bei	ng p	rovided fo	or the co	rresponden	ce Information	of this	s application.		
Customer Number		21971							
Email Address		patentdock	atentdocket@wsgr.com Add Email Remove Ema						
Application Information:									
Title of the Invention		ENALAPR	RIL FORM	ULATIONS					
Attorney Docket Num	ber	43060-707	7.305		Small Ent	tity Sta	atus Claimed 🗌		
Application Type		Nonprovis	ional						
Subject Matter		Utility							
Total Number of Draw	ing (Sheets (if	any)	2	Suggest	ed Fig	jure for Publication (if any)		
Filing By Refere	nce	:			·				
Only complete this section when filing an application by reference under 35 U.S.C. 111(c) and 37 CFR 1.57(a). Do not complete this section if application papers including a specification and any drawings are being filed. Any domestic benefit or foreign priority information must be provided in the appropriate section(s) below (i.e., "Domestic Benefit/National Stage Information" and "Foreign Priority Information"). For the purposes of a filing date under 37 CFR 1.53(b), the description and any drawings of the present application are replaced by this reference to the previously filed application, subject to conditions and requirements of 37 CFR 1.57(a). Application number of the previously filed application Filing date (YYYY-MM-DD) Intellectual Property Authority or Country filed application									
Publication Info	rm	ation:	<u> </u>				L		
Request Early Pub	licat	ion (Fee re	equired a	t time of Rec	uest 37 CFR 1.2	219)			
35 U.S.C. 122(b) a	nd c catio	ertify that n filed in a	the inve	ntion disclose	ed in the attache	d appl	cation not be published under lication has not and will not be the national agreement, that requires		
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Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Either enter Customer Number or complete the Representative Name section below. If both sections are completed the customer Number will be used for the Representative Information during processing.									
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Application Da	ita Shoot 37 CED 1 76	Attorney Docket Number	43060-707.305
Application Data Sheet 37 CFR 1.76		Application Number	
Title of Invention	ENALAPRIL FORMULATION	3	

Domestic Benefit/National Stage Information:

This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, 365(c), or 386(c) or indicate National Stage entry from a PCT application. Providing benefit claim information in the Application Data Sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78. When referring to the current application, please leave the "Application Number" field blank.												
Prior Application Status Pending		Pending	~		ſ				Remove			
Application Number		Continuity Type			Prior Application Number			iling or 371(c) Date (YYYY-MM-DD)				
		Continuation of				16/177159 2018-4			0-31			
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16/177159	Continuat	ion of	1	16/003994		2018-06-08	10154987			2018-12-18		
Prior Application Status Patented			▼	ſ		Remove						
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15/081603 Claims benefit of provisiona			of provisional		52/310198 2016-03-18							
Additional Domestic Benefit/National Stage Data may be generated within this form by selecting the Add button.												

Foreign Priority Information:

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Application Data Sheet 37 CFR 1.76		Attorney Docket Number	43060-707.305
		Application Number	
Title of Invention	ENALAPRIL FORMULATION		

This section allows for the applicant to claim priority to a foreign application. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55. When priority is claimed to a foreign application that is eligible for retrieval under the priority document exchange program (PDX)¹ the information will be used by the Office to automatically attempt retrieval pursuant to 37 CFR 1.55(i)(1) and (2). Under the PDX program, applicant bears the ultimate responsibility for ensuring that a copy of the foreign application is received by the Office from the participating foreign intellectual property office, or a certified copy of the foreign priority application is filed, within the time period specified in 37 CFR 1.55(g)(1).

			Remove
Application Number	Country ⁱ	Filing Date (YYYY-MM-DD)	Access Code ⁱ (if applicable)
Additional Foreign Priority Add button.	Data may be generated wit	hin this form by selecting the	Add

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications

This application (1) claims priority to or the benefit of an application filed before March 16, 2013 and (2) also
contains, or contained at any time, a claim to a claimed invention that has an effective filing date on or after March
16, 2013.
NOTE: By providing this statement under 37 CFR 1.55 or 1.78, this application, with a filing date on or after March
16, 2013, will be examined under the first inventor to file provisions of the AIA.

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Application Da	ata Sheet 37 CED 1 76	Attorney Docket Number	43060-707.305
Application Data Sheet 37 CFR 1.76		Application Number	
Title of Invention	ENALAPRIL FORMULATIONS	5	

Authorization or Opt-Out of Authorization to Permit Access:

When this Application Data Sheet is properly signed and filed with the application, applicant has provided written authority to permit a participating foreign intellectual property (IP) office access to the instant application-as-filed (see paragraph A in subsection 1 below) and the European Patent Office (EPO) access to any search results from the instant application (see paragraph B in subsection 1 below).

Should applicant choose not to provide an authorization identified in subsection 1 below, applicant <u>must opt-out</u> of the authorization by checking the corresponding box A or B or both in subsection 2 below.

NOTE: This section of the Application Data Sheet is **ONLY** reviewed and processed with the **INITIAL** filing of an application. After the initial filing of an application, an Application Data Sheet cannot be used to provide or rescind authorization for access by a foreign IP office(s). Instead, Form PTO/SB/39 or PTO/SB/69 must be used as appropriate.

- Authorization to Permit Access by a Foreign Intellectual Property Office(s)
- A. <u>Priority Document Exchange (PDX)</u> Unless box A in subsection 2 (opt-out of authorization) is checked, the undersigned hereby <u>grants the USPTO authority</u> to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the State Intellectual Property Office of the People's Republic of China (SIPO), the World Intellectual Property Organization (WIPO), and any other foreign intellectual property office participating with the USPTO in a bilateral or multilateral priority document exchange agreement in which a foreign application claiming priority to the instant patent application is filed, access to: (1) the instant patent application-as-filed and its related bibliographic data, (2) any foreign or domestic application to which priority or benefit is claimed by the instant application and its related bibliographic data, and (3) the date of filing of this Authorization. See 37 CFR 1.14(h) (1).
- B. <u>Search Results from U.S. Application to EPO</u> Unless box B in subsection 2 (opt-out of authorization) is checked, the undersigned hereby <u>grants the USPTO authority</u> to provide the EPO access to the bibliographic data and search results from the instant patent application when a European patent application claiming priority to the instant patent application is filed. See 37 CFR 1.14(h)(2).

The applicant is reminded that the EPO's Rule 141(1) EPC (European Patent Convention) requires applicants to submit a copy of search results from the instant application without delay in a European patent application that claims priority to the instant application.

2. Opt-Out of Authorizations to Permit Access by a Foreign Intellectual Property Office(2. (Opt-Out of Author	rizations to Permi	t Access by a	Foreign Intellectua	I Property Office(s
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- A. Applicant <u>DOES NOT</u> authorize the USPTO to permit a participating foreign IP office access to the instant application-as-filed. If this box is checked, the USPTO will not be providing a participating foreign IP office with any documents and information identified in subsection 1A above.
- B. Applicant <u>DOES NOT</u> authorize the USPTO to transmit to the EPO any search results from the instant patent application. If this box is checked, the USPTO will not be providing the EPO with search results from the instant application.

NOTE: Once the application has published or is otherwise publicly available, the USPTO may provide access to the application in accordance with 37 CFR 1.14.

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Application Da	ata Sheet 37 CED 1 76	Attorney Docket Number	43060-707.305
Application Data Sheet 37 CFR 1.76		Application Number	
Title of Invention	ENALAPRIL FORMULATIONS	5	

Applicant Information:

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.							
Applicant 1 Remove							
If the applicant is the inventor (or the remaining joint inventor or inventors under 37 CFR 1.45), this section should not be completed. The information to be provided in this section is the name and address of the legal representative who is the applicant under 37 CFR 1.43; or the name and address of the assignee, person to whom the inventor is under an obligation to assign the invention, or person who otherwise shows sufficient proprietary interest in the matter who is the applicant under 37 CFR 1.46. If the applicant is an applicant under 37 CFR 1.46 (assignee, person to whom the inventor is obligated to assign, or person who otherwise shows sufficient proprietary interest) together with one or more joint inventors, then the joint inventor or inventors who are also the applicant should be identified in this section.							
Assignee	Legal Representative ur	nder 35 U.S.C. 117	Joint Inventor				
Person to whom the inventor is o	obligated to assign.	Person who shows	s sufficient proprietary interest				
If applicant is the legal represer	tative, indicate the authority to	file the patent application	n, the inventor is:				
			▼				
Name of the Deceased or Lega	lly Incapacitated Inventor:						
If the Applicant is an Organiza	tion check here.						
Organization Name Silverg	ate Pharmaceuticals, Inc.						
Mailing Address Information	For Applicant:						
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City Greenwood Village		State/Province	СО				
Country US		Postal Code	80111				
Phone Number		Fax Number					
Email Address							
Additional Applicant Data may be generated within this form by selecting the Add button.							

Assignee Information including Non-Applicant Assignee Information:

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5.1.45. 1.15								
Application Data Sheet 37 CFR 1.76			Attorney Doo	ket Numbe	r 43060-7	707.305		
, application B				Application N	Number			
Title of Invention	ENAL	APRIL FO	ORMULATIONS	3				
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Case 1:20-cv-01256-LPS Document 74-1 Filed 04/05/21 Pagapagal வி. 24க இவுகிற சி. 2010 10032

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		Application Number	
Title of Invention	ENALAPRIL FORMULATION	S	

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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The information provided by you in this form will be subject to the following routine uses:

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- 3 A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
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- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent CooperationTreaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
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- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

SLVGT-EPA 0104373

WSGR Docket No. 43060-707.305

PATENT APPLICATION

ENALAPRIL FORMULATIONS

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ENALAPRIL FORMULATIONS

CROSS-REFERENCE OF RELATED APPLICATIONS

[0001] This application is a continuation of U.S. Patent Application No. 16/177,159, filed October 31, 2018, which is a continuation of U.S. Patent Application No. 16/003,994, filed June 8, 2018, which is a continuation of U.S. Patent Application No. 15/802,341, filed November 2, 2017 (now U.S. Patent No. 10,039,745, issued August 7, 2018), which is a continuation of U.S. Patent Application No. 15/613,622, filed June 5, 2017 (now U.S. Patent No. 9,808,442, issued November 7, 2017), which is a continuation of U.S. Patent Application No. 15/081,603, filed March 25, 2016 (now U.S. Patent No. 9,669,008, issued June 06, 2017), which claims the benefit of U.S. Provisional Patent Application No. 62/310,198, filed March 18, 2016, all of which are incorporated herein by reference in their entirety.

BACKGROUND OF THE INVENTION

[0002] Hypertension, or high blood pressure, is a serious health issue in many countries. According to the National Heart Blood and Lung Institute, it is thought that about 1 in 3 adults in the United States alone have hypertension. Left unchecked, hypertension is considered a substantial risk factor for cardiovascular and other diseases including coronary heart disease, myocardial infarction, congestive heart failure, stroke and kidney failure. Hypertension is classified as primary (essential) hypertension or secondary hypertension. Primary hypertension has no known cause and may be related to a number of environmental, lifestyle and genetic factors such as stress, obesity, smoking, inactivity and sodium intake. Secondary hypertension can be caused by drug or surgical interventions, or by abnormalities in the renal, cardiovascular or endocrine system.

[0003] A number of antihypertensive drugs are available for treating hypertension. Various therapeutic classes of antihypertensive drugs include alpha-adrenergic blockers, beta-adrenergic blockers, calcium-channel blockers, hypotensives, mineralcorticoid antagonists, central alpha-agonists, diuretics and rennin-angiotensin-aldosterone inhibitors which include angiotensin II receptor antagonists (ARB) and angiotensin-converting enzyme (ACE) inhibitors. Angiotensin-converting enzyme (ACE) inhibitors inhibit angiotensin-converting enzyme (ACE), a peptydyl dipeptidase that catalyzes angiotension I to angiotension II, a potent vasoconstrictor involved in regulating blood pressure.

[0004] Enalapril is a prodrug belonging to the angiotensin-converting enzyme (ACE) inhibitor of medications. It is rapidly hydrolyzed in the liver to enalaprilat following oral administration. Enalaprilat acts as a potent inhibitor of ACE. The structural formulae of enalapril and enalaprilat are as follows:

[0005] Enalapril is currently administered in the form of oral tablets, (e.g., Vasotec®) or in the form of liquid formulations obtained by reconstitution of enalapril powder formulations. In addition to the treatment of hypertension, enalapril tablets have been used for symptomatic congestive heart failure, and asymptomatic left ventricular dysfunction.

SUMMARY OF THE INVENTION

[0006] Provided herein are enalapril oral liquid formulations. In one aspect, the enalapril oral liquid formulation, comprises (i) enalapril or a pharmaceutically acceptable salt or solvate thereof; (ii) a sweetener that is sucralose (iii) a buffer comprising citric acid; (iv) a preservative that is sodium benzoate; and (v) water; wherein the pH of the formulation is less than about 3.5; and wherein the formulation is stable at about 5±3 °C for at least 12 months.

[0007] In some embodiments, the enalapril is enalapril maleate. In some embodiments, the formulation further comprises a flavoring agent. In some embodiments, the buffer in the formulation further comprises sodium citrate dihydrate. In some embodiments, the amount of enalapril or a pharmaceutically acceptable salt or solvate thereof is about 0.6 to about 1.2 mg/ml. In some embodiments, the amount of sucralose is about 0.5 to about 0.9 mg/ml. In some embodiments, the amount of citric acid in the buffer is about 0.8 to about 3.5 mg/ml. In some embodiments, the amount of sodium citrate dihydrate in the buffer is about 0.1 to about 0.80 mg/ml. In some embodiments, the amount of the sodium benzoate is about 0.2 to about 1.2 mg/ml. In some embodiments, the amount of enalapril or a pharmaceutically acceptable salt or solvate thereof is about 10 to about 25 % (w/w of solids). In some embodiments, the amount of sucralose is about 8 to about 18 % (w/w of solids). In some embodiments, the amount of citric acid in the buffer is about 17 to about 47 % (w/w of solids). In some embodiments, the amount of sodium citrate dihydrate in the buffer is about 1 to about 11 % (w/w of solids). In some embodiments, the amount of sodium benzoate is about 12 to about 25 % (w/w of solids). In some embodiments, the pH of the formulation is between about 3 and about 3.5. In some embodiments, the pH of the formulation is about 3.3. In some embodiments, the citrate concentration in the buffer is about 5 mM to about 20 mM. In some embodiments, the citrate concentration in the buffer is about 10 mM. In some embodiments, the formulation is stable at about 5±3 °C for at least 18 months. In some embodiments, the formulation is stable at about 5±3 °C for at least 24

months. In some embodiments, the formulation does not contain mannitol. In some embodiments, the formulation does not contain silicon dioxide.

[0008] In one aspect, the enalapril oral liquid formulation, comprises (i) about 1 mg/ml enalapril maleate; (ii) about 0.70 mg/ml of a sweetener that is sucralose; (iii) a buffer comprising about 1.82 mg/ml citric acid; (iv) about 1 mg/ml of a preservative that is sodium benzoate; and (v) water; wherein the pH of the formulation is less than about 3.5; and wherein the formulation is stable at about 5±3 °C for at least 12 months.

[0009] In some embodiments, the formulation further comprises a flavoring agent. In some embodiments, the buffer further comprises about 0.15 mg/mL sodium citrate dihydrate. In some embodiments, the pH of the formulation is between about 3 and about 3.5. In some embodiments, the pH of the formulation is about 3.3. In some embodiments, the citrate concentration in the buffer is about 5 mM to about 20 mM. In some embodiments, the citrate concentration in the buffer is about 10 mM. In some embodiments, the formulation is stable at about 5±3 °C for at least 18 months. In some embodiments, the formulation is stable at about 5±3 °C for at least 24 months. In some embodiments, the formulation does not contain mannitol. In some embodiments, the formulation does not contain silicon dioxide.

[0010] In one aspect, the enalapril oral liquid formulation comprises (i) about 19.3 % (w/w of solids) enalapril maleate; (ii) about 13.5 % (w/w of solids) of a sweetener that is sucralose; (iii) a buffer comprising about 35.2 % (w/w of solids) citric acid; (iv) about 19.3 % (w/w of solids) of a preservative that is sodium benzoate; and (v) water; wherein the pH of the formulation is less than about 3.5; and wherein the formulation is stable at about 5 ± 3 °C for at least 12 months.

[0011] In some embodiments, the formulation further comprises a flavoring agent. In some embodiments, the buffer further comprises about 2.9% (w/w of solids) sodium citrate dihydrate. In some embodiments, the pH of the formulation is between about 3 and about 3.5. In some embodiments, the pH of the formulation is about 3.3. In some embodiments, the citrate concentration in the buffer is about 5 mM to about 20 mM. In some embodiments, the citrate concentration in the buffer is about 10 mM. In some embodiments, the formulation is stable at about 5 ± 3 °C for at least 18 months. In some embodiments, the formulation does not contain mannitol. In some embodiments, the formulation does not contain mannitol. In some embodiments, the formulation does not contain silicon dioxide.

[0012] In one aspect, the enalapril oral liquid formulation consists essentially of (i) about 1 mg/ml enalapril maleate; (ii) about 0.70 mg/ml of a sweetener that is sucralose; (iii) a buffer comprising about 1.82 mg/ml citric acid and about 0.15 mg/ml sodium citrate dihydrate; (iv) about 1 mg/ml of a preservative that is sodium benzoate; (v) a flavoring agent; and (vi) water; wherein the pH of the

formulation is less than about 3.5 adjusted by sodium hydroxide or hydrochloric acid; and wherein the formulation is stable at about 5±3 °C for at least 12 months.

[0013] Also provided herein are methods of treating hypertension in a subject comprising administering to that subject a therapeutically effective amount of enalapril oral liquid formulation comprising (i) about 1 mg/ml enalapril maleate; (ii) about 0.7 mg/ml sucralose; (iii) a buffer comprising about 1.82 mg/ml citric acid and about 0.15 mg/ml sodium citrate dihydrate; (iv) about 1 mg/ml of a preservative that is sodium benzoate; and (v) water; wherein the pH of the formulation is less than about 3.5; and wherein the formulation is stable at about 5±3 °C for at least 12 months. In some embodiments, the formulation does not contain mannitol. In some embodiments, the formulation does not contain silicon dioxide.

[0014] In some embodiments, the hypertension is primary (essential) hypertension. In some embodiments, the subject has blood pressure values greater than or equal to 140/90 mmm Hg. In some embodiments, the subject is an adult. In some embodiments, the subject is elderly. In some embodiments, the subject is a child. In some embodiments, the formulation is administered to the subject in a fasted state. In some embodiments, the formulation is further administered to the subject in a fed state. In some embodiments, the formulation is further administered in combination with an agent selected from the group consisting of diuretics, beta blockers, alpha blockers, mixed alpha and beta blockers, calcium channel blockers, angiotensin II receptor antagonists, ACE inhibitors, aldosterone antagonists, and alpha-2 agonists.

[0015] Also provided herein are methods of treating prehypertension in a subject comprising administering to that subject a therapeutically effective amount of enalapril oral liquid formulation comprising (i) about 1 mg/ml enalapril maleate; (ii) about 0.7 mg/ml of a sweetener that is sucralose; (ii) a buffer comprising about 1.82 mg/ml citric acid and about 0.15 mg/ml sodium citrate dihydrate; (iv) about 1 mg/ml of a preservative that is sodium benzoate; and (v) water; wherein the pH of the formulation is less than about 3.5; and wherein the formulation is stable at about 5±3 °C for at least 12 months. In some embodiments, the formulation does not contain mannitol. In some embodiments, the formulation does not contain silicon dioxide.

[0016] In some embodiments, the subject has blood pressure values of about 120-139/80-89 mm Hg. [0017] Also provided herein are methods of treating heart failure in a subject comprising administering to that subject a therapeutically effective amount of enalapril oral liquid formulation comprising (i) about 1 mg/ml enalapril maleate; (ii) about 0.70 mg/ml of a sweetener that is sucralose; (iii) a buffer comprising about 1.82 mg/ml citric acid and about 0.15 mg/ml sodium citrate dihydrate; (iv) about 1 mg/ml of a preservative that is sodium benzoate; and (v) water; wherein the pH of the formulation is less than about 3.5; and wherein the formulation is stable at about 5±3 °C for at least 12 months. In some embodiments.

the formulation does not contain mannitol. In some embodiments, the formulation does not contain silicon dioxide.

[0018] Also provided herein are methods of treating left ventricular dysfunction in a subject comprising administering to that subject a therapeutically effective amount of enalapril oral liquid formulation comprising (i) about 1 mg/ml enalapril maleate; (ii) about 0.7 mg/ml of a sweetener that is sucralose; (iii) a buffer comprising about 1.82 mg/ml citric acid and about 0.15 mg/ml sodium citrate dihydrate; (iv) about 1 mg/ml of a preservative that is sodium benzoate; and (v) water; wherein the pH of the formulation is less than about 3.5; and wherein the formulation is stable at about 5±3 °C for at least 12 months. In some embodiments, the formulation does not contain mannitol. In some embodiments, the formulation does not contain silicon dioxide.

INCORPORATION BY REFERENCE

[0019] All publications, patents, and patent applications mentioned in this specification are herein incorporated by reference to the same extent as if each individual publication, patent, or patent application was specifically and individually indicated to be incorporated by reference.

BRIEF DESCRIPTION OF THE DRAWINGS

[0020] The novel features of the invention are set forth with particularity in the appended claims. A better understanding of the features and advantages of the present invention will be obtained by reference to the following detailed description that sets forth illustrative embodiments, in which the principles of the invention are utilized, and the accompanying drawings of which:

[0021] FIG. 1: Effect of pH on degradant formation after 8 weeks of storage of various enalapril solution formulations at 5°C.

[0022] FIG. 2: Effect of pH on degradant formation after 8 weeks of storage of various enalapril solution formulations at room temperature (19-22°C).

DETAILED DESCRIPTION OF THE INVENTION

[0023] Provided herein are stable enalapril oral liquid formulations. Also provided herein are stable enalapril powder formulations for reconstitution for oral liquid administration. These enalapril formulations described herein are useful for the treatment of hypertension, prehypertension, heart failure as well as ventricular dysfunction. The formulations are advantageous over conventional solid dosage administration of enalapril ranging from ease of administration, accuracy of dosing, accessibility to additional patient populations such as to children and the elderly, and an increased patient compliance to medication.

[0024] It is generally known that certain segments of the population have difficulty ingesting and swallowing solid oral dosage forms such as tablets and capsules. As many as a quarter of the total population has this difficulty. Often, this leads to non-compliance with the recommended medical therapy with the solid dosage forms, thereby resulting in rending the therapy ineffective. Further, solid dosage forms are not recommended for children or elderly due to increased risk in choking.

[0025] Furthermore, the dose of enalapril to be given to children is calculated according to the child's weight. When the calculated dose is something other than the amount present in one or more intact solid dosage forms, the solid dosage form must be divided to provide the correct dose. This leads to inaccurate dosing when solid dosages forms, such as tablets, are compounded to prepare other formulations for children.

[0026] For enalapril, one solution to overcoming the use of the tablet form is for a compounding pharmacist to pulverize and crush the enalapril tablet(s) into a powder via mortar and pestle and reconstitute the powder in some liquid form. However forming a enalapril oral liquid in this fashion has significant drawbacks including large variability in the actual dosage, incomplete solubilizing of the enalapril tablet in the liquid, rapid instability, inconsistent formulation methods per compounding pharmacy, and a number of other potential issues. The crushed tablet liquid formulation may also be potentially unsafe due to contamination with residual drugs and other substances from the mortar and pestle or other crushing agent.

[0027] Alternatively, enalapril is formulated as enalapril powder compositions for reconstitution as oral liquids as described in U.S. Pat. No. 8,568,747. The powder compositions as described in this patent require mannitol and colloidal silicon dioxide for stability and dissolution. While these powder compositions are an improvement over crushing tablets, they still require a step of mixing with a diluent. The stable enalapril oral liquid formulations described herein require no extra steps or manipulation prior to administration to a subject. Further, the stable enalapril oral liquid formulations described herein do not require or need mannitol or colloidal silicon dioxide for stability and dissolution.

[0028] The present embodiments described herein provide a safe and effective oral administration of enalapril for the treatment of hypertension and other disorders. In particular, the embodiments provide stable enalapril oral liquid formulations as well as alternatively enalapril powder formulations for oral liquid administration.

[0029] As used herein, "enalapril" refers to enalapril base, its salt, or solvate or derivative or isomer or polymorph thereof. Suitable compounds include the free base, the organic and inorganic salts, isomers, isomer salts, solvates, polymorphs, complexes etc. U.S. Pat. Nos. 4,374,829; 4,472,380 and 4,510,083 disclose exemplary methods in the preparation of enalapril. In some embodiments, the enalapril used in

the formulations described herein is an enalapril salt. In some instances, the enalapril salt is enalapril maleate. In other instances, the enalapril salt is in the form of enalapril sodium.

[0030] Other ACE inhibitors are contemplated in the formulations within and include but are not limited to quinapril, indolapril, ramipril, perindopril, lisinopril, benazepril, imidapril, zofenopril, trandolapril, fosinopril, captopril, and their salts, solvates, derivatives, polymorphs, or complexes, thereof.

Enalapril Oral Liquid Formulations

[0031] Oral liquids include, but are not limited to, solutions (both aqueous and nonaqueous), suspensions, emulsions, syrups, slurries, juices, elixirs, dispersions, and the like. It is envisioned that solution/suspensions are also included where certain components described herein are in a solution while other components are in a suspension.

[0032] In one aspect, the enalapril liquid formulations described herein comprise enalapril, a preservative, a sweetening agent, a buffer, and water. In one embodiment, the sweetening agent is sucralose. In one embodiment, the sweetening agent is xylitol. In one embodiment, the sweetening agent is not mannitol. In another embodiment, the preservative is sodium benzoate. In some embodiments, the preservative is a paraben. In some embodiments, the preservative is a mixture of parabens. In yet another embodiment, the buffer comprises citric acid. In some embodiments, the buffer further comprises sodium citrate. In one aspect, the enalapril liquid formulation described herein comprises enalapril, sucralose, sodium benzoate, citric acid, sodium citrate, and water. In some embodiments, the enalapril liquid formulation herein further comprises a flavoring agent. In some embodiments, the enalapril liquid formulation is not obtained from crushing enalapril tablet and dissolving the powder in a suitable vehicle for oral administration. In some embodiments, the enalapril liquid formulation does not contain silicon dioxide. In some embodiments, the enalapril liquid formulation does not contain mannitol. In some embodiments, the enalapril liquid formulation does not contain lactose. In some embodiments, the enalapril liquid formulation does not contain magnesium stearate. In some embodiments, the enalapril liquid formulation does not contain sodium bicarbonate. In some embodiments, the enalapril liquid formulation does not contain iron oxides.

[0033] In some embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.6 to about 1.2 mg/ml in the oral liquid formulation. In other embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.6 mg/ml, about 0.61 mg/ml, about 0.62 mg/ml, about 0.63 mg/ml, about 0.64 mg/ml, about 0.65 mg/ml, about 0.66 mg/ml, about 0.67 mg/ml, about 0.68 mg/ml, about 0.69 mg/ml, about 0.7 mg/ml, about 0.71 mg/ml, about 0.72 mg/ml, about 0.73 mg/ml, about 0.74 mg/ml, about 0.75 mg/ml, about 0.76 mg/ml, about 0.77 mg/ml, about 0.78 mg/ml, about 0.79 mg/ml, about 0.8 mg/ml, about 0.81 mg/ml, about 0.82 mg/ml, about 0.83 mg/ml, about 0.84

mg/ml, about 0.85 mg/ml, about 0.86 mg/ml, about 0.87 mg/ml, about 0.88 mg/ml, about 0.89 mg/ml, about 0.99 mg/ml, about 0.91 mg/ml, about 0.92 mg/ml, about 0.93 mg/ml, about 0.94 mg/ml, about 0.95 mg/ml, about 0.96 mg/ml, about 0.97 mg/ml, about 0.98 mg/ml, about 0.99 mg/ml, about 1 mg/ml, about 1.01 mg/ml, about 1.02, mg/ml, about 1.03 mg/ml, about 1.04 mg/ml, about 1.05 mg/ml, about 1.06 mg/ml, about 1.07 mg/ml, about 1.08 mg/ml, about 1.09 mg/ml, about 1.11 mg/ml, about 1.11 mg/ml, about 1.12, mg/ml, about 1.13 mg/ml, about 1.14 mg/ml, about 1.15 mg/ml, about 1.16 mg/ml, about 1.17 mg/ml, about 1.18 mg/ml, about 1.19 mg/ml, or about 1.2 mg/ml in the liquid oral formulation. In some embodiments, enalapril maleate is present in about 1 mg/ml in the oral liquid formulation. In some embodiments, the formulation contains enalapril or another pharmaceutically acceptable salt of enalapril in a molar concentration equivalent to 1 mg/mL enalapril maleate. In some embodiments, the formulation contains enalapril or another pharmaceutically acceptable salt of enalapril in a molar concentration equivalent to 0.76 mg/mL enalapril.

[0034] In some embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.5 % w/w to about 30 % w/w of the solids in the oral liquid formulation. In other embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.5 % w/w, about 1 % w/w, about 1.5 % w/w, about 2 % w/w, about 2.5 % w/w, about 3 % w/w, about 3.5 % w/w, about 4 % w/w, about 4.5 % w/w, about 5 % w/w, about 5.5 % w/w, about 6 % w/w, about 6.5 % w/w, about 7 % w/w, about 7.5 % w/w, about 8 % w/w, about 8.5 % w/w, about 9 % w/w, about 9.5 % w/w, about 10 % w/w, about 10.5 % w/w, about 11 % w/w, about 11.5 % w/w, about 12 % w/w, about 12.5 % w/w, about 13 % w/w, about 13.5 % w/w, about 14 % w/w, about 14.5 % w/w, about 15 % w/w, about 15.1 % w/w, about 15.2 % w/w, about 15.3 % w/w, about 15.4 % w/w, about 15.5 % w/w, about 15.6 % w/w, about 15.7 % w/w, about 15.8 % w/w, about 15.9 % w/w, about 16 % w/w, about 16.1 % w/w, about 16.2 % w/w, about 16.3 % w/w, about 16.4 % w/w, about 16.5 % w/w, about 16.6 % w/w, about 16.7 % w/w, about 16.8 % w/w, about 16.9 % w/w, about 17 % w/w, about 17.1 % w/w, about 17.2 % w/w, about 17.3 % w/w, about 17.4 % w/w, about 17.5 % w/w, about 17.6 % w/w, about 17.7 % w/w, about 17.8 % w/w, about 17.9 % w/w, about 18 % w/w, about 18.1 % w/w, about 18.2 % w/w, about 18.3 % w/w, about 18.4 % w/w, about 18.5 % w/w, about 18.6 % w/w, about 18.7 % w/w, about 18.8 % w/w, about 18.9 % w/w, about 19 % w/w, about 19.1 % w/w, about 19.2 % w/w, about 19.3 % w/w, about 19.4 % w/w, about 19.5 % w/w, about 19.6 % w/w, about 19.7 % w/w, about 19.8 % w/w, about 19.9 % w/w, about 20 % w/w, about 20.1 % w/w, about 20.2 % w/w, about 20.3 % w/w, about 20.4 % w/w, about 20.5 % w/w, about 20.6 % w/w, about 20.7 % w/w, about 20.8 % w/w, about 20.9 % w/w, about 21 % w/w, about 21.1 % w/w, about 21.2 % w/w, about 21.3 % w/w, about 21.4 % w/w, about 21.5 % w/w, about 21.6 % w/w, about 21.7 % w/w, about 21.8 % w/w, about 21.9 % w/w, about 22 % w/w, about 22.5 % w/w, about 23 % w/w, about 23.5

% w/w, about 24 % w/w, about 24.5 % w/w, about 25 % w/w, about 25.5 % w/w, about 26 % w/w, about 26.5 % w/w, about 27 % w/w, about 27.5 % w/w, about 28 % w/w, about 28.5 % w/w, about 29 % w/w, about 29.5 % w/w, or about 30 % w/w of the solids in the oral liquid formulation. In some embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 10 % w/w to about 25 % w/w of the solids in the oral liquid formulation. In some embodiments, enalapril is present in about 10.5 % w/w of the solids in the oral liquid formulation. In some embodiments, enalapril is present in about 15 % w/w of the solids in the oral liquid formulation. In some embodiments, enalapril maleate is present in about 13.5 % w/w of the solids in the oral liquid formulation. In some embodiments, enalapril maleate is present in about 19.3 % w/w of the solids in the oral liquid formulation. In some embodiments, enalapril maleate is present in about 19.3 % w/w of the solids in the oral liquid formulation. In some embodiments, enalapril maleate is present in about 24.5 % w/w of the solids in the oral liquid formulation. In some embodiments, enalapril maleate is present in about 24.5 % w/w of the solids in the oral liquid formulation.

[0035] In some embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.1% w/w to about 1 % w/w of the solids in the oral liquid formulation. In other embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.1 % w/w, about 0.15 % w/w, about 0.2 % w/w, about 0.25 % w/w, about 0.3 % w/w, about 0.35 % w/w, about 0.4 % w/w, about 0.45 % w/w, about 0.55 % w/w, about 0.55 % w/w, about 0.66 % w/w, about 0.65 % w/w, about 0.7 % w/w, about 0.75 % w/w, about 0.85 % w/w, about 0.99 % w/w, about 0.95 % w/w, or about 1 % w/w of the solids in the oral liquid formulation. In some embodiments, enalapril is present in about 0.4 % w/w of the solids in the oral liquid formulation. In some embodiments, enalapril is present in about 0.4 % w/w of the solids in the oral liquid formulation. In some embodiments, enalapril maleate is present in about 0.5 % w/w of the solids in the oral liquid formulation. In some embodiments, enalapril maleate is present in about 0.5 % w/w of the solids in the oral liquid formulation. In some embodiments, enalapril maleate is present in about 0.6 % w/w of the solids in the oral liquid formulation. In some embodiments, enalapril maleate is present in about 0.6 % w/w of the solids in the oral liquid formulation. In some embodiments, enalapril maleate is present in about 0.6 % w/w of the solids in the oral liquid formulation.

Sweetener in the Enalapril Oral Liquid Formulations

[0036] Sweeteners or sweetening agents include any compounds that provide a sweet taste. This includes natural and synthetic sugars, natural and artificial sweeteners, natural extracts and any material that initiates a sweet sensation in a subject. In some embodiments, a solid/powder sweetener is used in the oral liquid formulation described herein. In other embodiments, a liquid sweetener is used in the oral liquid formulation described herein.

[0037] Sugars illustratively include glucose, fructose, sucrose, xylitol, tagatose, sucralose, maltitol, isomaltulose, IsomaltTM (hydrogenated isomaltulose), lactitol, sorbitol, erythritol, trehalose, maltodextrin, polydextrose, and the like. Other sweeteners illustratively include glycerin, inulin, erythritol, maltol, acesulfame and salts thereof, e.g., acesulfame potassium, alitame, aspartame, neotame, sodium cyclamate,

saccharin and salts thereof, e.g., saccharin sodium or saccharin calcium, neohesperidin dihydrochalcone, stevioside, thaumatin, and the like. Sweeteners can be used in the form of crude or refined products such as hydrogenated starch hydrolysates, maltitol syrup, high fructose corn syrup, etc., and as branded products, e.g., Sweet AmTM liquid (Product Code 918.003-propylene glycol, ethyl alcohol, and proprietary artificial flavor combination, Flavors of North America) and Sweet AmTM powder (Product Code 918.005--maltodextrin, sorbitol, and fructose combination and Product Code 918.010--water, propylene glycol, sorbitol, fructose, and proprietary natural and artificial flavor combination, Flavors of North America), ProSweetTM (1-10% proprietary plant/vegetable extract and 90-99% dextrose combination, Viriginia Dare), MaltisweetTM (maltitol solution, Ingredion), SorboTM (sorbitol and sorbitol/xylitol solution, SPI Polyols), InvertoseTM (high fructose corn syrup, Ingredion), Rebalance M60 and X60 (sucralose and maltodextrin, Tate and Lyle), and Ora-Sweet® sugar-free flavored syrup (Paddock Laboratories, Inc.). Sweeteners can be used singly or in combinations of two or more. Suitable concentrations of different sweeteners can be selected based on published information, manufacturers' data sheets and by routine testing.

[0038] In some embodiments, the enalapril oral liquid formulation described herein comprises a sweetening agent. In some embodiments, the sweetening agent is sucralose. In some embodiments, the sweetening agent is xylitol. In some embodiments, the sweetener is not mannitol.

[0039] In some embodiments, the enalapril oral liquid formulation described herein comprises sucralose. In some embodiments, sucralose is present in about 0.5 to about 0.9 mg/ml in the oral liquid formulation. In other embodiments, sucralose is present in about 0.51 mg/ml, about 0.52 mg/ml, about 0.53 mg/ml, about 0.54 mg/ml, about 0.55 mg/ml, about 0.56 mg/ml, about 0.57 mg/ml, about 0.58 mg/ml, about 0.59 mg/ml, about 0.60 mg/ml, about 0.61 mg/ml, about 0.62 mg/ml, about 0.63 mg/ml, about 0.64 mg/ml, about 0.65 mg/ml, about 0.66 mg/ml, about 0.67 mg/ml, about 0.68 mg/ml, about 0.69 mg/ml, about 0.70 mg/ml, about 0.71 mg/ml, about 0.72 mg/ml, about 0.73 mg/ml, about 0.74 mg/ml, about 0.75 mg/ml, about 0.76 mg/ml, about 0.77 mg/ml, about 0.78 mg/ml, about 0.79 mg/ml, about 0.80 mg/ml, about 0.81 mg/ml, about 0.82 mg/ml, about 0.83 mg/ml, about 0.84 mg/ml, about 0.85 mg/ml, about 0.86 mg/ml, about 0.87 mg/ml, about 0.88 mg/ml, about 0.89 mg/ml, or about 0.90 mg/ml in the oral liquid formulation. In some embodiments, sucralose is present in about 0.7 mg/ml in the oral liquid formulation. [0040] In some embodiments, sucralose is present in about 1 % w/w to about 30 % w/w of the solids in the oral liquid formulation. In some embodiments, sucralose is present in about 1 % w/w, about 1.5 % w/w, about 2 % w/w, about 2.5 % w/w, about 3 % w/w, about 3.5 % w/w, about 4 % w/w, about 4.5 % w/w, about 5 % w/w, about 5.5 % w/w, about 6 % w/w, about 6.5 % w/w, about 7 % w/w, about 7.5 % w/w, about 8 % w/w, about 8.5 % w/w, about 9 % w/w, about 9.5 % w/w, about 10 % w/w, about 10.5 % w/w, about 11 % w/w, about 11.5 % w/w, about 12 % w/w, about 12.5 % w/w, about 13 % w/w, about

13.5 % w/w, about 14% w/w, about 14.5 % w/w, about 15 % w/w, about 15.5 % w/w, about 16 % w/w, about 16.5 % w/w, about 17 % w/w, about 17.5 % w/w, about 18 % w/w, about 18.5 % w/w, about 19 % w/w, about 19.5 % w/w, about 20 % w/w, about 20.5 % w/w, about 21 % w/w, about 21.5 % w/w, about 22 % w/w, about 22.5 % w/w, about 23 % w/w, about 23.5 % w/w, about 24 % w/w, about 24.5 % w/w, about 25 % w/w, about 25.5 % w/w, about 26 % w/w, about 26.5 % w/w, about 27 % w/w, about 27.5 % w/w, about 28 % w/w, about 28.5 % w/w, about 29 % w/w, about 29.5 % w/w, or about 30 % w/w of the solids in the oral liquid formulation. In some embodiments, sucralose is present in about 18 % w/w of the solids in the oral liquid formulation. In some embodiments, sucralose is present in about 13.5 % w/w of the solids in the oral liquid formulation. In some embodiments, sucralose is present in about 13.5 % w/w of the solids in the oral liquid formulation. In some embodiments, sucralose is present in about 13.5 % w/w of the solids in the oral liquid formulation. In some embodiments, sucralose is present in about 13.5 % w/w of the solids in the oral liquid formulation. In some embodiments, sucralose is present in about 16.5 % w/w of the solids in the oral liquid formulation.

[0041] In some embodiments, the enalapril oral liquid formulation described herein comprises xylitol. In some embodiments, xylitol is present in about 140 mg/ml to about 210 mg/ml in the oral liquid formulation.

[0042] In some embodiments, xylitol is present in about 140 mg/ml, about 145 mg/ml, about 150 mg/ml, about 155 mg/ml, about 160 mg/ml, about 165 mg/ml, about 170 mg/ml, about 175 mg/ml, about 180 mg/ml, about 185 mg/ml, about 190 mg/ml, about 195 mg/ml, about 200 mg/ml, about 205 mg/ml, or about 210 mg/ml of the oral liquid formulation. In some embodiments, xylitol is present in about 150 mg/ml in the oral liquid formulation. In some embodiments, xylitol is present in about 200 mg/ml in the oral liquid formulation.

[0043] In some embodiments, xylitol is present in about 80 % w/w to about 99 % w/w of the solids in the oral liquid formulation. In other embodiments, xylitol is present in about 80 % w/w, about 81 % w/w, about 82 % w/w, about 83 % w/w, about 84 % w/w, about 85 % w/w, about 86 % w/w, about 87 % w/w, about 88 % w/w, about 89 % w/w, about 90 % w/w, about 91 % w/w, about 92 % w/w, about 93 % w/w, about 94 % w/w, about 95 % w/w, about 96 % w/w, about 97 % w/w, about 98 % w/w, or about 99 % w/w of the solids in the oral liquid formulation. In some embodiments, xylitol is present in about 96 % w/w of the solids in the oral liquid formulation. In some embodiments, xylitol is present in about 96 % w/w of the solids in the oral liquid formulation. In some embodiments, xylitol is present in about 96 % w/w of the solids in the oral liquid formulation.

Preservative in the Enalapril Oral Liquid Formulations

[0044] Preservatives include anti-microbials, anti-oxidants, and agents that enhance sterility. Exemplary preservatives include ascorbic acid, ascorbyl palmitate, BHA, BHT, citric acid, EDTA and its salts, erythorbic acid, fumaric acid, malic acid, propyl gallate, sodium ascorbate, sodium bisulfate, sodium metabisulfite, sodium sulfite, parabens (such as methylparaben, ethylparaben, propylparaben, butylparaben and their salts), benzoic acid, sodium benzoate, potassium sorbate, vanillin, and the like.

[0045] In some embodiments, the enalapril oral liquid formulation described herein comprises a preservative.

[0046] In some embodiments, the preservative is a paraben and the sweetener is not a sugar (such as, but not limited to glucose, fructose, sucrose, lactose, maltose) or a sugar alcohol (such as, but not limited to xylitol, mannitol, lactitol, maltitol, sorbitol).

[0047] In some embodiments, the preservative is sodium benzoate.

[0048] In some embodiments, modulation of the pH is desired to provide the best antimicrobial activity of the preservative, sodium benzoate. In some embodiments, the antimicrobial activity of sodium benzoate drops when the pH is increased above 5.

[0049] In some embodiments, the pH of the enalapril oral liquid formulation described herein is less than about 4. In some embodiments, the pH of the enalapril oral liquid formulation described herein is less than about 3.5. In some embodiments, the pH of the enalapril oral liquid formulation described herein is between about 3 and about 4. In some embodiments, the pH of the enalapril oral liquid formulation described herein is between about 3 and about 3.5. In some embodiments, the pH of the enalapril oral liquid formulation described herein is about 3, about 3.1, about 3.2, about 3.3, about 3.4, about 3.5, about 3.6, about 3.7, about 3.8, about 3.9, or about 4. In some embodiments, the pH of the enalapril oral liquid formulation described herein is about 3.3.

[0050] In some embodiments, sodium benzoate is present in about 0.2 to about 1.2 mg/ml in the oral liquid formulation. In other embodiments, sodium benzoate is present in about 0.2 mg/ml, about 0.21 mg/ml, about 0.22 mg/ml, about 0.23 mg/ml, about 0.24 mg/ml, about 0.25 mg/ml, about 0.26 mg/ml, about 0.27 mg/ml, about 0.28 mg/ml, about 0.29 mg/ml, about 0.3 mg/ml, about 0.31 mg/ml, about 0.32 mg/ml, about 0.33 mg/ml, about 0.34 mg/ml, about 0.35 mg/ml, about 0.36 mg/ml, about 0.37 mg/ml, about 0.38 mg/ml, about 0.39 mg/ml, about 0.4 mg/ml, about 0.41 mg/ml, about 0.42 mg/ml, about 0.43 mg/ml, about 0.44 mg/ml, about 0.45 mg/ml, about 0.46 mg/ml, about 0.47 mg/ml, about 0.48 mg/ml, about 0.49 mg/ml, about 0.5 mg/ml, about 0.51 mg/ml, about 0.52 mg/ml, about 0.53 mg/ml, about 0.54 mg/ml, about 0.55 mg/ml, about 0.56 mg/ml, about 0.57 mg/ml, about 0.58 mg/ml, about 0.59 mg/ml, about 0.6 mg/ml, about 0.61 mg/ml, about 0.62 mg/ml, about 0.63 mg/ml, about 0.64 mg/ml, about 0.65 mg/ml, about 0.66 mg/ml, about 0.67 mg/ml, about 0.68 mg/ml, about 0.69 mg/ml, about 0.7 mg/ml, about 0.71 mg/ml, about 0.72 mg/ml, about 0.73 mg/ml, about 0.74 mg/ml, about 0.75 mg/ml, about 0.76 mg/ml, about 0.77 mg/ml, about 0.78 mg/ml, about 0.79 mg/ml, about 0.8 mg/ml, about 0.81 mg/ml, about 0.82 mg/ml, about 0.83 mg/ml, about 0.84 mg/ml, about 0.85 mg/ml, about 0.86 mg/ml, about 0.87 mg/ml, about 0.88 mg/ml, about 0.89 mg/ml, about 0.9 mg/ml, about 0.91 mg/ml, about 0.92 mg/ml, about 0.93 mg/ml, about 0.94 mg/ml, about 0.95 mg/ml, about 0.96 mg/ml, about 0.97 mg/ml, about 0.98 mg/ml, about 0.99 mg/ml, about 1 mg/ml, about 1.01 mg/ml, about 1.02, mg/ml, about 1.03 mg/ml, about 1.04 mg/ml, about 1.05 mg/ml, about 1.06 mg/ml, about 1.07 mg/ml, about 1.08 mg/ml, about 1.09 mg/ml, about 1.11 mg/ml, about 1.12, mg/ml, about 1.13 mg/ml, about 1.14 mg/ml, about 1.15 mg/ml, about 1.16 mg/ml, about 1.17 mg/ml, about 1.18 mg/ml, about 1.19 mg/ml, or about 1.2 mg/ml in the liquid oral formulation. In some embodiments, sodium benzoate is present in about 1 mg/ml in the oral liquid formulation.

[0051] In some embodiments, sodium benzoate is present in about 1% w/w to about 30 % w/w of the solids in the oral liquid formulation. In other embodiments, sodium benzoate is present in about 1 % w/w, about 1.5 % w/w, about 2 % w/w, about 2.5 % w/w, about 3 % w/w, about 3.5 % w/w, about 4 % w/w, about 4.5 % w/w, about 5 % w/w, about 5.5 % w/w, about 6 % w/w, about 6.5 % w/w, about 7 % w/w, about 7.5 % w/w, about 8 % w/w, about 8.5 % w/w, about 9 % w/w, about 9.5 % w/w, about 10 % w/w, about 10.5 % w/w, about 11 % w/w, about 11.5 % w/w, about 12 % w/w, about 12.5 % w/w, about 13 % w/w, about 13.5 % w/w, about 14 % w/w, about 14.5 % w/w, about 15 % w/w, about 15.1 % w/w, about 15.2 % w/w, about 15.3 % w/w, about 15.4 % w/w, about 15.5 % w/w, about 15.6 % w/w, about 15.7 % w/w, about 15.8 % w/w, about 15.9 % w/w, about 16 % w/w, about 16.1 % w/w, about 16.2 % w/w, about 16.3 % w/w, about 16.4 % w/w, about 16.5 % w/w, about 16.6 % w/w, about 16.7 % w/w, about 16.8 % w/w, about 16.9 % w/w, about 17 % w/w, about 17.1 % w/w, about 17.2 % w/w, about 17.3 % w/w, about 17.4 % w/w, about 17.5 % w/w, about 17.6 % w/w, about 17.7 % w/w, about 17.8 % w/w, about 17.9 % w/w, about 18 % w/w, about 18.1 % w/w, about 18.2 % w/w, about 18.3 % w/w, about 18.4 % w/w, about 18.5 % w/w, about 18.6 % w/w, about 18.7 % w/w, about 18.8 % w/w, about 18.9 % w/w, about 19 % w/w, about 19.1 % w/w, about 19.2 % w/w, about 19.3 % w/w, about 19.4 % w/w, about 19.5 % w/w, about 19.6 % w/w, about 19.7 % w/w, about 19.8 % w/w, about 19.9 % w/w, about 20 % w/w, about 20.1 % w/w, about 20.2 % w/w, about 20.3 % w/w, about 20.4 % w/w, about 20.5 % w/w, about 20.6 % w/w, about 20.7 % w/w, about 20.8 % w/w, about 20.9 % w/w, about 21 % w/w, about 21.1 % w/w, about 21.2 % w/w, about 21.3 % w/w, about 21.4 % w/w, about 21.5 % w/w, about 21.6 % w/w, about 21.7 % w/w, about 21.8 % w/w, about 21.9 % w/w, about 22 % w/w, about 22.5 % w/w, about 23 % w/w, about 23.5 % w/w, about 24 % w/w, about 24.5 % w/w, about 25 % w/w, about 25.5 % w/w, about 26 % w/w, about 26.5 % w/w, about 27 % w/w, about 27.5 % w/w, about 28 % w/w, about 28.5 % w/w, about 29 % w/w, about 29.5 % w/w, or about 30 % w/w of the solids in the oral liquid formulation. In some embodiments, sodium benzoate is present in about 10% w/w to about 25 % w/w of the solids in the oral liquid formulation. In some embodiments, sodium benzoate is present in about 13.5% w/w of the solids in the oral liquid formulation. In some embodiments, sodium benzoate is present in about 19.3% w/w of the solids in the oral liquid formulation. In some embodiments, sodium benzoate is present in about 23.5 % w/w of the solids in the oral liquid formulation.

[0052] In some embodiments, sodium benzoate is present in about 0.1% w/w to about 1 % w/w of the solids in the oral liquid formulation. In other embodiments, sodium benzoate is present in about 0.1 % w/w, about 0.15 % w/w, about 0.2 % w/w, about 0.25 % w/w, about 0.3 % w/w, about 0.35 % w/w, about 0.4 % w/w, about 0.45 % w/w, about 0.5 % w/w, about 0.55 % w/w, about 0.6 % w/w, about 0.65 % w/w, about 0.7 % w/w, about 0.75 % w/w, about 0.8 % w/w, about 0.85 % w/w, about 0.9 % w/w, about 0.95 % w/w, or about 1 % w/w of the solids in the oral liquid formulation. In some embodiments, sodium benzoate is present in about 0.4 % w/w to about 0.7 % w/w of the solids in the oral liquid formulation. In some embodiments, sodium benzoate is present in about 0.45 % w/w of the solids in the oral liquid formulation. In some embodiments, sodium benzoate is present in about 0.6 % w/w of the solids in the oral liquid formulation. In some embodiments, sodium benzoate is present in about 0.6 % w/w of the solids in the oral liquid formulation.

[0053] In some embodiments, sodium benzoate is present in an amount sufficient to provide antimicrobial effectiveness to the enalapril oral liquid formulation described herein. (See Table G-1).

[0054] In some embodiments, the preservative is a paraben. In some embodiments, the preservative is a mixture of parabens. In some embodiments, the paraben or mixture of parabens is present in about 0.1 mg/ml to about 2 mg/ml in the oral liquid formulation. In other embodiments, the paraben or mixture of parabens is present in about 0.1 mg/ml, about 0.2 mg/ml, about 0.3 mg/ml, about 0.4 mg/ml, about 0.5 mg/ml, about 0.6 mg/ml, about 0.7 mg/ml, about 0.8 mg/ml, about 0.9 mg/ml, about 1 mg/ml, about 1.1 mg/ml, about 1.2 mg/ml, about 1.3 mg/ml, about 1.4 mg/ml, or about 1.5 mg/ml, about 1.6 mg/ml, about 1.7 mg/ml, about 1.8 mg/ml, about 1.9 mg/ml, or about 2 mg/ml in the liquid oral formulation. In some embodiments, the paraben or mixture of parabens is present in about 2 mg/ml in the oral liquid formulation. In some embodiments, the paraben or mixture of parabens is present in about 1.8 mg/ml in the oral liquid formulation. In some embodiments, the paraben or mixture of parabens is present in about 0.1 mg/ml to about 0.5 mg/ml in the oral liquid formulation.

[0055] In some embodiments, the paraben or mixture of parabens is present in about 2 % w/w to about 30 % w/w of the solids in the oral liquid formulation. In other embodiments, the paraben or mixture of parabens is present in about 2 % w/w, about 3 % w/w, about 4 % w/w, about 5 % w/w, about 6 % w/w, about 7 % w/w, about 8 % w/w, about 9 % w/w, about 10 % w/w, about 11 % w/w, about 12 % w/w, about 13 % w/w, about 14 % w/w, about 15 % w/w, about 16 % w/w, about 17 % w/w, about 18 % w/w, about 19 % w/w, about 20 % w/w, about 21 % w/w, about 22 % w/w, about 23 % w/w, about 24 % w/w, about 25 % w/w, about 26 % w/w, about 27 % w/w, about 28 % w/w, about 29 % w/w, or about 30 % w/w of the solids in the oral liquid formulation. In some embodiments, the paraben or mixture of parabens is present in about 2 % w/w to about 3 % w/w of the solids in the oral liquid formulation. In some embodiments, the paraben or mixture of parabens is present in about 2 % w/w to about 26 % w/w of the

solids in the oral liquid formulation. In some embodiments, the paraben or mixture of parabens is present in about 26 % w/w to about 30 % w/w of the solids in the oral liquid formulation.

Sweetener and preservative incompatibility

[0056] Paraben preservatives (especially methylparaben) can react with selected sugars (glucose, fructose, sucrose, lactose, maltose) and sugar alcohols (xylitol, mannitol, lactitol, maltitol, sorbitol) to form transesterification reaction products. This can be undesirable from a formulation and stability standpoint as the transesterification creates additional degradants.

[0057] In some embodiments, the enalapril oral liquid formulation described herein does not comprise a paraben preservative. In further embodiments, the enalapril oral liquid formulation described herein does not comprise a paraben preservative when the formulation also comprises a sugar or sugar alcohol. pH of Enalapril Oral Liquid Formulations

[0058] Buffering agents maintain the pH of the liquid enalapril formulation. Non-limiting examples of buffering agents include, but are not limited to sodium bicarbonate, potassium bicarbonate, magnesium hydroxide, magnesium lactate, magnesium glucomate, aluminum hydroxide, aluminum hydroxide/sodium bicarbonate co-precipitate, mixture of an amino acid and a buffer, a mixture of an alkali salt of an amino acid and a buffer, and a mixture of an alkali salt of an amino acid and a buffer. Additional buffering agents include citric acid, sodium citrate, sodium tartarate, sodium acetate, sodium carbonate, sodium polyphosphate, potassium polyphosphate, sodium pyrophosphate, potassium pyrophosphate, disodium hydrogenphosphate, dipotassium hydrogenphosphate, trisodium phosphate, tripotassium phosphate, sodium acetate, potassium metaphosphate, magnesium oxide, magnesium hydroxide, magnesium carbonate, magnesium silicate, calcium acetate, calcium glycerophosphate, calcium chloride, calcium hydroxide, calcium lactate, calcium carbonate, calcium bicarbonate, and other calcium salts. Some buffering agents also impart effervescent qualities when a powder is reconstituted in a solution. In some embodiments, the buffering agent is not sodium bicarbonate.

[0059] In some embodiments, the oral liquid formulation comprises a buffer.

[0060] In some embodiments, the buffer in the enalapril oral liquid formulation described herein comprises citric acid. In some embodiments, the buffer in the enalapril oral liquid formulation described herein comprises citric acid and sodium citrate. In some embodiments, the buffer in the enalapril oral liquid formulation described herein comprises citric acid and sodium citrate dihydrate or an equivalent molar amount of sodium citrate anhydrous. In some embodiments, the sodium citrate is monosodium citrate. In some embodiments, the sodium citrate is disodium citrate. In some embodiments, the sodium citrate is trisodium citrate.

[0061] In some embodiments, the buffer in the enalapril oral liquid formulation described herein comprises phosphoric acid. In some embodiments, the buffer in the enalapril oral liquid formulation described herein comprises sodium phosphate.

[0062] In some embodiments, modulation of the pH is desired to provide a lowered impurity profile. In the exemplary stability studies, the main enalapril degradants are enalapril diketopiperazine and enalaprilat:

enalapril diketopiperazine;

enalaprilat

[0063] In some embodiments, the percentage of enalaprilat formation is increased when the pH is above 3.5. (See table C-2 and FIG. 1 and FIG. 2). In some embodiments, the percentage of enalapril diketopiperazine formation is slightly increased as the pH is below 4.

[0064] In some embodiments, the pH of the enalapril oral liquid formulation described herein is less than about 4. In some embodiments, the pH of the enalapril oral liquid formulation described herein is less than about 3.5. In some embodiments, the pH of the enalapril oral liquid formulation described herein is between about 3 and about 4. In some embodiments, the pH of the enalapril oral liquid formulation described herein is between about 3 and about 3.5. In some embodiments, the pH of the enalapril oral liquid formulation described herein is about 3, about 3.1, about 3.2, about 3.3, about 3.4, about 3.5, about 3.6, about 3.7, about 3.8, about 3.9, or about 4. In some embodiments, the pH of the enalapril oral liquid formulation described herein is about 3.3.

[0065] In some embodiments, the formation of degradants is dependent on the buffer concentration. In some embodiments, the buffer concentration impacts the taste of the enalapril oral liquid formulation.

[0066] In some embodiments, the buffer concentration is between about 5 mM and about 20 mM. In some embodiments, the buffer concentration is about 5 mM, about 6 mM, about 7 mM, about 8 mM,

about 9 mM, about 10 mM, about 11 mM, about 12 mM, about 13 mM, about 14 mM, about 15 mM, about 16 mM, about 17 mM, about 18 mM, about 19 mM, or about 20 mM. In some embodiments, the buffer concentration is about 5 mM. In some embodiments, the buffer concentration is about 10 mM. In some embodiments, the buffer concentration is about 20 mM.

[0067] In some embodiments, citric acid is present in about 0.7 to about 2 mg/ml in the oral liquid formulation. In other embodiments, citric acid is present in about 0.7 mg/ml, about 0.71 mg/ml, about 0.72 mg/ml, about 0.73 mg/ml, about 0.74 mg/ml, about 0.75 mg/ml, about 0.76 mg/ml, about 0.77 mg/ml, about 0.78 mg/ml, about 0.79 mg/ml, about 0.8 mg/ml, about 0.81 mg/ml, about 0.82 mg/ml, about 0.83 mg/ml, about 0.84 mg/ml, about 0.85 mg/ml, about 0.86 mg/ml, about 0.87 mg/ml, about 0.88 mg/ml, about 0.89 mg/ml, about 0.9 mg/mL, about 0.91 mg/mL, about 0.92 mg/mL, about 0.93 mg/mL, about 0.94 mg/mL, about 0.95 mg/mL, about 0.96 mg/mL, about 0.97 mg/mL, about 0.98 mg/mL, about 0.99 mg/mL, about 1 mg/mL, about 1.11 mg/ml, about 1.12 mg/ml, about 1.13 mg/ml, about 1.14 mg/ml, about 1.15 mg/ml, about 1.16 mg/ml, about 1.17 mg/ml, about 1.18 mg/ml, about 1.19 mg/ml, about 1.2 mg/ml, about 1.21 mg/ml, about 1.22 mg/ml, about 1.23 mg/ml, about 1.24 mg/ml, about 1.25 mg/ml, about 1.26 mg/ml, about 1.27 mg/ml, about 1.28 mg/ml, about 1.29 mg/ml, about 1.3 mg/mL, about 1.31 mg/mL, about 1.32 mg/mL, about 1.33 mg/mL, about 1.34 mg/mL, about 1.35 mg/mL, about 1.36 mg/mL, about 1.37 mg/mL, about 1.38 mg/mL, about 1.39 mg/mL, about 1.4 mg/ml, about 1.41 mg/ml, about 1.42 mg/ml, about 1.43 mg/ml, about 1.44 mg/ml, about 1.45 mg/ml, about 1.46 mg/ml, about 1.47 mg/ml, about 1.48 mg/ml, about 1.49 mg/ml, about 1.5 mg/ml, about 1.51 mg/ml, about 1.52 mg/ml, about 1.53 mg/ml, about 1.54 mg/ml, about 1.55 mg/ml, about 1.56 mg/ml, about 1.57 mg/ml, about 1.58 mg/ml, about 1.59 mg/ml, about 1.6 mg/mL, about 1.61 mg/mL, about 1.62 mg/mL, about 1.63 mg/mL, about 1.64 mg/mL, about 1.65 mg/mL, about 1.66 mg/mL, about 1.67 mg/mL, about 1.68 mg/mL, about 1.69 mg/mL, about 1.7 mg/ml, about 1.71 mg/ml, about 1.72 mg/ml, about 1.73 mg/ml, about 1.74 mg/ml, about 1.75 mg/ml, about 1.76 mg/ml, about 1.77 mg/ml, about 1.78 mg/ml, about 1.79 mg/ml, about 1.8 mg/ml, about 1.81 mg/ml, about 1.82 mg/ml, about 1.83 mg/ml, about 1.84 mg/ml, about 1.85 mg/ml, about 1.86 mg/ml, about 1.87 mg/ml, about 1.88 mg/ml, about 1.89 mg/ml, about 1.9 mg/mL, about 1.91 mg/mL, about 1.92 mg/mL, about 1.93 mg/mL, about 1.94 mg/mL, about 1.95 mg/mL, about 1.96 mg/mL, about 1.97 mg/mL, about 1.98 mg/mL, about 1.99 mg/mL, or about 2 mg/mL in the oral liquid formulation. In some embodiments, citric acid is present in about 1.65 mg/ml in the oral liquid formulation. In some embodiments, citric acid is present in about 1.82 mg/ml in the oral liquid formulation. In some embodiments, citric acid is present in about 0.82 mg/ml in the oral liquid formulation.

[0068] In some embodiments, citric acid is present in about 2 to about 3.5 mg/ml in the oral liquid formulation. In other embodiments, citric acid is present in about 2 mg/mL, about 2.05 mg/mL, about 2.1

mg/mL, about 2.15 mg/mL, about 2.2 mg/mL, about 2.25 mg/mL, about 2.3 mg/mL, about 2.35 mg/mL, about 2.4 mg/mL, about 2.45 mg/mL, about 2.5 mg/mL, about 2.55 mg/mL, about 2.6 mg/mL, about 2.6 mg/mL, about 2.7 mg/mL, about 2.75 mg/mL, about 2.8 mg/mL, about 2.85 mg/mL, about 2.9 mg/mL, about 2.95 mg/mL, about 3.105 mg/mL, about 3.105 mg/mL, about 3.15 mg/mL, about 3.2 mg/mL, about 3.25 mg/mL, about 3.3 mg/mL, about 3.35 mg/mL, about 3.4 mg/mL, about 3.45 mg/mL, or about 3.5 mg/mL in the oral liquid formulation. In some embodiments, citric acid is present in about 3.3 mg/ml in the oral liquid formulation.

[0069] In some embodiments, citric acid is present in about 10 % w/w to about 50 % w/w of the solids in the oral liquid formulation. In other embodiments, citric acid is present in about 10 % w/w, about 11 % w/w, about 12 % w/w, about 13 % w/w, about 14 % w/w, about 15 % w/w, about 16 % w/w, about 17 % w/w, about 18 % w/w, about 19 % w/w, about 20 % w/w, about 21 % w/w, about 22 % w/w, about 23 % w/w, about 24 % w/w, about 25 % w/w, about 26 % w/w, about 27 % w/w, about 28 % w/w, about 29 % w/w, about 30 % w/w, about 31 % w/w, about 32 % w/w, about 33 % w/w, about 34 % w/w, about 35 % w/w, about 36 % w/w, about 37 % w/w, about 38 % w/w, about 39 % w/w, about 40 % w/w, about 41 % w/w, about 42 % w/w, about 43 % w/w, about 44 % w/w, about 45 % w/w, about 46 % w/w, about 47 % w/w, about 48 % w/w, about 49 % w/w, about 50 % w/w of the solids in the oral liquid formulation. In some embodiments, citric acid is present in about 45 % w/w of the solids in the oral liquid formulation. In some embodiments, citric acid is present in about 31 % w/w of the solids in the oral liquid formulation. In some embodiments, citric acid is present in about 35 % w/w of the solids in the oral liquid formulation. In some embodiments, citric acid is present in about 19 % w/w of the solids in the oral liquid formulation. [0070] In some embodiments, citric acid is present in about 1 % w/w to about 5 % w/w of the solids in the oral liquid formulation. In other embodiments, citric acid is present in about 1 % w/w, about 1.1 % w/w, about 1.2 % w/w, about 1.3 % w/w, about 1.4 % w/w, about 1.5 % w/w, about 1.6 % w/w, about 1.7 % w/w, about 1.8 % w/w, about 1.9 % w/w, about 2 % w/w, about 2.1 % w/w, about 2.2 % w/w, about 2.3 % w/w, about 2.4 % w/w, about 2.5 % w/w, about 2.6 % w/w, about 2.7 % w/w, about 2.8 % w/w, about 2.9 % w/w, about 3 % w/w, about 3.1 % w/w, about 3.2 % w/w, about 3.3 % w/w, about 3.4 % w/w, about 3.5 % w/w, about 3.6 % w/w, about 3.7 % w/w, about 3.8 % w/w, about 3.9 % w/w, about 4 % w/w, about 4.1 % w/w, about 4.2 % w/w, about 4.3 % w/w, about 4.4 % w/w, about 4.5 % w/w, about 4.6 % w/w, about 4.7 % w/w, about 4.8 % w/w, about 4.9 % w/w, or about 5 % w/w of the solids in the oral liquid formulation. In some embodiments, citric acid is present in about 2.1 % w/w of the solids in the oral liquid formulation. In some embodiments, citric acid is present in about 1.6 % w/w of the solids in the oral liquid formulation.

[0071] In some embodiments, sodium citrate dihydrate is present in about 0.1 to about 0.8 mg/ml in the oral liquid formulation. In other embodiments, sodium citrate dihydrate is present in the oral liquid

formulation is about 0.1 mg/mL, about 0.11 mg/mL, about 0.12 mg/mL, about 0.13 mg/mL, about 0.14 mg/mL, about 0.15 mg/ml, about 0.16 mg/mL, about 0.17 mg/mL, about 0.18 mg/mL, about 0.19 mg/mL, about 0.2 mg/ml, about 0.21 mg/ml, about 0.22 mg/ml, about 0.23 mg/ml, about 0.24 mg/ml, about 0.25 mg/ml, about 0.26 mg/ml, about 0.27 mg/ml, about 0.28 mg/ml, about 0.29 mg/ml, about 0.3 mg/ml, about 0.31 mg/ml, about 0.32 mg/ml, about 0.33 mg/ml, about 0.34 mg/ml, about 0.35 mg/ml, about 0.36 mg/ml, about 0.37 mg/ml, about 0.38 mg/ml, about 0.39 mg/ml, about 0.4 mg/ml, about 0.41 mg/ml, about 0.42 mg/ml, about 0.43 mg/ml, about 0.44 mg/ml, about 0.45 mg/ml, about 0.46 mg/ml, about 0.47 mg/ml, about 0.48 mg/ml, about 0.49 mg/ml, about 0.5 mg/ml, about 0.51 mg/ml, about 0.52 mg/ml, about 0.53 mg/ml, about 0.54 mg/ml, about 0.55 mg/ml, about 0.56 mg/ml, about 0.57 mg/ml, about 0.58 mg/ml, about 0.59 mg/ml, about 0.6 mg/ml, about 0.61 mg/ml, about 0.62 mg/ml, about 0.63 mg/ml, about 0.64 mg/ml, about 0.65 mg/ml, about 0.66 mg/ml, about 0.67 mg/ml, about 0.68 mg/ml, about 0.69 mg/ml, about 0.7 mg/ml, about 0.71 mg/ml, about 0.72 mg/ml, about 0.73 mg/ml, about 0.74 mg/ml, about 0.75 mg/ml, about 0.76 mg/ml, about 0.77 mg/ml, about 0.78 mg/ml, about 0.79 mg/ml, or about 0.8 mg/ml in the oral liquid formulation. In some embodiments, sodium citrate dihydrate is present in about 0.75 mg/ml in the oral liquid formulation. In some embodiments, sodium citrate dihydrate is present in about 0.35 mg/ml in the oral liquid formulation. In some embodiments, sodium citrate dihydrate is present in about 0.2 mg/ml in the oral liquid formulation. In some embodiments, sodium citrate dihydrate is present in about 0.15 mg/ml in the oral liquid formulation.

[0072] In some embodiments, sodium citrate dihydrate is present in about 1 % w/w to about 15 % w/w of the solids in the oral liquid formulation. In other embodiments, sodium citrate dihydrate is present in about 1 % w/w, about 1.1 % w/w, about 1.2 % w/w, about 1.3 % w/w, about 1.4 % w/w, about 1.5 % w/w, about 1.6 % w/w, about 1.7 % w/w, about 1.8 % w/w, about 1.9 % w/w, about 2 % w/w, about 2.1 % w/w, about 2.2 % w/w, about 2.3 % w/w, about 2.4 % w/w, about 2.5 % w/w, about 2.6 % w/w, about 2.7 % w/w, about 2.8 % w/w, about 2.9 % w/w, about 3 % w/w, about 3.1 % w/w, about 3.2 % w/w, about 3.3 % w/w, about 3.4 % w/w, about 3.5 % w/w, about 3.6 % w/w, about 3.7 % w/w, about 3.8 % w/w, about 3.9 % w/w, about 4 % w/w, about 4.5 % w/w, about 5 % w/w, about 5.5 % w/w, about 6 % w/w, about 6.5 % w/w, about 7 % w/w, about 7.5 % w/w, about 5 % w/w, about 8.5 % w/w, about 9 % w/w, about 9.5 % w/w, about 10 % w/w, about 10.5 % w/w, about 11 % w/w, about 11.5 % w/w, about 12 % w/w, about 12.5 % w/w, about 13 % w/w, about 13.5 % w/w, about 14 % w/w, about 14.5 % w/w, about 15 % w/w of the solids in the oral liquid formulation. In some embodiments, sodium citrate dihydrate is present in about 10.5 % w/w of the solids in the oral liquid formulation. In some embodiments, sodium citrate dihydrate is present in about 7.5 % w/w of the solids in the oral liquid formulation. In some embodiments, sodium citrate dihydrate is present in about 4.5 % w/w of the solids

in the oral liquid formulation. In some embodiments, sodium citrate dihydrate is present in about 2.9 % w/w of the solids in the oral liquid formulation.

[0073] In other embodiments, sodium citrate dihydrate is not added to the formulation. *Additional excipients*

[0074] In further embodiments, the enalapril liquid formulation described herein comprises additional excipients including, but not limited to, glidants, flavoring agents, coloring agents and thickeners.

Additional excipients such as bulking agents, tonicity agents and chelating agents are within the scope of the embodiments.

[0075] Glidants are substances that improve flowability of a powder. Suitable glidants include, but are not limited to, calcium phosphate tribasic, calcium silicate, cellulose (powdered), colloidal silicon dioxide, magnesium silicate, magnesium trisilicate, silicon dioxide, starch, talc and the like. In some embodiments, the enalapril powder formulations described herein comprise a glidant. In some embodiments the glidant is not colloidal silicon dioxide.

[0076] In another embodiment, the enalapril liquid formulation comprises a flavoring agent or flavorant to enhance the taste or aroma of the formulation in liquid form. Suitable natural or synthetic flavoring agents can be selected from standard reference books, for example Fenaroli's Handbook of Flavor Ingredients, 3rd edition (1995). Non-limiting examples of suitable natural flavors, some of which can readily be simulated with synthetic agents or combinations thereof, include almond, anise, apple, apricot, bergamot, blackberry, blackcurrant, blueberry, cacao, caramel, cherry, cinnamon, clove, coffee, coriander, cranberry, cumin, dill, eucalyptus, fennel, fig, ginger, grape, grapefruit, guava, hop, lemon, licorice, lime, malt, mandarin, molasses, nutmeg, mixed berry, orange, peach, pear, peppermint, pineapple, raspberry, rose, spearmint, strawberry, tangerine, tea, vanilla, wintergreen, etc. Also useful, particularly where the formulation is intended primarily for pediatric use, is tutti-frutti or bubblegum flavor, a compounded flavoring agent based on fruit flavors. Presently preferred flavoring agents include anise, cinnamon, cacao, orange, peppermint, cherry (in particular wild cherry), grape, bubblegum, vanilla, and mixed berry. In some embodiments, the enalapril liquid formulation described herein comprises a mixed berry flavoring agent. Flavoring agents can be used singly or in combinations of two or more.

[0077] In further embodiments, the enalapril liquid formulation comprises a coloring agent for identity and/or aesthetic purposes. Suitable coloring agents illustratively include FD&C Red No. 3, FD&C Red No. 20, FD&C Red No. 40, FD&C Yellow No. 6, FD&C Blue No. 2, FD&C Green No. 5, FD&C Orange No. 5, caramel, ferric oxide and mixtures thereof.

[0078] Thickeners impart viscosity or weight to the resultant liquid forms from the enalapril formulation described herein. Exemplary thickeners include dextrin, cellulose derivatives (carboxymethylcellulose and its salts, ethylcellulose, hydroxyethyl cellulose, methylcellulose, hypromellose, and the like) starches,

pectin, polyethylene glycol, polyethylene oxide, trehalose and certain gums (xanthan gum, locust bean gum, etc.). In certain embodiments, the enalapril liquid formulation comprises a thickener.

[0079] Additional excipients are contemplated in the enalapril liquid formulation embodiments. These additional excipients are selected based on function and compatibility with the enalapril liquid formulations described herein and may be found, for example in *Remington: The Science and Practice of Pharmacy*, Nineteenth Ed (Easton, PA: Mack Publishing Company, 1995); Hoover, John E., *Remington's Pharmaceutical Sciences*, (Easton, PA: Mack Publishing Co 1975); Liberman, H.A. and Lachman, L., Eds., *Pharmaceutical Dosage Forms* (New York, NY: Marcel Decker 1980); and *Pharmaceutical Dosage Forms and Drug Delivery Systems*, Seventh Ed (Lippincott Williams & Wilkins 1999), herein incorporated by reference in their entirety.

Stability

[0080] The main enalapril degradants are enalapril diketopiperazine and enalaprilat.

[0081] The enalapril oral liquid formulations described herein are stable in various storage conditions including refrigerated, ambient and accelerated conditions. Stable as used herein refers to enalapril oral liquid formulations having about 95 % or greater of the initial enalapril amount and about 5 % w/w or less total impurities or related substances at the end of a given storage period. The percentage of impurities is calculated from the amount of impurities relative to the amount of enalapril. Stability is assessed by HPLC or any other known testing method. In some embodiments, the stable enalapril oral liquid formulations have about 5 % w/w, about 4 % w/w, about 3 % w/w, about 2.5 % w/w, about 2 % w/w, about 1.5 % w/w, about 1 % w/w, or about 0.5 % w/w total impurities or related substances. In other embodiments, the stable enalapril oral liquid formulations have about 5 % w/w total impurities or related substances. In yet other embodiments, the stable enalapril oral liquid formulations have about 4 % w/w total impurities or related substances. In yet other embodiments, the stable enalapril oral liquid formulations have about 3 % w/w total impurities or related substances. In yet other embodiments, the stable enalapril oral liquid formulations have about 1 % w/w total impurities or related substances. In yet other embodiments, the stable enalapril oral liquid formulations have about 1 % w/w total impurities or related substances. In yet other embodiments, the stable enalapril oral liquid formulations have about 1 % w/w total impurities or related substances.

[0082] At refrigerated condition, the enalapril oral liquid formulations described herein are stable for at least 1 month, at least 2 months, at least 3 months, at least 6 months, at least 9 months, at least 12 months, at least 15 months, at least 18 months, at least 24 months, at least 30 months and at least 36 months. In some embodiments, refrigerated condition is 5±3 °C. In some embodiments, refrigerated condition is about 2 °C, about 2.1 °C, about 2.2 °C, about 2.3 °C, about 2.4 °C, about 2.5 °C, about 2.6 °C, about 2.7 °C, about 2.8 °C, about 2.9 °C, about 3 °C, about 3.1 °C, about 3.2 °C, about 3.3 °C, about 3.4 °C, about 3.5 °C, about 3.6 °C, about 3.7 °C, about 3.8 °C, about 3.9 °C, about 4 °C, about 4.1 °C, about 4.2 °C,

about 4.3 °C, about 4.4 °C, about 4.5 °C, about 4.6 °C, about 4.7 °C, about 4.8 °C, about 4.9 °C, about 5 °C, about 5.1 °C, about 5.2 °C, about 5.3 °C, about 5.4 °C, about 5.5 °C, about 5.6 °C, about 5.7 °C, about 5.8 °C, about 5.9 °C, about 6.0 °C, about 6.1 °C, about 6.2 °C, about 6.3 °C, about 6.4 °C, about 6.5 °C, about 6.6 °C, about 6.7 °C, about 6.8 °C, about 6.9 °C, about 7 °C, about 7.1 °C, about 7.2 °C, about 7.3 °C, about 7.4 °C, about 7.5 °C, about 7.6 °C, about 7.7 °C, about 7.8 °C, about 7.9 °C, or about 8 °C. At accelerated conditions, the enalapril oral liquid formulations described herein are stable for at least 1 months, at least 2 months, at least 3 months, at least 4 months, at least 5 months, at least 6 months, at least 7 months, at least 8 months, at least 9 months, at least 10 months, at least 11 months or at least 12 months. Accelerated conditions for the enalapril oral liquid formulations described herein include temperature and/or relative humidity (RH) that are at or above ambient levels (e.g. 25±5 °C; 55±10% RH). In some instances, an accelerated condition is at about 25 °C, about 30 °C, about 35 °C, about 40 °C, about 45 °C, about 50 °C, about 50 °C or about 60 °C. In other instances, an accelerated condition is above 55% RH, about 70 % RH, about 75 % RH or about 80 % RH. In further instances, an accelerated condition is about 40 °C or 60 °C at ambient humidity. In yet further instances, an accelerated condition is about 40 °C or 60 °C at ambient humidity. In yet further instances, an accelerated condition is about 40 °C at 75±5 % RH humidity.

Enalapril Oral Powder Formulation

[0083] In another aspect, enalapril oral liquid formulations described herein are prepared from the reconstitution of an enalapril powder formulation. In some embodiments, the enalapril powder formulation comprising enalapril, a sweetener, a preservative, and optionally an excipient is dissolved in water, a buffer, other aqueous solvent, or a liquid to form an enalapril oral liquid formulation. In one embodiment, the sweetening agent is sucralose. In one embodiment, the sweetener is not mannitol. In one embodiment, the sweetening agent is xylitol. In another embodiment, the preservative is sodium benzoate. In one embodiment, the preservative is a paraben preservative. In one aspect, the enalapril powder formulation described herein comprises enalapril, sucralose, and sodium benzoate. In some embodiments, the enalapril powder formulation herein further comprises a flavoring agent. In some embodiments, the enalapril powder formulation herein further comprises one or more buffering agents.

[0084] In some embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.5% w/w to about 30 % w/w of the powder formulation. In other embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.5 % w/w, about 1 % w/w, about 1.5 % w/w, about 2 % w/w, about 2.5 % w/w, about 3 % w/w, about 3.5 % w/w, about 4 % w/w, about 4.5 % w/w, about 5 % w/w, about 5.5 % w/w, about 6 % w/w, about 6.5 % w/w, about 7 % w/w, about 7.5 % w/w, about 8 % w/w, about 8.5 % w/w, about 9 % w/w, about 9.5 % w/w, about 10 % w/w, about 10.5 % w/w, about 11 % w/w, about 11.5 % w/w, about 12 % w/w, about 12.5 % w/w, about 13 % w/w, about 13.5 %

w/w, about 14% w/w, about 14.5 % w/w, about 15 % w/w, about 15.5 % w/w, about 16 % w/w, about 16.5 % w/w, about 17 % w/w, about 17.5 % w/w, about 18 % w/w, about 18.5 % w/w, about 19 % w/w, about 19.5 % w/w, about 20 % w/w, about 20.5 % w/w, about 21 % w/w, about 21.5 % w/w, about 22 % w/w, about 22.5 % w/w, about 23 % w/w, about 23.5 % w/w, about 24 % w/w, about 24.5 % w/w, about 25 % w/w, about 25.5 % w/w, about 26 % w/w, about 26.5 % w/w, about 27 % w/w, about 27.5 % w/w, about 28 % w/w, about 28.5 % w/w, about 29 % w/w, about 29.5 % w/w, or about 30 % w/w of the powder formulation. In some embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 10% w/w to about 25 % w/w of the powder formulation. In some embodiments, enalapril maleate is present in about 13.5 % w/w of the powder formulation. In some embodiments, enalapril maleate is present in about 19.5 % w/w of the powder formulation. In some embodiments, enalapril is present in about 10.5 % w/w of the powder formulation. In some embodiments, enalapril is present in about 10.5 % w/w of the powder formulation. In some embodiments, enalapril is present in about 14.5 % w/w of the powder formulation. In some embodiments, enalapril is present in about 14.5 % w/w of the powder formulation. In some embodiments, enalapril is present in about 14.5 % w/w of the powder formulation. In some embodiments, enalapril is present in about 14.5 % w/w of the powder formulation. In some embodiments, enalapril is present in about 14.5 % w/w of the powder formulation. In some embodiments, enalapril is present in about 18 % w/w of the powder formulation.

[0085] In some embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.1% w/w to about 1 % w/w of the powder formulation. In other embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.1 % w/w, about 0.15 % w/w, about 0.2 % w/w, about 0.25 % w/w, about 0.3 % w/w, about 0.35 % w/w, about 0.4 % w/w, about 0.45 % w/w, about 0.5 % w/w, about 0.55 % w/w, about 0.6 % w/w, about 0.65 % w/w, about 0.7 % w/w, about 0.75 % w/w, about 0.8 % w/w, about 0.85 % w/w, about 0.9 % w/w, about 0.95 % w/w, or about 1 % w/w of the powder formulation. In some embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.4 % w/w to about 0.7 % w/w of the powder formulation. In some embodiments, enalapril maleate is present in about 0.45 % w/w of the powder formulation. In some embodiments, enalapril maleate is present in about 0.4 % w/w of the powder formulation. In some embodiments, enalapril is present in about 0.4 % w/w of the powder formulation. In some embodiments, enalapril is present in about 0.5 % w/w of the powder formulation. In some embodiments, enalapril is present in about 0.5 % w/w of the powder formulation. In some embodiments, enalapril is present in about 0.5 % w/w of the powder formulation. In some embodiments, enalapril is present in about 0.5 % w/w of the powder formulation.

[0086] Various amounts and concentrations of other components (sweeteners, buffers, preservatives, and the like) in the enalapril powder formulations are found in the previous section describing the amounts and concentrations for the analogous enalapril oral liquid formulations. For example, in some embodiments where sucralose is present in about 1 % w/w to about 30 % w/w of the solids in the oral liquid formulation; in an analogous enalapril powder formulation, sucralose would be about 1 % w/w to about 30 % w/w in the powder formulation. In some embodiments where sodium benzoate is present in about 1 % w/w to about 30 % w/w of the solids in the oral liquid formulation, in an analogous enalapril

powder formulation sodium benzoate is present in about 1 % w/w to about 30 % w/w in the powder formulation.

[0087] Liquid vehicles suitable for the enalapril powder formulations to be reconstituted into an oral solution described herein are selected for a particular oral liquid formulation (solution, suspension, etc.) as well as other qualities such as clarity, toxicity, viscosity, compatibility with excipients, chemical inertness, palatability, odor, color and economy. Exemplary liquid vehicles include water, ethyl alcohol, glycerin, propylene glycol, syrup (sugar or other sweetener based, e.g., Ora-Sweet® SF sugar-free flavored syrup), juices (apple, grape, orange, cranberry, cherry, tomato and the like), other beverages (tea, coffee, soft drinks, milk and the like), oils (olive, soybean, corn, mineral, castor and the like), and combinations or mixtures thereof. Certain liquid vehicles, e.g., oil and water, can be combined together to form emulsions. In some embodiments, water is used for as a vehicle for a enalapril oral liquid formulation. In other embodiments, a syrup is used for as a vehicle for a enalapril oral liquid formulation. In yet other embodiments, a juice is used for as a vehicle for a enalapril oral liquid formulation. [0088] Buffering agents maintain the pH of the liquid enalapril formulation. Non-limiting examples of buffering agents include, but are not limited to sodium bicarbonate, potassium bicarbonate, magnesium hydroxide, magnesium lactate, magnesium gluconate, aluminum hydroxide, aluminum hydroxide/sodium bicarbonate co precipitate, mixture of an amino acid and a buffer, a mixture of aluminum glycinate and a buffer, a mixture of an acid salt of an amino acid and a buffer, and a mixture of an alkali salt of an amino acid and a buffer. Additional buffering agents include citric acid, sodium citrate, sodium tartrate, sodium acetate, sodium carbonate, sodium polyphosphate, potassium polyphosphate, sodium pyrophosphate, potassium pyrophosphate, disodium hydrogenphosphate, dipotassium hydrogenphosphate, trisodium phosphate, tripotassium phosphate, sodium acetate, potassium metaphosphate, magnesium oxide, magnesium hydroxide, magnesium carbonate, magnesium silicate, calcium acetate, calcium glycerophosphate, calcium chloride, calcium hydroxide, calcium lactate, calcium carbonate, calcium bicarbonate, and other calcium salts. Some buffering agents also impart effervescent qualities when a powder is reconstituted in a solution.

[0089] In some embodiments, the reconstituted oral liquid formulation comprises a buffer. In some embodiments, the buffer comprises citric acid and sodium citrate.

In further embodiments, the enalapril powder formulation described herein comprises additional excipients including, but not limited to, glidants, flavoring agents, coloring agents and thickeners.

Additional excipients such as bulking agents, tonicity agents and chelating agents are within the scope of the embodiments.

[0090] Glidants are substances that improve flowability of a powder. Suitable glidants include, but are not limited to, calcium phosphate tribasic, calcium silicate, cellulose (powdered), colloidal silicon

dioxide, magnesium silicate, magnesium trisilicate, silicon dioxide, starch, talc and the like. In some embodiments, the enalapril powder formulations described herein comprise a glidant.

[0091] In another embodiment, the enalapril powder formulation described herein comprises a flavoring agent or flavorant to enhance the taste or aroma of the formulation in liquid form. Suitable natural or synthetic flavoring agents can be selected from standard reference books, for example Fenaroli's Handbook of Flavor Ingredients, 3rd edition (1995). Non-limiting examples of suitable natural flavors, some of which can readily be simulated with synthetic agents or combinations thereof, include almond, anise, apple, apricot, bergamot, blackberry, blackcurrant, blueberry, cacao, caramel, cherry, cinnamon, clove, coffee, coriander, cranberry, cumin, dill, eucalyptus, fennel, fig, ginger, grape, grapefruit, guava, hop, lemon, licorice, lime, malt, mandarin, molasses, nutmeg, mixed berry, orange, peach, pear, peppermint, pineapple, raspberry, rose, spearmint, strawberry, tangerine, tea, vanilla, wintergreen, etc. Also useful, particularly where the formulation is intended primarily for pediatric use, is tutti-frutti or bubblegum flavor, a compounded flavoring agent based on fruit flavors. Presently preferred flavoring agents include anise, cinnamon, cacao, orange, peppermint, cherry (in particular wild cherry), grape, bubblegum, vanilla, and mixed berry. Flavoring agents can be used singly or in combinations of two or more.

[0092] In further embodiments, the enalapril powder formulation described herein comprises a coloring agent for identity and/or aesthetic purposes. Suitable coloring agents illustratively include FD&C Red No. 3, FD&C Red No. 20, FD&C Red No. 40, FD&C Yellow No. 6, FD&C Blue No. 2, D&C Green No. 5, D&C Orange No. 5, caramel, ferric oxide and mixtures thereof.

[0093] In further embodiments, the enalapril powder formulation described herein comprises a thickener. Thickeners impart viscosity or weight to the resultant liquid forms from the enalapril formulation described herein. Exemplary thickeners include dextrin, cellulose derivatives (carboxymethylcellulose and its salts, ethylcellulose, hydroxyethyl cellulose, methylcellulose, hypromellose, and the like) starches, pectin, polyethylene glycol, polyethylene oxide, trehalose and certain gums (xanthan gum, locust bean gum, etc.).

[0094] Additional excipients are contemplated in the enalapril powder formulation embodiments. These additional excipients are selected based on function and compatibility with the the enalapril powder formulation described herein and may be found, for example in *Remington: The Science and Practice of Pharmacy*, Nineteeth Ed (Easton, PA: Mack Publishing Company, 1995); Hoover, John E., *Remington's Pharmaceutical Sciences*, (Easton, PA: Mack Publishing Co 1975); Liberman, H.A. and Lachman, L., Eds., *Pharmaceutical Dosage Forms* (New York, NY: Marcel Decker 1980); and *Pharmaceutical Dosage Forms and Drug Delivery Systems*, Seventh Ed (Lippincott Williams & Wilkins 1999), herein incorporated by reference in their entirety.

[0095] In some embodiments, the enalapril oral liquid formulation prepared from the powder formulations described herein are homogenous. Homogenous liquids as used herein refer to those liquids that are uniform in appearance, identity, consistency and drug concentration per volume. Non-homogenous liquids include such liquids that have varied coloring, viscosity and/or aggregation of solid particulates, as well as non-uniform drug concentration in a given unit volume. Homogeneity in liquids are assessed by qualitative identification or appearance tests and/or quantitative HPLC testing or the like. The mixing methods and excipients described herein are selected to impart a homogenous quality to a resultant enalapril oral liquid formulation.

[0096] Mixing methods encompass any type of mixing that result in a homogenous enalapril oral liquid formulation. In some embodiments, a quantity of an enalapril powder formulation is added to a liquid vehicle and then mixed by a stirring, shaking, swirling, agitation element or a combination thereof. In certain instances, a fraction of a enalapril powder formulation (i.e., one-half, one-third, one-fourth, etc.) is added to a liquid vehicle, mixed by stirring, shaking, swirling, agitation or a combination thereof, and the subsequent powder fraction(s) is added and mixed. In other embodiments, a liquid vehicle is added to an enalapril powder formulation in a container, for example, a bottle, vial, bag, beaker, syringe, or the like. The container is then mixed by stirring, shaking, swirling, agitation, inversion or a combination thereof. In certain instances, a fractional volume of the liquid vehicle (i.e., one-half, one-third, one-fourth volume, etc.) is added to a enalapril powder formulation in a container, mixed by stirring, shaking, swirling, agitation, inversion or a combination thereof; and the subsequent liquid fraction(s) is added and mixed. In certain instances, a one-half fractional volume of the liquid vehicle is added to an enalapril powder formulation in a container and mixing by shaking; the other one-half fractional volume of the liquid vehicle is then subsequently added and mixed. In any of the above embodiments, mixing (i.e., stirring, shaking, swirling, agitation, inversion or a combination thereof) occurs for a certain time intervals such as about 10 seconds, about 20 seconds, about 30 seconds, about 45 seconds, about 60 seconds, about 90 seconds, about 120 seconds, about 2.5 minutes, about 3 minutes, about 3.5 minutes, about 4 minutes, or about 5 minutes. In embodiments, where there are two or more mixing steps, the time intervals for each mixing can be the same (e.g., 2x10 seconds) or different (e.g., 10 seconds for first mixing and 20 seconds for second mixing). In any of the above embodiments, a enalapril oral liquid formulation is allowed to stand for a period of time such as about 10 minutes, about 20 minutes, about 30 minutes, about 45 minutes, about 1 hour, about 1.5 hours or about 2 hours, to allow any air bubbles resultant from any of the mixing methods to dissipate.

Stability of Enalapril Powder Formulation

[0097] The enalapril powder formulations described herein are stable in various storage conditions including refrigerated, ambient and accelerated conditions. Stable as used herein refer to enalapril powder

formulations having about 95 % or greater of the initial enalapril amount and 5 % w/w or less total impurities or related substances at the end of a given storage period. The percentage of impurities is calculated from the amount of impurities relative to the amount of enalapril. Stability is assessed by HPLC or any other known testing method. In some embodiments, the stable enalapril powder formulations have about 5 % w/w, about 4 % w/w, about 3 % w/w, about 2.5 % w/w, about 2 % w/w, about 1.5 % w/w, about 1 % w/w, or about 0.5 % w/w total impurities or related substances. In other embodiments, the stable enalapril powder formulations have about 5 % w/w total impurities or related substances. In yet other embodiments, the stable enalapril powder formulations have about 4 % w/w total impurities or related substances. In yet other embodiments, the stable enalapril powder formulations have about 3 % w/w total impurities or related substances. In yet other embodiments, the stable enalapril powder formulations have about 2 % w/w total impurities or related substances. In yet other embodiments, the stable enalapril powder formulations have about 1 % w/w total impurities or related substances. In yet other embodiments, the stable enalapril powder formulations have about 1 % w/w total impurities or related substances.

[0098] At refrigerated and ambient conditions, in some embodiments, the enalapril powder formulations described herein are stable for at least 1 week, 2 weeks, 4 weeks, 6 weeks, 8 weeks, 10 weeks, 12 weeks, 16 weeks, 20 weeks, at least 24 weeks, at least 30 weeks, or at least 36 weeks. At accelerated conditions, in some embodiments, the enalapril powder formulations described herein are stable for at least 1 week, at least 2 weeks, at least 3 weeks, at least 4 weeks, at least 5 weeks, at least 6 weeks, at least 7 weeks, at least 8 weeks, at least 9 weeks, at least 10 weeks, at least 11 weeks or at least 12 weeks. Accelerated conditions for the enalapril powder formulations described herein include temperature and/or relative humidity (RH) that are above ambient levels (e.g. 25±4 °C; 55±10 % RH). In some instances, an accelerated condition is at about 30 °C, about 35 °C, about 40 °C, about 45 °C, about 50 °C, about 55 °C or about 60 °C. In other instances, an accelerated condition is above 65 % RH, about 70 % RH, about 75 % RH or about 80 % RH. In further instances, an accelerated condition is about 40 °C or 60 °C at ambient humidity. In yet further instances, an accelerated condition is about 40 °C at 75±5 % RH humidity.

Kits and Articles of Manufacture

[0099] For the enalapril powder and liquid formulations described herein, kits and articles of manufacture are also described. Such kits can comprise a carrier, package, or container that is compartmentalized to receive one or more containers such as vials, tubes, and the like, each of the container(s) comprising one of the separate elements to be used in a method described herein including an enalapril powder or liquid formulation. Suitable containers include, for example, bottles, vials, syringes, and test tubes. The containers can be formed from a variety of materials such as glass or plastic.

[00100] A kit will typically may comprise one or more additional containers, each with one or more of various materials (such as reagents, optionally in concentrated form, and/or devices) desirable from a commercial and user standpoint for an enalapril powder or liquid formulation described herein. Non-limiting examples of such materials include, but not limited to, buffers, diluents, filters, needles, syringes; carrier, package, container, vial and/or tube labels listing contents and/or instructions for use, and package inserts with instructions for use associated with an enalapril powder or liquid formulation. A set of instructions will also typically be included.

[00101] A label can be on or associated with the container. A label can be on a container when letters, numbers or other characters forming the label are attached, molded or etched into the container itself; a label can be associated with a container when it is present within a receptacle or carrier that also holds the container, e.g., as a package insert. A label can be used to indicate that the contents are to be used for a specific therapeutic application. The label can also indicate directions for use of the contents, such as in the methods described herein.

Methods

[00102] Provided herein, in one aspect, are methods of treatment comprising administration of the enalapril oral liquid formulations described herein to a subject. In some embodiments, the enalapril oral liquid formulations described herein treat hypertension in a subject. Hypertension as used herein includes both primary (essential) hypertension and secondary hypertension. In certain instances, hypertension is classified in cases when blood pressure values are greater than or equal to 140/90 (systolic/diastolic) mm Hg in a subject. In certain instances, the enalapril oral liquid formulations described herein treat a subject having a blood pressure values are greater than or equal to 140/90 mm Hg. In certain instances, the enalapril oral liquid formulations described herein treat primary (essential) hypertension in a subject. In other instances, the enalapril oral liquid formulations described herein treat secondary hypertension in a subject.

[00103] In other embodiments, the enalapril oral liquid formulations described herein treat prehypertension in a subject. Prehypertension as used herein refers to cases where a subject's blood pressure is elevated above normal but not to the level considered to be hypertension. In some instances, prehypertension is classified in cases when blood pressure values are 120-139/80-89 mm Hg. In certain instances, the enalapril oral liquid formulations described herein treat a subject having blood pressure values of 120-139/80-89 mm Hg.

[00104] In yet other embodiments, the enalapril oral liquid formulations described herein are prophylactically administered to subjects suspected of having, predisposed to, or at risk of developing hypertension. In some embodiments, the administration of enalapril oral liquid formulations described

herein allow for early intervention prior to onset of hypertension. In certain embodiments, upon detection of a biomarker, environmental, genetic factor, or other marker, the enalapril oral liquid formulations described herein are prophylactically administered to subjects.

[00105] In further embodiments, the enalapril oral liquid formulations described herein treat heart failure (e.g., symptomatic congestive), asymptomatic left ventricular dysfunction, myocardial infarction, diabetic nephropathy and chronic renal failure. In certain instances, the enalapril oral liquid formulations described herein treat symptomatic congestive heart failure. In other instances, the enalapril oral liquid formulations described herein treat asymptomatic left ventricular dysfunction. In further instances, the enalapril oral liquid formulations described herein treat myocardial infarction. In yet further instances, the enalapril oral liquid formulations described herein treat diabetic nephropathy. In yet further instances, the enalapril oral liquid formulations described herein treat chronic renal failure.

Dosing

[00106] In one aspect, the enalapril oral liquid formulations are used for the treatment of diseases and conditions described herein. In addition, a method for treating any of the diseases or conditions described herein in a subject in need of such treatment, involves administration of enalapril oral liquid formulations in therapeutically effective amounts to said subject.

[00107] Dosages of enalapril oral liquid formulations described can be determined by any suitable method. Maximum tolerated doses (MTD) and maximum response doses (MRD) for enalapril and/or enalaprilat can be determined via established animal and human experimental protocols as well as in the examples described herein. For example, toxicity and therapeutic efficacy of enalapril and/or enalaprilat can be determined by standard pharmaceutical procedures in cell cultures or experimental animals, including, but not limited to, for determining the LD₅₀ (the dose lethal to 50% of the population) and the ED₅₀ (the dose therapeutically effective in 50% of the population). The dose ratio between the toxic and therapeutic effects is the therapeutic index and it can be expressed as the ratio between LD₅₀ and ED₅₀. Enalapril dosages exhibiting high therapeutic indices are of interest. The data obtained from cell culture assays and animal studies can be used in formulating a range of dosage for use in human. The dosage of such compounds lies preferably within a range of circulating concentrations that include the ED₅₀ with minimal toxicity. The dosage may vary within this range depending upon the dosage form employed and the route of administration utilized. Additional relative dosages, represented as a percent of maximal response or of maximum tolerated dose, are readily obtained via the protocols.

[00108] In some embodiments, the amount of a given enalapril oral liquid formulation that corresponds to such an amount varies depending upon factors such as the particular enalapril salt or form, disease condition and its severity, the identity (e.g., weight, sex) of the subject or host in need of treatment, but

can nevertheless be determined according to the particular circumstances surrounding the case, including, *e.g.*, the specific agent being administered, the liquid composition type, the condition being treated, and the subject or host being treated.

[00109] In some embodiments, the enalapril oral liquid formulations described herein are provided in a dose per day from about 0.01 mg to 100 mg, from about 0.1 mg to about 80 mg, from about 1 to about 60, from about 2 mg to about 40 mg of enalapril. In certain embodiments, the enalapril oral liquid formulations described herein are provided in a daily dose of about 0.01 mg, about 0.05 mg, about 0.1 mg, about 0.2 mg, about 0.4 mg, about 0.6 mg, about 0.8 mg, about 1 mg, about 1.5 mg, about 2 mg, about 2.5 mg, about 3 mg, about 4 mg, about 5 mg, about 6 mg, about 7 mg, about 8 mg, about 9 mg, about 10 mg, about 11 mg, about 12 mg, about 15 mg, about 20 mg, about 25 mg, about 30 mg, about 35 mg, about 40 mg, about 45 mg, about 50 mg, about 55 mg, about 60 mg, about 65 mg, about 70 mg, about 76, mg, about 80 mg, about 85 mg, about 90 mg or about 100 mg, or any range derivable therein. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 1 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 2 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 3 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 4 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 5 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 6 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 7 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 8 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 9 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 10 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 11 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 12 mg. The dose per day described herein can be given once per day or multiple times per day in the form of subdoses given b.i.d., t.i.d., q.i.d., or the like where the number of sub-doses equal the dose per day. [00110] In further embodiments, the daily dosages appropriate for the enalapril oral liquid formulations described herein are from about 0.01 to about 1.0 mg/kg per body weight. In one embodiment, the daily dosages appropriate for the enalapril oral liquid formulations are from about 0.02 to about 0.8 mg/kg enalapril per body weight. In another embodiment, the daily dosage appropriate for the enalapril oral liquid formulations are from about 0.05 to about 0.6 mg/kg per body weight. In another embodiment, the daily dosage appropriate for the enalapril oral liquid formulations is about 0.05 mg/kg, about 0.06 mg/kg,

about 0.07 mg/kg, about 0.08 mg/kg, about 0.10 mg/kg, about 0.15 mg/kg, about 0.20 mg/kg, about 0.25 mg/kg, about 0.30 mg/kg, about 0.40 mg/kg, about 0.50 mg/kg, or about 0.60 mg/kg.

[00111] In other embodiments the enalapril oral liquid formulations are provided at the maximum tolerated dose (MTD) for enalapril and/or enalaprilat. In other embodiments, the amount of the enalapril oral liquid formulations administered is from about 10% to about 90% of the maximum tolerated dose (MTD), from about 25% to about 75% of the MTD, or about 50% of the MTD. In particular embodiments, the amount of the enalapril oral liquid formulations administered is from about 5%, 10%, 15%, 20%, 25%, 30%, 35%, 40%, 45%, 50%, 55%, 60%, 65%, 70%, 75%, 80%, 85%, 90%, 95%, 99%, or higher, or any range derivable therein, of the MTD for enalapril and/or enalaprilat.

[00112] In further embodiments, the enalapril oral liquid formulations are provided in a dosage that is similar, comparable or equivalent to a dosage of a known enalapril tablet formulation. In other embodiments, the enalapril oral liquid formulations are provided in a dosage that provides a similar, comparable or equivalent pharmacokinetic parameters (e.g., AUC, C_{max} , T_{max} , C_{min} , $T_{1/2}$) as a dosage of a known enalapril tablet formulation. Similar, comparable or equivalent pharmacokinetic parameters, in some instances, refer to within 80% to 125%, 80% to 120%, 85% to 125%, 90% to 110%, or increments therein, of the given values. It should be recognized that the ranges can, but need not be symmetrical, e.g., 85% to 105%.

Administration

[00113] Administration of an enalapril oral liquid formulation is at a dosage described herein or at other dose levels and formulations determined and contemplated by a medical practitioner. In certain embodiments, the enalapril oral liquid formulations described herein are administered for prophylactic and/or therapeutic treatments. In certain therapeutic applications, the enalapril oral liquid formulations are administered to a patient already suffering from a disease, e.g., hypertension, in an amount sufficient to cure the disease or at least partially arrest or ameliorate the symptoms, e.g., lower blood pressure.

Amounts effective for this use depend on the severity of the disease, previous therapy, the patient's health status, weight, and response to the enalapril formulations, and the judgment of the treating physician. Therapeutically effective amounts are optionally determined by methods including, but not limited to, a dose escalation clinical trial.

[00114] In prophylactic applications, the enalapril oral liquid formulations described herein are administered to a patient susceptible to or otherwise at risk of a particular disease, e.g., hypertension. Such an amount is defined to be a "prophylactically effective amount or dose." In this use, the precise amounts also depend on the patient's state of health, weight, and the like. When used in a patient, effective amounts for this use will depend on the risk or susceptibility of developing the particular

disease, previous therapy, the patient's health status and response to the enalapril formulations, and the judgment of the treating physician.

[00115] In certain embodiments wherein the patient's condition does not improve, upon the doctor's discretion the administration of an enalapril oral liquid formulations described herein are administered chronically, that is, for an extended period of time, including throughout the duration of the patient's life in order to ameliorate or otherwise control or limit the symptoms of the patient's disease. In other embodiments, administration of an enalapril oral liquid formulation continues until complete or partial response of a disease.

[00116] In certain embodiments wherein a patient's status does improve, the dose of an enalapril oral liquid formulation being administered may be temporarily reduced or temporarily suspended for a certain length of time (*i.e.*, a "drug holiday"). In specific embodiments, the length of the drug holiday is between 2 days and 1 year, including by way of example only, 2 days, 3 days, 4 days, 5 days, 6 days, 7 days, 10 days, 12 days, 15 days, 20 days, 28 days, 35 days, 50 days, 70 days, 100 days, 120 days, 150 days, 180 days, 200 days, 250 days, 280 days, 300 days, 320 days, 350 days, and 365 days. The dose reduction during a drug holiday is, by way of example only, by 10%-100%, including by way of example only 10%, 15%, 20%, 25%, 30%, 35%, 40%, 45%, 50%, 55%, 60%, 65%, 70%, 75%, 80%, 85%, 90%, 95%, and 100%.

[00117] In some embodiments, enalapril oral liquid formulations described herein are administered chronically. For example, in some embodiments, an enalapril oral liquid formulation is administered as a continuous dose, i.e., administered daily to a subject. In some other embodiments, enalapril oral liquid formulations described herein are administered intermittently (e.g. drug holiday that includes a period of time in which the formulation is not administered or is administered in a reduced amount).

[00118] In some embodiments an enalapril oral liquid formulation is administered to a subject who is in a fasted state. A fasted state refers to a subject who has gone without food or fasted for a certain period of time. General fasting periods include at least 4 hours, at least 6 hours, at least 8 hours, at least 10 hours, at least 12 hours, at least 14 hours and at least 16 hours without food. In some embodiments, an enalapril oral liquid formulation is administered orally to a subject who is in a fasted state for at least 8 hours. In other embodiments, an enalapril oral liquid formulation is administered to a subject who is in a fasted state for at least 10 hours. In yet other embodiments, an enalapril oral liquid formulation is administered to a subject who is in a fasted state for at least 12 hours. In other embodiments, an enalapril oral liquid formulation is administered to a subject who has fasted overnight.

[00119] In other embodiments an enalapril oral liquid formulation is administered to a subject who is in a fed state. A fed state refers to a subject who has taken food or has had a meal. In certain embodiments, an enalapril oral liquid formulation is administered to a subject in a fed state 5 minutes post-meal, 10

minutes post-meal, 15 minutes post-meal, 20 minutes post-meal, 30 minutes post-meal, 40 minutes post-meal, 50 minutes post-meal, 1 hour post-meal, or 2 hours post-meal. In certain instances, an enalapril oral liquid formulation is administered to a subject in a fed state 30 minutes post-meal. In other instances, an enalapril oral liquid formulation is administered to a subject in a fed state 1 hour post-meal. In yet further embodiments, an enalapril oral liquid formulation is administered to a subject with food.

[00120] In further embodiments described herein, an enalapril oral liquid formulation is administered at a certain time of day for the entire administration period. For example, an enalapril oral liquid formulation can be administered at a certain time in the morning, in the evening, or prior to bed. In certain instances, an enalapril oral liquid formulation is administered in the morning. In other embodiments, an enalapril oral liquid formulation can be administered at different times of the day for the entire administration period. For example, an enalapril oral liquid formulation can be administered on 8:00 am in the morning for the first day, 12 pm noon for the next day or administration, 4 pm in the afternoon for the third day or administration, and so on.

Further Combinations

[00121] The treatment of certain diseases or conditions (e.g., hypertension, heart failure, myocardial infarction and the like) in a subject with an enalapril oral liquid formulation described herein encompass additional therapies and treatment regimens with other agents in some embodiments. Such additional therapies and treatment regimens can include another therapy, e.g., additional anti-hypertensives, for treatment of the particular disease or condition in some embodiments. Alternatively, in other embodiments, additional therapies and treatment regimens include other agents used to treat adjunct conditions associated with the disease or condition or a side effect from the enalapril oral liquid formulation in the therapy.

[00122] Additional agents for use in combination with an enalapril oral liquid formulation described herein include, but are not limited to, diuretics (loop, thiazide, potassium-sparing, and the like), beta blockers (metoprolol, propanolol, pronethalol, and the like), alpha blockers (phentolamine, phenoxybenzamine, tamsulosin, prazosin, and the like), mixed alpha and beta blockers (bucindolol, carvedilol, labetalol), calcium channel blockers (dihydropyridines such as nifedipine, amlodipine, etc., dilitazem, verapamil and the like), angiotensin II receptor antagonists (saralasin, lsartan, eprosartin, irbesartan, valsartan, and the like), other ACE inhibitors (captopril, quinapril, ramipril, lisinopril, zofenopril, and the like), aldosterone antagonists (eplerenone, spironolactone and the like), vasodilators (hydralazine and the like) and alpha-2 agonists (clonidine, moxonidine, guanabenz and the like).

Certain Definitions

[00123] Unless defined otherwise, all technical and scientific terms used herein have the same meanings as commonly understood by one of ordinary skill in the art. Although any methods and materials similar or equivalent to those described herein can be used in the practice or testing of embodiments described herein, certain preferred methods, devices, and materials are now described.

[00124] As used herein and in the appended claims, the singular forms "a", "an", and "the" include plural reference unless the context clearly dictates otherwise. Thus, for example, reference to "an excipient" is a reference to one or more excipients and equivalents thereof known to those skilled in the art, and so forth. [00125] The term "about" is used to indicate that a value includes the standard level of error for the device or method being employed to determine the value. The use of the term "or" in the claims is used to mean "and/or" unless explicitly indicated to refer to alternatives only or the alternatives are mutually exclusive, although the disclosure supports a definition that refers to only alternatives and to "and/or." The terms "comprise," "have" and "include" are open-ended linking verbs. Any forms or tenses of one or more of these verbs, such as "comprises," "comprising," "has," "having," "includes" and "including," are also open-ended. For example, any method that "comprises," "has" or "includes" one or more steps is not limited to possessing only those one or more steps and also covers other unlisted steps.

[00126] "Optional" or "optionally" may be taken to mean that the subsequently described structure, event or circumstance may or may not occur, and that the description includes instances where the events occurs and instances where it does not.

[00127] As used herein, the term "therapeutic" means an agent utilized to treat, combat, ameliorate, prevent or improve an unwanted condition or disease of a patient. In some embodiments, a therapeutic agent such as enalapril is directed to the treatment and/or the amelioration of, reversal of, or stabilization of the symptoms of hypertension described herein.

[00128] "Administering" when used in conjunction with a therapeutic means to administer a therapeutic systemically or locally, as directly into or onto a target tissue, or to administer a therapeutic to a patient whereby the therapeutic positively impacts the tissue to which it is targeted. Thus, as used herein, the term "administering", when used in conjunction with an enalapril formulation, can include, but is not limited to, providing an enalapril formulation into or onto the target tissue; providing an enalapril formulation systemically to a patient by, e.g., oral administration whereby the therapeutic reaches the target tissue or cells. "Administering" a formulation may be accomplished by injection, topical administration, and oral administration or by other methods alone or in combination with other known techniques.

[00129] The term "animal" as used herein includes, but is not limited to, humans and non-human vertebrates such as wild, domestic and farm animals. As used herein, the terms "patient," "subject" and "individual" are intended to include living organisms in which certain conditions as described herein can occur. Examples include humans, monkeys, cows, sheep, goats, dogs, cats, mice, rats, and transgenic

species thereof. In a preferred embodiment, the patient is a primate. In certain embodiments, the primate or subject is a human. In certain instances, the human is an adult. In certain instances, the human is child. In further instances, the human is 12 years of age or younger. In certain instances, the human is elderly. In other instances, the human is 60 years of age or older. Other examples of subjects include experimental animals such as mice, rats, dogs, cats, goats, sheep, pigs, and cows. The experimental animal can be an animal model for a disorder, e.g., a transgenic mouse with hypertensive pathology. A patient can be a human suffering from hypertension, or its variants or etiological forms.

[00130] By "pharmaceutically acceptable", it is meant the carrier, diluent or excipient must be compatible with the other ingredients of the formulation and not deleterious to the recipient thereof.

[00131] The term "pharmaceutical composition" shall mean a composition comprising at least one active ingredient, whereby the composition is amenable to investigation for a specified, efficacious outcome in a mammal (for example, without limitation, a human). Those of ordinary skill in the art will understand and appreciate the techniques appropriate for determining whether an active ingredient has a desired efficacious outcome based upon the needs of the artisan.

[00132] A "therapeutically effective amount" or "effective amount" as used herein refers to the amount of active compound or pharmaceutical agent that elicits a biological or medicinal response in a tissue, system, animal, individual or human that is being sought by a researcher, veterinarian, medical doctor or other clinician, which includes one or more of the following: (1) preventing the disease; for example, preventing a disease, condition or disorder in an individual that may be predisposed to the disease, condition or disorder but does not yet experience or display the pathology or symptomatology of the disease, (2) inhibiting the disease; for example, inhibiting a disease, condition or disorder in an individual that is experiencing or displaying the pathology or symptomatology of the disease, condition or disorder (i.e., arresting further development of the pathology and/or symptomatology), and (3) ameliorating the disease; for example, ameliorating a disease, condition or disorder in an individual that is experiencing or displaying the pathology or symptomatology of the disease, condition or disorder (i.e., reversing the pathology and/or symptomatology). As such, a non-limiting example of a "therapeutically effective amount" or "effective amount" of a formulation of the present disclosure may be used to inhibit, block, or reverse the activation, migration, or proliferation of cells or to effectively treat hypertension or ameliorate the symptoms of hypertension.

[00133] The terms "treat," "treated," "treatment," or "treating" as used herein refers to both therapeutic treatment in some embodiments and prophylactic or preventative measures in other embodiments, wherein the object is to prevent or slow (lessen) an undesired physiological condition, disorder or disease, or to obtain beneficial or desired clinical results. For the purposes described herein, beneficial or desired clinical results include, but are not limited to, alleviation of symptoms; diminishment of the extent of the

condition, disorder or disease; stabilization (i.e., not worsening) of the state of the condition, disorder or disease; delay in onset or slowing of the progression of the condition, disorder or disease; amelioration of the condition, disorder or disease state; and remission (whether partial or total), whether detectable or undetectable, or enhancement or improvement of the condition, disorder or disease. Treatment includes eliciting a clinically significant response without excessive levels of side effects. Treatment also includes prolonging survival as compared to expected survival if not receiving treatment. A prophylactic benefit of treatment includes prevention of a condition, retarding the progress of a condition, stabilization of a condition, or decreasing the likelihood of occurrence of a condition. As used herein, "treat," "treated," "treatment," or "treating" includes prophylaxis in some embodiments.

EXAMPLES

Example A: Effect of pH on the Formation of Degradants in Enalapril Formulations at 60 °C.

[00134] Formulations were prepared containing enalapril maleate according to Table A-1. The pH of each solution was recorded. Five milliliters of each formulation were transferred to each of four 3-dram glass screw-capped vials with Teflon inserts in the caps. The vials were placed into a 60 °C heating chamber then one vial removed and analyzed by HPLC at times of zero, ~97 and ~180 hours.

TABLE A-1

Formulation (in mg/mL) of Er	nalapril Form					entration		
	Formulation (mM citrate)							
Component	A1 (50)	A2 (50)	A3 (50)	A4 (50)	A5 (50)	A6 (25)		
Enalapril maleate	1.0	1.0	1.0	1.0	1.0	1.0		
Mannitol	50	50	50		50	6.0		
Xylitol				50				
Citric acid, anhydrous	7.35	5.05	2.55	5.05	5.05	2.76		
Sodium citrate, dihydrate	3.45	7.0	10.8	7.0	7.0	3.15		
Sodium benzoate	1	1	1	1	1			
Methylparaben sodium					1.75	0.335		
Propylparaben sodium						0.095		
Potassium sorbate						1		
Sucralose	0.75	0.75	0.75	0.75	0.75	0.75		
Silicon dioxide						0.075		
Mixed berry flavor (powdered)	0.5	0.5	0.5	0.5	0.5	0.5		
Water	qs	qs	qs	qs	qs	qs		
рН	3.4	4.4	5.2	4.4	4.5	4.4		

qs = sufficient quantity

[00135] The results of the HPLC analysis for the two main degradants in the samples, enalapril diketopiperazine and enalaprilat, are provided in Table A-2.

TABLE A-2

Primary De	gradants P	resent in the	Formulation	ons (% w/w	of enalapril n	naleate)				
			Fo	rmulation						
Hours at 60 °C	Al	A2	A3	A4	A5	A 6				
Enalapril Diketopiperazine										
0	0.04	0.03	0.03	0.03	0.03	0.03				
97	3.10	0.88	0.33	0.86	0.70	0.53				
180	6.21	1.77	0.75	1.73	1.43	1.07				
		-	Enalaprilat							
0	0.09	0.15	0.29	0.14	0.16	0.12				
97	5.20	16.9	47.4	16.1	20.3	15.6				
180	9.94	34.8	113	33.5	42.2	31.7				

Example B: Effect of Buffer Concentration on the Formation of Degradants in Enalapril Formulations at $60\,^{\circ}\text{C}$.

[00136] Formulations were prepared containing enalapril maleate according to Table B-1. The pH of each solution was measured and adjusted as needed to pH 3.3 with \sim 1N HCl or \sim 0.5N NaOH. Five milliliters of each formulation were transferred to each of six 3-dram glass screw-capped vials with Teflon inserts in the caps. The vials were placed into a 60 °C heating chamber then two vials were removed and analyzed by HPLC at times of zero, \sim 66 and \sim 139 hours.

TABLE B-1

Formulation (in mg/mL) of Enalapril Maleate Formulations at Varying Citrate Buffer Concentrations									
		Formulation							
Component	B1 (5mM citrate)	B2 (10mM citrate)	B3 (20mM citrate)						
Enalapril maleate	1.0	1.0	1.0						
Citric acid, anhydrous	0.82	1.65	3.29						
Sodium citrate, anhydrous	0.19	0.38	0.75						
Sodium benzoate	1.0	1.0	1.0						
Sucralose	0.7	0.7	0.7						

Mixed berry flavor (powdered)	0.5	0.5	0.5	
Water	qs	qs	qs	
рН	3.3	3.3	3.3	

qs = sufficient quantity

[00137] The results of the HPLC analysis for the two main degradants in the samples, enalapril diketopiperazine and enalaprilat, are provided in Table B-2.

TABLE B-2

Primary Degra	Primary Degradants Present in the Formulations (% w/w of enalapril maleate)									
	Formulation									
Hours at 60°C	B1 (5mM citrate)	B2 (10mM citrate)	B3 (20mM citrate)							
	Enalapril Di	ketopiperazine								
0	0.01	0.01	0.01							
66	1.57	1.63	1.79							
139	3.70	3.94	4.24							
	Enal	aprilat								
0	0.00	0.00	0.00							
66	2.98	2.88	3.19							
139	5.28	5.23	5.69							

Example C: Stability of Enalapril Maleate Formulations Containing Paraben Preservatives.

[00138] Powder formulations were prepared according to Table C-1. All components in each formulation except mannitol or xylitol were added to a 2.5 liter polypropylene screw capped bottle. The bottle was mixed by inversion in a Turbula[®] mixer for 5 minutes. The mannitol or xylitol was then added and the components mixed for 5 minutes, then the other half of the mannitol or xylitol was added and a final mix of 5 minutes was completed.

One liter of solution formulation was prepared for each formulation by adding an appropriate amount of each powdered formulation to a 1 liter volumetric flask and adding about 500mL water. The powder was dissolved with mixing then the contents of the flask were brought to 1 liter with additional water. The amount of powder to add was determined such that the final concentration of enalapril maleate was 1.0 mg/mL. Fifty milliliter aliquots of each formulation were placed into HDPE bottles. The bottles were screw-capped and placed into storage at 5 °C \pm 3°C, at room temperature (19-23 °C) and at 40°C \pm 2 °C. At various times, bottles were removed from the storage condition and analyzed.

TABLE C-1

IADLI	± €-1								
Composition of Enalapril Maleate Formulations									
er Formul	ation (gra	ms)							
C 1	C2	C3	C4	C5					
12.3	12.3	8.86	2.16	2.16					
74.4	74.4	394.0							
			96.6	93.7					
28.6	35.6	28.4	5.40	5.40					
24.5	14.7	7.73	4.10	4.10					
4.17	4.17	8.86	2.16	2.16					
1.10	1.10								
12.3	12.3								
		8.86	2.16	2.16					
				1.62					
0.859	0.859	4.43		1.08					
9.20	9.20	6.64	1.62	1.62					
6.13	6.13	4.43	1.08	1.08					
173.5	170.7	472.3	115.2	115.2					
Formulati	ions (mg/r	nL)							
1.00	1.00	1.00	1.00	1.00					
6.07	6.07	44.5							
			44.7	43.4					
2.33	2.90	3.21	2.50	2.50					
2.00	1.20	0.87	1.90	1.90					
0.34	0.34	1.00	1.00	1.00					
0.09	0.09	1.00							
1.00	1.00								
		1.00	1.00	1.00					
				0.75					
0.07	0.07	0.50		0.50					
0.75	0.75	0.75	0.75	0.75					
0.50	0.50	0.50	0.50	0.50					
	Enalapril er Formul C1 12.3 74.4 28.6 24.5 4.17 1.10 12.3 0.859 9.20 6.13 173.5 Formulati 1.00 6.07 2.33 2.00 0.34 0.09 1.00 0.07 0.75	C1 C2 12.3 12.3 74.4 74.4 28.6 35.6 24.5 14.7 4.17 4.17 1.10 1.10 12.3 12.3 0.859 0.859 9.20 9.20 6.13 6.13 173.5 170.7 Formulations (mg/normulations) The state of the state	Tenalapril Maleate Formulation (grams) C1	Enalapril Maleate Formulations er Formulation (grams) C1					

[00139] The results of the HPLC analysis for the diketopiperazine and enalaprilat degradants in the samples are provided in Table C-2.

TABLE C-2

Degrada	nt Conten	t After Sto	orage (%	w/w of e	nalapril n	naleate)	
	Sto	orage		F	ormulati	on	
	°C	Weeks	C 1	C 2	C 3	C4	C5
		Liquid	Formulat	tions			
Diketopiperazine	5	0	0.03	0.04	0.04	0.02	0.02
		4	0.02	0.03	0.03	0.03	0.02
		8	0.03	0.04	0.04		
	19-23	0	0.03	0.04	0.04	0.02	0.02
		4	0.05	0.09	0.11	0.05	0.04
		8	0.08	0.17	0.19		
	40	0	0.03	0.04	0.04	0.02	0.02
		4	0.35	0.91	1.10	0.31	0.21
		8	0.65	1.80	2.05		
Enalaprilat	5	0	0.18	0.14	0.12	0.13	0.19
		4	0.18	0.15	0.12	0.43	0.53
		8	0.55	0.38	0.34		
	19-23	0	0.18	0.14	0.12	0.13	0.19
		4	1.35	0.83	0.80	1.75	2.29
		8	3.34	2.06	1.98		
	40	0	0.18	0.14	0.12	0.13	0.19
		4	10.49	6.08	6.11	12.30	16.14
		8	24.37	14.12	14.22		

Example D: Stability of Enalapril Maleate Formulations Containing Benzoate Preservative.

[00140] Powder formulations were prepared according to Table D-1. All components in each formulation except enalapril maleate and mannitol or xylitol were blended with a mortar and pestle. The enalapril maleate was then triturated with the blend. The xylitol or mannitol was then triturated into the blend using a geometric dilution technique.

One liter of solution formulation was prepared for each formulation by adding an appropriate amount of each powdered formulation to a 1 liter volumetric flask and adding about 500mL water. The powder was dissolved with mixing then the contents of the flask were brought to 1 liter with additional water. The

amount of powder to add was determined such that the final concentration of enalapril maleate was 1.0 mg/mL. Fifty milliliter aliquots of each formulation were placed into HDPE bottles. The bottles were screw-capped and placed into storage at $5^{\circ}C \pm 3^{\circ}C$, at room temperature (19-23°C) and at $40^{\circ}C \pm 2^{\circ}C$. At various times, bottles were removed from the storage condition and analyzed.

TABLE D-1

Composition of Er	nalapril M	aleate For	rmulations			
Powder I	Formulatio	on (grams)			
Component	D1	D2	D 3	D4	D 5	D 6
Enalapril maleate	3.63	3.63	3.63	3.63	8.86	2.16
Xylitol	537.2	176.1		537.2		
Mannitol			319.4		401.2	98.9
Citric acid, anhydrous	11.9	11.9	11.9	10.4	26.6	6.48
Sodium citrate, anhydrous	2.72	2.72	2.72	4.86	11.3	2.76
Sodium benzoate	3.63	3.63	3.63	3.63	8.86	2.16
Rebalance X60 (sucralose and maltodextrin)		10.9				
Sucralose					6.64	1.62
Saccharin sodium			7.26			
Colloidal silicon dioxide					4.43	
Mixed berry flavor	1.82	1.82	1.82	1.82	4.43	1.08
Total solids	561	211	350.	561	472.3	115.2
Liquid Fo	rmulation	s (mg/mI	ــ)			
Enalapril maleate	1.00	1.00	1.00	1.00	1.00	1.00
Xylitol	148.0	48.5		148.0		
Mannitol			88.0		45.3	45.8
Citric acid, anhydrous	3.29	3.29	3.29	2.85	3.00	3.00
Sodium citrate, anhydrous	0.75	0.75	0.75	1.34	1.28	1.28
Sodium benzoate	1.00	1.00	1.00	1.00	1.00	1.00
Rebalance X60 (sucralose and maltodextrin)		3.00				
Sucralose					0.75	0.75
Saccharin sodium			2.00			
Colloidal silicon dioxide					0.50	
Mixed berry flavor	0.50	0.50	0.50	0.50	0.50	0.50
pH (measured)	3.2	3.2	3.4	3.7	3.6	3.6

 $\label{eq:condition} \begin{tabular}{l} [00141]{\tt The results of the HPLC analysis for the diketopiperazine and enalaprilat degradants in the samples are provided in Table D-2.} \end{tabular}$

TABLE D-2

	Sto	orage	Formulation					
	°C	Weeks	D 1	D 2	D3	D4	D5	D 6
		Li	quid For	mulations	S			
Diketopiperazine	5	0	0.04	0.02	0.03	0.03	0.04	0.04
		4	0.07	0.03	0.05	0.05	0.03	
		8	0.11	0.06	0.08	0.08	0.05	
		12	0.08	0.04	0.06	0.06		
		26	0.11	0.07	0.09	0.07		
	19-23	0	0.04	0.02	0.03	0.03	0.04	0.04
		4	0.27	0.21	0.24	0.16	0.12	0.12
		8	0.50	0.41	0.47	0.30	0.21	0.22
		12	0.62	0.52	0.58	0.35		
		26	1.39	1.20	1.33	0.76		
	40	0	0.04	0.02	0.03	0.03	0.04	0.04
		4	2.87	2.32	2.73	1.57	1.21	1.13
		8	5.13	4.42	5.44	2.97	2.23	2.16
		12	6.86	5.90	6.90	3.91		
		26	13.63	12.18	13.56	7.74		
Enalaprilat	5	0	0.03	0.02	0.03	0.03	0.13	0.14
		4	0.15	0.12	0.06	0.17	0.13	
		8	0.22	0.19	0.22	0.27	0.34	
		12	0.20	0.17	0.19	0.22		
		8	0.32	0.30	0.30	0.39		
	19-23	0	0.03	0.02	0.03	0.03	0.13	0.14
		4	0.69	0.66	0.69	0.86	0.74	0.76
		8	1.38	1.33	1.41	1.68	1.83	1.82
		12	1.71	1.68	1.73	2.15		
		26	3.63	3.61	3.59	4.55		
	40	0	0.03	0.02	0.03	0.03	0.13	0.14

4	4.76	4.42	4.76	6.45	5.55	5.24
8	8.95	8.64	9.61	12.94	12.73	12.18
12	11.01	10.64	11.41	16.16		
26	17.18	17.11	18.30	27.36		

Example E: Stability of Solution Formulations of Enalapril Maleate.

[00142] Solution formulations were prepared according to Table E-1. Thirty milliliter aliquots of each formulation were placed into HDPE bottles. The bottles were screw-capped and placed into storage at 5 $^{\circ}$ C \pm 3 $^{\circ}$ C, at room temperature (19-23 $^{\circ}$ C) and at 40 $^{\circ}$ C \pm 2 $^{\circ}$ C. At various times, bottles were removed from the storage condition and analyzed.

Composition of	Composition of Enalapril Maleate Formulations (mg/mL)									
Component	El	E2	E3	E4	E5	E6				
Enalapril maleate	1.00	1.00	1.00	1.00	1.00	1.00				
Xylitol	150	200		150						
Citric acid anhydrous	3.29	3.29	3.29	3.29	1.65	0.82				
Sodium citrate anhydrous	0.75	0.75	0.75	0.75	0.38	0.19				
Sodium benzoate	1.00	1.00	1.00	1.00	1.00	1.00				
Sucralose			0.70		0.70	0.70				
Mixed berry flavor	0.50		0.50	0.50	0.50	0.50				
Water	qs	qs	qs	qs	qs	qs				
pH (measured)	3.3	3.3	3.3	3.4	3.3	3.3				

qs = sufficient quantity

[00143] The results of the HPLC analysis for the diketopiperazine and enalaprilat degradants in the samples are provided in Table E-2.

TABLE E-2

Degradant Content After Storage (% w/w of enalapril maleate)								
	Sto	orage			Form	ılation		
	°C	Weeks	E1	E2	E3	E4	E5	E6
Diketopiperazine	5	0	0.01	0.01	0.01	0.01	0.01	0.01
		4	0.04	0.04	0.05	0.04	0.03	0.03
		8	0.04	0.04	0.04	0.04	0.03	0.03
		12	0.05	0.05	0.04	0.05	0.04	0.04

		26	0.07	0.06	0.05	0.06	0.05	0.05
		52					0.15	0.14
		62	0.18	0.18	0.16	0.14		
	19-23	0	0.01	0.01	0.01	0.01	0.01	0.01
		4	0.22	0.23	0.21	0.20	0.16	0.15
		8	0.35	0.35	0.32	0.31	0.29	0.28
		12	0.58	0.59	0.53	0.51	0.48	0.45
		26	1.10	1.10	1.00	0.95	0.97	0.92
		52					2.30	2.15
		62	3.02	3.04	2.75	2.64		
	40	0	0.01	0.01	0.01	0.01	0.01	0.01
		4	2.65	2.71	2.60	2.42	1.76	1.68
		8	4.02	3.99	3.99	3.62	3.37	3.13
		12	6.72	6.42	6.47	6.00	5.53	5.29
Enalaprilat	5	0	0.00	0.00	0.01	0.02	0.00	0.00
		4	0.07	0.09	0.10	0.11	0.07	0.08
		8	0.12	0.14	0.10	0.13	0.09	0.08
		12	0.16	0.15	0.15	0.17	0.14	0.11
		26	0.31	0.30	0.29	0.31	0.27	0.24
		52					0.54	0.46
		62	0.75	0.75	0.74	0.71		
	19-23	0	0.00	0.00	0.01	0.02	0.00	0.00
		4	0.65	0.65	0.68	0.70	0.50	0.46
		8	1.17	1.19	1.20	1.23	1.03	0.95
		12	1.67	1.69	1.72	1.80	1.30	1.21
		26	3.36	3.38	3.42	3.57	3.07	2.90
		52					6.32	5.88
		62	7.99	8.02	8.04	8.57		
	40	0	0.00	0.00	0.01	0.02	0.00	0.00
		4	4.85	4.93	5.19	5.42	3.33	3.25
		8	8.08	8.06	8.56	9.01	6.65	6.35
		12	10.70	10.48	11.01	11.97	8.14	7.96

Example F: Effect of pH on the Formation of Degradants in Enalapril Formulations at 5 °C and 19-23 °C.

[00144] The content of enalapril diketopiperazine and enalaprilat that were formed after 8 weeks of storage for formulations C1-C3 and D1-D5 are plotted in Figure 1 (5°C \pm 3 °C) and Figure 2 (19-23 °C storage). These formulations all contained 20mM total citrate buffer content, but with varying pH. The general effects of formulation pH on the formation of the two main enalapril degradants are shown.

Example G: Antimicrobial Effectiveness Testing of Enalapril Maleate Formulations at pH 3.3

[00145] Enalapril formulations were prepared containing differing amounts of the antimicrobial preservative, sodium benzoate. The formulations were then tested for antimicrobial effectiveness (AET) according to the procedures in the 2014 United States Pharmacopeia 37, Chapter <51> for category 3 products. The formulation of the formulations and the AET results are included in Table G-1.

TABLE G-1

Formulation and AET Testing Results						
	Formulation					
	G1	G2	G3	G4	G5	
	Formulation	on (mg/mL)	l			
Enalapril maleate	1.00	1.00	1.00	1.00	1.00	
Xylitol	150	150	150	150		
Sucralose					0.70	
Citric acid, anhydrous	1.64	1.64	1.64	1.64	1.80	
Sodium citrate, anhydrous	0.322	0.322	0.322	0.322		
Sodium citrate, dihydrate					0.165	
Sodium benzoate	1.00	0.80	0.60	0.40	1.0	
Mixed berry flavor	0.50	0.50	0.50	0.50	0.50	
Water	q.s.	q.s.	q.s.	q.s.	q.s.	
HCl/NaOH	as need to achieve pH					
Measured pH	3.3	3.3	3.3	3.3	3.3	
AET Results						
USP <51>	Pass	Pass	Pass	Pass	Pass	

qs = sufficient quantity

Example H: Clinical Trial: Bioavailability Study of 10mg Enalapril Maleate Oral Solution vs. 10mg Epaned[®] Powder for Oral Solution (Reconstituted) Under Fasted Conditions

[00146] The objective of this open-label, randomized, two-period, two-treatment, two-way crossover study was to compare the oral bioavailability of a test formulation of 10mL of enalapril maleate oral solution, 1 mg/mL (formulation E-5), to an equivalent oral dose of the commercially available comparator product, Epaned[®] (enalapril maleate) Powder for Oral Solution, 1 mg/mL, when administered under fasted conditions in healthy adults.

[00147] Study design: Thirty-two healthy adult subjects received a single 10mL dose of enalapril maleate oral solution, 1 mg/mL, formulation E-5 (Treatment A), in one period and a separate single dose of Epaned Powder for Oral Solution (reconstituted with the supplied Ora-Sweet SF), 1 mg/mL (Treatment B) in another period. Each treatment was administered after an overnight fast of at least 10 hours, followed by a 4-hour fast postdose. Each treatment was administered via a 10 mL oral dosing syringe and followed with 240 mL of room temperature tap water. Each drug administration was separated by a washout period of at least 7 days.

[00148] During each study period, meals were the same and scheduled at approximately the same times relative to dose. In addition, during each period, blood samples were obtained prior to and following each dose at selected times through 72 hours postdose. Pharmacokinetic samples were analyzed for enalapril and its metabolite enalaprilat using a validated analytical method; appropriate pharmacokinetic parameters were calculated for each formulation using non-compartmental methods. Blood was also drawn and urine collected for clinical laboratory testing at screening and at the end of the study. [00149] Statistical Methods: The concentration-time data were analyzed using noncompartmental methods in PhoenixTM WinNonlin® (Version 6.3, Pharsight Corporation). Concentration-time data that were below the limit of quantitation (BLQ) were treated as zero in the data summarization and descriptive statistics. In the pharmacokinetic analysis, BLQ concentrations were treated as zero from time-zero up to the time at which the first quantifiable concentration was observed; embedded and/or terminal BLQ concentrations were treated as "missing". Actual sample times were used for all pharmacokinetic and statistical analyses. Analysis of variance (ANOVA) and the Schuirmann's two one-sided t-test procedures at the 5% significance level were applied to the log-transformed pharmacokinetic exposure parameters, C_{max}, AUC_{last}, and AUC_{inf}. The 90% confidence interval for the ratio of the geometric means (Test/Reference) was calculated. Bioequivalence was declared if the lower and upper confidence intervals (CIs) of the log-transformed parameters were within 80% to 125% for enalapril and enalaprilat. [00150] Results: A total of 32 subjects participated in the study and 29 of these subjects completed both study periods. Based on the geometric mean ratios of enalapril and enalaprilat AUCs (AUClast and AUC_{inf}), the bioavailability of the enalapril maleate oral solution (formulation E-5) relative to the Epaned

Powder for Oral Solution (reconstituted) was approximately 105% to 110%. The geometric mean ratios of enalapril and enalaprilat C_{max} were approximately 115% and 109%, respectively. The 90% CI for comparing the maximum exposure to enalapril and enalaprilat, based on $\ln (C_{max})$, was within the accepted 80% to 125% limits. The 90% CIs for comparing total systemic exposure to enalapril and enalaprilat, based on $\ln (AUC_{last})$ and $\ln (AUC_{inf})$, was within the accepted 80% to 125% limits. Therefore, the test formulation of enalapril maleate oral solution, 1 mg/mL, is bioequivalent to the reference product, Epaned Powder for Oral Solution (reconstituted), 1 mg/mL, under fasted conditions.

[00151] While preferred embodiments of the present invention have been shown and described herein, it will be obvious to those skilled in the art that such embodiments are provided by way of example only. Numerous variations, changes, and substitutions will now occur to those skilled in the art without departing from the invention. It should be understood that various alternatives to the embodiments of the invention described herein may be employed in practicing the invention. It is intended that the following claims define the scope of the invention and that methods and structures within the scope of these claims and their equivalents be covered thereby.

Attorney Docket No.: 43060-707.305

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Inventors:

Gerold L. Mosher, et al.

Serial No.: 16/242,898

Filed: January 8, 2019

Title: ENALAPRIL FORMULATIONS

Group Art Unit: Not Yet Assigned

Confirmation No.: 1032

Examiner: Not Yet Assigned

Customer No.: 21971

Certificate of Electronic Filing

I hereby certify that the attached Preliminary Amendment and all marked attachments are being deposited by Electronic Filing on **January 18**, 2019, by using the EFS

Web patent filing system and addressed to:

Commissioner for Patents, P.O. Box 1450, Alexandria,

VA 22313-1450.

By: /Rose Andico/

Rose Andico

Mail Stop Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

PRELIMINARY AMENDMENT

Commissioner:

Applicant respectfully requests entry of the proposed amendments prior to examination and allowance of the pending claims.

Amendments to the Claims begin on page 2.

Remarks begin on page 6.

Conclusion begins on page 7.

Attorney Docket No.: 43060-707.305

U.S. Patent Application No. 43060-707.305 *Preliminary Amendment*

Amendments to the Claims

This listing of claims will replace all prior versions, and listings, of claims in this application. The following amendments do not constitute an admission regarding the patentability of the amended subject matter and should not be so construed. Amendments to the claims were made for purposes of more clearly stating the claimed subject matter and do not add new matter or alter the scope of the claims.

Listing of the Claims:

- 1. (Original) A stable oral liquid formulation, comprising:
 - (i) about 0.6 to about 1.2 mg/ml enalapril or a pharmaceutically acceptable salt or solvate thereof;
 - (ii) a buffer to maintain the pH about 4.5 or below;
 - (iii) about 1 mg/ml of a preservative that is sodium benzoate; and
 - (iv) water;

wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 12 months; and

wherein the stable oral liquid formulation has about 95% w/w or greater of the initial enalapril amount and about 5% w/w or less total impurity or related substances at the end of the given storage period.

- 2. (Original) The stable oral liquid formulation of claim 1 further comprising a sweetener.
- 3. (Original) The stable oral liquid formulation of claim 2, wherein the sweetener is sucralose.
- 4. (Original) The stable oral liquid formulation of claim 1 further comprising a flavoring agent.
- 5. (Currently Amended) The stable oral liquid formulation of claim 1, wherein the buffer comprises a citrate, a phosphate, a citrate/phosphate, an acetate, <u>a glycinate</u>, or a tartrate buffer.
- 6. (Original) The stable oral liquid formulation of claim 1, wherein the buffer comprises citric acid and sodium citrate.

- 7. (Original) The stable oral liquid formulation of claim 6, wherein the citric acid and/or the sodium citrate is anhydrous, monohydrate or dihydrate.
- 8. (Original) The stable oral liquid formulation of claim 1, wherein the buffer comprises phosphoric acid and sodium phosphate.
- 9. (Original) The stable oral liquid formulation of claim 1, wherein the buffer concentration is about 5 mM to about 20 mM.
- 10. (Original) The stable oral liquid formulation of claim 1, wherein the buffer maintains the pH between about 3 and about 4.
- 11. (Original) The stable oral liquid formulation of claim 1, wherein the buffer maintains the pH at about 3.3.
- 12. (Original) The stable oral liquid formulation of claim 1, wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 18 months.
- 13. (Original) The stable oral liquid formulation of claim 1, wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 24 months.
- 14. (Currently Amended) A stable oral liquid formulation, consisting essentially of:
 - (i) about 0.6 to about 1.2 mg/ml enalapril or a pharmaceutically acceptable salt or solvate thereof;
 - (ii) a buffer to maintain the pH about 4.5 or below;
 - (iii) about 1 mg/ml of a preservative that is sodium benzoate; and
 - (iv) water;

wherein the formulation optionally comprises a sweetener and/or a flavoring agent and is stable at about $5 \pm 3^{\circ}$ C for at least 12 months; and

wherein the stable oral liquid formulation has about 95% w/w or greater of the initial enalapril amount and about 5% w/w or less total impurity or related substances at the end of the given storage period.

Attorney Docket No.: 43060-707.305

U.S. Patent Application No. 43060-707.305 *Preliminary Amendment*

- 15. (Currently Amended) A stable oral liquid formulation, comprising:
 - (i) about 10% to about 25% (w/w of solids) enalapril or a pharmaceutically acceptable salt or solvate thereof;
 - (ii) a buffer to maintain the pH about 4.5 or below;
 - (iii) about 19.3-19% (w/w of solids) of a preservative that is sodium benzoate; and
 - (iv) water;

wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 12 months; and

wherein the stable oral liquid formulation has about 95% w/w or greater of the initial enalapril amount and about 5% w/w or less total impurity or related substances at the end of the given storage period.

- 16. (Original) The stable oral liquid formulation of claim 15 further comprising a sweetener.
- 17. (Original) The stable oral liquid formulation of claim 16, wherein the sweetener is sucralose.
- 18. (Original) The stable oral liquid formulation of claim 15 further comprising a flavoring agent.
- 19. (Original) The stable oral liquid formulation of claim 15, wherein the buffer comprises a citrate, a phosphate, a citrate/phosphate, an acetate, or a tartrate buffer.
- 20. (Original) The stable oral liquid formulation of claim 15, wherein the buffer comprises citric acid and sodium citrate.
- 21. (Original) The stable oral liquid formulation of claim 20, wherein the citric acid and/or the sodium citrate is anhydrous, monohydrate or dihydrate.
- 22. (Original) The stable oral liquid formulation of claim 15, wherein the buffer comprises citric acid and sodium citrate.
- 23. (Original) The stable oral liquid formulation of claim 15, wherein the buffer comprises phosphoric acid and sodium phosphate.

- 24. (Original) The stable oral liquid formulation of claim 15, wherein the buffer concentration is about 5 mM to about 20 mM.
- 25. (Original) The stable oral liquid formulation of claim 15, wherein the buffer maintains the pH between about 3 and about 3.5.
- 26. (Original) The stable oral liquid formulation of claim 15, wherein the buffer maintains the pH at about 3.3.
- 27. (Original) The stable oral liquid formulation of claim 15, wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 18 months.
- 28. (Original) The stable oral liquid formulation of claim 15, wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 24 months.
- 29. (Original) A stable oral liquid formulation, comprising:
 - (i) about 0.6 to about 1.2 mg/ml enalapril or a pharmaceutically acceptable salt or solvate thereof;
 - (ii) a citrate buffer to maintain the pH about 4.5 or below comprising citric acid and sodium citrate;
 - (iii) about 1 mg/ml of a preservative that is sodium benzoate; and
 - (iv) water;

wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 12 months; and

wherein the stable oral liquid formulation has about 95% w/w or greater of the initial enalapril amount and about 5% w/w or less total impurity or related substances at the end of the given storage period.

30. (Original) The stable oral liquid formulation of claim 29, wherein the citric acid and/or the sodium citrate is anhydrous, monohydrate or dihydrate.

Attorney Docket No.: 43060-707.305

U.S. Patent Application No. 43060-707.305 *Preliminary Amendment*

REMARKS

Claims 1-30 are currently pending in this application. Applicant respectfully requests entry of this Preliminary Amendment where claims 5, 14, and 15 have been amended. No new matter has been added.

U.S. Patent Application No. 43060-707.305 *Preliminary Amendment*

CONCLUSION

This Preliminary Amendment is being filed prior to examination on the merits. Applicant respectfully requests entry of the claims as amended and solicit the Examiner to expedite prosecution of this patent application to issuance. Should the Examiner have any questions, the Examiner is encouraged to telephone the undersigned at (617) 598-7823.

Respectfully submitted,
WILSON SONSINI GOODRICH & ROSATI
Professional Corporation

Attorney Docket No.: 43060-707.305

Date: January 18, 2019 By: /Clark Lin/

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650 Page Mill Road Palo Alto, CA 94304 Direct Dial: (617) 598-7823 Customer No. 021971



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FILING or GRP ART APPLICATION FIL FEE REC'D ATTY.DOCKET.NO NUMBER 371(c) DATE UNIT TOT CLAIMS IND CLAIMS 01/08/2019 30 16/242,898 3180 43060-707.305 4

CONFIRMATION NO. 1032 FILING RECEIPT

21971 WILSON, SONSINI, GOODRICH & ROSATI 650 PAGE MILL ROAD PALO ALTO, CA 94304-1050

Date Mailed: 02/04/2019

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Inventor(s)

Gerold L. MOSHER, Kansas City, MO;

David W. MILES, Kansas City, MO;

Applicant(s)

Silvergate Pharmaceuticals, Inc., Greenwood Village, CO;

Power of Attorney: The patent practitioners associated with Customer Number 21971

Domestic Priority data as claimed by applicant

This application is a CON of 16/177,159 10/31/2018 which is a CON of 16/003,994 06/08/2018 PAT 10154987 which is a CON of 15/802,341 11/02/2017 PAT 10039745 which is a CON of 15/613,622 06/05/2017 PAT 9808442 which is a CON of 15/081,603 03/25/2016 PAT 9669008 which claims benefit of 62/310,198 03/18/2016

Foreign Applications for which priority is claimed (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see http://www.uspto.gov for more information.) - None. Foreign application information must be provided in an Application Data Sheet in order to constitute a claim to foreign priority. See 37 CFR 1.55 and 1.76.

Permission to Access Application via Priority Document Exchange: Yes

Permission to Access Search Results: Yes

page 1 of 3

Applicant may provide or rescind an authorization for access using Form PTO/SB/39 or Form PTO/SB/69 as appropriate.

If Required, Foreign Filing License Granted: 01/31/2019

The country code and number of your priority application, to be used for filing abroad under the Paris Convention,

is **US 16/242,898**

Projected Publication Date: 05/16/2019

Non-Publication Request: No Early Publication Request: No

Title

ENALAPRIL FORMULATIONS

Preliminary Class

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications: No

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

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Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4258).

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Title 35, United States Code, Section 184

Title 37, Code of Federal Regulations, 5.11 & 5.15

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Case 1:20-cv-01256-LPS Document 74-1 Filed 04/05/21 Page 281 of 748 PageID #: 2472 UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE **United States Patent and Trademark Office** Address: COMMISSIONER FOR PATENTS

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
16/242,898	01/08/2019	Gerold L. MOSHER	43060-707.305	1032
WILSON, SON 650 PAGE MII			EXAM	IINER
PALO ALTO,	CA 94304-1050		ART UNIT	PAPER NUMBER
			1629	
			NOTIFICATION DATE	DELIVERY MODE
			02/14/2019	ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patentdocket@wsgr.com

			Application No.		Applicant(s)				
	Decision Granting Request for Prioritized Examination (Track I)		16/242,898		MOSHER et al.				
			Examiner BRIAN W BROV	VN	Art Unit OPET	AIA (First Inventor to File) Status Yes			
1.	1. THE REQUEST FILED <u>08 January 2019</u> IS GRANTED .								
	The above-identified application has met the requirements for prioritized examination								
	A. B.	for an original nonprovisional for an application undergoing			CE).				
		— Tor an approance and organis	,	(r=,.				
2.		re-identified application will und special status throughout its entire							
	Α.	filing a petition for extension o	f time to extend t	the time pe	riod for filing a	ı reply;			
	B.	filing an amendment to amend claims, more than thirty total o				ur independent			
	C.	filing a request for continued e	xamination ;						
	D.	filing a notice of appeal;							
	E.	filing a request for suspension of action;							
	F.	mailing of a notice of allowance;							
	G.	mailing of a final Office action;							
	H.	completion of examination as defined in 37 CFR 41.102; or							
	1.	abandonment of the application.							
	Telephone inquiries with regard to this decision should be directed to BRIAN BROWN at (571)272-5338.								
In his/her absence, calls may be directed to Petition Help Desk at (571) 272-3282.									
		W BROWN/							
	Petitions	Examiner, OPET							

U.S. Patent and Trademark Office PTO-2298 (Rev. 02-2012)

UNITED STATES DEPARTMENT OF COMMERCE **United States Patent and Trademark Office** Address: COMMISSIONER FOR PATENTS

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
16/242,898	01/08/2019	Gerold L. MOSHER	43060-707.305	1032
	7590 05/02/201 NSINI, GOODRICH &		EXAM	IINER
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PALO ALTO,	CA 94304-1050		ART UNIT	PAPER NUMBER
			1629	
			NOTIFICATION DATE	DELIVERY MODE
			05/02/2019	ELECTRONIC

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Case 1:20-cy-01256-LPS Document 74-1	Filed 04/05/21 Page 28	4 of 748 Pa	ageID #: 2475			
	Application No.	Applicant(s	•			
Office Action Summary	16/242,898	MOSHER e				
omee Action Gammary	Examiner STEPHANIE K SPRINGER	Art Unit	AIA (FITF) Status Yes			
		1				
The MAILING DATE of this communication app Period for Reply	pears on the cover sheet with the c	corresponder	nce address			
• •	VIC CET TO EVDIDE 2 MONTH	IC EDOM TU	IT MAILING			
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTHS FROM THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).						
Status						
1) Responsive to communication(s) filed on 18 J	anuary 2019.					
☐ A declaration(s)/affidavit(s) under 37 CFR 1.	130(b) was/were filed on					
, —	This action is non-final.					
3) An election was made by the applicant in resp ; the restriction requirement and election			ing the interview on			
4) Since this application is in condition for allowa closed in accordance with the practice under A						
Disposition of Claims*						
5) ✓ Claim(s) 1-30 is/are pending in the application	cation.					
5a) Of the above claim(s) 8 and 23 is/are with	drawn from consideration.					
6) Claim(s) is/are allowed.						
7) 🗹 Claim(s) <u>1-7,9-22 and 24-30</u> is/are rejected	l.					
8) Claim(s) is/are objected to.						
9) Claim(s) are subject to restriction an	d/or election requirement					
* If any claims have been determined <u>allowable</u> , you may be e	ligible to benefit from the Patent Pro	secution Hig	hway program at a			
participating intellectual property office for the corresponding a						
http://www.uspto.gov/patents/init_events/pph/index.jsp or send	d an inquiry to <u>PPHfeedback@usptc</u>	o.gov.				
Application Papers						
10) ☐ The specification is objected to by the Examin	er.					
11)☐ The drawing(s) filed on is/are: a)☐ ad	ccepted or b) objected to by the	ne Examiner.				
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).						
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).						
Priority under 35 U.S.C. § 119						
12) Acknowledgment is made of a claim for foreign Certified copies:	າ priority under 35 U.S.C. § 119(ຄ	a)-(d) or (f).				
a)□ All b)□ Some** c)□ None of tl	he:					
 Certified copies of the priority docum 	ents have been received.					
Certified copies of the priority docum	ents have been received in Appli	cation No				
3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).						
** See the attached detailed Office action for a list of the certified copies not received.						
Attachment(s)						
1) Notice of References Cited (PTO-892)	3) Interview Summar	• •				
 Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/SPaper No(s)/Mail Date 14 pgs, 2/5/19. 	SB/08b) Paper No(s)/Mail I 4) Other:	Oate				
U.S. Patent and Trademark Office						

PTOL-326 (Rev. 11-13)

Art Unit: 1629

DETAILED ACTION

Notice of Pre-AIA or AIA Status

The present application, filed on or after March 16, 2013, is being examined under the first

inventor to file provisions of the AIA.

Status

This application is a continuation of application 16/177,159, filed on October 31, 2018,

which is a continuation of application 16/003,994, now US Patent 10,154,987, filed on June 8,

2018, which is a continuation of application 15/802,341, now US Patent 10,039,745, filed on

November 2, 2017, which is a continuation of application 15/613,622, now US Patent 9,808,442,

filed on June 5, 2017, which is a continuation of application 15/081,603, now US Patent 9,669,008,

filed on March 25, 2016 and claims priority to US provisional application 62/310,198, filed on

March 18, 2016.

This application was granted Track One status on February 14, 2019.

Claims 1-30 are pending and are the subject of the Office Action below.

Election of Species

Claims 1-30 are generic to the following disclosed patentably distinct species: buffers to

maintain the pH about 4.5 or below, i.e., the species recited at, inter alia, claims 6 and 7, and in

the specification at paragraph 88.

The species are independent or distinct because the genus of buffers to maintain the pH

about 4.5 or below encompasses a vast number of different species, requiring different search

queries, the prior art applicable to one species would not likely be applicable to another species,

and the species are likely to raise different non-prior art issues under 35 U.S.C. 101 and/or 35

U.S.C. 112, first paragraph. In addition, these species are not obvious variants of each other

based on the current record.

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Applicant is required under 35 U.S.C. 121 to elect a single disclosed species of buffer to

maintain the pH about 4.5 or below for prosecution on the merits to which the claims shall be

restricted if no generic claim is finally held to be allowable. In other words, the Applicant is required

to elect a species of buffer to maintain the pH about 4.5 or below, i.e., one of the species recited

in paragraph 88 of the specification.

There is a search and/or examination burden for the patentably distinct species as set

forth above because at least the following reasons apply: the prior art applicable to one invention

would not likely be applicable to another invention, and the inventions are likely to raise different

non-prior art issues under 35 U.S.C. 101 and/or 35 U.S.C. 112, first paragraph.

Applicant is advised that the reply to this requirement to be complete must include

(i) an election of a species or a grouping of patentably indistinct species to be examined

even though the requirement may be traversed (37 CFR § 1.143) and (ii) identification of the

claims encompassing the elected species or grouping of patentably indistinct species,

including any claims subsequently added. An argument that a claim is allowable or that all claims

are generic is considered nonresponsive unless accompanied by an election.

The election may be made with or without traverse. To preserve a right to petition, the

election must be made with traverse. If the reply does not distinctly and specifically point out

supposed errors in the election of species requirement, the election shall be treated as an

election without traverse. Traversal must be presented at the time of election in order to be

considered timely. Failure to timely traverse the requirement will result in the loss of right to

petition under 37 CFR § 1.144. If claims are added after the election, Applicant must indicate

which of these claims are readable on the elected species or grouping of patentably indistinct

species.

Should Applicant traverse on the ground that the species, or groupings of patentably

indistinct species from which election is required, are not patentably distinct, Applicant should

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submit evidence or identify such evidence now of record showing them to be obvious variants or

clearly admit on the record that this is the case. In either instance, if the Examiner finds one of

the species unpatentable over the prior art, the evidence or admission may be used in a rejection

under 35 U.S.C. 103(a) of the other species.

Upon the allowance of a generic claim, Applicant will be entitled to consideration of claims

to additional species which depend from or otherwise require all the limitations of an allowable

generic claim as provided by 37 CFR § 1.141.

Telephonic Election

During a telephone conversation with Clark Lin, Reg. No. 67,024, on February 27, 2019,

a provisional election was made without traverse to prosecute the buffer species wherein the

buffer comprises citric acid and sodium citrate, as recited in instant claims 6, 7, 20-22, 29, and

30. Affirmation of this election must be made by applicant in replying to this Office action. Claims

8 and 23 are withdrawn from further consideration by the examiner, 37 CFR 1.142(b), as being

drawn to a non-elected invention.

Claims 1-7, 9-22, and 24-30 are examined on the merits herein as they read upon the

elected species.

Information Disclosure Statement

The information disclosure statement (IDS) submitted on February 5, 2019 has been

considered by the examiner. The submissions are in compliance with the provisions of 37 CFR

§§ 1.97 and 1.98. Enclosed with this Office Action is a return-copy of the Forms PTO-1449 with

the examiner's initials and signature indicating those references that have been considered.

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Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103 which forms the basis for all obviousness

rejections set forth in this Office action:

A patent for a claimed invention may not be obtained, notwithstanding that the claimed invention is not identically disclosed as set forth in section 102, if the differences between the claimed invention and the project of the claimed invention and the claimed invention and

invention and the prior art are such that the claimed invention as a whole would have been obvious before the effective filing date of the claimed invention to a person having ordinary skill

in the art to which the claimed invention pertains. Patentability shall not be negated by the

manner in which the invention was made.

Claims 1-7, 9-22, and 24-30 are rejected under 35 U.S.C. 103 as obvious over Nahata et

al., "Stability of elanapril maleate in three extemporaneously prepared oral liquids", Am. J. Health-

Syst. Pharm., 1998, vol. 55, pages 1155-1157 (cited in IDS) in view of Sosnowska et al., "Stability

of Extemporaneous Enalapril Maleate Suspensions for Pediatric Use Prepared From

Commercially Available Tablets", Acta Poloniae Pharmaceutica – Drug Research, 2009, vol. 66,

no. 3, pages 321-326 (cited in PTO-892) in view of Boukarim et al., "Preservatives in Liquid

Pharmaceutical Preparations", J. Appl. Res., 2009, vol. 9, no. 1&2, pages 14-17 (cited in PTO-

892).

Claims 1-7, 9-22, and 24-30 are generally drawn to compositions comprising:

(i) about 0.6 to about 1.2 mg/ml, or about 10% to about 25% (w/w/ of solids) enalapril or a

pharmaceutically acceptable salt or solvate thereof;

(ii) a buffer to maintain the pH about 4.5 or below;

(iii) about 1 mg/ml or 19% (w/w of solids) of a preservative that is sodium benzoate; and

(iv) water.

Nahata teaches formulations comprising

(i) 1 mg/ml enalapril;

(ii) a buffer comprising citric acid and sodium citrate;

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(iii) a preservative; and

(iv) water.

In particular, Nahata teaches preparation of an aqueous solution comprising 1 mg/ml

enalapril in a citrate buffer solution (page 1156, column 1, paragraph 2). The citrate buffer solution

is prepared accordingly: "Prepare the isotonic citrate buffer solution (pH 5.0) by dissolving 0.353

g of Citric Acid Monohydrate Granular, USP, 1.01 g of Sodium Citrate Dihydrate Granular, USP,

and 0.54 g of sodium chloride in 100 mL of distilled water" (page 1157, Appendix and footnote a).

The ordinarily skilled artisan would recognize the sodium chloride in the citrate buffer solution

taught by Nahata to meet the instant requirements of a preservative; see Parish, "How do salt

and sugar prevent microbial spoilage?", Scientific American, 2006 (cited in PTO-892; cited to

show a fact).

Nahata also teaches an aqueous solution comprising 1 mg/ml enalapril in a mixture of

Ora-Sweet and Ora-Plus (page 1156, column 1, paragraph 2). Ora-Sweet and Ora-Plus are

commercially available from Paddock Laboratories (page 1157, footnotes d and e). Ora-Sweet is

an aqueous solution comprising sucrose, glycerin, sorbitol, flavoring, citric acid, sodium

phosphate, methylparaben, and potassium sorbate; Ora-Plus is an aqueous solution comprising

microcrystalline cellulose, carboxymethylcellulose sodium, xanthan gum, flavoring, citric acid,

sodium phosphate, simethicone, methylparaben, and potassium sorbate; both Ora-Sweet and

Ora-Plus have a pH of 4.2. Thus, the formulation taught by Nahata comprising 1 mg/ml enalapril

in a mixture of Ora-Sweet and Ora-Plus comprises

(i) 1 mg/ml enalapril;

(ii) citric acid;

(iii) a preservative, such as methylparaben; and

(iv) water.

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flavoring agents, while not containing mannitol or silicon dioxide, thereby meeting the

The formulations comprising Ora-Sweet and Ora-Plus also comprise sweeteners and

requirements of claims 2, 4-7, 16-22, 29, and 30.

Thus, Nahata teaches aqueous compositions comprising

(i) 1 mg/ml enalapril;

(ii) citric acid and sodium citrate;

(iii) a preservative, such as methylparaben; and

(iv) water.

Similarly, Sosnowska teaches formulations comprising

(i) 1 mg/ml enalapril;

(ii) citric acid and citrate buffer;

(iii) 0.2% methylhydroxybenzoate as a preservative, i.e., methylparaben; and

(iv) water.

Sosnowska teaches liquid formulations of enalapril, prepared from crushed enalapril

tablets. Sosnowska generally teaches compositions comprising enalapril maleate in deionized

water, citrate buffer solution, carboxymethylcellulose as a suspending agent, and methyl

hydroxybenzoate 0.2% as a preservative. Sosnowska teaches that the maximum stability of

enalapril maleate is at a pH of about 3; "therefore the pH value of prepared formulations was

adjusted to 3.0 using citric acid" (page 322, column 1, "Formulations preparation"). Regarding

stability, Sosnowska notes:

"The tablet suspension in water would be expected to readily support microbial

growth, especially at room temperature during in-use conditions, therefore 0.2%

methyl hydroxybenzoate as compatible with the drugs preservative was added

(17). No colonies or other evidence of bacterial or fungal growth were detected for

any of the formulations tested. There was also no detectable change in color, odor,

and taste in any sample. However, in the absence of microbiological data, the

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shelf-life given to extemporaneous products containing preservatives is usually 30

days - the time period in which the formulations were tested." (page 325, column

2, paragraph 3).

Thus Nahata and Sosnowska teach liquid compositions comprising 1 mg/ml enalapril, a

citrate buffer, 0.2% w/w preservative, and water. Although both Nahata and Sosnowska teach the

use of a preservative, Nahata and Sosnowska do not teach the use of sodium benzoate as a

preservative.

Boukarim is directed towards preservatives in liquid pharmaceutical formulations.

Boukarim teaches that sodium benzoate, potassium sorbate, and methyl hydroxybenzoate are

commonly used as preservatives in liquid pharmaceutical preparations. Boukarim states, "Among

the most commonly used preservatives in the conservation of liquid pharmaceutical preparations

are sodium benzoate, potassium sorbate, and methyl hydroxybenzoate (methyl-paraben). Their

typical allowed concentrations range respectively from 0.1-0.2%, 0.1-0.2%, and 0.1-0.25% (w/w)

(page 14, column 2). Boukarim notes that sodium benzoate is ineffective when formulated at a

pH > 5 (page 16, column 2).

The ordinarily skilled artisan would have had a reasonable expectation of success in

arriving at the instantly claimed composition in view of the combined teachings of Nahata,

Sosnowska, and Boukarim. Nahata and Sosnowska are directed towards liquid formulations of

enalapril; both Nahata and Sosnowska teach compositions comprising a) 1 mg/ml enalapril, b)

citric acid and/or citrate buffer, c) a preservative, and d) water. Although Nahata and Sosnowska

teach methylparaben as a preferred preservative, the ordinarily skilled artisan would recognize

methylparaben and sodium benzoate to be functional equivalents in view of the teachings of

Boukarim. Boukarim teaches that methylparaben and sodium benzoate are two of the three most

common preservatives used for liquid pharmaceutical formulations. As both methylparaben and

sodium benzoate are commonly used preservatives known to be suitable for use in liquid

formulations, it would be within the purview of the ordinarily skilled artisan to arrive at the instantly

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claimed formulation in view of Boukarim, Nahata, and Sosnowska. In other words, one would find

it prima facie obvious to substitute the sodium benzoate preservative taught by Boukarim for the

methylparaben preservative taught by Nahata and Sosnowska. The Examiner notes that

Boukarim particularly teaches the use of sodium benzoate in an amount meeting the instant

requirements, and Boukarim explicitly teaches that sodium benzoate is ineffective at a pH > 5.

Accordingly, one would find sodium benzoate to be suitable for use in a liquid formulation

comprising enalapril, which is ideally at a pH of 3.0. Absent evidence of criticality in the selection

of a particular preservative, a particular buffer, or particular amounts of each of the components,

optimizing the formulations taught by Nahata and Sosnowska would fall within routine optimization

for the ordinarily skilled artisan.

Regarding the limitations directed towards the pH of the formulation, Nahata teaches a

citrate buffer having a pH of 5, and an Ora-Sweet/Ora-Plus mixture having a pH of 4.2. Sosnowska

also teaches the optimal pH of an enalapril formulation, namely, a pH of 3.0. Thus, Nahata and

Sosnowska meet the instant limitations of a formulation having a pH of "about 3 and about 3.5"

and "about 3.3" as recited in claims 10, 11, 25, and 26. The use of the word "about" in a claim is

appropriate where the claim contains a range of components with no absolute boundaries, and is

only limited to eh extend that prior art exists which would limit broad interpretation of the claim.

See Amgen, Inc. v. Chuqai Pharmaceutical Co., 927 F.2d 1200, 1217-1218, 18 USPQ2d 1016

(Fed. Cir. 1991).

Although Nahata and Sosnowska do not explicitly teach that the formulation is stable at

about 5 ± 3 °C for at least 12 months, or that the formulation has about 95% w/w or greater of the

initial enalapril amount and about 5% w/w or less total impurity or related substances at the end

of the given storage period, the combined teachings of Nahata, Sosnowska, and Boukarim meet

the instantly claimed requirements, and absent evidence to the contrary, one would expect the

composition to have the same properties as instantly claimed. Any properties exhibited by or

benefits provided the composition are inherent and are not given patentable weight over the prior

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art. A chemical composition and its properties are inseparable. Therefore, if the prior art teaches

the identical chemical structure, the properties Applicant discloses and/or claims are necessarily

present. In re Spada, 911 F.2d 705, 709, 15 USPQ 1655, 1658 (Fed. Cir. 1990). See MPEP

2112.01.

Double Patenting

The nonstatutory double patenting rejection is based on a judicially created doctrine

grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or

improper timewise extension of the "right to exclude" granted by a patent and to prevent possible

harassment by multiple assignees. A nonstatutory double patenting rejection is appropriate where

the conflicting claims are not identical, but at least one examined application claim is not

patentably distinct from the reference claim(s) because the examined application claim is either

anticipated by, or would have been obvious over, the reference claim(s). See, e.g., In re Berg,

140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); In re Goodman, 11 F.3d 1046, 29 USPQ2d

2010 (Fed. Cir. 1993); In re Longi, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); In re Van

Ornum, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); In re Vogel, 422 F.2d 438, 164 USPQ 619

(CCPA 1970); In re Thorington, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be

used to overcome an actual or provisional rejection based on nonstatutory double patenting

provided the reference application or patent either is shown to be commonly owned with the

examined application, or claims an invention made as a result of activities undertaken within the

scope of a joint research agreement. See MPEP § 717.02 for applications subject to examination

under the first inventor to file provisions of the AIA as explained in MPEP § 2159. See MPEP §§

706.02(I)(1) - 706.02(I)(3) for applications not subject to examination under the first inventor to file

provisions of the AIA. A terminal disclaimer must be signed in compliance with 37 CFR 1.321(b).

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Please visit www.uspto.gov/patent/patents-forms. The filing date of the application in which the

The USPTO Internet website contains terminal disclaimer forms which may be used.

form is filed determines what form (e.g., PTO/SB/25, PTO/SB/26, PTO/AIA/25, or PTO/AIA/26)

should be used. A web-based eTerminal Disclaimer may be filled out completely online using

web-screens. An eTerminal Disclaimer that meets all requirements is auto-processed and

approved immediately upon submission. For more information about eTerminal Disclaimers, refer

to www.uspto.gov/patents/process/file/efs/guidance/eTD-info-l.jsp.

Claims 1-7, 9-22, and 24-30 are rejected on the ground of nonstatutory double patenting

as being unpatentable over claims 1-20 of U.S. Patent No. 9,669,008, herein referred to as '008.

Although the claims at issue are not identical, they are not patentably distinct from each other.

Claims 1-20 are of '008 are generally drawn towards stable oral liquid formulations

comprising enalapril, a buffer comprising citric acid and sodium citrate dehydrate; a preservative

that is sodium benzoate; and water; wherein the pH of the formulation is less than about 3.5;

wherein the formulation is stable at about 5±3 °C for at least 12 months. Claim 18 is drawn to a

particular species of composition, namely, a stable oral liquid formulation, consisting essentially

of: (i) about 1 mg/ml enalapril maleate; (ii) about 0.70 mg/ml sucralose; (iii) a buffer comprising

about 1.82 mg/ml citric acid and about 0.15 mg/ml sodium citrate dihydrate; (iv) about 1 mg/ml

sodium benzoate; (v) a flavoring agent; (vi) water; wherein the pH of the formulation is less than

about 3.5; wherein the formulation is stable at about 5±3 °C for at least 12 months. Thus, claims

1-20 of '008 are drawn to a species of the instantly claimed formulation. The ordinarily skilled

artisan would find it prima facie obvious to arrive at the instantly claimed invention in view of the

methods of use described in claims 1-20 of '008.

Accordingly, the instantly claimed invention is an obvious variant of the invention claimed

in '008.

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Claims 1-7, 9-22, and 24-30 are rejected on the ground of nonstatutory double patenting

as being unpatentable over claims 1-30 of U.S. Patent No. 9,808,442, herein referred to as '442.

Although the claims at issue are not identical, they are not patentably distinct from each other.

Claims 1-30 are of '442 are generally drawn towards methods of treating hypertension,

heart failure, and left ventricular dysfunction in a subject, comprising administering a stable oral

liquid formulation comprising enalapril; a buffer comprising citric acid and sodium citrate

dehydrate; a preservative that is sodium benzoate; and water; wherein the pH of the formulation

is less than about 3.5; wherein the formulation is stable at about 5±3 °C for at least 12 months.

Thus, claims 1-30 of '442 are drawn to methods of use of a species of the instantly claimed

formulation. The ordinarily skilled artisan would find it *prima facie* obvious to arrive at the instantly

claimed invention in view of the methods of use described in claims 1-30 of '442.

Accordingly, the instantly claimed invention is an obvious variant of the invention claimed

in '442.

Claims 1-7, 9-22, and 24-30 are rejected on the ground of nonstatutory double patenting

as being unpatentable over claims 1-20 of U.S. Patent No. 10,039,745, herein referred to as '745.

Although the claims at issue are not identical, they are not patentably distinct from each other.

Claims 1-20 are of '745 are generally drawn towards stable oral liquid formulations

comprising enalapril, a buffer comprising citric acid and sodium citrate dehydrate; a preservative

that is sodium benzoate; and water; wherein the formulation is stable at about 5±3 °C for at least

12 months. Thus, claims 1-20 of '745 are generally drawn towards a species of the instantly

claimed formulation. The ordinarily skilled artisan would find it *prima facie* obvious to arrive at the

instantly claimed invention in view of the methods of use described in claims 1-20 of '745.

Accordingly, the instantly claimed invention is an obvious variant of the invention claimed

in '745.

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Claims 1-7, 9-22, and 24-30 are rejected on the ground of nonstatutory double patenting

as being unpatentable over claims 1-30 of U.S. Patent No. 10,154,987, herein referred to as '987.

Although the claims at issue are not identical, they are not patentably distinct from each other.

Claims 1-30 are of '987 are generally drawn towards methods of treating hypertension,

heart failure, and left ventricular dysfunction in a subject, comprising administering a stable oral

liquid formulation comprising enalapril; a buffer comprising citric acid and sodium citrate

dehydrate; a preservative that is sodium benzoate; and water; wherein the pH of the formulation

is less than about 3.5; wherein the formulation is stable at about 5±3 °C for at least 12 months.

Thus, claims 1-30 of '987 are drawn to a method of using a species of the instantly claimed

formulation. The ordinarily skilled artisan would find it *prima facie* obvious to arrive at the instantly

claimed invention in view of the methods of use described in claims 1-30 of '987.

Accordingly, the instantly claimed invention is an obvious variant of the invention claimed

in '987.

Claims 1-7, 9-22, and 24-30 are provisionally rejected on the ground of nonstatutory

double patenting as being unpatentable over claims 1-11, 13-26, and 28-30 of application

16/177,159, herein referred to as '159. Although the claims at issue are not identical, they are not

patentably distinct from each other.

Claims 1-11, 13-26, and 28-30 of '159 are generally drawn to compositions comprising:

(i) about 0.6 to about 1.2 mg/ml enalapril or a pharmaceutically acceptable salt or solvate

thereof:

(ii) a buffer comprising citric acid and sodium citrate;

(iii) a preservative, wherein the preservative is selected from, inter alia, sodium benzoate

and benzoic acid; and

(iv) water.

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The Examiner notes that the buffer of '159, i.e., citric acid and sodium citrate, is the same

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buffer as recited in instant claims 6, 20-22, and 29-30, and the preservative may be the same

preservative recited in the instant claims, i.e., sodium benzoate. The subject matter encompassed

by claims 1-11, 13-26, and 28-30 of '159 overlaps with the subject matter encompassed by the

instantly claimed invention such that the instant claims are merely an obvious variation of the

invention of '159. Accordingly, the instantly claimed invention is not patentably distinct from the

invention of claims 1-11, 13-26, and 28-30 of '159.

This is a provisional nonstatutory double patenting rejection.

Conclusion

No claims are allowed in this application.

If applicants should amend the claims, a complete and responsive reply will clearly identify

where support can be found in the disclosure for each amendment. Applicants should point to the

page and line numbers of the application corresponding to each amendment, and provide any

statements that might help to identify support for the claimed invention (e.g., if the amendment is

not supported in ipsis verbis, clarification on the record may be helpful). Should applicants present

new claims, applicants should clearly identify where support can be found in the disclosure.

Any inquiry concerning this communication or earlier communications from the examiner

should be directed to JEFFREY S LUNDGREN whose telephone number is (571)272-5541. The

examiner can normally be reached on Monday through Thursday from 8 am to 5 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Jeffrey Lundgren, can be reached on 571-272-5541. The fax phone number for the

organization where this application or proceeding is assigned is 571-270-8380.

Information regarding the status of an application may be obtained from the Patent

Application Information Retrieval (PAIR) system. Status information for published applications

may be obtained from either Private PAIR or Public PAIR. Status information for unpublished

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applications is available through Private PAIR only. For more information about the PAIR system, see http://portal.uspto.gov/external/portal. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/Stephanie Springer/ Examiner, Art Unit 1629

/JEFFREY S LUNDGREN/ Supervisory Patent Examiner, Art Unit 1629

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Inventors:

Gerold L. Mosher, et al.

Serial No.: 16/242,898

Filed: January 8, 2019

Title: ENALAPRIL FORMULATIONS

Group Art Unit: 1629

Confirmation No.: 1032

Examiner: SPRINGER, Stephanie K

Customer No.: 21971

Certificate of Electronic Filing

I hereby certify that the attached Response and all accompanying papers is being deposited by Electronic Filing on **August 1**, **2019**, by using the EFS – Web patent filing system and addressed to: Commissioner for Patents, P.O. Box 1450,

Alexandria, VA 22313-1450.

By: /Rose Andico/ Rose Andico

Mail Stop Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

RESPONSE TO THE NON-FINAL OFFICE ACTION DATED MAY 2, 2019

Commissioner:

Applicant hereby submits a response to the Non-Final Office Action dated May 2, 2019 (the "Office Action"), in the above-identified application. Applicant respectfully requests amendment of the patent application, and reconsideration and allowance of the pending claims. The Commissioner is hereby authorized to charge any fees associated with the filing of this response to Deposit Account No. 23-2415, referencing Docket No. 43060-707.305.

Amendments to the Claims, reflecting the status of the claims, begin on page 2.

Remarks begin on page 6.

Conclusion begins on page 15.

U.S. Patent Application No. 16/242,898
Response to the Non-Final Office Action dated May 2, 2019

Amendments to the Claims

Attorney Docket No.: 43060-707.305

This listing of claims will replace all prior versions, amendments, and listings, of claims in this application. The following amendments do not constitute an admission regarding the patentability of the amended subject matter and should not be so construed. Applicant reserves the right to pursue the subject matter of the withdrawn claims in this or any other appropriate patent application.

Listing of the Claims:

- 1. (Original) A stable oral liquid formulation, comprising:
 - (i) about 0.6 to about 1.2 mg/ml enalapril or a pharmaceutically acceptable salt or solvate thereof;
 - (ii) a buffer to maintain the pH about 4.5 or below;
 - (iii) about 1 mg/ml of a preservative that is sodium benzoate; and
 - (iv) water;

wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 12 months; and wherein the stable oral liquid formulation has about 95% w/w or greater of the initial enalapril amount and about 5% w/w or less total impurity or related substances at the end of the given storage period.

- 2. (Original) The stable oral liquid formulation of claim 1 further comprising a sweetener.
- 3. (Original) The stable oral liquid formulation of claim 2, wherein the sweetener is sucralose.
- 4. (Original) The stable oral liquid formulation of claim 1 further comprising a flavoring agent.
- 5. (Previously presented) The stable oral liquid formulation of claim 1, wherein the buffer comprises a citrate, a phosphate, a citrate/phosphate, an acetate, a glycinate, or a tartrate buffer.
- 6. (Original) The stable oral liquid formulation of claim 1, wherein the buffer comprises citric acid and sodium citrate.
- 7. (Original) The stable oral liquid formulation of claim 6, wherein the citric acid and/or the sodium citrate is anhydrous, monohydrate or dihydrate.
- 8. (Canceled)

- 9. (Original) The stable oral liquid formulation of claim 1, wherein the buffer concentration is about 5 mM to about 20 mM.
- 10. (Original) The stable oral liquid formulation of claim 1, wherein the buffer maintains the pH between about 3 and about 4.
- 11. (Original) The stable oral liquid formulation of claim 1, wherein the buffer maintains the pH at about 3.3.
- 12. (Original) The stable oral liquid formulation of claim 1, wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 18 months.
- 13. (Original) The stable oral liquid formulation of claim 1, wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 24 months.
- 14. (Previously presented) A stable oral liquid formulation, consisting essentially of:
 - (i) about 0.6 to about 1.2 mg/ml enalapril or a pharmaceutically acceptable salt or solvate thereof;
 - (ii) a buffer to maintain the pH about 4.5 or below;
 - (iii) about 1 mg/ml of a preservative that is sodium benzoate; and
 - (iv) water;

wherein the formulation optionally comprises a sweetener and/or a flavoring agent and is stable at about $5 \pm 3^{\circ}$ C for at least 12 months; and

wherein the stable oral liquid formulation has about 95% w/w or greater of the initial enalapril amount and about 5% w/w or less total impurity or related substances at the end of the given storage period.

- 15. (Previously presented) A stable oral liquid formulation, comprising:
 - (i) about 10% to about 25% (w/w of solids) enalapril or a pharmaceutically acceptable salt or solvate thereof;
 - (ii) a buffer to maintain the pH about 4.5 or below;
 - (iii) about 19% (w/w of solids) of a preservative that is sodium benzoate; and
 - (iv) water;

wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 12 months; and

wherein the stable oral liquid formulation has about 95% w/w or greater of the initial enalapril amount and about 5% w/w or less total impurity or related substances at the end of the given storage period.

- 16. (Original) The stable oral liquid formulation of claim 15 further comprising a sweetener.
- 17. (Original) The stable oral liquid formulation of claim 16, wherein the sweetener is sucralose.
- 18. (Original) The stable oral liquid formulation of claim 15 further comprising a flavoring agent.
- 19. (Original) The stable oral liquid formulation of claim 15, wherein the buffer comprises a citrate, a phosphate, a citrate/phosphate, an acetate, or a tartrate buffer.
- 20. (Original) The stable oral liquid formulation of claim 15, wherein the buffer comprises citric acid and sodium citrate.
- 21. (Original) The stable oral liquid formulation of claim 20, wherein the citric acid and/or the sodium citrate is anhydrous, monohydrate or dihydrate.
- 22. (Original) The stable oral liquid formulation of claim 15, wherein the buffer comprises citric acid and sodium citrate.
- 23. (Canceled)
- 24. (Original) The stable oral liquid formulation of claim 15, wherein the buffer concentration is about 5 mM to about 20 mM.
- 25. (Original) The stable oral liquid formulation of claim 15, wherein the buffer maintains the pH between about 3 and about 3.5.
- 26. (Original) The stable oral liquid formulation of claim 15, wherein the buffer maintains the pH at about 3.3.
- 27. (Original) The stable oral liquid formulation of claim 15, wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 18 months.
- 28. (Original) The stable oral liquid formulation of claim 15, wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 24 months.
- 29. (Original) A stable oral liquid formulation, comprising:

- (i) about 0.6 to about 1.2 mg/ml enalapril or a pharmaceutically acceptable salt or solvate thereof;
- (ii) a citrate buffer to maintain the pH about 4.5 or below comprising citric acid and sodium citrate;
 - (iii) about 1 mg/ml of a preservative that is sodium benzoate; and
 - (iv) water;

wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 12 months; and wherein the stable oral liquid formulation has about 95% w/w or greater of the initial enalapril amount and about 5% w/w or less total impurity or related substances at the end of the given storage period.

30. (Original) The stable oral liquid formulation of claim 29, wherein the citric acid and/or the sodium citrate is anhydrous, monohydrate or dihydrate.

U.S. Patent Application No. 16/242,898 Response to the Non-Final Office Action dated May 2, 2019

REMARKS

Attorney Docket No.: 43060-707.305

Applicant would like to thank the Office for considering the IDS submitted on February 5, 2019.

Claims 1-7, 9-22, and 24-30 are currently pending in this application. By way of this response, claims 8 and 23 have been canceled. No new matter is presented by way of the amendments.

Withdrawal of any subject matter herein does not constitute an admission that the subject matter is unpatentable for any reason and Applicant reserves the right to file claims directed to withdrawn subject matter in this or a related application.

Election of Species

The Office has required Applicant to "elect a species of buffer to maintain the pH about 4.5 or below, i.e., one of the species recited in paragraph 88 of the specification."

Applicant made a provisional election, without traverse, during a telephone conversation with the Office on February 27, 2019 to prosecute the buffer species wherein the buffer comprises citric acid and sodium citrate, as recited in claims 6, 7, 20-22, 29 and 30. Applicant hereby affirms the provisional election.

Claims 1-7, 9-22, and 24-30 encompass the elected species.

The §103 Rejection

Claims 1-7, 9-22, and 24-30 are rejected under 35 U.S.C. 103 as obvious over Nahata et al., "Stability of enalapril maleate in three extemporaneously prepared oral liquids," Am. J. Health-Syst. Pharm., 1998, vol. 55, pages 1155-1157 (cited in IDS) ("Nahata") in view of Sosnowska et al., "Stability of Extemporaneous Enalapril Maleate Suspensions for Pediatric Use Prepared From Commercially Available Tablets," Acta Poloniae Pharmaceutica - Drug Research, 2009, vol. 66, no. 3, pages 321-326 (cited in PTO-892) ("Sosnowska") in view of Boukarim et al., "Preservatives in Liquid Pharmaceutical Preparations", J. Appl. Res., 2009, vol. 9, no. 1&2, pages 14-17 (cited in PTO-892) ("Boukarim").

The Office alleges that "both Nahata and Sosnowska teach compositions comprising a) 1 mg/ml enalapril, b) citric acid and/or citrate buffer, c) a preservative, and d) water," and "[a]lthough Nahata and Sosnowska teach methylparaben as a preferred preservative," it would be prima facie obvious to substitute methylparaben with sodium benzoate as "Boukarim teaches that methylparaben and sodium benzoate are two of the three most common preservatives used for liquid pharmaceutical formulations."

Applicant respectfully disagrees.

Applicant respectfully submits that none of the three cited references—Nahata, Sosnowska, and Boukarim—teaches or suggests all the elements of the claimed formulations, e.g., the stability element, that is "the formulation is stable at 5 ± 3 °C for at least 12 months," is not disclosed or suggested. Such a superior stability is an unexpected result. Applicant further submits an Inventor Declaration by Dr. Gerold Mosher dated February 2, 2017 (the "Mosher Declaration"), with evidence to overcome the §103 rejections asserted by the Office, as discussed in greater detail below.

a. The Cited References Do Not Teach or Suggest Enalapril Oral Liquid Formulations That Are Stable at 5 ± 3 °C For At Least 12 Months

To establish a prima facie case of obviousness, the cited art itself or "the inferences and creative steps that a person of ordinary skill in the art would [have] employ[ed]" at the time of the invention are to have taught or suggested the claim elements. KSR Int'l Co. v. Teleflex Inc., 127 S. Ct. 1727, 1742 (2007). The Examiner must make "a searching comparison of the claimed invention – including all its limitations – with the teaching of the prior art." In re Ochiai, 71 F.3d 1565, 1572 (Fed. Cir. 1995). As such, "obviousness requires a suggestion of all limitations in a claim." CFMT, Inc. v. Yieldup Intern. Corp., 349 F.3d 1333, 1342 (Fed. Cir. 2003) (citing In re Royka, 490 F.2d 981, 985 (CCPA 1974)).

None of Nahata, Sosnowska, and Boukarim teaches or suggests enalapril oral liquid formulations that are stable at 5 ± 3 °C for at least 12 Months, which is one of the elements in the present claims.

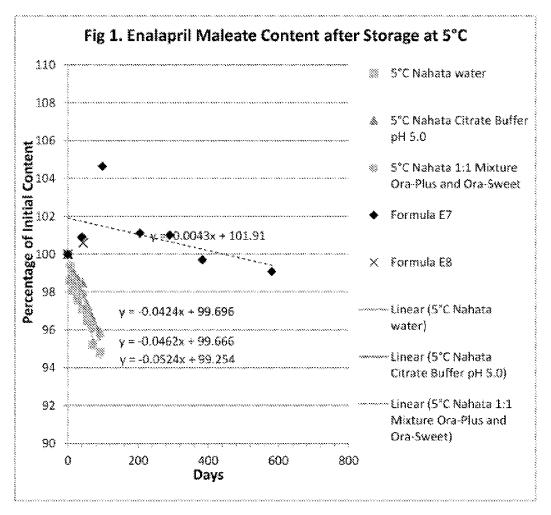
Specifically, claim 1 is directed to a stable oral liquid formulation comprising "(i) about 0.6 to about 1.2 mg/ml enalapril or a pharmaceutically acceptable salt or solvate thereof; (ii) a buffer to maintain the pH about 4.5 or below; (iii) about 1 mg/ml of a preservative that is sodium benzoate; and (iv) water; wherein the formulation is stable at about 5 ± 3 °C for at least 12 months; and wherein the stable oral liquid formulation has about 95% w/w or greater of the initial enalapril amount and about 5% w/w or less total impurity or related substances at the end of the given storage period." Claims 14, 15, and 29 similarly recite formulations that comprise the stability element.

The Specification and Drawings of the instant application provide support and evidence of this stability; for example, Table E-2 depicts very little amounts of diketopiperazine or enalaprilat degradants formed in formulations E1 to E6 when stored at 5 °C. Table E-1 shows that formulations E1 to E6 contain enalapril, a buffer (e.g., citric acid and sodium citrate) that maintains the pH at 4.5 or below, a preservative that is sodium benzoate, and water, which Applicant notes are the claimed components of the instant applications.

Moreover, the Mosher Declaration provides additional data supporting the claimed stability by comparing the dramatic differences in stability between the enalapril oral liquid formulations of the present application with the stability of the enalapril liquid preparation in Nahata. In the Mosher Declaration, Dr. Mosher plotted graphically with linear regression of the data for extrapolation of the available refrigerated (5 °C) and room temperature (25 °C) stability data published by Nahata as well as E7 and E8 enalapril formulations, which are exemplary formulations of the present application. The stability comparisons at 5 °C are presented in Fig 1. as below:

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Attorney Docket No.: 43060-707.305



As Dr. Mosher explains, "Table A and Fig. 1 show that E7 exhibits excellent stability for at least 18 months (581 days) at 5 °C with essentially no loss of enalapril content in contrast to the extemporaneous preparations of Nahata (stability is defined as no more than 5% formation of degradants and 5% loss of enalapril). While Nahata does not disclose stability at 5 °C for more than 90 days, the extrapolated lines show that at about 100 days, the extemporaneous preparations are unstable with respect to the enalapril content in the preparation." Mosher Declaration, $\P 21$. Evidently, a stability of at least 12 months at 5 ± 3 °C is not an inherent property of the Nahata formulations.

Sosnowska similarly discloses extemporaneously prepared formulations. As the Office has noticed, "Sosnowska teaches liquid formulations of enalapril, **prepared from crushed enalapril tablets** ... in the absence of microbiological data, the shelf-life given to extemporaneous products containing preservatives is usually 30 days - the time period in which

the formulations were tested." Sosnowska does not disclose or suggest a stability beyond 30 days for the extemporaneous preparations. *See*, *e.g.*, Table 1 of Sosnowska.

Further, Applicant respectfully points out that the instant application is directed to novel stable enalapril oral liquid formulations with superior stability and uniformity properties. As Dr. Mosher explains, the "currently approved methods of delivering enalapril to pediatric patients requires (1) administering a solid enalapril tablet or portion thereof to the patient, (2) extemporaneously preparing an oral liquid suspension from enalapril tablets and a diluent, such as the method described in "Nahata" and subsequently administering the suspension to the patient, or (3) reconstituting a powder in a liquid carrier" and "[f]or the second method, extemporaneously prepared oral liquids present additional challenges and issues with respect to dosing accuracy and stability, as well as can introduce compounding errors and crosscontamination." Mosher Declaration, ¶10-11. The stable enalapril oral liquid formulations in the present application represent an elegant solution over the previous methods of obtaining liquid enalapril formulation.

Thus, the data presented in the Mosher Declaration clearly demonstrates that extemporaneous preparations, such as the preparations disclosed in Nahata and Sosnowska, do not meet the stability requirement of the present claims.

As such, none of the cited references—Nahata, Sosnowska, and Boukarim—discloses or suggests any liquid formulations of enalapril having a stability at about 5 ± 3 °C for at least 12 months, either explicitly or by inherency. Accordingly, Applicant respectfully requests the §103 rejections be withdrawn.

b. The Cited References Provide No Reasonable Expectation of Success of the Claimed Subject Matter

Obviousness does not require absolute predictability; however, at least some degree of predictability is required. MPEP § 2143.02. To have a reasonable expectation of success, one must be motivated to do more than merely "vary all parameters or try each of numerous possible choices until one possibly arrived at a successful result, where the prior art gave no indication of

which parameters were critical or no direction as to which of many possible choices is likely to be successful." Medichem, S.A. v. Robaldo, 327 F.3d 1157, 1165 (Fed. Cir. 2006).

There is no expectation from Nahata or Sosnowska that the extemporaneously prepared oral liquid formulation can be modified to have a stability at about 5 ± 3 °C for at least 12 months. In fact, as Dr. Mosher explains, "the extrapolated lines [in Nahata] show that at about 100 days, the extemporaneous preparations are unstable with respect to the enalapril content in the preparation." Mosher Declaration, ¶12. Thus, one of ordinary skill in the art would not reasonably expect, based on the teachings in Nahata, to make a formulation having a stability at about 5 ± 3 °C for a period of time that is more than three times longer than the Nahata formulation.

Similarly, Sosnowska does not show any stability data beyond 30 days. When stored at 4 $^{\circ}$ C, Sosnowska formulations with an initial enalapril concentration at about 1.0 mg/mL contained only about 98% initial enalapril concentration at the end of the 30-day period. One of ordinary skill in the art would not reasonably expect, based on the teachings in Sosnowska, to make a formulation having a stability at about 5 ± 3 $^{\circ}$ C for a period of time that is more than 12 times longer than the Sosnowska formulations, when a stable oral liquid formulation has about 95% w/w or greater of the initial enalapril amount and about 5% w/w or less total impurity or related substances at the end of the given storage period.

Thus, the Office has not established how one of skill in the art would expect to modify the extemporaneously prepared formulations in Nahata or Sosnowska and to arrive at a stable oral liquid formation meeting all the elements of the present claims.

Further, the enalapril tablets used in the extemporaneous preparations of Nahata contain, in addition to enalapril, lactose, magnesium stearate, sodium bicarbonate, starch, and iron oxide. Ora-Plus is an oral suspending vehicle that has a pH of approximately 4.2 and that contains purified water, microcrystalline cellulose, sodium carboxymethylcellulose, xanthan gum, carrageenan, buffering agents (trisodium phosphate and citric acid), an antifoaming agent (simethicone), and preservatives (potassium sorbate and methylparaben). Ora-Sweet syrup vehicle is a flavoring vehicle that is buffered to a pH of approximately 4.2 and that contains purified water, sucrose, glycerin, sorbitol (5%), flavoring, buffering agents (sodium phosphate

and citric acid), and preservatives (potassium sorbate and methylparaben). Nahata therefore teaches that these extemporaneously prepared suspensions from enalapril tablets contain a myriad of components, the majority of which are not present in the presently claimed formulations. The following table lists the components that are present in the Nahata formulation:

Enalapril Extemporaneous Formulation
(Ora-Sweet/Ora-Plus)
Enalapril
Lactose
magnesium stearate
sodium bicarbonate
Starch
iron oxide
microcrystalline cellulose
carboxymethylcellulose
xanthan gum
carrageenan
calcium sulphate
trisodium phosphate
citric acid
dimethicone
potassium sorbate
methylparaben
Flavoring
Sorbitol
Glycerin
sucrose
Water

Apparently, the extemporaneously prepared formulation in Nahata contains 19 components in addition to enalapril and water. As such, Nahata does not provide any expectation that any particular combination would be successful for stable enalapril oral liquid formulations, which can extend the stability from less than 100 days to at least 12 months at 5 °C. One of skill in the art would need to consider all of these excipients and, through trial-and-error, determine whether each and every one of these components is necessary for stability or if they could be varied or eliminated.

In addition, Sosnowska teaches formulations containing a raspberry syrup, methyl hydroxybenzoate as preservative, a citrate buffer, and hydroxyethylcellulose as a suspending agent. Sosnowska does not provide any expectation or suggestion that any modification of the components can lead to a stable oral liquid formulation that is stable at about 5 ± 3 °C for at least 12 months (that is 12 times longer than the stability period shown in Sosnowska).

Thus, the Office has not demonstrated a reasonable expectation of success based on Nahata or Sosnowska.

c. Unexpected Results

Applicant submits that the subject matter in the claims has unexpected results with respect to stability of liquid enalapril formulations.

As explained in the Mosher Declaration, the claimed stable enalapril liquid formulations are dramatically much more stable than extemporaneously prepared enalapril formulations. In the Mosher Declaration, Dr. Mosher plotted graphically, with linear regression of the data for extrapolation of the stability data published in Nahata, as well as corresponding E7 and E8 enalapril formulations, which are exemplary formulations of the present claims. *See*, Mosher Declaration, Fig 1 and Fig 2.

As evidenced by the graphs, the E7 formulation demonstrates no loss of enalapril for at least 12 months at 5 °C and about 100 days at 25 °C. The E8 formulation, which has only one data point, is expected to trend similarly. These results drastically contrast with the stability or lack thereof in the extemporaneous enalapril preparations, where the enalapril degrades substantially after initial preparation. At about 90-100 days, the extemporaneous preparations are at about 95% of the starting enalapril concentration when stored at either 4 °C or 25 °C.

The unexpected stability results of the E7 and E8 formulations are not taught by, and could not have been predicted or contemplated by Nahata, Sosnowska, or Boukarim.

Accordingly, Applicant respectfully requests the §103 rejection be withdrawn.

Double Patenting Objection

Claims 1-7, 9-22, and 24-30 are rejected on the ground of nonstatutory double patenting as being unpatentable over claims 1-20 of U.S. Patent No. 9,669,008, claims 1-30 of U.S. Patent No. 9,808,442, claims 1-20 of U.S. Patent No. 10,039,745, and claims 1-30 of U.S. Patent No. 10,154,987.

Without acquiescing in this ground of rejection and solely in an effort to expedite prosecution, Applicant hereby submits Terminal Disclaimers with respect to U.S. Patent No. 9,669,008, U.S. Patent No. 9,808,442, U.S. Patent No. 10,039,745, and U.S. Patent No. 10,154,987.

Claims 1-7, 9-22, and 24-30 are provisionally rejected on the ground of nonstatutory double patenting as being unpatentable over claims 1-11, 13-26, and 28-30 of U.S. Patent Application No. 16/177,159.

Without acquiescing in this ground of rejection and solely in an effort to expedite prosecution, Applicant hereby submits a Terminal Disclaimer with respect to U.S. Patent Application No. 16/177,159.

The Terminal Disclaimers obviate the present rejections. Accordingly, Applicant respectfully requests that the rejections be withdrawn.

In view of the remarks and amendments submitted herein, Applicant believes that the Application is in condition for allowance and such action is earnestly solicited.

* * *

U.S. Patent Application No. 16/242,898 Response to the Non-Final Office Action dated May 2, 2019

CONCLUSION

Applicant submits that this response fully addresses the Office Action dated May 2, 2019. Applicant believes that for the reasons set forth herein the pending claims are in condition for allowance and early and favorable consideration is respectfully requested.

Should the Examiner have any questions, the Examiner is encouraged to telephone the undersigned at (617) 598-7823.

Respectfully submitted,

WILSON SONSINI GOODRICH & ROSATI Professional Corporation

Attorney Docket No.: 43060-707.305

Date: August 1, 2019 By: /Clark Lin/

Clark Y. Lin, Ph.D., Esq.

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650 Page Mill Road Palo Alto, CA 94304 Direct Dial: (617) 598-7823

Customer No. 021971

Case 1:20-cv-01256-LPS Document 74-1 Filed (Doc Code: DIST.E.FILE Document Description: Electronic Terminal Disclaimer - Filed		4/05/21 	Page 314 of 748 PageID #: 2505B/25 PTO/SB/26 U.S. Patent and Trademark Office Department of Commerce		
Electronic Petition Request	TERMINAL DISCLAIMER TO OBVIATE A PROVISIONAL DOUBLE PATENTING REJECTION OVER A PENDING "REFERENCE" APPLICATION AND TERMINAL DISCLAIMER TO OBVIATE A DOUBLE PATENTING REJECTION OVER A "PRIOR" PATENT				
Application Number	16242898				
Filing Date	08-Jan-2019				
First Named Inventor	Gerold MOSHER				
Attorney Docket Number	43060-707.305				
Title of Invention	ENALAPRIL FORMULATIONS				
Filing of terminal disclaimer doe Office Action	s not obviate requirement for res	ponse unde	er 37 CFR 1.111 to outstanding		
This electronic Terminal Disclain	ner is not being used for a Joint Re	esearch Agr	eement.		
Owner		ercent Inter	rcent Interest		
Silvergate Pharmaceuticals, Inc.		00 %			
	nt granted on the instant applicat	ion which v	claims, except as provided below, the terminal would extend beyond the expiration date of the er(s)		

16177159 filed on 10/31/2018

as the term of any patent granted on said reference application may be shortened by any terminal disclaimer filed prior to the grant of any patent on the pending reference application. The owner hereby agrees that any patent so granted on the instant application shall be enforceable only for and during such period that it and any patent granted on the reference application are commonly owned. This agreement runs with any patent granted on the instant application and is binding upon the grantee, its successors or assigns.

In making the above disclaimer, the owner does not disclaim the terminal part of any patent granted on the instant application that would extend to the expiration date of the full statutory term of any patent granted on said reference application, "as the term of any patent granted on said reference application may be shortened by any terminal disclaimer filed prior to the grant of any patent on the pending reference application," in the event that any such patent granted on the pending reference application: expires for failure to pay a maintenance fee, is held unenforceable, is found invalid by a court of competent jurisdiction, is statutorily disclaimed in whole or terminally disclaimed under 37 CFR 1.321, has all claims canceled by a reexamination certificate, is reissued, or is in any manner terminated prior to the expiration of its full statutory term as shortened by any terminal disclaimer filed prior to its grant.

The owner(s) with percent interest listed above in the instant application hereby disclaims, except as provided below, the terminal part of the statutory term of any patent granted on the instant application which would extend beyond the expiration date of the full statutory term of prior patent number(s)

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9669008 Page 1:20-cv-01256-LPS Document /4-1 Filed 04/05/21 Page 315 of /48 PageID #: 2506
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as the term of said prior patent is presently shortened by any terminal disclaimer. The owner hereby agrees that any patent so granted on the instant application shall be enforceable only for and during such period that it and the prior patent are commonly owned. This agreement runs with any patent granted on the instant application and is binding upon the grantee, its successors or assigns.
In making the above disclaimer, the owner does not disclaim the terminal part of the term of any patent granted on the instant application that would extend to the expiration date of the full statutory term of the prior patent, "as the term of said prior patent is presently shortened by any terminal disclaimer," in the event that said prior patent later: - expires for failure to pay a maintenance fee; - is held unenforceable;
 is found invalid by a court of competent jurisdiction; is statutorily disclaimed in whole or terminally disclaimed under 37 CFR 1.321;
- has all claims canceled by a reexamination certificate;
 is reissued; or is in any manner terminated prior to the expiration of its full statutory term as presently shortened by any terminal disclaimer.
is in any mariner terminated prior to the expiration of its fair state of y terminal discisliner.
Terminal disclaimer fee under 37 CFR 1.20(d) is included with Electronic Terminal Disclaimer request.
I certify, in accordance with 37 CFR 1.4(d)(4), that the terminal disclaimer fee under 37 CFR 1.20(d) required for this terminal disclaimer has already been paid in the above-identified application.
Applicants claims the following fee status:
Small Entity
Micro Entity
Regular Undiscounted
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.
THIS PORTION MUST BE COMPLETED BY THE SIGNATORY OR SIGNATORIES
I certify, in accordance with 37 CFR 1.4(d)(4) that I am:
 An attorney or agent registered to practice before the Patent and Trademark Office who is of record in this application
Registration Number 67024
A sole inventor
A joint inventor; I certify that I am authorized to sign this submission on behalf of all of the inventors as evidenced by the power of attorney in the application
A joint inventor; all of whom are signing this request

Case 1:20-cv-01256-LPS Signature	Document 74-1 Filed 04/05/21 Page 316 of 748 PageID #: 2507 /Clark Lin/
Name	Clark Y. Lin

^{*}Statement under 37 CFR 3.73(b) is required if terminal disclaimer is signed by the assignee (owner). Form PTO/SB/96 may be used for making this certification. See MPEP § 324.

Doc Code: DISQ.E.FILE Document Description: Electronic Terminal Disclaimer – Approved
Application No.: 16242898
Filing Date: 08-Jan-2019
Applicant/Patent under Reexamination: MOSHER
Electronic Terminal Disclaimer filed on August 1, 2019
This patent is subject to a terminal disclaimer
DISAPPROVED
Approved/Disapproved by: Electronic Terminal Disclaimer automatically approved by EFS-Web
U.S. Patent and Trademark Office

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: Art Unit: 1629

Inventors: Gerold L. Mosher, *et al.* Examiner: Stephanie K. Springer

Serial No.: 15/081,603 | Confirmation No.: 3892

Filed: March 25, 2016 Customer No.: 021971

Title: ENALAPRIL FORMULATIONS

Mail Stop Amendment Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

DECLARATION OF GEROLD MOSHER UNDER 37 C.F.R. § 1.132

I, Gerold Mosher, do hereby declare as follows:

- 1. I am currently employed at Silvergate Pharmaceuticals, Inc.
- 2. I received my Bachelor's degree in Pharmacy from the University of Kansas in 1979. I also received a Master and a Doctor of Philosophy in Pharmaceutical Chemistry in 1984 and 1986, respectively, from the University of Kansas.
- 3. I have been employed at Silvergate Pharmaceuticals since 2013, as Vice President of Drug Development. As part of my job duties, I develop oral solutions for pediatric use. I have a small laboratory where I develop, characterize and move formulations through the steps required for FDA approval and eventual sale.
- 4. Early in my career, I practiced pharmacy for two years from 1979 to 1981. Subsequently, I worked in large pharmaceutical companies (Eli Lilly and Merck) for about ten years where I focused primarily on pre-formulation and early phase formulations of new drug products. After leaving these companies and prior to Silvergate Pharmaceuticals, I have also

been employed by small startup companies to develop new solubilizing technology for oral, injectable and inhalation formulations.

- 5. In total, I have been in the field of pharmaceutical chemistry for almost 38 years, and have extensive experience in developing pharmaceutical formulations. My Curriculum Vitae is attached as Exhibit A.
- 6. I am familiar with the subject matter claimed in patent application 15/081,603, and am a named inventor on this application. Silvergate Pharmaceuticals is also the Assignee of the '603 application.
- 7. I am aware of the Non-Final Office Action mailed in this matter on January 17, 2017. I am also aware that the oral enalapril liquid formulation claims stand rejected under 35 U.S.C. § 103 as allegedly being unpatentable over US 8,568,747, Nahata et al. (Stability of Enalapril Maleate in three Extemporaneously Prepared Oral Liquids) ("Nahata"), Product Information of Bicitra (Sodium Citrate and Citric Acid Oral Solution) ("Bicitra"), Product Information of Ora-Sweet ("Ora-sweet"), and Rippley at al. (Pharmacokinetics Assessment of an Oral Enalapril Suspension for Use in Children) ("Rippley"). I have reviewed these cited references in the Non-Final Office Action.
- 8. I am submitting this declaration to address the comments made in the Office Action.
- 9. The '603 application relates to enalapril oral liquid formulations that are stable for least 12 months at 5±3 °C. The present oral liquid formulations contain enalapril, sucralose, a citric acid buffer, sodium benzoate and water at a pH of less than 3.5. Development of this described enalapril formulation was oriented on preparing a safe, stable, soluble oral liquid with minimal degradation and having acceptable taste for pediatric patients.
- 10. The currently approved methods of delivering enalapril to pediatric patients requires (1) administering a solid enalapril tablet or portion thereof to the patient, (2) extemporaneously preparing an oral liquid suspension from enalapril tablets and a diluent, such as the method described in "Nahata" and subsequently administering the suspension to the

patient, or (3) reconstituting a powder in a liquid carrier, such as the described enalapril powder in US 8,568,747.

- All of these methods are undesirable and have limitations. For tablets, it is well known that children have difficulty in swallowing oral dosage forms. For the second method, extemporaneously prepared oral liquids present additional challenges and issues with respect to dosing accuracy and stability, as well as can introduce compounding errors and crosscontamination. Similarly, reconstituting powders into a liquid carrier also requires an extra step and could introduce variability, solubility and contamination issues during the reconstitution.
- 12. As compared to these currently available methods, the enalapril oral liquid formulations claimed in the '603 application provides several advantages:
 - <u>Improved ease of administration</u>. It is easier for many patients to swallow a liquid than to swallow a tablet,
 - <u>Patient Compliance</u>. Patients are more likely to take a dose that is not difficult to swallow, or difficult to prepare,
 - Accuracy of dosing. The prescribing information for enalapril tablets provides dosing guidelines based on the weight of the child. When one only has fixed 2.5, 5 or 10 mg tablets available, it is difficult if not impossible to break the tablets in such a way to get an exact dose if the dose is something other than the tablet strength. In addition, if tablets are compounded into a suspension, the tablets are crushed in a mortar and then mixed with a liquid. There is no guarantee that the drug dissolves in, or is dispersed evenly in the liquid (thus leading to potential dosing errors. Moreover, there is always the chance of contamination of the resulting liquid by residual drugs or substances in the mortar. Similarly, in reconstitutable powders, there is also no guarantee that the powder dissolves or disperses evenly in the diluent.
- 13. It should be appreciated that the oral enalapril liquid formulations of the present claims are stable at 5 ± 3 °C for 12 months or longer with minimal degradation. The stability is an important aspect of the present formulations. It contributes to the consistency and uniformity of the formulations as well as allows for accuracy of dosing to patients.

14. Evidence of this stability is found in exemplary formulations E7 and E8 which show minimal degradation as compared to current formulations. In this study, exemplary formulations E7 and E8 were stored at either refrigerated condition (5 °C) or at ambient condition (25 °C). Formulations details for E7 and E8 are as follows:

Composition of Enalapril Maleate					
Formulations					
Component	E7	E8			
Enalapril maleate	1.00	1.00			
Citric acid anhydrous	1.80	1.82			
Sodium citrate anhydrous	0.16	0.15			
Sodium benzoate	1.00	1.00			
Sucralose	0.70	0.70			
Mixed berry flavor	0.50	0.50			
Water	qs	qs			
pH (measured)	3.3	3.3			
qs = sufficient quantity					

- references cited in the Office Action none of
- 15. In my review of the references cited in the Office Action, none of the references describe this stability of at least 12 months at 5 ± 3 °C or any means of achieving this stability for enalapril formulations.
- 16. I have reviewed Nahata which describes the extemporaneous preparation of oral liquid enalapril formulations by crushing enalapril tablets with a mortar and pestle and suspending the resulting ground tablets in water, citrate buffer, or Ora-Plus/Ora-Sweet. On stability, Nahata states that the "compounded oral liquids [were] stable for 91 days at 4 and 25 °C" defining stable as "concentration after storage was ≥90% of the initial concentration. Table 1 of Nahata shows that the enalapril extemporaneous formulations exhibited about 5% loss of enalapril after about 56 days at 4 °C and about 5% loss of enalapril after about 91 days at 25 °C.
- 17. I have also reviewed US 8,568,747 which describes an oral liquid enalapril formulation obtained by reconstituting an enalapril powder in a liquid. The table in example 6 of US 8,568,747 shows that the resulting oral liquid formulation exhibited about 5% loss of enalapril after about 8 weeks at 25 °C.
- 18. I additionally reviewed Bicitra, Ora-sweet, and Rippley and they do not provide any stability of enalapril formulations whatsoever.

19. To compare the stability of the enalapril extemporaneous preparations as described in Nahata and the reconstituted liquid formulation of US 8,568,747, I submit the following data which depicts the enalapril content of formulations E7 at 5°C and 25 °C and E8 at 5 °C in Table A and Table B:

Table A: Enalapril content in formulations after storage at 5 °C1

		Nahata			
Days	water	Citrate Buffer pH 5.0	1:1 Ora- Plus/Ora- Sweet	E7	E8
0	100	100	100	100	100
7	98.6	98.7	99.4		
14	98.1	99.1	98.6		
28	97.6	98.7	98.4		
40				100.9	
42	97.1	98.5	97.9		100.3
56	96.5	97.3	96.9		
70	95.2	96.3	96.1		
91	94.8	95.9	95.8		
99				104.7	
205				101.1	
290				101.0	
383				99.7	
581				99.1	

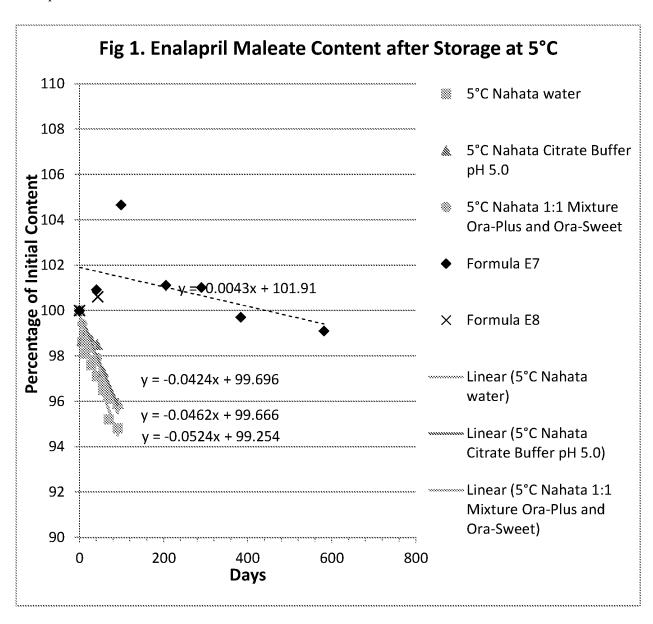
Table B: Enalapril content in formulations after storage at 25 °C

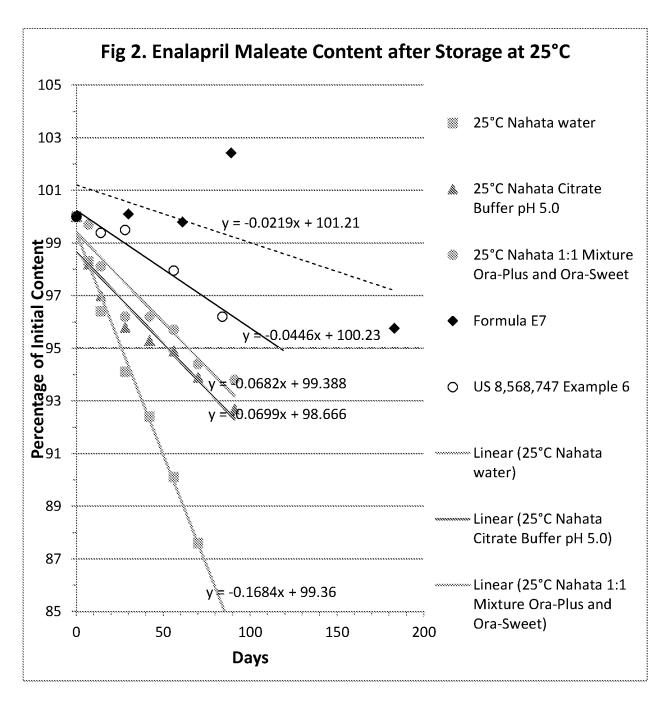
		Nahata		US 8,568,747	
Days	water	Citrate Buffer	1:1 Ora-	Example 6	E7
		pH 5.0	Plus/Ora-Sweet		
0	100	100	100	100	100
7	98.3	98.2	99.7		
14	96.4	97	98.1	99.4	
28	94.1	95.8	96.2	99.5	
30					100.1
42	92.4	95.3	96.2		
56	90.1	94.9	95.7	97.9	
61					99.8

¹ I note that US 8,568,747 does not provide stability data of the reconstituted liquid formulation at 5 °C.

70	87.6	93.9	94.4		
84				96.2	
89					102.4
91	84.1	92.7	93.8		
183					95.8

20. To further describe the contrast in stability, the enalapril concentrations published by Nahata, the US 8,568,747 enalapril concentrations, and the concentrations from E7 and E8 are plotted graphically (Fig. 1: 5 °C and Fig. 2: 25 °C) with linear regression of the data for extrapolation.





21. Table A and Fig. 1 show that E7 exhibits excellent stability for at least 18 months (581 days) at 5 °C with essentially no loss of enalapril content in contrast to the extemporaneous preparations of Nahata (stability is defined as no more than 5% formation of degradants and 5% loss of enalapril). While Nahata does not disclose stability at 5 °C for more than 90 days, the extrapolated lines show that at about 100 days, the extemporaneous preparations are unstable with respect to the enalapril content in the preparation.

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22. Table B and Fig. 2 show that E7 also exhibits better stability for at least 6 months (183 days) at 25 °C in contrast to the Nahata preparations and the reconstituted formulation of US 8,568,747.

- 23. The additional enalapril content data submitted for E7 and E8 shows that the formulations of the present application are significantly more stable, which in my opinion reflects the superior results and advantages, obtained with the oral liquid enalapril formulation of the present claims.
- 24. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under 18 U.S.C. 1001.

Respectfully submitted on this $2^{\frac{1}{2}}$ day of February, 2017

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Attorney Docket No. 43060-707.305 PATENT

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Serial Number: 16/242,898

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Title: ENALAPRIL

FORMULATIONS

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CONFIRMATION NO: 1032

FILED ELECTRONICALLY ON: August 23, 2019

Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97

Commissioner for Patents:

An Information Disclosure Statement along with attached PTO/SB/08 is hereby submitted. A copy of each listed publication is submitted, if required, pursuant to 37 CFR §§1.97-1.98, as indicated below.

The Examiner is requested to review the information provided and to make the information of record in the above-identified application. The Examiner is further requested to initial and return the attached PTO/SB/08 in accordance with MPEP § 609.

The right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be enabling for the teachings purportedly offered, is hereby reserved.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, prior art or material to patentability as defined in § 1.56.

37 CFI because:	R § 1.97	7 (b). This Information Disclosure Statement should be considered by the Office
	(1)	It is being filed within 3 months of the filing date of a national application and is other than a continued prosecution application under § 1.53 (d);
		OR
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specified in office action closes pros	n <i>37 CF</i> on under secution	(c). Although this Information Disclosure Statement is being filed after the period $(R \ \S \ 1.97(b))$, above, it is filed before the mailing date of the earlier of (1) a final $(\S \ 1.113, (2))$ a notice of allowance under $(\S \ 1.311, (3))$ an action that otherwise in the application, this Information Disclosure Statement should be considered panied by one of:
	a stater	ment as specified in §1.97 (e) provided concurrently herewith;
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		f \$240.00 as set forth in § 1.17 (p) authorized below, enclosed, or included with ment of other papers filed together with this statement.
mailing dat 1.311, or (te of the 3) an ac	7 (d). Although this Information Disclosure Statement is being filed after the earlier of (1) a final office action under § 1.113, (2) a notice of allowance under § tion that otherwise closes prosecution in the application, it is being filed before the fee and should be considered because it is accompanied by:
	i. a st	atement as specified in § 1.97 (e);
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☐ 37 CFI	R §1.97 ((e). Statement.
	A state	ment is provided herewith to satisfy the requirement under 37 CFR §§ 1.97 (c); AND/OR
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Ш	A state	ment is provided herewith to satisfy the requirement under 37 CFR §§ 1.97 (d);
		AND/OR
Ц	informathe cor	of a dated communication from a foreign patent office clearly showing that the ation disclosure statement is being submitted within 3 months of the filing date on mmunication is provided in lieu of a statement under 37 C.F.R. § 1.97(e) (1) as ed for under MPEP 609.04(b) V.
	because:	because: (1) (2) (3) (4) (3) (4) (3) (4) (5) (6) (7) (7) (8) (9) (9) (1) (1) (1) (2) (3) (4) (4) (5) (6) (7) (7) (8) (9) (9) (1) (1) (1) (2) (3) (4) (4) (5) (6) (7) (7) (7) (8) (9) (9) (1) (1) (1) (2) (3) (4) (4) (A) (S) (S) (S) (S) (S) (S) (S

E.	disclosure foreign or patent offic an individu information	ent Under 37 C.F.R. §1.704(d). Each item of information contained in the information statement was first cited in any communication from a patent office in a counterpart international application or from the Office or is a communication that was issued by a e in a counterpart foreign or international application or by the Office that was received by nal designated in § 1.56(c) not more than thirty (30) days prior to the filing of this in disclosure statement. This statement is made pursuant to the requirements of 37 C.F.R. to avoid reduction of the period of adjustment of the patent term for Applicant(s) delay.
F.	⊠ 37 CFF	R §1.98 (a) (2). The content of the Information Disclosure Statement is as follows:
		Copies of each of the references listed on the attached Form PTO/SB/08 are enclosed herewith.
		OR
	\boxtimes	Copies of U.S. Patent Documents (issued patents and patent publications) listed on the attached Form PTO/SB/08 are not enclosed.
		AND/OR
	\boxtimes	Copies of Foreign Patent Documents and/or Non Patent Literature Documents listed on the attached Form PTO/SB/08 are enclosed in accordance with 37 CFR §1.98 (a)(2).
		AND/OR
		Copies of pending unpublished U.S. patent applications are enclosed in accordance with 37 CFR §1.98 (a) (2) (iii).
G.	37 CFI references.	R §1.98(a)(3). The Information Disclosure Statement includes non-English patents and/or
		Pursuant to 37 CFR §1.98(a)(3)(i), a concise explanation of the relevance of each patent, publication or other information provided that is not in English is provided herewith.
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		OR
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H.		R §1.98(d). Copies of patents, publications and pending U.S. patent applications, or other a specified in 37 C.F.R. § 1.98(a) are not provided herewith because:
		Pursuant to 37 CFR §1.98(d)(1) the information was previously submitted in an Information Disclosure Statement, or cited by examiner for another application under which this application claims priority for an earlier effective filing date under 35 U.S.C. 120.
		Application in which the information was submitted:
		Information Disclosure Statement(s) filed on:
		AND
		The information disclosure statement submitted in the earlier application complied with paragraphs (a) through (c) of 37 CFR §1.98.

Respectfully submitted,

WILSON SONSINI GOODRICH & ROSATI

Dated: September 3, 2019 By: /Clark Lin/

Clark Y. Lin, Ph.D., Esq. Registration No. 67,024

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.		
16/242,898	01/08/2019	Gerold L. MOSHER	43060-707.305	1032		
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PALO ALTO,	CA 94304-1050		ART UNIT	PAPER NUMBER		
			1629			
			NOTIFICATION DATE	DELIVERY MODE		
			11/19/2019	ELECTRONIC		

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

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Case 1:20-cv-01256-LPS Document 74-1		7 of 748 Pa	geID #: 2528
	Application No. 16/242,898	Applicant(s) MOSHER et	
Office Action Summary	Examiner	Art Unit	AIA (FITF) Status
	STEPHANIE K SPRINGER	1629	Yes
The MAILING DATE of this communication app	ears on the cover sheet with the c	orresponden	ce address
Period for Reply	/ IC CET TO EVDIDE 2 MONTH	C CDOM TIII	T MAILING
A SHORTENED STATUTORY PERIOD FOR REPLY DATE OF THIS COMMUNICATION.	LIP SEL LO EXPIRE 3 MONTH	S FROM THE	E MAILING
 Extensions of time may be available under the provisions of 37 CFR 1.13 date of this communication. 	36(a). In no event, however, may a reply be tin	nely filed after SIX	(6) MONTHS from the mailing
 If NO period for reply is specified above, the maximum statutory period w Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing adjustment. See 37 CFR 1.704(b). 	cause the application to become ABANDONE	ED (35 U.S.C. § 13	3).
Status			
1) Responsive to communication(s) filed on 1 Aug			
A declaration(s)/affidavit(s) under 37 CFR 1.1			
2a) ✓ This action is FINAL . 2b) ☐ 3) ☐ An election was made by the applicant in response	This action is non-final.	sot forth duri	ng the interview on
; the restriction requirement and election			ing the interview on
4) Since this application is in condition for allowar closed in accordance with the practice under E			to the merits is
Disposition of Claims*			
5) 🗹 Claim(s) <u>1-7,9-22 and 24-30</u> is/are pendir	ng in the application.		
5a) Of the above claim(s) is/are withdraw	vn from consideration.		
6) Claim(s) is/are allowed.			
7) Claim(s) 1-7,9-22 and 24-30 is/are rejected.			
8) Claim(s) is/are objected to.			
9) Claim(s) are subject to restriction and		aaautian Uink	week program at a
* If any claims have been determined <u>allowable</u> , you may be eli participating intellectual property office for the corresponding ap	=	_	iway piogram at a
http://www.uspto.gov/patents/init_events/pph/index.jsp or send			
Application Papers			
10) The specification is objected to by the Examine	r.		
11) The drawing(s) filed on is/are: a) acc	cepted or b) objected to by th	e Examiner.	
Applicant may not request that any objection to the de	rawing(s) be held in abeyance. See 3	37 CFR 1.85(a)	
Replacement drawing sheet(s) including the correction	on is required if the drawing(s) is obje	cted to. See 37	7 CFR 1.121(d).
Priority under 35 U.S.C. § 119			
12) Acknowledgment is made of a claim for foreign	priority under 35 U.S.C. § 119(a	.)-(d) or (f).	
Certified copies: a) ☐ All b) ☐ Some** c) ☐ None of th	0.		
1. Certified copies of the priority docume			
2. Certified copies of the priority docume		cation No.	
3. Copies of the certified copies of the p	riority documents have been rec	<u></u>	
application from the International Bure	· · · · · · · · · · · · · · · · · · ·		
** See the attached detailed Office action for a list of the certification.	ea copies not received.		
Attachment(s)			
1) Notice of References Cited (PTO-892)	3) Interview Summary	y (PTO-413)	
2) Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/S	B/08b) Paper No(s)/Mail E 4) Other:)ate	

Paper No(s)/Mail Date 2 pgs
U.S. Patent and Trademark Office

PTOL-326 (Rev. 11-13)

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DETAILED ACTION

Status

The present application, filed on or after March 16, 2013, is being examined under the first

inventor to file provisions of the AIA.

This application is a continuation of application 16/177,159, filed on October 31, 2018,

which is a continuation of application 16/003,994, now US Patent 10,154,987, filed on June 8,

2018, which is a continuation of application 15/802,341, now US Patent 10,039,745, filed on

November 2, 2017, which is a continuation of application 15/613,622, now US Patent 9,808,442,

filed on June 5, 2017, which is a continuation of application 15/081,603, now US Patent 9,669,008,

filed on March 25, 2016 and claims priority to US provisional application 62/310,198, filed on

March 18, 2016.

This application was granted Track One status on February 14, 2019.

Applicant's amendments filed August 1, 2019 canceling claims 8 and 23 are

acknowledged.

Applicant's arguments, filed August 1, 2019, have been fully considered. Rejections and/or

objections not reiterated from previous Office Actions are hereby withdrawn.

Claims 1-7, 9-22, and 24-30 are examined on the merits herein as they read upon the

elected species.

Information Disclosure Statement

The information disclosure statement (IDS) submitted on September 3, 2019 has been

considered by the examiner. The submissions are in compliance with the provisions of 37 CFR

§§ 1.97 and 1.98. Enclosed with this Office Action is a return-copy of the Forms PTO-1449 with

the examiner's initials and signature indicating those references that have been considered.

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Terminal Disclaimer

The terminal disclaimer filed on August 1, 2019 disclaiming the terminal portion of any

patent granted on this application which would extend beyond the expiration date of US Patents

9,669,008; 9,808,442; 10,039,745; and 10,154,987, and copending application 16/177,159, has

been reviewed and is accepted. The terminal disclaimer has been recorded.

Response to Arguments

Declaration under 37 CFR 1.132

The Declaration under 35 CFR 1.132 submitted on August 1, 2019 has been considered

by the Examiner. The declaration under 37 CFR 1.132 is insufficient to overcome the rejections

of claims 1-7, 9-22, and 24-30, as it fails to provide data corroborating the Applicant's allegations

that the claimed compositions provide unexpected results over the compositions disclosed in the

prior art.

The Declaration, dated February 2, 2017, is directed towards application 15/081,603, now

US Patent 9,669,008. The Declaration alleges that the enalapril oral liquid formulations of '603

"provides several advantages", particularly improved ease of administration; patient compliance;

and accuracy of dosing. The Declaration contends that the enalapril oral liquid formulations "of

the present claims", that is, the claims of '603, are stable at 5±3 °C for 12 months or longer with

minimal degradation.

The Declaration presents exemplary formulations E7 and E8:

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Composition of Enalapril Maleate Formulations								
Component	E7	E8						
Enalapril maleate	1.00	1.00						
Citric acid anhydrous	1.80	1.82						
Sodium citrate anhydrous	0.16	0.15						
Sodium benzoate	1.00	1.00						
Sucralose	0.70	0.70						
Mixed berry flavor	0.50	0.50						
Water	qs	qs						
pH (measured)	3.3	3.3						

gs = sufficient quantity

It appears that these refer to percentages of the total composition. Thus, formulations E7 and E8 are directed to aqueous compositions comprising

- a) enalapril maleate in an amount of 1.00%;
- b) citric acid and sodium citrate in a total amount of 1.96% or 1.97%;
- c) sodium benzoate in an amount of 1.00%;
- d) sucralose in an amount of 0.70%;
- e) flavoring in an amount of 0.50%.

The Applicant's attention is directed towards MPEP § 716.02, Allegations of Unexpected Results: "Any differences between the claimed invention and the prior art may be expected to result in some differences in properties. The issue is whether the properties differ to such an extent that the difference is really unexpected."

In order to demonstrate unexpected results, a comparison between the claimed invention and the closest prior art must be evaluated. By way of comparative examples, the Applicant offers compositions representing Nahata, prepared using a) water, b), either citrate buffer at a pH of 5.0, or c) a 1:1 mixture of Ora-Plus and Ora-Sweet (Tables A and B, Figures 1 and 2).

While Applicant has provided evidence demonstrating the unexpected stability of formulations E7 and E8 as compared to the compositions of Nahata, the formulations E7 and E8

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are essentially identical, and limited to a single embodiment of the claimed invention. The

Examiner notes that the instantly claimed invention is drawn to aqueous compositions comprising

(i) about 0.6 to about 1.2 mg/ml enalapril or a pharmaceutically acceptable salt or solvate

thereof;

(ii) a buffer to maintain the pH about 4.5 or below; and

(iii) about 1 mg/mL of a preservative that is sodium benzoate.

The inventive compositions of E7 and E8 are limited to compositions comprising

a) enalapril maleate in an amount of 1.00%;

b) citric acid and sodium citrate in a total amount of 1.96% or 1.97%;

c) sodium benzoate in an amount of 1.00%.

The disclosure of a single buffer combination in a single amount fails to adequately

address the scope of "a buffer to maintain the pH about 4.5 or below". Thus, Applicant has failed

to provide data supporting the breadth of the claims. MPEP § 716.02(d) addresses the subject of

unexpected results commensurate in scope with the claimed invention: "Whether the unexpected

results are the result of unexpectedly improved results or a property not taught by the prior art,

the "objective evidence of non-obviousness must be commensurate in scope with the claims

which the evidence is offered to support." In other words, the showing of unexpected results must

be reviewed to see if the results occur over the entire claimed range. See *In re Peterson*, 315

F.3d 1325, 1329-31, 65 USPQ2d 1379, 1382-85 (Fed. Cir. 2003) (data showing improved alloy

strength with the addition of 2% rhenium did not evidence unexpected results for the entire

claimed range of about 1-3% rhenium); In re Grasselli, 713 F.2d 731, 741, 218 USPQ 769, 777

(Fed. Cir.1983) (Claims were directed to certain catalysts containing an alkali metal. Evidence

presented to rebut an obviousness rejection compared catalysts containing sodium with the prior

art. The court held this evidence insufficient to rebut the prima facie case because experiments

limited to sodium were not commensurate in scope with the claims.). However, the subject matter

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circumscribed by the instant claims extends well beyond the metes and bounds of these discrete

embodiments potentially demonstrated to exert unexpected results over the prior art

compositions, as the Applicant has proffered a single aqueous composition comprising a)

enalapril maleate in an amount of 1.00%; b) citric acid and sodium citrate in a total amount of

1.96% or 1.97%; and c) sodium benzoate in an amount of 1.00%. As the instant claims are drawn

to compositions comprising (i) about 0.6 to about 1.2 mg/ml enalapril or a pharmaceutically

acceptable salt or solvate thereof; (ii) a buffer to maintain the pH about 4.5 or below; and (iii)

about 1 mg/mL of sodium benzoate, the claims are not commensurate in scope with the disclosed

embodiments. Applicant has failed to address why the data from the exemplified combinations

are indicative of unexpected results over the entire scope of subject matter instantly claimed.

Maintained Rejections

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103 which forms the basis for all obviousness

rejections set forth in this Office action:

A patent for a claimed invention may not be obtained, notwithstanding that the claimed invention is not identically disclosed as set forth in section 102, if the differences between the claimed invention and the prior art are such that the claimed invention as a whole would have been

obvious before the effective filing date of the claimed invention to a person having ordinary skill in the art to which the claimed invention pertains. Patentability shall not be negated by the

manner in which the invention was made.

The rejection of claims 1-7, 9-22, and 24-30 under 35 U.S.C. 103 as obvious over Nahata

et al., "Stability of elanapril maleate in three extemporaneously prepared oral liquids", Am. J.

Health-Syst. Pharm., 1998, vol. 55, pages 1155-1157 (cited in IDS) in view of Sosnowska et al.,

"Stability of Extemporaneous Enalapril Maleate Suspensions for Pediatric Use Prepared From

Commercially Available Tablets", Acta Poloniae Pharmaceutica – Drug Research, 2009, vol. 66,

no. 3, pages 321-326 (cited in PTO-892) in view of Boukarim et al., "Preservatives in Liquid

Pharmaceutical Preparations", J. Appl. Res., 2009, vol. 9, no. 1&2, pages 14-17 (cited in PTO-

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892), is maintained for the reasons set forth at pages 5-10 of the Office Action dated May 2,

2019, of which said reasons are herein incorporated by reference.

Reiterated Rejection

Claims 1-7, 9-22, and 24-30 are rejected under 35 U.S.C. 103 as obvious over Nahata et

al., "Stability of elanapril maleate in three extemporaneously prepared oral liquids", Am. J. Health-

Syst. Pharm., 1998, vol. 55, pages 1155-1157 (cited in IDS) in view of Sosnowska et al., "Stability

of Extemporaneous Enalapril Maleate Suspensions for Pediatric Use Prepared From

Commercially Available Tablets", Acta Poloniae Pharmaceutica – Drug Research, 2009, vol. 66,

no. 3, pages 321-326 (cited in PTO-892) in view of Boukarim et al., "Preservatives in Liquid

Pharmaceutical Preparations", J. Appl. Res., 2009, vol. 9, no. 1&2, pages 14-17 (cited in PTO-

892).

Claims 1-7, 9-22, and 24-30 are generally drawn to compositions comprising:

(i) about 0.6 to about 1.2 mg/ml, or about 10% to about 25% (w/w/ of solids) enalapril or a

pharmaceutically acceptable salt or solvate thereof;

(ii) a buffer to maintain the pH about 4.5 or below;

(iii) about 1 mg/ml or 19% (w/w of solids) of a preservative that is sodium benzoate; and

(iv) water.

Nahata teaches formulations comprising

(i) 1 mg/ml enalapril;

(ii) a buffer comprising citric acid and sodium citrate;

(iii) a preservative; and

(iv) water.

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In particular, Nahata teaches preparation of an aqueous solution comprising 1 mg/ml

enalapril in a citrate buffer solution (page 1156, column 1, paragraph 2). The citrate buffer solution

is prepared accordingly: "Prepare the isotonic citrate buffer solution (pH 5.0) by dissolving 0.353

g of Citric Acid Monohydrate Granular, USP, 1.01 g of Sodium Citrate Dihydrate Granular, USP,

and 0.54 g of sodium chloride in 100 mL of distilled water" (page 1157, Appendix and footnote a).

The ordinarily skilled artisan would recognize the sodium chloride in the citrate buffer solution

taught by Nahata to meet the instant requirements of a preservative; see Parish, "How do salt

and sugar prevent microbial spoilage?", Scientific American, 2006 (cited in PTO-892; cited to

show a fact).

Nahata also teaches an aqueous solution comprising 1 mg/ml enalapril in a mixture of

Ora-Sweet and Ora-Plus (page 1156, column 1, paragraph 2). Ora-Sweet and Ora-Plus are

commercially available from Paddock Laboratories (page 1157, footnotes d and e). Ora-Sweet is

an aqueous solution comprising sucrose, glycerin, sorbitol, flavoring, citric acid, sodium

phosphate, methylparaben, and potassium sorbate; Ora-Plus is an aqueous solution comprising

microcrystalline cellulose, carboxymethylcellulose sodium, xanthan gum, flavoring, citric acid,

sodium phosphate, simethicone, methylparaben, and potassium sorbate; both Ora-Sweet and

Ora-Plus have a pH of 4.2. Thus, the formulation taught by Nahata comprising 1 mg/ml enalapril

in a mixture of Ora-Sweet and Ora-Plus comprises

(i) 1 mg/ml enalapril;

(ii) citric acid;

(iii) a preservative, such as methylparaben; and

(iv) water.

The formulations comprising Ora-Sweet and Ora-Plus also comprise sweeteners and

flavoring agents, while not containing mannitol or silicon dioxide, thereby meeting the

requirements of claims 2, 4-7, 16-22, 29, and 30.

Thus, Nahata teaches aqueous compositions comprising

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(i) 1 mg/ml enalapril;

(ii) citric acid and sodium citrate;

(iii) a preservative, such as methylparaben; and

(iv) water.

Similarly, Sosnowska teaches formulations comprising

(i) 1 mg/ml enalapril;

(ii) citric acid and citrate buffer;

(iii) 0.2% methylhydroxybenzoate as a preservative, i.e., methylparaben; and

(iv) water.

Sosnowska teaches liquid formulations of enalapril, prepared from crushed enalapril tablets. Sosnowska generally teaches compositions comprising enalapril maleate in deionized water, citrate buffer solution, carboxymethylcellulose as a suspending agent, and methyl hydroxybenzoate 0.2% as a preservative. Sosnowska teaches that the maximum stability of enalapril maleate is at a pH of about 3; "therefore the pH value of prepared formulations was adjusted to 3.0 using citric acid" (page 322, column 1, "Formulations preparation"). Regarding stability, Sosnowska notes:

"The tablet suspension in water would be expected to readily support microbial growth, especially at room temperature during in-use conditions, therefore 0.2% methyl hydroxybenzoate as compatible with the drugs preservative was added (17). No colonies or other evidence of bacterial or fungal growth were detected for any of the formulations tested. There was also no detectable change in color, odor, and taste in any sample. However, in the absence of microbiological data, the shelf-life given to extemporaneous products containing preservatives is usually 30 days - the time period in which the formulations were tested." (page 325, column 2, paragraph 3).

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Thus Nahata and Sosnowska teach liquid compositions comprising 1 mg/ml enalapril, a

citrate buffer, 0.2% w/w preservative, and water. Although both Nahata and Sosnowska teach the

use of a preservative, Nahata and Sosnowska do not teach the use of sodium benzoate as a

preservative.

Boukarim is directed towards preservatives in liquid pharmaceutical formulations.

Boukarim teaches that sodium benzoate, potassium sorbate, and methyl hydroxybenzoate are

commonly used as preservatives in liquid pharmaceutical preparations. Boukarim states, "Among

the most commonly used preservatives in the conservation of liquid pharmaceutical preparations

are sodium benzoate, potassium sorbate, and methyl hydroxybenzoate (methyl-paraben). Their

typical allowed concentrations range respectively from 0.1-0.2%, 0.1-0.2%, and 0.1-0.25% (w/w)

(page 14, column 2). Boukarim notes that sodium benzoate is ineffective when formulated at a

pH > 5 (page 16, column 2).

The ordinarily skilled artisan would have had a reasonable expectation of success in

arriving at the instantly claimed composition in view of the combined teachings of Nahata,

Sosnowska, and Boukarim. Nahata and Sosnowska are directed towards liquid formulations of

enalapril; both Nahata and Sosnowska teach compositions comprising a) 1 mg/ml enalapril, b)

citric acid and/or citrate buffer, c) a preservative, and d) water. Although Nahata and Sosnowska

teach methylparaben as a preferred preservative, the ordinarily skilled artisan would recognize

methylparaben and sodium benzoate to be functional equivalents in view of the teachings of

Boukarim. Boukarim teaches that methylparaben and sodium benzoate are two of the three most

common preservatives used for liquid pharmaceutical formulations. As both methylparaben and

sodium benzoate are commonly used preservatives known to be suitable for use in liquid

formulations, it would be within the purview of the ordinarily skilled artisan to arrive at the instantly

claimed formulation in view of Boukarim, Nahata, and Sosnowska. In other words, one would find

it prima facie obvious to substitute the sodium benzoate preservative taught by Boukarim for the

methylparaben preservative taught by Nahata and Sosnowska. The Examiner notes that

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Boukarim particularly teaches the use of sodium benzoate in an amount meeting the instant

requirements, and Boukarim explicitly teaches that sodium benzoate is ineffective at a pH > 5.

Accordingly, one would find sodium benzoate to be suitable for use in a liquid formulation

comprising enalapril, which is ideally at a pH of 3.0. Absent evidence of criticality in the selection

of a particular preservative, a particular buffer, or particular amounts of each of the components,

optimizing the formulations taught by Nahata and Sosnowska would fall within routine optimization

for the ordinarily skilled artisan.

Regarding the limitations directed towards the pH of the formulation, Nahata teaches a

citrate buffer having a pH of 5, and an Ora-Sweet/Ora-Plus mixture having a pH of 4.2. Sosnowska

also teaches the optimal pH of an enalapril formulation, namely, a pH of 3.0. Thus, Nahata and

Sosnowska meet the instant limitations of a formulation having a pH of "about 3 and about 3.5"

and "about 3.3" as recited in claims 10, 11, 25, and 26. The use of the word "about" in a claim is

appropriate where the claim contains a range of components with no absolute boundaries, and is

only limited to eh extend that prior art exists which would limit broad interpretation of the claim.

See Amgen, Inc. v. Chugai Pharmaceutical Co., 927 F.2d 1200, 1217-1218, 18 USPQ2d 1016

(Fed. Cir. 1991).

Although Nahata and Sosnowska do not explicitly teach that the formulation is stable at

about 5 ± 3 °C for at least 12 months, or that the formulation has about 95% w/w or greater of the

initial enalapril amount and about 5% w/w or less total impurity or related substances at the end

of the given storage period, the combined teachings of Nahata, Sosnowska, and Boukarim meet

the instantly claimed requirements, and absent evidence to the contrary, one would expect the

composition to have the same properties as instantly claimed. Any properties exhibited by or

benefits provided the composition are inherent and are not given patentable weight over the prior

art. A chemical composition and its properties are inseparable. Therefore, if the prior art teaches

the identical chemical structure, the properties Applicant discloses and/or claims are necessarily

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present. In re Spada, 911 F.2d 705, 709, 15 USPQ 1655, 1658 (Fed. Cir. 1990). See MPEP

2112.01.

Response to Arguments

Applicant's arguments filed August 1, 2019 have been fully considered but they are not

persuasive.

Applicant generally contends that the cited references do not teach or suggest enalapril

oral liquid formulations which are stable at about 5 ± 3 °C for at least 12 months. Applicant further

alleges that the extemporaneously prepared formulations of Nahata and Sosnowska would not

have the claimed stability, and there would be no reasonable expectation of the claimed stability

in said extemporaneously prepared formulations. Applicant alleges that the claimed invention

results in compositions exhibiting improved stability.

Applicant's remarks regarding the teachings of Nahata, Sosnowska, and Boukarim are not

found persuasive. Applicant contends that the extemporaneously prepared formulation of Nahata

contains 19 components in addition to enalapril and water, and there is no guidance or teaching

to suggest which of these components is necessary for stability, or which components could be

varied or eliminated. As a first matter, the Examiner notes that the instantly claimed invention is

drawn to a formulation comprising

(i) about 0.6 to about 1.2 mg/ml, or about 10% to about 25% (w/w/ of solids) enalapril or a

pharmaceutically acceptable salt or solvate thereof;

(ii) a buffer to maintain the pH about 4.5 or below;

(iii) about 1 mg/ml or 19% (w/w of solids) of a preservative that is sodium benzoate; and

(iv) water.

While the compositions exemplified by Nahata comprise additional components, there is

no explicit proviso which would exclude the use of additional components in the instantly claimed

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formulation. Applicant's attention is directed towards MPEP § 2111.03: The transitional term "comprising", which is synonymous with "including," "containing," or "characterized by," is inclusive or open-ended and does not exclude additional, unrecited elements or method steps. See, e.g., Mars Inc.v. H.J. Heinz Co., 377 F.3d 1369, 1376, 71 USPQ2d 1837,1843 (Fed. Cir. 2004) ("like the term 'comprising,' the terms 'containing' and 'mixture' are open-ended."). Invitrogen Corp. v. Biocrest Mfg., L.P., 327 F.3d 1364,1368, 66 USPQ2d 1631, 1634 (Fed. Cir. 2003) ("The transition 'comprising' in a method claim indicates that the claim is open-ended and allows for additional steps."); Genentech, Inc. v. Chiron Corp., 112 F.3d 495, 501, 42USPQ2d 1608, 1613 (Fed. Cir. 1997) ("Comprising" is a term of art used in claim language which means that the named elements are essential, but other elements may be added and still form a construct within the scope of the claim.); Moleculon Research Corp. v. CBS, Inc., 793F.2d 1261, 229 USPQ 805 (Fed. Cir. 1986); In re Baxter, 656 F.2d 679, 686, 210 USPQ 795, 803 (CCPA 1981); Ex parte Davis, 80 USPQ 448, 450 (Bd. App. 1948) ("comprising" leaves "the claim open for the inclusion of unspecified ingredients even in major amounts"). In Gillette Co. v. Energizer Holdings Inc., 405 F.3d 1367,1371-73, 74 USPQ2d 1586, 1589-91 (Fed. Cir. 2005), the court held that a claim to "a safety razor blade unit comprising a guard, a cap, and a group of first, second, and third blades" encompasses razors with more than three blades because the transitional phrase "comprising" in the preamble and the phrase "group of" are presumptively open-ended. "The word 'comprising' transitioning from the preamble to the body signals that the entire claim is presumptively openended." Id. In contrast, the court noted the phrase "group consisting of" is a closed term, which is often used in claim drafting to signal a "Markush group" that is by its nature closed. Id. The court also emphasized that reference to "first," "second," and "third" blades in the claim was not used to show a serial or numerical limitation but instead was used to distinguish or identify the various members of the group. Id. In the instant case, the claimed composition may further comprise unrecited components, including any of the other components taught by Nahata.

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Nahata and Sosnowska are directed towards liquid formulations of enalapril; both Nahata

and Sosnowska teach compositions comprising a) 1 mg/ml enalapril, b) citric acid and/or citrate

buffer, c) a preservative, and d) water. The combined teachings of Nahata and Sosnowska render

the instantly claimed invention prima facie obvious to one having ordinary skill in the art. While

the Examiner acknowledges that both Nahata and Sosnowska are directed towards formulations

created by crushing enalapril tablets and adding water, thereby converting the oral solid

formulation to an oral liquid formulation, the Examiner notes that one having ordinary skill in the

art would reasonably recognize which components could be removed from the extemporaneously

prepared formulation, in view of the level of skill of a formulations scientist. One would reasonably

recognize that certain components in the oral solid formulations used by Nahata and Sosnowska

are components used to achieve a solid formulation; one would recognize that these components

would not be necessary in a liquid formulation. The fact that Applicant monitored and observed

the stability of the composition does not imbue an inventive concept to the composition which was

obvious in view of the combined teachings of Nahata and Sosnowska. Absent evidence of

unexpected results or criticality in the selection of a particular component or the amount of the

component, the instantly claimed composition would have been obvious to the ordinarily skilled

artisan, in view of the combined teachings of Nahata and Sosnowska, in view of the level of skill

of one having ordinary skill in the art.

Regarding the alleged unexpected results, Applicant's remarks regarding the alleged

unexpected results presented in the Declaration filed August 1, 2019 have been addressed supra.

As noted therein, the instant claims are drawn to compositions comprising (i) about 0.6 to about

1.2 mg/ml enalapril or a pharmaceutically acceptable salt or solvate thereof; (ii) a buffer to

maintain the pH about 4.5 or below; and (iii) about 1 mg/mL of sodium benzoate, and thus, the

claims are not commensurate in scope with the disclosed embodiments.

Thus the rejection is proper, and is maintained.

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Conclusion

THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as

set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS

from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the

mailing date of this final action and the advisory action is not mailed until after the end of the

THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the

date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be

calculated from the mailing date of the advisory action. In no event, however, will the statutory

period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner

should be directed to JEFFREY S LUNDGREN whose telephone number is (571)272-5541. The

examiner can normally be reached on Monday through Thursday from 8 am to 5 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Jeffrey Lundgren, can be reached on 571-272-5541. The fax phone number for the

organization where this application or proceeding is assigned is 571-270-8380.

Information regarding the status of an application may be obtained from the Patent

Application Information Retrieval (PAIR) system. Status information for published applications

may be obtained from either Private PAIR or Public PAIR. Status information for unpublished

applications is available through Private PAIR only. For more information about the PAIR system,

see http://portal.uspto.gov/external/portal. Should you have questions on access to the Private

PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/Stephanie Springer/

Examiner, Art Unit 1629

Application/Control Number: 16/242,898 Page 16

Art Unit: 1629

/JEFFREY S LUNDGREN/ Supervisory Patent Examiner, Art Unit 1629 Doc code Case 1:20-cv-01256-LPS Document 74-1 Filed 04/05/21 Page 353 of 748 PageID #: 2544 PageID #: 2544

Doc description: Request for Continued Examination (RCE)

Approved for use through 11/30/2020. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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	REQU	JEST FO		EXAMINATION ONLY ONLY ONLY ONLY ONLY ONLY ONLY ON	N(RCE)TRANSMITTA -Web)	L					
Application Number	16/242,898	Filing Date	2019-01-08	Docket Number (if applicable)	43060-707.305	Art Unit	1629				
First Named Inventor	Gerold L. Moshe	r et al.		Examiner Name	Stephanie K. Springer						
Request for Co	ontinued Examina	ation (RCE) p	oractice under 37 CF		above-identified application. oply to any utility or plant applic WWW.USPTO.GOV	ation filed	prior to June 8,				
		SI	JBMISSION REQ	UIRED UNDER 37	CFR 1.114						
in which they v	vere filed unless a	applicant ins		pplicant does not wis	nents enclosed with the RCE with to have any previously filed						
	submitted. If a fir n even if this box			any amendments file	d after the final Office action m	ay be con	sidered as a				
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Info	rmation Disclosu	re Statemen	t (IDS)								
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				requested under 37 (er 37 CFR 1.17(i) red	CFR 1.103(c) for a period of m quired)	onths _					
Other											
				FEES							
The Direct	ctor is hereby a <u>utl</u>			R 1.114 when the Rement of fees, or cred	RCE is filed. it any overpayments, to						
		SIGNATUR	E OF APPLICANT	r, attorney, or	R AGENT REQUIRED						
	Practitioner Signa	ature									
Applica	nt Signature										

Doc code Case 1:20-cv-01256-LPS Document 74-1 Filed 04/05/21 Page 354 of 748 PageID #: 2545 Defs (02-18)

Doc description: Request for Continued Examination (RCE)

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	Signature of Registered U.S. Patent Practitioner								
Signature	'Clark Lin/	Date (YYYY-MM-DD)	2020-05-14						
Name	Clark Y. Lin	Registration Number	67024						

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Attorney Docket No. 43060-707.305

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Inventors:

Gerold L. Mosher, et al.

Serial No.: 16/242,898

Filed: January 8, 2019

Title: ENALAPRIL FORMULATIONS

Group Art Unit: 1629

Confirmation No.: 1032

Examiner: SPRINGER, Stephanie K

Customer No.: 21971

Certificate of Electronic Filing

I hereby certify that the attached Response and all accompanying papers is being deposited by Electronic Filing on May 14, 2020, by using the EFS – Web patent filing system and addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Alexandria, VA 22313-1430

By: /Paula Derby/

Mail Stop Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

DECLARATION OF GEROLD MOSHER UNDER 37 C.F.R. § 1.132

- I, **Gerold Mosher**, state and declare as follows:
- 1. I am currently employed at Azurity Pharmaceuticals, Inc., a company formed in May 2019 by the acquisition of Silvergate Pharmaceuticals, Inc. by CutisPharma, Inc.
- 2. I received my Bachelor's degree in Pharmacy from the University of Kansas in 1979. I also received a Master and a Doctor of Philosophy in Pharmaceutical Chemistry in 1984 and 1986, respectively, from the University of Kansas.
- 3. I have been employed at Silvergate Pharmaceuticals and now Azurity

 Pharmaceuticals since 2013, and my current position is Vice President of Product Development. As part of my job duties, I develop oral formulations for pediatric use. I have a small laboratory where I

develop, characterize and move formulations through the steps required for FDA approval and eventual sale.

- 4. Early in my career, I practiced pharmacy for two years from 1979 to 1981. Subsequently, I worked in large pharmaceutical companies (Eli Lilly and Merck) for about ten years where I focused primarily on pre-formulation and early phase formulations of new drug products. After leaving these companies and prior to Silvergate Pharmaceuticals, I have also been employed by small startup companies to develop new solubilizing technology for oral, injectable, and inhalation formulations.
- 5. In total, I have been in the field of pharmaceutical chemistry for about 40 years, and have extensive experience in developing pharmaceutical formulations. My *Curriculum Vitae* is attached as Exhibit A.
- 6. I am familiar with the subject matter claimed in U.S. Pat. App. Ser. No. 16/242,898 ("the '898 application"), and I am a named inventor on this application. Silvergate Pharmaceuticals, Inc. is the assignee of all rights in the invention of the pending '898 application.
- 7. I am aware of the Final Office Action mailed in this matter on November 19, 2019. I am also aware that the pending claims stand rejected as allegedly being obvious under 35 U.S.C. 103 over Nahata et al., "Stability of enalapril maleate in three extemporaneously prepared oral liquids," Am. J. Health-Syst. Pharm., 1998, vol. 55, pages 1155-1157 ("Nahata") in view of Sosnowska et al., "Stability of Extemporaneous Enalapril Maleate Suspensions for Pediatric Use Prepared From Commercially Available Tablets," Acta Poloniae Pharmaceutica Drug Research, 2009, vol. 66, no. 3, pages 321-326 ("Sosnowska") in view of Boukarim et al., "Preservatives in Liquid Pharmaceutical Preparations", J. Appl. Res., 2009, vol. 9, no. 1&2, pages 14-17 ("Boukarim"). I have reviewed these cited references in the Final Office Action.
 - 8. I am submitting this declaration to address the comments made in the Office Action.
- 9. The '898 application relates to enalapril oral liquid formulations that are stable at about 5±3 °C for at least 12 months. The development of this described enalapril formulation was oriented on preparing a safe, stable, uniform oral liquid with minimal degradation and having an acceptable taste for pediatric patients.

- 10. Traditionally, approved methods of delivering enalapril to pediatric patients requires (1) administering a solid enalapril tablet or portion thereof to the patient, (2) extemporaneously preparing an oral liquid suspension from enalapril tablets and a diluent, such as the method described in "Nahata" and subsequently administering the suspension to the patient, or (3) reconstituting a powder in a liquid carrier. All of these methods are undesirable and have limitations. For tablets, it is well known that children have difficulty swallowing solid oral dosage forms. For the second method, extemporaneously prepared oral liquids present additional challenges and issues with respect to dosing accuracy and stability, as well as can introduce compounding errors and cross-contamination.
- As compared to these currently available methods, the enalapril oral liquid formulation claimed in the '898 application provides several advantages:
 - <u>Improved ease of administration</u>. It is easier for many patients to swallow a liquid than to swallow a tablet,
 - <u>Patient Compliance</u>. Patients are more likely to take a dose that is not difficult to swallow, or difficult to prepare,
 - Accuracy of dosing. The prescribing information for enalapril tablets provides dosing guidelines based on the weight of the child. When one only has fixed 2.5, 5 or 10 mg tablets available, it is difficult if not impossible to break the tablets in such a way to get an exact dose if the dose is something other than the tablet strength. In addition, if tablets are compounded into a suspension, the tablets are crushed in a mortar and then mixed with a liquid. There is no guarantee that the drug dissolves in, or is dispersed evenly in the liquid (thus leading to potential dosing errors. Moreover, there is always the chance of contamination of the resulting liquid by residual drugs or substances in the mortar. Similarly, in reconstitutable powders, there is also no guarantee that the powder dissolves or disperses evenly in the diluent.
- 12. The oral enalapril liquid formulations of the '898 application have superior stability—they are stable at 5 ± 3 °C for 12 months or longer with minimal degradation. The stability is an important aspect of the present formulations. It contributes to the consistency and uniformity of the formulations as well as allows for accuracy of dosing to patients.

- 13. Evidence of the superior stability of the formulations disclosed in the '898 application can be found in exemplary formulations H1 to H9. Formulations H1 to H9 were prepared according to the compositions in Table 1 and titrated if needed to the target pH with 5N hydrochloric acid or 5N sodium hydroxide. The formulations were placed into HDPE containers and sealed with screw caps and induction sealing. The formulations were stored at 5 °C and 25 °C and sampled at various times. Samples were analyzed by HPLC for enalapril maleate and enalapril related substances. The results of the analyses are presented in Table 2.
- 14. As shown in Table 1 below, formulations H1- H9 were prepared with a variety of buffers, including sodium citrate, citric acid, phosphate, citrate/phosphate, acetate, glycine, and tartrate. Formulations H1 and H7-H9 contain citrate-based buffers. Specifically, formulation H1 was prepared with citric acid and sodium citrate, and formulations H7-H9 were prepared with citric acid only (no sodium citrate) with the pH being adjusted with HCl or NaOH. Formulations H2-H6 were prepared with phosphate, citrate/phosphate, acetate, glycine, and tartrate buffer, respectively. The pH values of formulations H1 to H9 vary from about 3.3 to about 4.5. The initial pH values of formulations H1 to H7 are about 3.3, and the initial pH values of formulations H8 and H9 are about 4.0 and 4.5, respectively.
- 15. The enalapril maleate assay results in Table 2 show that all the formulations have greater than 98% of the initial enalapril maleate content remaining after 52 weeks at 5 °C. The total impurity content is also less than 2% for the same period showing comparable stability between the formulations, irrespective of the type of buffers used.

Table 1

	Compositions (mg/mL) for Stability Testing at 5 °C and 25 °C									
	H1	H2	Н3	H4	H5	Н6	H7	Н8	H9	
Ingredients	Citrate	Phosphate	Citrate/ Phosphate	Acetate	Glycine	Tartrate	Citrate	Citrate	Citrate	
Acetic acid, glacial	-	-	-	0.58	-	-	-	-	-	
Sodium Acetate	-	-	-	0.04	-	-	-	-	-	
Citric acid, anhydrous	1.82	-	1.07	-	-	-	1.92	1.92	1.92	
Sodium citrate, dihydrate	0.15	-	-	-	-	-	-	-	-	
Glycine	-	-	-	-	0.75	-	-	-	-	
Sodium dihydrogen phosphate, anhydrous	-	1.2	-	-	-	-	-	-	-	
Disodium hydrogen	-	-	0.63	-	-	-	-	-	-	

phosphate, anhydrous									
L-(+)-tartaric acid	-	-	-	-	-	0.75	-	-	-
Sodium tartrate dibasic, dihydrate	-	-	-	-	-	1.15	-	-	-
Sodium benzoate	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
Sucralose NF	0.70	0.7	0.7	0.7	0.7	0.7	0.7	0.7	0.7
Enalapril Maleate	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
Purified water	qs								
pH	3.3	3.3	3.3	3.3	3.3	3.3	3.3	4.0	4.5

Table 2

	_		Assay and	d Total De	egradant (Content A	fter Stora	nge			
	S	torage]	Formulat	ion			
	°C	Weeks	H1	H2	Н3	H4	H5	Н6	H7	Н8	Н9
Enalapril Maleate	5	0	100.0	100.0	100.0	100.0	100.0	100.0	100.0	100.0	100.0
(% initial)		2	100.1	100.1	99.7	100.0	99.5	98.2	100.7	100.4	100.3
		4	100.2	100.3	99.6	100.4	100.0	98.9	99.8	100.0	99.6
		8	100.0	100.0	99.7	100.0	99.5	98.5	99.6	100.9	100.7
		24	99.8	100.0	99.6	100.2	99.4	98.6	100.4	100.1	99.8
		28	99.8	99.9	99.6	100.1	99.3	98.4	99.7	-	-
		36	-	-	-	-	-	-	-	99.9	99.4
		52	99.9	99.9	99.7	99.7	98.9	98.1	99.8	99.5	99.2
	25	0	100.0	100.0	100.0	100.0	100.0	100.0	100.0	100.0	100.0
		2	100.1	99.2	99.7	100.0	99.5	98.4	99.8	99.9	99.5
		4	99.7	99.1	99.4	99.9	99.4	98.5	99.1	99.0	98.1
		8	98.8	98.0	98.5	99.0	98.3	97.4	98.3	99.3	97.7
		24	98.0	97.2	97.7	98.4	98.1	96.9	98.4	97.5	95.3
		28	95.8	95.1	95.5	96.5	96.1	94.7	95.6	-	-
		36	-	-	-	-	-	-	-	93.7	89.4
		52	93.9	93.3	93.5	94.3	93.9	92.4	93.6	91.7	86.0
Total Impurities	5	0	< 0.05	< 0.05	< 0.05	< 0.05	< 0.05	< 0.05	< 0.05	0.09	0.10
(% w/w of		2	0.07	0.07	0.07	0.06	0.06	0.06	0.07	0.14	0.16
enalapril maleate)		4	0.09	0.11	0.10	0.11	0.11	0.12	0.10	0.20	0.26
		8	0.18	0.20	0.18	0.16	0.16	0.18	0.18	0.31	0.41
		24	0.25	0.29	0.26	0.24	0.22	0.25	0.27	0.43	0.60
		28	0.44	0.47	0.47	0.42	0.41	0.44	0.46	-	-
		36	-	-	-	-	-	-	-	0.91	1.20
		52	0.68	0.71	0.71	0.64	0.66	0.68	0.65	1.18	1.53
	25	0	< 0.05	< 0.05	< 0.05	< 0.05	< 0.05	< 0.05	< 0.05	0.09	0.10
		2	0.46	0.47	0.47	0.39	0.39	0.41	0.51	0.63	0.95
		4	0.86	0.91	0.89	0.83	0.81	0.88	0.89	1.16	1.84
		8	1.71	1.79	1.76	1.53	1.51	1.64	1.70	2.21	3.49
		24	2.52	2.65	2.60	2.24	2.21	2.40	2.49	3.28	5.27
		28	4.91	5.18	5.08	4.49	4.43	4.81	4.94	-	-

36	-	-	-	-	-	-	-	7.32	11.60
52	7.22	7.64	7.45	6.67	6.60	7.16	7.25	9.55	14.95

- application can be found in exemplary formulations in Table 3. Formulations in Table 3 were prepared using fumarate, tartrate, malate, aspartate, glycinate, lactate, formate, phthalate, acetate, succinate, gluconate, glutamate, citrate, phosphate, and citrate/phosphate buffers, respectively. Specifically, these formulations were prepared according to the compositions in Table 3 and titrated if needed to pH 3 and 4 with 5N hydrochloric acid or 5N sodium hydroxide. The formulations were placed into amber glass screw-capped vial with Teflon lined caps. The vials were capped, stored at 60 °C and sampled at various times over 7 days. Samples were analyzed by HPLC for enalapril. The results of the analyses are presented in Table 4.
- 17. The citrate and phosphate 10mM formulations were included in Table 3 as a control since citrate and phosphate buffers were included in the previous study in Tables 1 and 2 and demonstrated superior stability. The enalapril maleate assay results in Table 4 show that all the formulations have stability comparable to the citrate formulations at 60 °C.

Table 3

		Con	npositio	ns (mg/r	nL) for S	Stability '	Testing a	at 60 °C	•			
	Fum	arate	Tartrate		Ma	Malate		Aspartate		inate	Lac	tate
Formula	20mM	10mM	20mM	10mM	20mM	10mM	20mM	10mM	20mM	10mM	20mM	10mM
Fumaric acid	2.32	1.16	-	-	-	-	-	-	-	-	-	-
Tartaric acid	-	-	3.00	1.50	-	-	-	-	-	-	-	-
DL-Malic acid	-	-	-	-	2.68	1.34	-	-	-	-	-	-
L-Aspartic acid	-	-	-	-	-	-	2.66	1.33	-	-	-	-
Glycine	-	-	-	-	-	-	-	-	1.50	0.75	-	-
Lactic acid	-	-	-	-	-	-	-	-	-	-	180	90
Sodium benzoate	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
Enalapril Maleate	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
Purified water	Qs	Qs	Qs	Qs	Qs	Qs	Qs	Qs	Qs	Qs	Qs	Qs
5N HCl/5N NaOH to pH	3.0/4.0	3.0/4.0	3.0/4.0	3.0/4.0	3.0/4.0	3.0/4.0	3.0/4.0	3.0/4.0	3.0/4.0	3.0/4.0	3.0/4.0	3.0/4.0
	For	mate	Phth	alate	Ace	etate	Succ	inate	Gluc	onate	Gluta	mate
Formula	20mM	10mM	20mM	10mM	20mM	10mM	20mM	10mM	20mM	10mM	20mM	10mM
Formic acid	0.92	0.46	_	_	_	_	_	_	_	_	_	_

Potassium hydrogen phthalate	-	-	4.08	2.04	-	-	-	-	-	-	-	-
Acetic acid, glacial	-	-	-	-	1.20	0.60	-	-	-	-	-	-
Succinic acid	-	-	-	-	-	-	2.36	1.18	-	-	-	-
Sodium gluconate	-	-	-	-	-	-	-	-	4.36	2.18	-	-
L-Glutamic acid	-	-	-	-	-	-	-	-	-	-	2.94	1.47
Sodium benzoate	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
Enalapril Maleate	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
Purified water	Qs											
5N HCl/5N NaOH to pH	3.0/4.0	3.0/4.0	3.0/4.0	3.0/4.0	3.0/4.0	3.0/4.0	3.0/4.0	3.0/4.0	3.0/4.0	3.0/4.0	3.0/4.0	3.0/4.0

	Cit	rate	Phos	phate	Citrate/Phosphate
Formula	20mM	10mM	20mM	10mM	10mM each
Citric acid, anhydrous	3.84	1.92	-	-	1.92
Phosphoric acid	-	-	196	98	98
Sodium benzoate	1.00	1.00	1.00	1.00	1.00
Enalapril Maleate	1.00	1.00	1.00	1.00	1.00
Purified water	Qs	Qs	Qs	Qs	Qs
5N HCI/5N NaOH to pH	3.0/4.0	3.0/4.0	3.0/4.0	3.0/4.0	3.0/4.0

TABLE 4

	Assay Results After Storage of Formulations at 60 °C												
		Enalap	nalapril Maleate, pH 3 (% initial) Enalapril Maleate, pH 4 (% init										
Buffer	mM	0 Days	2 Days	4 Days	7 Days	0 Days	2 Days	4 Days	7 Days				
Citrate	10	100.0	97.1	97.2	95.5	100.0	97.2	96.6	94.6				
	20	100.0	97.1	96.8	95.2	100.0	96.8	96.4	94.4				
Phosphate	10	100.0	97.1	97.1	95.3	100.0	96.3	96.2	94.5				
	20	100.0	97.1	96.7	95.2	100.0	96.3	96.0	94.2				
Citrate/Phosphate	20	100.0	96.8	97.3	95.2	100.0	96.8	96.2	94.9				
Tartrate	10	100.0	97.4	97.6	95.9	100.0	96.9	97.0	95.2				
	20	100.0	97.2	97.6	95.6	100.0	97.1	96.4	94.0				
Glycinate	10	100.0	98.7	96.4	95.4	100.0	96.8	96.6	95.3				
	20	100.0	98.3	96.9	95.7	100.0	96.7	97.3	96.0				
Acetate	10	100.0	97.5	97.4	95.1	100.0	96.7	96.8	95.3				
	20	100.0	97.4	98.2	95.2	100.0	97.1	96.8	94.9				
Malate	10	100.0	97.2	97.1	96.0	100.0	97.0	96.8	95.2				
	20	100.0	97.2	97.1	95.9	100.0	96.7	96.5	95.0				
Fumarate	10	100.0	96.6	96.8	95.2	100.0	95.9	96.1	94.4				
	20	100.0	96.6	96.6	94.7	100.0	95.8	95.8	93.6				
Succinate	10	100.0	98.1	96.2	95.3	100.0	96.6	96.8	94.5				

	20	100.0	96.9	97.3	95.1	100.0	96.2	96.9	94.6
Aspartate	10	100.0	97.3	97.1	96.1	100.0	96.5	98.1	96.4
	20	100.0	97.0	97.4	95.8	100.0	96.6	97.0	95.3
Formate	10	100.0	97.0	97.1	95.6	100.0	96.6	97.1	93.8
	20	100.0	96.9	96.5	96.3	100.0	96.1	98.1	93.3
Gluconate	10	100.0	97.2	97.9	95.2	100.0	96.3	96.2	93.4
	20	100.0	97.0	98.9	94.2	100.0	96.2	95.8	94.2
Glutamate	10	100.0	97.2	96.9	95.9	100.0	96.9	96.4	95.3
	20	100.0	97.3	97.1	95.2	100.0	96.7	97.5	93.7
Lactate	10	100.0	97.3	97.1	96.4	100.0	96.5	98.3	95.3
	20	100.0	97.3	97.2	97.2	100.0	96.9	96.3	95.2
Phthalate	10	100.0	97.3	96.9	95.8	100.0	96.2	96.2	94.7
	20	100.0	97.0	96.8	95.5	100.0	96.2	97.8	93.3

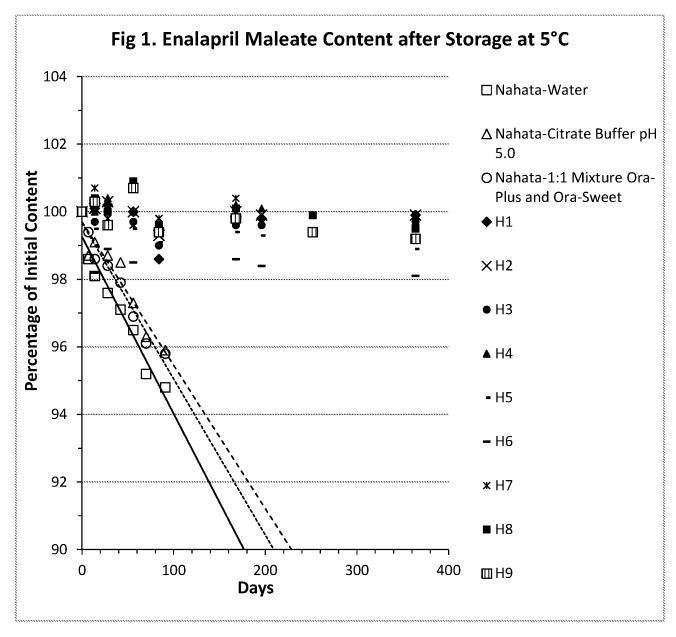
- 18. As presented above, Tables 1-4 show that the formulations of the '898 application can be prepared using a variety of buffers (e.g., citrate, phosphate, citrate/phosphate, acetate, glycinate, fumarate, tartrate, malate, aspartate, lactate, formate, phthalate, acetate, succinate, gluconate, and glutamate buffers) and the pH values of the formulations can vary, e.g., at least from about 3 to about 4.5. All the formulations in Tables 1 and 3 demonstrated superior stability—retaining greater than 98% of the initial enalapril maleate content and having less than 2% w/w total impurity after 52 weeks at 5 °C, or having comparable stability when tested under an accelerated condition of 60 °C.
- 19. In my review of the references cited in the Office Action, none of the references describe this stability of at least 12 months at 5 ± 3 °C or any means of achieving this stability for enalapril formulations.
- 20. I have reviewed Nahata which describes the extemporaneous preparation of oral liquid enalapril formulations by crushing enalapril tablets with a mortar and pestle and suspending the resulting ground tablets in water, citrate buffer, or Ora-Plus/Ora-Sweet. On stability, Nahata states that the "compounded oral liquids [were] stable for 91 days at 4 and 25 °C" defining stable as "concentration after storage was ≥90% of the initial concentration. Table 1 of Nahata shows that the enalapril extemporaneous formulations exhibited about 5% loss of enalapril after about 56 days at 4 °C and about 5% loss of enalapril after about 91 days at 25 °C.

- 21. I have reviewed Sosnowska, which similarly describes extemporaneous enalapril suspensions. The suspensions disclosed in Sosnowska were obtained by grinding tablets and suspending the resultant powder in a hydroxyethylcellulose solution or in a mixture that contains raspberry syrup and hydroxyethylcellulose solution. Based on the 30-day stability data shown in Table 1 of Sosnowska, these extemporaneous formulations have comparable stabilities to the formulations of Nahata, which is retaining about 98% of initial enalapril concentration after stored at refrigerated condition for 30 days. As noted in Sosnowska, "in the absence of microbiological data, the shelf-life given to extemporaneous products containing preservatives is usually 30 days." Page 325 of Sosnowska.
- 22. I have also reviewed Boukarim, which does not provide the stabilities of liquid enalapril formulations.
- 23. To compare the stability of the enalapril oral liquid formulations of the instant application with the extemporaneous preparations, such as those described in Nahata, the enalapril content of the Nahata formulations and that of formulations H1-H9 (stored at 5 °C) are provided in Table 5.

Table 5: Enalapril content in formulations after storage at 5 °C

		Nahat	ta		Formulations of Instant Application									
Days	water	Citrate Buffer pH 5.0	1:1 Ora- Plus/Ora- Sweet	H1	Н2	Н3	H4	H5	Н6	H7	Н8	Н9		
0	100	100	100	100.0	100.0	100.0	100.0	100.0	100.0	100.0	100.0	100.0		
7	98.6	98.7	99.4											
14	98.1	99.1	98.6	100.1	100.1	99.7	100.0	99.5	98.2	100.7	100.4	100.3		
28	97.6	98.7	98.4	100.2	100.3	99.6	100.4	100.0	98.9	99.8	100.0	99.6		
42	97.1	98.5	97.9											
56	96.5	97.3	96.9	100.0	100.0	99.7	100.0	99.5	98.5	99.6	100.9	100.7		
70	95.2	96.3	96.1											
84				98.6	99.3	99.0	99.5	99.1	99.4	99.8	99.6	99.4		
91	94.8	95.9	95.8											
168				99.8	100.0	99.6	100.2	99.4	98.6	100.4	100.1	99.8		
196				99.8	99.9	99.6	100.1	99.3	98.4	99.7				
252											99.9	99.4		
364				99.9	99.9	99.7	99.7	98.9	98.1	99.8	99.5	99.2		

24. To further describe the contrast in stability, the enalapril concentrations published by Nahata, and the concentrations from H1-H9 are plotted graphically in Figure 1 with linear regression of the data for extrapolation.



25. Table 5 and Figure 1 show that formulations H1 to H9 exhibit excellent stability for at least 12 months (52 weeks) at 5 °C with essentially no or little loss of enalapril content, in contrast to the extemporaneous preparations of Nahata (stability is defined as no more than 5% formation of degradants and 5% loss of enalapril). While Nahata does not disclose stability at about 5 °C for more

than 90 days, the extrapolated lines show that at about 100 days, the extemporaneous preparations are unstable with respect to the enalapril content in the preparation.

- 26. The enalapril content and total impurity data submitted in Tables 1-5 and Figure 1 show that the formulations of the present application are significantly more stable than the extemporaneously prepared formulations. Further, as shown by the stability of formulations H1-H9 and formulations of Table 3, a variety of buffers, which are capable of maintaining the pH values of the formulations at about or below 4.5, can be used in the formulations of the present application.
- 27. I declare that all statements made herein are true to the best of my knowledge, or if made upon information and belief, are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Respectfully submitted on this /4 day of May, 2020

Gerold L. Mosher, Ph.D.

Gerold Friedler

Attorney Docket No.: 43060-707.305

This IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: Group Art Unit:

Inventors: Gerold L. Mosher, et al. Confirmation No.: 1032

Serial No.: 16/242,898 Examiner: SPRINGER, Stephanie K

Filed: January 8, 2019 Customer No.: 21971

Title: ENALAPRIL FORMULATIONS

Certificate of Electronic Filing

1629

I hereby certify that the attached Response and all accompanying papers is being deposited by Electronic Filing on **May 14, 2020**, by using the EFS – Web patent filing system and addressed to: Commissioner for Patents, P.O. Box 1450,

Alexandria, VA 22313-1450. By: /Paula Derby /

Mail Stop Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

RESPONSE TO FINAL OFFICE ACTION DATED NOVEMBER 19, 2019, WITH REQUEST FOR CONTINUED EXAMINATION

Commissioner:

Applicant hereby submits a response to the Final Office Action dated November 19, 2019 (the "Office Action"), in the above-identified application. Applicant respectfully requests reconsideration and allowance of the pending claims.

This response is submitted with a petition to obtain a three-month extension-of-time, extending the deadline for responding to May 19, 2020. Accordingly, this response is timely filed. Commissioner is hereby authorized to charge any fees associated with filing of this response, to Deposit Account No. 23-2415, referencing Docket No. 43060-707.305.

Amendments to the Claims, reflecting the status of the claims, begin on page 2.

Remarks begin on page 7.

Conclusion begins on page 14.

Amendments to the Claims

This listing of claims will replace all prior versions, amendments, and listings, of claims in this application. The following amendments do not constitute an admission regarding the patentability of the amended subject matter and should not be so construed. Applicant reserves the right to pursue the subject matter of the withdrawn claims in this or any other appropriate patent application.

Listing of the Claims:

- 1. (Currently amended) A stable oral liquid formulation, comprising consisting essentially of:
 - (i) about 0.6 to about 1.2 mg/ml enalapril or a pharmaceutically acceptable salt or solvate thereof;
 - (ii) a buffer to maintain the pH about 4.5 or below, wherein the buffer concentration is about 5 mM to about 20 mM;
 - (iii) about 1 mg/ml of a preservative that is sodium benzoate; and
 - (iv) water;

wherein the formulation optionally comprises a sweetener, a flavoring agent, or both; wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 12 months; and wherein the stable oral liquid formulation has about 95% w/w or greater of the initial enalapril amount and about 5% w/w or less total impurity or related substances at the end of the given storage period.

- 2. (Currently amended) The stable oral liquid formulation of claim 1, further-comprising a sweetener.
- 3. (Original) The stable oral liquid formulation of claim 2, wherein the sweetener is sucralose.
- 4. (Currently amended) The stable oral liquid formulation of claim 1, further comprising a flavoring agent.
- 5. (Previously presented) The stable oral liquid formulation of claim 1, wherein the buffer comprises a citrate, a phosphate, a citrate/phosphate, an acetate, a glycinate, or a tartrate buffer.

- 6. (Original) The stable oral liquid formulation of claim 1, wherein the buffer comprises citric acid and sodium citrate.
- 7. (Original) The stable oral liquid formulation of claim 6, wherein the citric acid and/or the sodium citrate is anhydrous, monohydrate or dihydrate.
- 8. (Canceled)
- 9. (Currently amended) The stable oral liquid formulation of claim 1, wherein the buffer concentration is about 10[[5]] mM to about 20 mM.
- 10. (Original) The stable oral liquid formulation of claim 1, wherein the buffer maintains the pH between about 3 and about 4.
- 11. (Original) The stable oral liquid formulation of claim 1, wherein the buffer maintains the pH at about 3.3.
- 12. (Original) The stable oral liquid formulation of claim 1, wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 18 months.
- 13. (Original) The stable oral liquid formulation of claim 1, wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 24 months.
- 14. (Currently amended) A stable oral liquid formulation, consisting essentially of:
 - (i) about 0.6 to about 1.2 mg/ml enalapril or a pharmaceutically acceptable salt or solvate thereof;
 - (ii) a buffer to maintain the pH about 4.5 or below, wherein the buffer concentration is about 5 mM to about 20 mM;
 - (iii) about 1 mg/ml of a preservative that is sodium benzoate; and
 - (iv) water;

wherein the formulation optionally comprises a sweetener and [[/or]] a flavoring agent, wherein the formulation and is stable at about 5 ± 3° C for at least 12 months; and wherein the stable oral liquid formulation has about 95% w/w or greater of the initial enalapril amount and about 5% w/w or less total impurity or related substances at the end of the given storage period.

15. (Currently amended) A stable oral liquid formulation, comprising consisting essentially of:

- (i) about 10% to about 25% (w/w of solids) enalapril or a pharmaceutically acceptable salt or solvate thereof;
- (ii) a buffer to maintain the pH about 4.5 or below, wherein the buffer concentration is about 5 mM to about 20 mM;
 - (iii) about 19% (w/w of solids) of a preservative that is sodium benzoate; and (iv) water;

wherein the formulation optionally comprises a sweetener, a flavoring agent, or both; wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 12 months; and wherein the stable oral liquid formulation has about 95% w/w or greater of the initial enalapril amount and about 5% w/w or less total impurity or related substances at the end of the given storage period.

- 16. (Currently amended) The stable oral liquid formulation of claim 15, further comprising a sweetener.
- 17. (Original) The stable oral liquid formulation of claim 16, wherein the sweetener is sucralose.
- 18. (Currently amended) The stable oral liquid formulation of claim 15, further comprising a flavoring agent.
- 19. (Currently amended) The stable oral liquid formulation of claim 15, wherein the buffer comprises a citrate, a phosphate, a citrate/phosphate, an acetate, a glycinate, an amino acid, or a tartrate buffer.
- 20. (Original) The stable oral liquid formulation of claim 15, wherein the buffer comprises citric acid and sodium citrate.
- 21. (Original) The stable oral liquid formulation of claim 20, wherein the citric acid and/or the sodium citrate is anhydrous, monohydrate or dihydrate.
- 22. (Canceled)
- 23. (Canceled)
- 24. (Currently amended) The stable oral liquid formulation of claim 15, wherein the buffer concentration is about 10[[5]] mM to about 20 mM.

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- 25. (Original) The stable oral liquid formulation of claim 15, wherein the buffer maintains the pH between about 3 and about 3.5.
- 26. (Original) The stable oral liquid formulation of claim 15, wherein the buffer maintains the pH at about 3.3.
- 27. (Original) The stable oral liquid formulation of claim 15, wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 18 months.
- 28. (Original) The stable oral liquid formulation of claim 15, wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 24 months.
- 29. (Currently amended) A stable oral liquid formulation, comprising consisting essentially of:
 - (i) about 0.6 to about 1.2 mg/ml enalapril or a pharmaceutically acceptable salt or solvate thereof;
 - (ii) a citrate buffer to maintain the pH about 4.5 or below comprising citric acid and sodium citrate;
 - (iii) about 1 mg/ml of a preservative that is sodium benzoate; and
 - (iv) water;

wherein the formulation optionally comprises a sweetener, a flavoring agent, or both; wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 12 months; and wherein the stable oral liquid formulation has about 95% w/w or greater of the initial enalapril amount and about 5% w/w or less total impurity or related substances at the end of the given storage period.

- 30. (Original) The stable oral liquid formulation of claim 29, wherein the citric acid and/or the sodium citrate is anhydrous, monohydrate or dihydrate.
- 31. (New) The stable oral liquid formulation of claim 1, comprising about 1.0 mg/ml enalapril or a pharmaceutically acceptable salt or solvate thereof.
- 32. (New) The stable oral liquid formulation of claim 1, wherein the enalapril or a pharmaceutically acceptable salt or solvate thereof is enalapril maleate.

33. (New) The stable oral liquid formulation of claim 1, wherein the buffer comprises a buffer selected from a citrate, a phosphate, a citrate/phosphate, an acetate, a tartrate, a lactate, a glycinate, and an amino acid buffer.

* * *

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REMARKS

Attorney Docket No.: 43060-707.305

Before entry of the instant amendments, claims 1-7, 9-22, and 24-30 were pending.

By way of the instant amendments, claims 1, 2, 4, 9, 14-16, 18, 19, 24 and 29 have been amended, claim 22 has been canceled, and new claims 31-33 are added. Support for the amendments is in the application and claims as originally filed, see, e.g., original claims 2-4, paragraphs [0036]-[0036], [0058], [0066], [0076], Example E and Table E-2 of the published application. No new matter has been added.

Upon entry of the amendments, claims 1-7, 9-21, 24-33 are pending and under examination. Reconsideration and allowance is respectfully requested in light of the following remarks.

The §103 Rejection

Claims 1-7, 9-22, and 24-30 were rejected under 35 U.S.C. 103 as obvious over Nahata et al., "Stability of enalapril maleate in three extemporaneously prepared oral liquids," Am. J. Health-Syst. Pharm., 1998, vol. 55, pages 1155-1157 (cited in IDS) ("Nahata") in view of Sosnowska et al., "Stability of Extemporaneous Enalapril Maleate Suspensions for Pediatric Use Prepared From Commercially Available Tablets," Acta Poloniae Pharmaceutica - Drug Research, 2009, vol. 66, no. 3, pages 321-326 (cited in PTO-892) ("Sosnowska") in view of Boukarim et al., "Preservatives in Liquid Pharmaceutical Preparations", J. Appl. Res., 2009, vol. 9, no. 1&2, pages 14-17 (cited in PTO-892) ("Boukarim").

The Office admits that "Nahata and Sosnowska do not explicitly teach that the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 12 months." However, the Office nevertheless alleges "the combined teachings of Nahata, Sosnowska, and Boukarim meet the instantly claimed requirements, and absent evidence to the contrary, one would expect the composition to have the same properties as instantly claimed." Page 11 of the Office Action.

Applicant respectfully submits that none of the three cited references—Nahata, Sosnowska, and Boukarim—teaches or suggests all the elements of the claimed formulations,

e.g., the stability element, that is "the formulation is stable at 5 ± 3 °C for at least 12 months," is not disclosed or suggested. The superior stability is unexpected in view of cited art.

Applicant further submits an Inventor Declaration by Dr. Gerold Mosher dated May, 14, 2020 (the "Mosher Declaration"), with evidence to overcome the §103 rejections asserted by the Office, as discussed in greater detail below.

a. The Cited References Do Not Teach or Suggest Enalapril Oral Liquid Formulations That Are Stable at 5 ± 3 °C For At Least 12 Months

To establish a prima facie case of obviousness, the cited art itself or "the inferences and creative steps that a person of ordinary skill in the art would [have] employ[ed]" at the time of the invention are to have taught or suggested the claim elements. KSR Int'l Co. v. Teleflex Inc., 127 S. Ct. 1727, 1742 (2007). The Examiner must make "a searching comparison of the claimed invention – including all its limitations – with the teaching of the prior art." In re Ochiai, 71 F.3d 1565, 1572 (Fed. Cir. 1995). As such, "obviousness requires a suggestion of all limitations in a claim." CFMT, Inc. v. Yieldup Intern. Corp., 349 F.3d 1333, 1342 (Fed. Cir. 2003) (citing In re Royka, 490 F.2d 981, 985 (CCPA 1974)).

None of Nahata, Sosnowska, and Boukarim teaches or suggests enalapril oral liquid formulations that are stable at 5 ± 3 °C for at least 12 months, which is one of the elements in the present claims. Specifically, the amended claim 1 is directed to a stable oral liquid formulation consisting essentially of:

- (i) about 0.6 to about 1.2 mg/ml enalapril or a pharmaceutically acceptable salt or solvate thereof;
- (ii) a buffer to maintain the pH about 4.5 or below, wherein the buffer concentration is about 5 mM to about 20 mM;
 - (iii) about 1 mg/ml of a preservative that is sodium benzoate; and
 - (iv) water;

wherein the formulation optionally comprises a sweetener, a flavoring agent, or both; wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 12 months; and wherein the stable oral liquid formulation has about 95% w/w or greater of the initial

wherein the stable oral liquid formulation has about 95% w/w or greater of the initial enalapril amount and about 5% w/w or less total impurity or related substances at the end of the given storage period.

Claims 14, 15 and 29 similarly recite formulations that comprise the stability element.

The Specification and Drawings of the instant application provide support and evidence of this stability; for example, Table E-2 depicts very little amounts of diketopiperazine or enalaprilat degradants formed in formulations E1 to E6 when stored at 5 °C. Table E-1 shows that formulations E1 to E6 contain enalapril, a buffer (e.g., citric acid and sodium citrate at a concentration of 5 mM, 10 mM, or 20 mM) that maintains the pH at 4.5 or below, a preservative that is sodium benzoate, and water, which represent some of the embodiments of the claimed formulation.

Moreover, the Mosher Declaration provides additional exemplary formulations that are prepared with a variety of buffers, including citrate, phosphate, citrate/phosphate, acetate, glycinate, fumarate, tartrate, malate, aspartate, lactate, formate, phthalate, acetate, succinate, gluconate, and glutamate buffers, and the formulations exhibit superior stability. Specifically, in the Mosher Declaration, Dr. Mosher provided 52-week stability data for exemplary formulations of Table 1, which include formulations made with sodium citrate, citric acid, phosphate, citrate/phosphate, acetate, glycine, and tartrate buffers of the present disclosure. Dr. Mosher also provided the stability results of additional exemplary formulations in Table 3 (with fumarate, tartrate, malate, aspartate, glycinate, lactate, formate, phthalate, acetate, succinate, gluconate, glutamate, citrate, phosphate, and citrate/phosphate buffers) under an accelerated condition of 60 °C for 7 days.

Dr. Mosher explains: "Tables 1-4 show that the formulations of the '898 application can be prepared using a variety of buffers (e.g., citrate, phosphate, citrate/phosphate, acetate, glycinate, fumarate, tartrate, malate, aspartate, lactate, formate, phthalate, acetate, succinate, gluconate, and glutamate buffers) and the pH values of the formulations can vary, e.g., at least from about 3 to about 4.5. All the formulations in Tables 1 and 3 demonstrated superior stability—retaining greater than 98% of the initial enalapril maleate content and having less than 2% w/w total impurity after 52 weeks at 5 °C, or having comparable stability when tested under an accelerated condition of 60 °C." Mosher Declaration, ¶18.

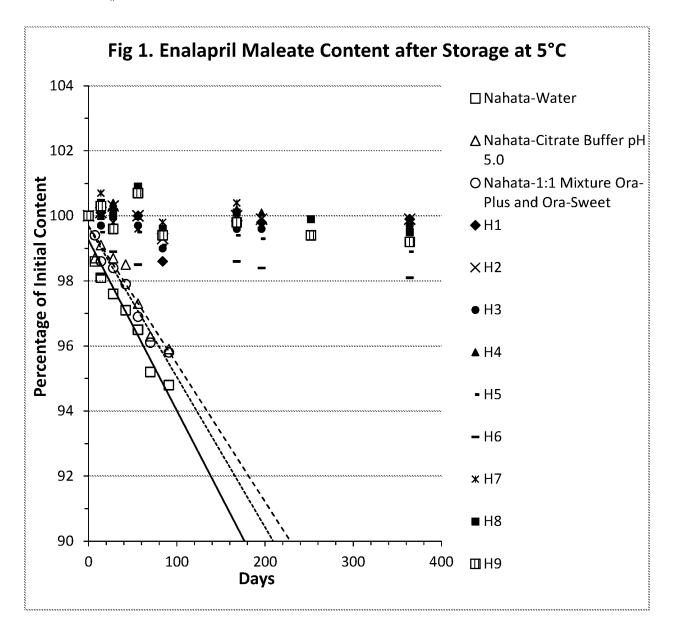
To illustrate the dramatic differences in stability between the enalapril oral liquid formulations of the present application with the stability of the enalapril liquid preparation in Nahata, Dr. Mosher plotted graphically with linear regression of the data for extrapolation of the

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available refrigerated (5 °C) stability data published by Nahata as well as formulations H1-H9 of Table 1, which are exemplary formulations of the present application. The stability comparisons at 5 °C are presented in Table 5 and Fig 1., and Fig.1 is provided below. *See*, Mosher Declaration, ¶23-25.



As Dr. Mosher explains, "Table 5 and Figure 1 show that formulations H1 to H9 exhibit excellent stability for at least 12 months (52 weeks) at 5 °C with essentially no or little loss of enalapril content, in contrast to the extemporaneous preparations of Nahata (stability is defined as no more than 5% formation of degradants and 5% loss of enalapril). While Nahata does not

disclose stability at about 5 °C for more than 90 days, the extrapolated lines show that at about 100 days, the extemporaneous preparations are unstable with respect to the enalapril content in the preparation." Mosher Declaration, ¶25. Evidently, remaining stable for at least 12 months at 5 ± 3 °C is not an inherent property of the Nahata formulations.

Sosnowska similarly discloses extemporaneously prepared formulations. Sosnowska teaches liquid formulations of enalapril prepared from crushed enalapril tablets. *See*, page 322 of Sosnowska. "[I]n the absence of microbiological data, the shelf-life given to extemporaneous products containing preservatives is usually 30 days - the time period in which the formulations were tested." *See*, Table 1 and page 325 of Sosnowska. Accordingly, Sosnowska does not disclose or suggest stability beyond 30 days for the extemporaneous preparations.

Further, Applicant respectfully points out that formulations of the present disclosure have overcome limitations of the extemporaneously prepared formulations. As Dr. Mosher explains, "[t]raditionally, approved methods of delivering enalapril to pediatric patients requires (1) administering a solid enalapril tablet or portion thereof to the patient, (2) extemporaneously preparing an oral liquid suspension from enalapril tablets and a diluent, such as the method described in 'Nahata' and subsequently administering the suspension to the patient, or (3) reconstituting a powder in a liquid carrier . . . For the second method, extemporaneously prepared oral liquids present additional challenges and issues with respect to dosing accuracy and stability, as well as can introduce compounding errors and cross-contamination." Mosher Declaration, ¶10-11. The stable enalapril oral liquid formulations in the present application represent an elegant solution over the previous methods of obtaining liquid enalapril formulation.

Thus, the data presented in the Mosher Declaration clearly demonstrates extemporaneous preparations, such as the preparations disclosed in Nahata and Sosnowska, do not meet the stability requirement of the present claims. As for Boukarim, it does not disclose any enalapril oral liquid formulation or any stability thereof.

As such, none of the cited references—Nahata, Sosnowska, and Boukarim—discloses or suggests any liquid formulations of enalapril that is stable at about 5 ± 3 °C for at least 12 months, either explicitly or by inherency. Accordingly, Applicant respectfully requests the §103 rejections be withdrawn.

b. Unexpected Results

The presence of an unexpected property is evidence of nonobviousness. *See*, MPEP § 716.02. Evidence of unexpected results must be weighed against evidence supporting prima facie obviousness in making a final determination of the obviousness of the claimed invention. *See*, In re May, 574 F.2d 1082, 197 USPQ 601 (CCPA 1978); MPEP § 716.02.

Applicant submits that the superior stability yielded by the claimed formulations are unexpected in view of the cited art.

As explained in the Mosher Declaration, the claimed stable enalapril liquid formulations are dramatically more stable than extemporaneously prepared enalapril formulations. In the Mosher Declaration, Dr. Mosher plotted graphically, with linear regression of the data for extrapolation of the stability data published in Nahata, as well as corresponding enalapril formulations H1-H9, which are exemplary formulations of the instant application. *See*, Mosher Declaration, Fig. 1.

Formulations H1-H9 were prepared with a variety of buffers including sodium citrate, citric acid, phosphate, citrate/phosphate, acetate, glycine, and tartrate. As evidenced by Fig. 1, formulations H1-H9 demonstrate essentially no loss of enalapril for at least 12 months at 5 °C. These results drastically contrast with the stability or lack thereof in the extemporaneous enalapril preparations, where the enalapril degrades substantially after initial preparation. At about 90-100 days, the extemporaneous preparations are at about 95% of the starting enalapril concentration when stored at 4 °C.

Further, Dr. Mosher provided an accelerated stability test under 60 °C, which shows that formulations prepared with a variety of buffers, including fumarate, tartrate, malate, aspartate, glycinate, lactate, formate, phthalate, acetate, succinate, gluconate, glutamate, citrate, phosphate, and citrate/phosphate buffers, have comparable and superior stability. Mosher Declaration, ¶16, Table 3, and Table 4.

These unexpected stability results of the presently claimed formulations are not taught by, and could not have been predicted or contemplated by Nahata, Sosnowska, or Boukarim.

Indeed, as Dr. Mosher explains, "the extrapolated lines [in Nahata] show that at about 100 days, the extemporaneous preparations are unstable with respect to the enalapril content in the preparation." Mosher Declaration, ¶25. Thus, one of ordinary skill in the art would not reasonably expect, based on the teachings in Nahata, to make a formulation that is stable at about 5 ± 3 °C for a period that is more than three times longer than the Nahata formulation.

Similarly, Sosnowska does not show any stability data beyond 30 days. When stored at 4 $^{\circ}$ C, Sosnowska formulations with an initial enalapril concentration at about 1.0 mg/mL contained only about 98% initial enalapril concentration at the end of the 30-day period. One of ordinary skill in the art would not reasonably expect, based on the teachings in Sosnowska, to make a formulation that is stable at about 5 ± 3 $^{\circ}$ C for at least 365 days.

Further, none of the references provide any teachings or suggestions to arrive at the instantly claimed stable oral liquid formulation that yields the unexpected, superior stability. For example, the extemporaneously prepared formulation in Nahata contains about 19 components in addition to enalapril and water. However, Nahata does not provide any expectation that any particular combination would be successful for making a stable enalapril oral liquid formulation, which can extend the stability from less than 100 days to at least 12 months at 5 °C. Similarly, Sosnowska fails to provide any expectation or suggestion that any modification of the components can lead to a stable oral liquid formulation that is stable at about 5 ± 3 °C for at least 12 months (that is 12 times longer than the stability period shown in Sosnowska).

Thus, the instantly claimed formulations have unexpected, superior stability results.

Accordingly, Applicant respectfully requests the §103 rejection be withdrawn for at least the reasons stated above. Applicant believes that the Application is in condition for allowance and such action is earnestly solicited.

* * *

U.S. Patent Application No. 16/242,898
Response to the Final Office Action dated November 19, 2019

CONCLUSION

Applicant submits that this response fully addresses the Office Action dated November 19, 2019. Applicant believes that for the reasons set forth herein the pending claims are in condition for allowance and early and favorable consideration is respectfully requested.

Should the Examiner have any questions, the Examiner is encouraged to telephone the undersigned at (617) 598-7823.

Respectfully submitted,

WILSON SONSINI GOODRICH & ROSATI Professional Corporation

Attorney Docket No.: 43060-707.305

Date: May 14, 2020 By: /Clark Lin/

Clark Y. Lin, Ph.D., Esq.

Reg. No. 67,024

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14

Attorney Docket No. 43060-707.305 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: MOSHER; Gerold L. et

ıl.

Serial Number: 16/242,898

Filing or 371 (c) Date: 2019-01-08

Title: ENALAPRIL

FORMULATIONS

Group Art Unit: 1629

Examiner: SPRINGER;

Stephanie K.

CONFIRMATION NO: 1032

FILED ELECTRONICALLY ON: June 5, 2020

Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97

Commissioner for Patents:

An Information Disclosure Statement along with attached PTO/SB/08 is hereby submitted. A copy of each listed publication is submitted, if required, pursuant to 37 CFR §§1.97-1.98, as indicated below.

The Examiner is requested to review the information provided and to make the information of record in the above-identified application. The Examiner is further requested to initial and return the attached PTO/SB/08 in accordance with MPEP § 609.

The right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered, is hereby reserved.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, prior art or material to patentability as defined in § 1.56.

Α.	37 CFI because:	R § 1.97	7 (b). This Information Disclosure Statement should be considered by the Office
		(1)	It is being filed within 3 months of the filing date of a national application and is other than a continued prosecution application under § 1.53 (d);
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		(2)	It is being filed within 3 months of entry of the national stage as set forth in § 1.491 in an international application;
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		(3)	It is being filed before the mailing of a first Office action on the merits;
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В.	specified in office action closes pros	n 37 CF. on under secution	(c). Although this Information Disclosure Statement is being filed after the period $(R \ \S \ 1.97(b))$, above, it is filed before the mailing date of the earlier of (1) a final $\S \ 1.113$, (2) a notice of allowance under $\S \ 1.311$, or (3) an action that otherwise in the application, this Information Disclosure Statement should be considered panied by one of:
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			f \$240.00 as set forth in § 1.17 (p) authorized below, enclosed, or included with ment of other papers filed together with this statement.
C.	mailing dat 1.311, or (e of the 3) an ac	7 (d). Although this Information Disclosure Statement is being filed after the earlier of (1) a final office action under § 1.113, (2) a notice of allowance under § tion that otherwise closes prosecution in the application, it is being filed before e fee and should be considered because it is accompanied by:
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			AND
		ii. a fe witl	be of \$240.00 as set forth in \$1.17(p) is authorized below, enclosed, or included in the payment of other papers filed together with this Statement.
D.	☐ 37 CFI	R§1.97 ((e). Statement.
		A state	ment is provided herewith to satisfy the requirement under 37 CFR §§ 1.97 (c);
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Е.	disclosure foreign or patent offic an individu information	ent Under 37 C.F.R. §1.704(d). Each item of information contained in the information statement was first cited in any communication from a patent office in a counterpart international application or from the Office or is a communication that was issued by a see in a counterpart foreign or international application or by the Office that was received by nal designated in § 1.56(c) not more than thirty (30) days prior to the filing of this in disclosure statement. This statement is made pursuant to the requirements of 37 C.F.R. to avoid reduction of the period of adjustment of the patent term for Applicant(s) delay.
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G.	37 CFI references.	R §1.98(a)(3). The Information Disclosure Statement includes non-English patents and/or
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		OR
		A concise explanation of the relevance of each patent, publication or other information provided that is not in English is as follows:
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H.		$R \ \S 1.98(d)$. Copies of patents, publications and pending U.S. patent applications, or other a specified in 37 C.F.R. $\S 1.98(a)$ are not provided herewith because:
		Pursuant to 37 CFR §1.98(d)(1) the information was previously submitted in an Information Disclosure Statement, or cited by examiner for another application under which this application claims priority for an earlier effective filing date under 35 U.S.C. 120.
		Application in which the information was submitted:
		Information Disclosure Statement(s) filed on:
		AND
		The information disclosure statement submitted in the earlier application complied with paragraphs (a) through (c) of 37 CFR §1.98.

I.	by authorized to charge the above-referenced fees
of \$0.00 and charge any additional fees or	credit any overpayment associated with this
communication to Deposit Account No. 23-2415 (D	ocket No.43060-707.305).
	Respectfully submitted,
	WILSON SONSINI GOODRICH & ROSATI
Dated: <u>June 5, 2020</u>	By: /Clark Lin/
	Clark Y. Lin, Reg. No. 67,024
650 Page Mill Road	
Palo Alto, CA 94304-1050	
(650) 493-9300	

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NOTICE OF ALLOWANCE AND FEE(S) DUE

21971 7590 08/03/2020 WILSON, SONSINI, GOODRICH & ROSATI 650 PAGE MILL ROAD PALO ALTO, CA 94304-1050 EXAMINER

RAO, SAVITHA M

ART UNIT PAPER NUMBER

1629

DATE MAILED: 08/03/2020

	APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
Ī	16/242.898	01/08/2019	Gerold L. MOSHER	43060-707.305	1032

TITLE OF INVENTION: ENALAPRIL FORMULATIONS

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$1000	\$0.00	\$0.00	\$1000	11/03/2020

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the ENTITY STATUS shown above. If the ENTITY STATUS is shown as SMALL or MICRO, verify whether entitlement to that entity status still applies.

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If the ENTITY STATUS is changed from that shown above, on PART B - FEE(S) TRANSMITTAL, complete section number 5 titled "Change in Entity Status (from status indicated above)".

For purposes of this notice, small entity fees are 1/2 the amount of undiscounted fees, and micro entity fees are 1/2 the amount of small entity fees.

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IMPORTANT REMINDER: Maintenance fees are due in utility patents issuing on applications filed on or after Dec. 12, 1980. It is patentee's responsibility to ensure timely payment of maintenance fees when due. More information is available at www.uspto.gov/PatentMaintenanceFees.

Page 1 of 3

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nonprovisional	UNDISCOUNTED	\$1000	\$0.00	\$0.00		\$1000	11/03/2020
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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
16/242,898	01/08/2019	Gerold L. MOSHER	43060-707.305	1032
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			DATE MAILED: 08/03/2020	0

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(Applications filed on or after May 29, 2000)

The Office has discontinued providing a Patent Term Adjustment (PTA) calculation with the Notice of Allowance.

Section 1(h)(2) of the AIA Technical Corrections Act amended 35 U.S.C. 154(b)(3)(B)(i) to eliminate the requirement that the Office provide a patent term adjustment determination with the notice of allowance. See Revisions to Patent Term Adjustment, 78 Fed. Reg. 19416, 19417 (Apr. 1, 2013). Therefore, the Office is no longer providing an initial patent term adjustment determination with the notice of allowance. The Office will continue to provide a patent term adjustment determination with the Issue Notification Letter that is mailed to applicant approximately three weeks prior to the issue date of the patent, and will include the patent term adjustment on the patent. Any request for reconsideration of the patent term adjustment determination (or reinstatement of patent term adjustment) should follow the process outlined in 37 CFR 1.705.

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

SLVGT-EPA 0105658

OMB Clearance and PRA Burden Statement for PTOL-85 Part B

The Paperwork Reduction Act (PRA) of 1995 requires Federal agencies to obtain Office of Management and Budget approval before requesting most types of information from the public. When OMB approves an agency request to collect information from the public, OMB (i) provides a valid OMB Control Number and expiration date for the agency to display on the instrument that will be used to collect the information and (ii) requires the agency to inform the public about the OMB Control Number's legal significance in accordance with 5 CFR 1320.5(b).

The information collected by PTOL-85 Part B is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

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The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b) (2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

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- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
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- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

			Applicant(s) MOSHER et al.		
Notice of Allowability		er e	Art Unit	AIA (FITF) Status	
		A M RAO	1629	Yes	
The MAILING DATE of this communication appear All claims being allowable, PROSECUTION ON THE MERITS IS (herewith (or previously mailed), a Notice of Allowance (PTOL-85) of NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGORY OF THE OF	OR REMA or other ap GHTS. Th	AINS) CLOSED in this appl opropriate communication vis is application is subject to v	ication. If not i will be mailed i	ncluded n due course. THIS	
A declaration(s)/affidavit(s) under 37 CFR 1.130(b) was/	/were filed	on			
2. An election was made by the applicant in response to a rest restriction requirement and election have been incorporated			ne interview on	; the	
3. The allowed claim(s) is/are 1-7,9-21 and 24-33. As a result Prosecution Highway program at a participating intellectual, please see http://www.uspto.gov/patents/init_events/pp	al property	office for the correspondin	g application.	For more information	
4. Acknowledgment is made of a claim for foreign priority unde	er 35 U.S.0	C. § 119(a)-(d) or (f).			
Certified copies:					
a) □All b) □ Some *c) □ None of the:					
 Certified copies of the priority documents have Certified copies of the priority documents have 					
 Copies of the certified copies of the priority do- International Bureau (PCT Rule 17.2(a)). 	3. Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).				
* Certified copies not received:					
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.			complying with	ı the requirements	
5. CORRECTED DRAWINGS (as "replacement sheets") must	be submi	tted.			
including changes required by the attached Examiner's Paper No./Mail Date	Amendm	ent / Comment or in the Of	fice action of		
Identifying indicia such as the application number (see 37 CFR 1. sheet. Replacement sheet(s) should be labeled as such in the hea			gs in the front	(not the back) of each	
6. DEPOSIT OF and/or INFORMATION about the deposit of B attached Examiner's comment regarding REQUIREMENT F				he	
Attachment(s)					
1. Notice of References Cited (PTO-892)		5. 🗌 Examiner's Amendr	ment/Commen	t	
2. Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date 06/05/2020.		6. 🗹 Examiner's Stateme	ent of Reasons	for Allowance	
3. Examiner's Comment Regarding Requirement for Deposit 7. Other of Biological Material					
4. ✓ Interview Summary (PTO-413), Paper No./Mail Date. <u>07/01/2020</u> .					
/SAVITHA M RAO/					
Primary Examiner, Art Unit 1629					

U.S. Patent and Trademark Office PTOL-37 (Rev. 08-13)

Notice of Allowability

Part of Paper No./Mail Date 20200728

Application/Control Number: 16/242,898 Page 2

Art Unit: 1629

Notice of Pre-AIA or AIA Status

The present application, filed on or after March 16, 2013, is being examined under the first inventor to file provisions of the AIA.

DETAILED ACTION

Claims 1-7, 9-21 and 24-33 are pending in the instant application.

Applicants representative Mr. Clark Lin interviewed with the examiner to discuss the claim amendments and the submitted affidavit on 7/1/2020. Please see the attached interview summary for details.

Information Disclosure Statement

The information disclosure statement (IDS) dated 06/05/2020 complies with the provisions of 37 CFR 1.97, 1.98 and MPEP § 609. Accordingly, it has been placed in the application file and the information therein has been considered as to the merits.

Terminal disclaimer

The terminal disclaimer filed on 08/01/2019 disclaiming the terminal portion of any patent granted on this application which would extend beyond the expiration date of US patents 9669008. 9808442, 10039745 and 10154987 and US application 16/177159 have been reviewed and is accepted. The terminal disclaimer has been recorded.

Rule 37 CFR 1.132 Declaration

Applicant's submission of the declarations of Gerold Mosher under 37 CFR 1.132 filed 05/15/2020 is acknowledged. The declarations is found to be persuasive in

Application/Control Number: 16/242,898

Art Unit: 1629

overcoming the outstanding rejections set forth in the non-final rejection dated

01/07/2020.

REASONS FOR ALLOWANCE

In view of the applicants claim amendments, arguments and the declaration filed

on 05/14/2020 and the following examiners statement of reasons for allowance, claims

1-7, 9-21 and 24-33 are found to be allowable.

Following a diligent search it was determined that the prior art neither teaches

nor provides adequate motivation to arrive at the instantly claimed stable oral liquid

formulation, consisting essentially of: (i) about 0.6 to about 1.2 mg/ml enalapril or a

pharmaceutically acceptable salt or solvate thereof, (ii) a buffer to maintain the pH about

4.5 or below, wherein the buffer concentration is about 5 mM to about 20 mM; (iii) about

1 mg/ml of a preservative that is sodium benzoate; and (iv) water; wherein the

formulation optionally comprises a sweetener, a flavoring agent, or both; wherein the

formulation is stable at about 5 ± 30 C for at least 12 months; and wherein the stable

oral liquid formulation has about 95% w/w or greater of the initial enalapril amount and

about 5% w/w or less total impurity or related substances at the end of the given

storage period...

Conclusion

Claims 1-7, 9-21 and 24-33 (renumbered as 1-30) are allowed.

Any comments considered necessary by applicant must be submitted no later

than the payment of the issue fee and, to avoid processing delays, should preferably

SLVGT-EPA 0105662

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Art Unit: 1629

accompany the issue fee. Such submissions should be clearly labeled "Comments on

Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the

examiner should be directed to SAVITHA RAO whose telephone number is (571)270-

5315. The examiner can normally be reached on Mon-Fri 7.00 am to 4.00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Jeffrey Lundgren can be reached on (571) 272-5541. The fax phone

number for the organization where this application or proceeding is assigned is 571-

273-8300.

Information regarding the status of an application may be obtained from the

Patent Application Information Retrieval (PAIR) system. Status information for

published applications may be obtained from either Private PAIR or Public PAIR.

Status information for unpublished applications is available through Private PAIR only.

For more information about the PAIR system, see http://pair-direct.uspto.gov. Should

you have questions on access to the Private PAIR system, contact the Electronic

Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a

USPTO Customer Service Representative or access to the automated information

system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/SAVITHA M RAO/

Primary Examiner, Art Unit 1629

SLVGT-EPA_0105663

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Appx367

	Application No. 16/242,898	Applicant(s) MOSHER et al.				
Applicant-Initiated Interview Summary	Examiner SAVITHA M RAO	Art Unit 1629	AIA (FITF) Status Yes			
All participants (applicant, applicants representative, PTO personnel):						
(1) <u>SAVITHA M. RAO</u> .	(3)					
(2) Clark Lin.	(4)					
Date of Interview: 01 July 2020.						
Type: Telephonic Video Conference Personal [copy given to: applicant	applicant's representativ	/e]				
Exhibit shown or demonstration conducted:	☑ No.					
Issues Discussed 101 112 102 103 6 (For each of the checked box(es) above, please describe below the issue and deta	Others iled description of the discussion)					
Claim(s) discussed: 1.						
Identification of prior art discussed: none.						
Substance of Interview (For each issue discussed, provide a detailed description and indicate if agreement was reached. Some topics may include: identification or clarification of a reference or a portion thereof, claim interpretation, proposed amendments, arguments of any applied references etc)						
Applicants discussed the claim amendments and how that	t overcomes the rejection or	n file.				
Applicant recordation instructions: The formal written reply to the last Office action must include the substance of the interview. (See MPEP section 713.04). If a reply to the last Office action has already been filed, applicant is given a non-extendable period of the longer of one month or thirty days from this interview date, or the mailing date of this interview summary form, whichever is later, to file a statement of the substance of the interview.						
Examiner recordation instructions : Examiners must summarize the substance of any interview of record. A complete and proper recordation of the substance of an interview should include the items listed in MPEP 713.04 for complete and proper recordation including the identification of the general thrust of each argument or issue discussed, a general indication of any other pertinent matters discussed regarding patentability and the general results or outcome of the interview, to include an indication as to whether or not agreement was reached on the issues raised.						
☐ Attachment						
/SAVITHA M RAO/ Primary Examiner, Art Unit 1629						

U.S. Patent and Trademark Office PTOL-413 (Rev. 8/11/2010)

Summary of Record of Interview Requirements

Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

Title 37 Code of Federal Regulations (CFR) 1.133 Interviews Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiners responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicants correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

- A complete and proper recordation of the substance of any interview should include at least the following applicable items:
- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,-
- 2) an identification of the claims discussed.
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner.
 - (The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicants record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

Examiner to Check for Accuracy

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiners version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, Interview Record OK on the paper recording the substance of the interview along with the date and the examiners initials.

Case 1:20-cv-01256-LPS Document 74-1-Eiled 04/05/21 Page 395 of 748 PageID #: 2586 Complete and send this form, together with applicable fee(s), by mail or fax, or via EFS-Web. Mail Stop ISSUE FEE By mail, send to: By fax, send to: (571)-273-2885 Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated taless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications. Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. Certificate of Mailing or Transmission 21971 7590 08/03/2020 I hereby certify that this Fee(s) Transmitted is being deposited with the United WILSON, SONSINI, GOODRICH & ROSATI States Postal Service with sufficient postage for first class mail in an envelope 650 PAGE MILL ROAD addressed to the Mail Stop ISSUE FEE address above, or being transmitted to the USPTO via EFS-Web or by facsimile to (571) 273-2885, on the date below. PALO ALTO, CA 94304-1050 Erin Dugan (Typed or praised using /enn dugan/ (Signerur) August 7, 2020 (Date FIRST NAMED INVENTOR CONFIRMATION NO. APPLICATION NO. FILING DATE ATTORNEY DOCKET NO. 16/242.898 01/08/2019 Gerold L. MOSHER 43060-707.305 1032 TITLE OF INVENTION: ENALAPRIL FORMULATIONS APPLN, TYPE ENTITY STATUS ISSUE FEE DUE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE DATE DUE UNDISCOUNTED \$1000 \$0.00 \$0.00 \$1000 11/03/2020 nonorovisional EXAMINER ART UNIT CLASS-SUBCLASS RAO, SAVITHA M 1629 514-001000 Change of correspondence address or indication of "Fee Address" (37) For printing on the patent front page, list Wilson, Sonsini, Goodrich (1) The names of up to 3 registered patent attorneys & Rosati, P.C. or agents OR, alternatively, Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. (2) The name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is "Fee Address" indication (or "Fee Address" Indication form PTO/ listed, no name will be printed. SB/47; Rev 03-09 or more recent) attached. Use of a Customer Number is required. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignce is identified below, no assignce data will appear on the patent. If an assignce is identified below, the document must have been previously recorded, or filed for recordation, as set forth in 37 CFR 3.11 and 37 CFR 3.81(a). Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) Silvergate Pharmaceuticals, Inc. Greenwood Village, CO Please check the appropriate assignee category or categories (will not be printed on the patent) : 🛄 Individual 🍱 Corporation or other private group entity 🛄 Government Tissue Fee Publication Fee (if required) Advance Order - # of Copies 4b. Method of Payment: (Please first reapply any previously paid fee shown above) Electronic Payment via EFS-Web Enclosed check Non-electronic payment by credit card (Attach form PTO-2038) The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment to Deposit Account No. 23-2415 5. Change in Entity Status (from status indicated above) NOTE: Absent a valid certification of Micro Entity Status (see forms PTO/SB/15A and 15B), issue Applicant certifying micro entity status. See 37 CFR 1.29 fee payment in the micro entity amount will not be accepted at the risk of application abandonment. NOTE: If the application was previously under micro entity status, checking this box will be taken Applicant asserting small entity status. See 37 CFR 1.27 to be a notification of loss of entitlement to micro entity status. NOTE: Checking this box will be taken to be a notification of loss of entitlement to small or micro Applicant changing to regular undiscounted fee status. entity status, as applicable. NOTE: This form must be signed in accordance with 37 CFR 1.31 and 1.33. See 37 CFR 1.4 for signature requirements and certifications.

Authorized Signature

Typed or printed name.

/Clark Lin/

Cłark Lin, Ph.D., J.D.

67,024

Date August 7, 2020

Registration No.

Page 396 of 748 PageID #: 2587

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

www.uspto.gov

APPLICATION NO.	ISSUE DATE	PATENT NO.	ATTORNEY DOCKET NO.	CONFIRMATION NO.
16/242,898	09/15/2020	10772868	43060-707.305	1032

21971

7590

08/26/2020

WILSON, SONSINI, GOODRICH & ROSATI 650 PAGE MILL ROAD PALO ALTO, CA 94304-1050

ISSUE NOTIFICATION

The projected patent number and issue date are specified above.

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 0 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

Gerold L. MOSHER, Kansas City, MO; Silvergate Pharmaceuticals, Inc., Greenwood Village, CO; David W. MILES, Kansas City, MO;

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The USA offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to encourage and facilitate business investment. To learn more about why the USA is the best country in the world to develop technology, manufacture products, and grow your business, visit <u>SelectUSA.gov</u>.

IR103 (Rev. 10/09) SLVGT-EPA 0105686

PTO/AIA/15 (10-17)

Approved for use through 11/30/2020. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number. 43060-707.304 Attorney Docket No. UTILITY Gerold L. MOSHER First Named Inventor PATENT APPLICATION Title ENALAPRIL FORMULATIONS TRANSMITTAL Priority Mail Express® Filed Electronically via EFS-Web on October 31, 2018 (Only for new nonprovisional applications under 37 CFR 1.53(b)) abel No **Commissioner for Patents** APPLICATION ELEMENTS ADDRESS TO: P.O. Box 1450 See MPEP chapter 600 concerning utility patent application contents. Alexandria, VA 22313-1450 Fee Transmittal Form **ACCOMPANYING APPLICATION PAPERS** (PTO/SB/17 or equivalent) Assignment Papers Applicant asserts small entity status. (cover sheet & document(s)) See 37 CFR 1 27 Name of Assignee Applicant certifies micro entity status. See 37 CFR 1.29. Applicant must attach form PTO/SB/15A or B or equivalent. [Total Pages 52 37 CFR 3.73(c) Statement Power of Attorney Specification Both the claims and abstract must start on a new page. (when there is an assignee) (See MPEP § 608.01(a) for information on the preferred arrangement) **English Translation Document** 5. / Drawing(s) (35 U.S.C. 113) [Total Sheets 2 (if applicable) Total Pages 2 Information Disclosure Statement 6. Inventor's Oath or Declaration 13. (including substitute statements under 37 CFR 1.64 and assignments (PTO/SB/08 or PTO-1449) serving as an oath or declaration under 37 CFR 1.63(e)) Copies of citations attached Newly executed (original or copy) **Preliminary Amendment** A copy from a prior application (37 CFR 1.63(d)) **Return Receipt Postcard** 7. Application Data Sheet * See note below. (MPEP § 503) (Should be specifically itemized) See 37 CFR 1.76 (PTO/AIA/14 or equivalent) Certified Copy of Priority Document(s) CD-ROM or CD-R (if foreign priority is claimed) in duplicate, large table, or Computer Program (Appendix) Nonpublication Request Landscape Table on CD Under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or equivalent. 9. Nucleotide and/or Amino Acid Sequence Submission 18. Other: Certification and Request for Prioritized (if applicable, items a. - c. are required) Examination Under 37 CFR 1.102(e) - 1 pp. Computer Readable Form (CRF) Specification Sequence Listing on: CD-ROM or CD-R (2 copies); or Paper Statements verifying identity of above copies *Note: (1) Benefit claims under 37 CFR 1.78 and foreign priority claims under 1.55 must be included in an Application Data Sheet (ADS). (2) For applications filed under 35 U.S.C. 111, the application must contain an ADS specifying the applicant if the applicant is an assignee, person to whom the inventor is under an obligation to assign, or person who otherwise shows sufficient proprietary interest in the matter. See 37 CFR 1.46(b) 19. CORRESPONDENCE ADDRESS ✓ The address associated with Customer Number: 21971 OR Correspondence address below Name Address City Zip Code State <u>Te</u>lephone Country Email

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date

Registration No.

/Celine Bonnefous/

Celine M. Bonnefous

Signature

Name

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

October 31, 2018

72875

Doc Code: TRACK1.REQ

First Named Inventor:

Document Description: TrackOne Request

PTO/AIA/424 (04-14)

С	ERTIFICATI	-	ST FOR PRIORITIZED EXAM R 1.102(e) (Page 1 of 1)	INATION
	Gerold L.	MOSHER	Nonprovisional Application Number (if known):	

Title of Invention: ENALAPRIL FORMULATIONS

APPLICANT HEREBY CERTIFIES THE FOLLOWING AND REQUESTS PRIORITIZED EXAMINATION FOR THE ABOVE-IDENTIFIED APPLICATION.

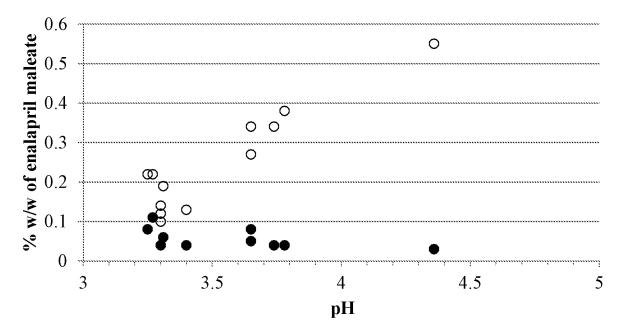
- 1. The processing fee set forth in 37 CFR 1.17(i)(1) and the prioritized examination fee set forth in 37 CFR 1.17(c) have been filed with the request. The publication fee requirement is met because that fee, set forth in 37 CFR 1.18(d), is currently \$0. The basic filing fee, search fee, and examination fee are filed with the request or have been already been paid. I understand that any required excess claims fees or application size fee must be paid for the application.
- 2. I understand that the application may not contain, or be amended to contain, more than four independent claims, more than thirty total claims, or any multiple dependent claims, and that any request for an extension of time will cause an outstanding Track I request to be dismissed.
- 3. The applicable box is checked below:
- i. (a) The application is an original nonprovisional utility application filed under 35 U.S.C. 111(a).
 This certification and request is being filed with the utility application via EFS-Web.
 - (b) The application is an original nonprovisional plant application filed under 35 U.S.C. 111(a). This certification and request is being filed with the plant application in paper.
- ii. An executed inventor's oath or declaration under 37 CFR 1.63 or 37 CFR 1.64 for each inventor, **or** the application data sheet meeting the conditions specified in 37 CFR 1.53(f)(3)(i) is filed with the application.
 - II. Request for Continued Examination Prioritized Examination under § 1.102(e)(2)
- i. A request for continued examination has been filed with, or prior to, this form.
- ii. If the application is a utility application, this certification and request is being filed via EFS-Web.
- iii. The application is an original nonprovisional utility application filed under 35 U.S.C. 111(a), or is a national stage entry under 35 U.S.C. 371.
- iv. This certification and request is being filed prior to the mailing of a first Office action responsive to the request for continued examination.
- v. No prior request for continued examination has been granted prioritized examination status under 37 CFR 1.102(e)(2).

Signature / Celine Bonnefous /	_{Date} October 31, 2018
Name (Print/Typed) Celine M. Bonnefous	Practitioner 72875 Registration Number
Note: This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4(d) for Submit multiple forms if more than one signature is required.*	or signature requirements and certifications.
✓ *Total of forms are submitted.	

1/2

FIG. 1

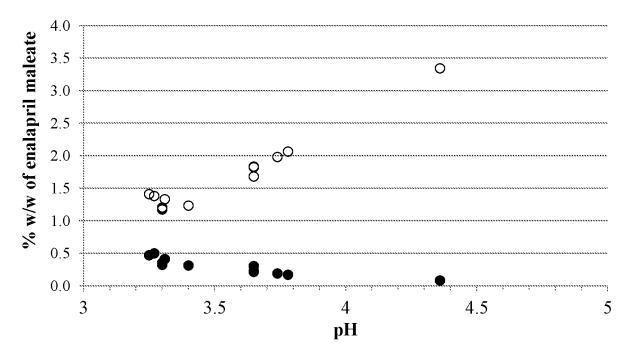
• Enalapril diketopiperazine; O Enalaprilat



2/2

FIG. 2

• Enalapril diketopiperazine; O Enalaprilat



ENALAPRIL FORMULATIONS

ABSTRACT OF THE DISCLOSURE

Provided herein are stable enalapril oral liquid formulations. Also provided herein are methods of using enalapril oral liquid formulations for the treatment of certain diseases including hypertension, heart failure and asymptomatic left ventricular dysfunction.

CLAIMS

WHAT IS CLAIMED IS:

- 1. A stable oral liquid formulation, comprising:
 - (i) about 0.6 to about 1.2 mg/ml enalapril or a pharmaceutically acceptable salt or solvate thereof;
 - (ii) a buffer comprising citric acid and sodium citrate;
 - (iii) a preservative; and
 - (iv) water;

wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 12 months; and wherein the stable oral liquid formulation has about 95% w/w or greater of the initial enalapril amount and about 5% w/w or less total impurity or related substances at the end of the given storage period.

- 2. The stable oral liquid formulation of claim 1 further comprising a sweetener.
- 3. The stable oral liquid formulation of claim 2, wherein the sweetener is sucralose.
- 4. The stable oral liquid formulation of claim 1 further comprising a flavoring agent.
- 5. The stable oral liquid formulation of claim 1, wherein the formulation does not contain mannitol.
- 6. The stable oral liquid formulation of claim 1, wherein the formulation does not contain silicon dioxide.
- 7. The stable oral liquid formulation of claim 1, wherein the buffer comprises about 0.8 to about 3.5 mg/ml citric acid.
- 8. The stable oral liquid formulation of claim 1, wherein the buffer comprises about 0.1 to about 0.8 mg/ml sodium citrate.
- 9. The stable oral liquid formulation of claim 1, wherein the pH of the stable oral liquid formulation is less than about 3.5.
- 10. The stable oral liquid formulation of claim 1, wherein the pH of the stable oral liquid formulation is between about 3 and about 3.5.

- 11. The stable oral liquid formulation of claim 1, wherein the pH of the stable oral liquid formulation is about 3.3.
- 12. The stable oral liquid formulation of claim 1, wherein the preservative is selected from ascorbic acid, ascorbyl palmitate, BHA, BHT, citric acid, EDTA and its salts, erythorbic acid, fumaric acid, malic acid, propyl gallate, sodium ascorbate, sodium bisulfate, sodium metabisulfite, sodium sulfite, methylparaben, ethylparaben, propylparaben, butylparaben, benzoic acid, sodium benzoate, potassium sorbate, and vanillin.
- 13. The stable oral liquid formulation of claim 1, wherein the preservative is sodium benzoate.
- 14. The stable oral liquid formulation of claim 12, wherein the sodium benzoate is about 0.2 to about 1.2 mg/ml.
- 15. The stable oral liquid formulation of claim 1, wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 18 months.
- 16. The stable oral liquid formulation of claim 1, wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 24 months.
- 17. A stable oral liquid formulation, consisting essentially of:
 - (i) about 0.6 to about 1.2 mg/ml enalapril or a pharmaceutically acceptable salt or solvate thereof;
 - (ii) a buffer comprising citric acid and sodium citrate;
 - (iii) a preservative; and
 - (iv) water;

wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 12 months; and wherein the stable oral liquid formulation has about 95% w/w or greater of the initial enalapril amount and about 5% w/w or less total impurity or related substances at the end of the given storage period.

- 18. A stable oral liquid formulation, comprising:
 - (i) about 10% to about 25% (w/w of solids) enalapril or a pharmaceutically acceptable salt or solvate thereof;

- (ii) a buffer comprising about 17% to about 47% (w/w of solids) citric acid and about 1% to about 11% (w/w of solids) sodium citrate;
- (iii) about 1% to about 30% (w/w of solids) of a preservative; and
- (iv) water;

wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 12 months; and wherein the stable oral liquid formulation has about 95% w/w or greater of the initial enalapril amount and about 5% w/w or less total impurity or related substances at the end of the given storage period.

- 19. The stable oral liquid formulation of claim 18 further comprising a sweetener.
- 20. The stable oral liquid formulation of claim 19, wherein the sweetener is sucralose.
- 21. The stable oral liquid formulation of claim 18 further comprising a flavoring agent.
- 22. The stable oral liquid formulation of claim 18, wherein the formulation does not contain mannitol.
- 23. The stable oral liquid formulation of claim 18, wherein the formulation does not contain silicon dioxide.
- 24. The stable oral liquid formulation of claim 18, wherein the pH of the stable oral liquid formulation is less than about 3.5.
- 25. The stable oral liquid formulation of claim 18, wherein the pH of the stable oral liquid formulation is between about 3 and about 3.5.
- 26. The stable oral liquid formulation of claim 18, wherein the pH of the stable oral liquid formulation is about 3.3.
- 27. The stable oral liquid formulation of claim 18, wherein the preservative is selected from ascorbic acid, ascorbyl palmitate, BHA, BHT, citric acid, EDTA and its salts, erythorbic acid, fumaric acid, malic acid, propyl gallate, sodium ascorbate, sodium bisulfate, sodium metabisulfite, sodium sulfite, methylparaben, ethylparaben, propylparaben, butylparaben, benzoic acid, sodium benzoate, potassium sorbate, and vanillin.
- 28. The stable oral liquid formulation of claim 18, wherein the preservative is sodium benzoate.

- 29. The stable oral liquid formulation of claim 18, wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 18 months.
- 30. The stable oral liquid formulation of claim 18, wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 24 months.

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Application Da	nta Sheet 37 CFR 1.76	Attorney Docket Number	43060-707.304		
	ita Sileet 37 CFK 1.70	Application Number			
Title of Invention ENALAPRIL FORMULATIONS					
The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76. This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.					
Socropy Order 27 CED 5 2:					

Secrecy Order 37 CFR 5.2:

Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to
☐ 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)

Inventor Information:

Inventor 1							R	emove]		
Legal Name											
Prefix Give	n Name		Middle Name	9		Family	Name			Su	ıffix
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Residence I	nformation (Select One)	US Residency Non US Res			esidency	Activ	e US Mi	ilitary Servic	e	
City Kansas City			State/Province	МО	Count	ry of Resi	dence	US			
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Inventor 2						1	R	emove]		
Legal Name	1						<u> </u>		<u>-</u>		
Prefix Give	n Name		Middle Name	2		Family	Name			Su	ıffix
▼ David			W.			MILES				Ī	-
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City	Kansas City				State/Pro	vince	МО				
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Case 1:20-cv-01256-LPS Document 74-1 Filed 04/05/21 Page Place of use through 1980 2020: 048 0891-0032

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	Attorney Docket Number 43060-707.304						
Application Data Shee	et 37 CFR 1.76	Application Number	43000-707.304				
Title of Invention ENALA	PRIL FORMULATIONS						
An Address is being provided for the correspondence Information of this application.							
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Email Address	patentdocket@wsgr.d	com	Add Email Remove Email				
Application Information	ation:						
Title of the Invention	ENALAPRIL FORMU	JLATIONS					
Attorney Docket Number	43060-707.304	Small Ent	ity Status Claimed 🔲				
Application Type	Nonprovisional		•				
Subject Matter	Utility		•				
Total Number of Drawing	Sheets (if any)	2 Suggeste	ed Figure for Publication (if any) 1				
Filing By Reference							
application papers including a specification and any drawings are being filed. Any domestic benefit or foreign priority information must be provided in the appropriate section(s) below (i.e., "Domestic Benefit/National Stage Information" and "Foreign Priority Information"). For the purposes of a filing date under 37 CFR 1.53(b), the description and any drawings of the present application are replaced by this reference to the previously filed application, subject to conditions and requirements of 37 CFR 1.57(a). Application number of the previously filed application Filing date (YYYY-MM-DD) Intellectual Property Authority or Country filed application							
Publication Inform	ation:						
Request Early Publicat	ion (Fee required at	time of Request 37 CFR 1.2	19)				
Request Not to Publish. I hereby request that the attached application not be published under 35 U.S.C. 122(b) and certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.							
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Application Da	ata Shoot 37 CED 1 76	Attorney Docket Number	43060-707.304
Application Data Sheet 37 CFR 1.76		Application Number	
Title of Invention	ENALAPRIL FORMULATION	S	

nactic Ranafit/National Stage Information:

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tŀ	the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78.										
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Foreign Priority Information:

This section allows for the applicant to claim priority to a foreign application. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55. When priority is claimed to a foreign application that is eligible for retrieval under the priority document exchange program (PDX) the information will be used by the Office to automatically attempt retrieval pursuant to 37 CFR 1.55(i)(1) and (2). Under the PDX program, applicant bears the ultimate responsibility for ensuring that a copy of the foreign application is received by the Office from the participating foreign intellectual property office, or a certified copy of the foreign priority application is filed, within the time period specified in 37 CFR 1.55(g)(1).

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Application Da	ta Sheet 37 CFR 1.76	Attorney Docket Number	43060-707.304			
Application Da	ita Sileet S/ CFK 1.70	Application Number				
Title of Invention	ENALAPRIL FORMULATION	S				
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Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications

	This application (1) claims priority to or the benefit of an application filed before March 16, 2013 and (2) also
	contains, or contained at any time, a claim to a claimed invention that has an effective filing date on or after March
	16, 2013.
	NOTE: By providing this statement under 37 CFR 1.55 or 1.78, this application, with a filing date on or after March
Ì	16, 2013, will be examined under the first inventor to file provisions of the AIA.

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Application Da	ita Shoot 37 CED 1 76	Attorney Docket Number	43060-707.304
Application Data Sheet 37 CFR 1.76		Application Number	
Title of Invention	ENALAPRIL FORMULATION:	5	

Authorization or Opt-Out of Authorization to Permit Access:

When this Application Data Sheet is properly signed and filed with the application, applicant has provided written authority to permit a participating foreign intellectual property (IP) office access to the instant application-as-filed (see paragraph A in subsection 1 below) and the European Patent Office (EPO) access to any search results from the instant application (see paragraph B in subsection 1 below).

Should applicant choose not to provide an authorization identified in subsection 1 below, applicant <u>must opt-out</u> of the authorization by checking the corresponding box A or B or both in subsection 2 below.

NOTE: This section of the Application Data Sheet is **ONLY** reviewed and processed with the **INITIAL** filing of an application. After the initial filing of an application, an Application Data Sheet cannot be used to provide or rescind authorization for access by a foreign IP office(s). Instead, Form PTO/SB/39 or PTO/SB/69 must be used as appropriate.

- Authorization to Permit Access by a Foreign Intellectual Property Office(s)
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- B. <u>Search Results from U.S. Application to EPO</u> Unless box B in subsection 2 (opt-out of authorization) is checked, the undersigned hereby <u>grants the USPTO authority</u> to provide the EPO access to the bibliographic data and search results from the instant patent application when a European patent application claiming priority to the instant patent application is filed. See 37 CFR 1.14(h)(2).

The applicant is reminded that the EPO's Rule 141(1) EPC (European Patent Convention) requires applicants to submit a copy of search results from the instant application without delay in a European patent application that claims priority to the instant application.

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	A. Applicant <u>DOES NOT</u> authorize the USPTO to permit a participating foreign IP office access to the instant application-as-filed. If this box is checked, the USPTO will not be providing a participating foreign IP office with any documents and information identified in subsection 1A above.
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Application Da	ita Sheet 37 CFR 1.76	Attorney Docket Number	43060-707.304
Application Da	ita Sileet 37 Ci K 1.70	Application Number	
Title of Invention	ENALAPRIL FORMULATION:	S	

Applicant Information:

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.					
Applicant 1		Remove			
If the applicant is the inventor (or the remaining joint inventor or inventors under 37 CFR 1.45), this section should not be completed. The information to be provided in this section is the name and address of the legal representative who is the applicant under 37 CFR 1.43; or the name and address of the assignee, person to whom the inventor is under an obligation to assign the invention, or person who otherwise shows sufficient proprietary interest in the matter who is the applicant under 37 CFR 1.46. If the applicant is an applicant under 37 CFR 1.46 (assignee, person to whom the inventor is obligated to assign, or person who otherwise shows sufficient proprietary interest) together with one or more joint inventors, then the joint inventor or inventors who are also the applicant should be identified in this section.					
 Assignee 	Legal Representative under 35 U.S.C. 117				
Person to whom the inventor is ob	oligated to assign.	Person who show	vs sufficient proprietary interest		
If applicant is the legal representa	ative, indicate the authority to	file the patent application	on, the inventor is:		
			▼		
Name of the Deceased or Legall	y Incapacitated Inventor:				
If the Applicant is an Organizati	on check here.				
Organization Name Silverga	te Pharmaceuticals, Inc.				
Mailing Address Information	or Applicant:				
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City	enwood Village	State/Province	СО		
Country US		Postal Code	80111		
Phone Number		Fax Number			
Email Address					
Additional Applicant Data may be generated within this form by selecting the Add button.					

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Application Da	ita Sheet 3	7 CFR 1.76	Attorney Docket Number		r 43060-7	43060-707.304		
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Title of Invention	ENALAPRIL	FORMULATIONS	3					
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NOTE: This Application Data Sheet must be signed in accordance with 37 CFR 1.33(b). However, if this Application Data Sheet is submitted with the INITIAL filing of the application and either box A or B is not checked in subsection 2 of the "Authorization or Opt-Out of Authorization to Permit Access" section, then this form must also be signed in accordance with 37 CFR 1.14(c). This Application Data Sheet must be signed by a patent practitioner if one or more of the applicants is a juristic entity (e.g., corporation or association). If the applicant is two or more joint inventors, this form must be signed by a patent practitioner, all joint inventors who are the applicant, or one or more joint inventor-applicants who have been given power of attorney (e.g., see USPTO Form PTO/AIA/81) on behalf of all joint inventor-applicants. See 37 CFR 1.4(d) for the manner of making signatures and certifications.								
Signature /Celine	nature /Celine Bonnefous/				Date (Date (YYYY-MM-DD) 2018-10-31		
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Case 1:20-cv-01256-LPS Document 74-1 Filed 04/05/21 Page Ala of uz 41 இருக்கு இது விழும் 2# 1-0032

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Application Da	nta Sheet 37 CFR 1.76	Attorney Docket Number	43060-707.304
Application Da	ita Sileet Si Ci K 1.70	Application Number	
Title of Invention	ENALAPRIL FORMULATION	S	

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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The information provided by you in this form will be subject to the following routine uses:

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- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3 A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
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- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent CooperationTreaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
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- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

SLVGT-EPA 0105714

WSGR Docket No. 43060-707.304

PATENT APPLICATION

ENALAPRIL FORMULATIONS

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CROSS-REFERENCE OF RELATED APPLICATIONS

[0001] This application is a continuation of U.S. Patent Application No. 16/003,994, filed June 8, 2018, which is a continuation of U.S. Patent Application No. 15/802,341, filed November 2, 2017 (now U.S. Patent No. 10,039,745, issued August 7, 2018), which is a continuation of U.S. Patent Application No. 15/613,622, filed June 5, 2017 (now U.S. Patent No. 9,808,442, issued November 7, 2017), which is a continuation of U.S. Patent Application No. 15/081,603, filed March 25, 2016 (now U.S. Patent No. 9,669,008, issued June 06, 2017), which claims the benefit of U.S. Provisional Patent Application No. 62/310,198, filed March 18, 2016, all of which are incorporated herein by reference in their entirety.

BACKGROUND OF THE INVENTION

[0002] Hypertension, or high blood pressure, is a serious health issue in many countries. According to the National Heart Blood and Lung Institute, it is thought that about 1 in 3 adults in the United States alone have hypertension. Left unchecked, hypertension is considered a substantial risk factor for cardiovascular and other diseases including coronary heart disease, myocardial infarction, congestive heart failure, stroke and kidney failure. Hypertension is classified as primary (essential) hypertension or secondary hypertension. Primary hypertension has no known cause and may be related to a number of environmental, lifestyle and genetic factors such as stress, obesity, smoking, inactivity and sodium intake. Secondary hypertension can be caused by drug or surgical interventions, or by abnormalities in the renal, cardiovascular or endocrine system.

[0003] A number of antihypertensive drugs are available for treating hypertension. Various therapeutic classes of antihypertensive drugs include alpha-adrenergic blockers, beta-adrenergic blockers, calcium-channel blockers, hypotensives, mineralcorticoid antagonists, central alpha-agonists, diuretics and rennin-angiotensin-aldosterone inhibitors which include angiotensin II receptor antagonists (ARB) and angiotensin-converting enzyme (ACE) inhibitors. Angiotensin-converting enzyme (ACE) inhibitors inhibit angiotensin-converting enzyme (ACE), a peptydyl dipeptidase that catalyzes angiotension I to angiotension II, a potent vasoconstrictor involved in regulating blood pressure.

[0004] Enalapril is a prodrug belonging to the angiotensin-converting enzyme (ACE) inhibitor of medications. It is rapidly hydrolyzed in the liver to enalaprilat following oral administration. Enalaprilat acts as a potent inhibitor of ACE. The structural formulae of enalapril and enalaprilat are as follows:

[0005] Enalapril is currently administered in the form of oral tablets, (e.g., Vasotec®) or in the form of liquid formulations obtained by reconstitution of enalapril powder formulations. In addition to the treatment of hypertension, enalapril tablets have been used for symptomatic congestive heart failure, and asymptomatic left ventricular dysfunction.

SUMMARY OF THE INVENTION

[0006] Provided herein are enalapril oral liquid formulations. In one aspect, the enalapril oral liquid formulation, comprises (i) enalapril or a pharmaceutically acceptable salt or solvate thereof; (ii) a sweetener that is sucralose (iii) a buffer comprising citric acid; (iv) a preservative that is sodium benzoate; and (v) water; wherein the pH of the formulation is less than about 3.5; and wherein the formulation is stable at about 5±3 °C for at least 12 months.

[0007] In some embodiments, the enalapril is enalapril maleate. In some embodiments, the formulation further comprises a flavoring agent. In some embodiments, the buffer in the formulation further comprises sodium citrate dihydrate. In some embodiments, the amount of enalapril or a pharmaceutically acceptable salt or solvate thereof is about 0.6 to about 1.2 mg/ml. In some embodiments, the amount of sucralose is about 0.5 to about 0.9 mg/ml. In some embodiments, the amount of citric acid in the buffer is about 0.8 to about 3.5 mg/ml. In some embodiments, the amount of sodium citrate dihydrate in the buffer is about 0.1 to about 0.80 mg/ml. In some embodiments, the amount of the sodium benzoate is about 0.2 to about 1.2 mg/ml. In some embodiments, the amount of enalapril or a pharmaceutically acceptable salt or solvate thereof is about 10 to about 25 % (w/w of solids). In some embodiments, the amount of sucralose is about 8 to about 18 % (w/w of solids). In some embodiments, the amount of citric acid in the buffer is about 17 to about 47 % (w/w of solids). In some embodiments, the amount of sodium citrate dihydrate in the buffer is about 1 to about 11 % (w/w of solids). In some embodiments, the amount of sodium benzoate is about 12 to about 25 % (w/w of solids). In some embodiments, the pH of the formulation is between about 3 and about 3.5. In some embodiments, the pH of the formulation is about 3.3. In some embodiments, the citrate concentration in the buffer is about 5 mM to about 20 mM. In some embodiments, the citrate concentration in the buffer is about 10 mM. In some embodiments, the formulation is stable at about 5±3 °C for at least 18 months. In some embodiments, the formulation is stable at about 5±3 °C for at least 24

months. In some embodiments, the formulation does not contain mannitol. In some embodiments, the formulation does not contain silicon dioxide.

[0008] In one aspect, the enalapril oral liquid formulation, comprises (i) about 1 mg/ml enalapril maleate; (ii) about 0.70 mg/ml of a sweetener that is sucralose; (iii) a buffer comprising about 1.82 mg/ml citric acid; (iv) about 1 mg/ml of a preservative that is sodium benzoate; and (v) water; wherein the pH of the formulation is less than about 3.5; and wherein the formulation is stable at about 5±3 °C for at least 12 months.

[0009] In some embodiments, the formulation further comprises a flavoring agent. In some embodiments, the buffer further comprises about 0.15 mg/mL sodium citrate dihydrate. In some embodiments, the pH of the formulation is between about 3 and about 3.5. In some embodiments, the pH of the formulation is about 3.3. In some embodiments, the citrate concentration in the buffer is about 5 mM to about 20 mM. In some embodiments, the citrate concentration in the buffer is about 10 mM. In some embodiments, the formulation is stable at about 5±3 °C for at least 18 months. In some embodiments, the formulation is stable at about 5±3 °C for at least 24 months. In some embodiments, the formulation does not contain mannitol. In some embodiments, the formulation does not contain silicon dioxide.

[0010] In one aspect, the enalapril oral liquid formulation comprises (i) about 19.3 % (w/w of solids) enalapril maleate; (ii) about 13.5 % (w/w of solids) of a sweetener that is sucralose; (iii) a buffer comprising about 35.2 % (w/w of solids) citric acid; (iv) about 19.3 % (w/w of solids) of a preservative that is sodium benzoate; and (v) water; wherein the pH of the formulation is less than about 3.5; and wherein the formulation is stable at about 5 ± 3 °C for at least 12 months.

[0011] In some embodiments, the formulation further comprises a flavoring agent. In some embodiments, the buffer further comprises about 2.9 % (w/w of solids) sodium citrate dihydrate. In some embodiments, the pH of the formulation is between about 3 and about 3.5. In some embodiments, the pH of the formulation is about 3.3. In some embodiments, the citrate concentration in the buffer is about 5 mM to about 20 mM. In some embodiments, the citrate concentration in the buffer is about 10 mM. In some embodiments, the formulation is stable at about 5±3 °C for at least 18 months. In some embodiments, the formulation is stable at about 5±3 °C for at least 24 months. In some embodiments, the formulation does not contain mannitol. In some embodiments, the formulation does not contain silicon dioxide.

[0012] In one aspect, the enalapril oral liquid formulation consists essentially of (i) about 1 mg/ml enalapril maleate; (ii) about 0.70 mg/ml of a sweetener that is sucralose; (iii) a buffer comprising about 1.82 mg/ml citric acid and about 0.15 mg/ml sodium citrate dihydrate; (iv) about 1 mg/ml of a preservative that is sodium benzoate; (v) a flavoring agent; and (vi) water; wherein the pH of the

formulation is less than about 3.5 adjusted by sodium hydroxide or hydrochloric acid; and wherein the formulation is stable at about 5±3 °C for at least 12 months.

[0013] Also provided herein are methods of treating hypertension in a subject comprising administering to that subject a therapeutically effective amount of enalapril oral liquid formulation comprising (i) about 1 mg/ml enalapril maleate; (ii) about 0.7 mg/ml sucralose; (iii) a buffer comprising about 1.82 mg/ml citric acid and about 0.15 mg/ml sodium citrate dihydrate; (iv) about 1 mg/ml of a preservative that is sodium benzoate; and (v) water; wherein the pH of the formulation is less than about 3.5; and wherein the formulation is stable at about 5±3 °C for at least 12 months. In some embodiments, the formulation does not contain mannitol. In some embodiments, the formulation does not contain silicon dioxide.

[0014] In some embodiments, the hypertension is primary (essential) hypertension. In some embodiments, the subject has blood pressure values greater than or equal to 140/90 mmm Hg. In some embodiments, the subject is an adult. In some embodiments, the subject is elderly. In some embodiments, the subject is a child. In some embodiments, the formulation is administered to the subject in a fasted state. In some embodiments, the formulation is further administered to the subject in a fed state. In some embodiments, the formulation is further administered in combination with an agent selected from the group consisting of diuretics, beta blockers, alpha blockers, mixed alpha and beta blockers, calcium channel blockers, angiotensin II receptor antagonists, ACE inhibitors, aldosterone antagonists, and alpha-2 agonists.

[0015] Also provided herein are methods of treating prehypertension in a subject comprising administering to that subject a therapeutically effective amount of enalapril oral liquid formulation comprising (i) about 1 mg/ml enalapril maleate; (ii) about 0.7 mg/ml of a sweetener that is sucralose; (ii) a buffer comprising about 1.82 mg/ml citric acid and about 0.15 mg/ml sodium citrate dihydrate; (iv) about 1 mg/ml of a preservative that is sodium benzoate; and (v) water; wherein the pH of the formulation is less than about 3.5; and wherein the formulation is stable at about 5±3 °C for at least 12 months. In some embodiments, the formulation does not contain mannitol. In some embodiments, the formulation does not contain silicon dioxide.

[0016] In some embodiments, the subject has blood pressure values of about 120-139/80-89 mm Hg. [0017] Also provided herein are methods of treating heart failure in a subject comprising administering to that subject a therapeutically effective amount of enalapril oral liquid formulation comprising (i) about 1 mg/ml enalapril maleate; (ii) about 0.70 mg/ml of a sweetener that is sucralose; (iii) a buffer comprising about 1.82 mg/ml citric acid and about 0.15 mg/ml sodium citrate dihydrate; (iv) about 1 mg/ml of a preservative that is sodium benzoate; and (v) water; wherein the pH of the formulation is less than about 3.5; and wherein the formulation is stable at about 5±3 °C for at least 12 months. In some embodiments.

the formulation does not contain mannitol. In some embodiments, the formulation does not contain silicon dioxide.

[0018] Also provided herein are methods of treating left ventricular dysfunction in a subject comprising administering to that subject a therapeutically effective amount of enalapril oral liquid formulation comprising (i) about 1 mg/ml enalapril maleate; (ii) about 0.7 mg/ml of a sweetener that is sucralose; (iii) a buffer comprising about 1.82 mg/ml citric acid and about 0.15 mg/ml sodium citrate dihydrate; (iv) about 1 mg/ml of a preservative that is sodium benzoate; and (v) water; wherein the pH of the formulation is less than about 3.5; and wherein the formulation is stable at about 5±3 °C for at least 12 months. In some embodiments, the formulation does not contain mannitol. In some embodiments, the formulation does not contain silicon dioxide.

INCORPORATION BY REFERENCE

[0019] All publications, patents, and patent applications mentioned in this specification are herein incorporated by reference to the same extent as if each individual publication, patent, or patent application was specifically and individually indicated to be incorporated by reference.

BRIEF DESCRIPTION OF THE DRAWINGS

[0020] The novel features of the invention are set forth with particularity in the appended claims. A better understanding of the features and advantages of the present invention will be obtained by reference to the following detailed description that sets forth illustrative embodiments, in which the principles of the invention are utilized, and the accompanying drawings of which:

[0021] FIG. 1: Effect of pH on degradant formation after 8 weeks of storage of various enalapril solution formulations at 5°C.

[0022] FIG. 2: Effect of pH on degradant formation after 8 weeks of storage of various enalapril solution formulations at room temperature (19-22°C).

DETAILED DESCRIPTION OF THE INVENTION

[0023] Provided herein are stable enalapril oral liquid formulations. Also provided herein are stable enalapril powder formulations for reconstitution for oral liquid administration. These enalapril formulations described herein are useful for the treatment of hypertension, prehypertension, heart failure as well as ventricular dysfunction. The formulations are advantageous over conventional solid dosage administration of enalapril ranging from ease of administration, accuracy of dosing, accessibility to additional patient populations such as to children and the elderly, and an increased patient compliance to medication.

[0024] It is generally known that certain segments of the population have difficulty ingesting and swallowing solid oral dosage forms such as tablets and capsules. As many as a quarter of the total population has this difficulty. Often, this leads to non-compliance with the recommended medical therapy with the solid dosage forms, thereby resulting in rending the therapy ineffective. Further, solid dosage forms are not recommended for children or elderly due to increased risk in choking.

[0025] Furthermore, the dose of enalapril to be given to children is calculated according to the child's weight. When the calculated dose is something other than the amount present in one or more intact solid dosage forms, the solid dosage form must be divided to provide the correct dose. This leads to inaccurate dosing when solid dosages forms, such as tablets, are compounded to prepare other formulations for children.

[0026] For enalapril, one solution to overcoming the use of the tablet form is for a compounding pharmacist to pulverize and crush the enalapril tablet(s) into a powder via mortar and pestle and reconstitute the powder in some liquid form. However forming a enalapril oral liquid in this fashion has significant drawbacks including large variability in the actual dosage, incomplete solubilizing of the enalapril tablet in the liquid, rapid instability, inconsistent formulation methods per compounding pharmacy, and a number of other potential issues. The crushed tablet liquid formulation may also be potentially unsafe due to contamination with residual drugs and other substances from the mortar and pestle or other crushing agent.

[0027] Alternatively, enalapril is formulated as enalapril powder compositions for reconstitution as oral liquids as described in U.S. Pat. No. 8,568,747. The powder compositions as described in this patent require mannitol and colloidal silicon dioxide for stability and dissolution. While these powder compositions are an improvement over crushing tablets, they still require a step of mixing with a diluent. The stable enalapril oral liquid formulations described herein require no extra steps or manipulation prior to administration to a subject. Further, the stable enalapril oral liquid formulations described herein do not require or need mannitol or colloidal silicon dioxide for stability and dissolution.

[0028] The present embodiments described herein provide a safe and effective oral administration of enalapril for the treatment of hypertension and other disorders. In particular, the embodiments provide stable enalapril oral liquid formulations as well as alternatively enalapril powder formulations for oral liquid administration.

[0029] As used herein, "enalapril" refers to enalapril base, its salt, or solvate or derivative or isomer or polymorph thereof. Suitable compounds include the free base, the organic and inorganic salts, isomers, isomer salts, solvates, polymorphs, complexes etc. U.S. Pat. Nos. 4,374,829; 4,472,380 and 4,510,083 disclose exemplary methods in the preparation of enalapril. In some embodiments, the enalapril used in

the formulations described herein is an enalapril salt. In some instances, the enalapril salt is enalapril maleate. In other instances, the enalapril salt is in the form of enalapril sodium.

[0030] Other ACE inhibitors are contemplated in the formulations within and include but are not limited to quinapril, indolapril, ramipril, perindopril, lisinopril, benazepril, imidapril, zofenopril, trandolapril, fosinopril, captopril, and their salts, solvates, derivatives, polymorphs, or complexes, thereof.

Enalapril Oral Liquid Formulations

[0031] Oral liquids include, but are not limited to, solutions (both aqueous and nonaqueous), suspensions, emulsions, syrups, slurries, juices, elixirs, dispersions, and the like. It is envisioned that solution/suspensions are also included where certain components described herein are in a solution while other components are in a suspension.

[0032] In one aspect, the enalapril liquid formulations described herein comprise enalapril, a preservative, a sweetening agent, a buffer, and water. In one embodiment, the sweetening agent is sucralose. In one embodiment, the sweetening agent is xylitol. In one embodiment, the sweetening agent is not mannitol. In another embodiment, the preservative is sodium benzoate. In some embodiments, the preservative is a paraben. In some embodiments, the preservative is a mixture of parabens. In yet another embodiment, the buffer comprises citric acid. In some embodiments, the buffer further comprises sodium citrate. In one aspect, the enalapril liquid formulation described herein comprises enalapril, sucralose, sodium benzoate, citric acid, sodium citrate, and water. In some embodiments, the enalapril liquid formulation herein further comprises a flavoring agent. In some embodiments, the enalapril liquid formulation is not obtained from crushing enalapril tablet and dissolving the powder in a suitable vehicle for oral administration. In some embodiments, the enalapril liquid formulation does not contain silicon dioxide. In some embodiments, the enalapril liquid formulation does not contain mannitol. In some embodiments, the enalapril liquid formulation does not contain lactose. In some embodiments, the enalapril liquid formulation does not contain magnesium stearate. In some embodiments, the enalapril liquid formulation does not contain sodium bicarbonate. In some embodiments, the enalapril liquid formulation does not contain iron oxides.

[0033] In some embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.6 to about 1.2 mg/ml in the oral liquid formulation. In other embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.6 mg/ml, about 0.61 mg/ml, about 0.62 mg/ml, about 0.63 mg/ml, about 0.64 mg/ml, about 0.65 mg/ml, about 0.66 mg/ml, about 0.67 mg/ml, about 0.68 mg/ml, about 0.69 mg/ml, about 0.7 mg/ml, about 0.71 mg/ml, about 0.72 mg/ml, about 0.73 mg/ml, about 0.74 mg/ml, about 0.75 mg/ml, about 0.76 mg/ml, about 0.77 mg/ml, about 0.78 mg/ml, about 0.79 mg/ml, about 0.8 mg/ml, about 0.81 mg/ml, about 0.82 mg/ml, about 0.83 mg/ml, about 0.84

mg/ml, about 0.85 mg/ml, about 0.86 mg/ml, about 0.87 mg/ml, about 0.88 mg/ml, about 0.89 mg/ml, about 0.99 mg/ml, about 0.91 mg/ml, about 0.92 mg/ml, about 0.93 mg/ml, about 0.94 mg/ml, about 0.95 mg/ml, about 0.96 mg/ml, about 0.97 mg/ml, about 0.98 mg/ml, about 0.99 mg/ml, about 1 mg/ml, about 1.01 mg/ml, about 1.02, mg/ml, about 1.03 mg/ml, about 1.04 mg/ml, about 1.05 mg/ml, about 1.06 mg/ml, about 1.07 mg/ml, about 1.08 mg/ml, about 1.09 mg/ml, about 1.11 mg/ml, about 1.11 mg/ml, about 1.12, mg/ml, about 1.13 mg/ml, about 1.14 mg/ml, about 1.15 mg/ml, about 1.16 mg/ml, about 1.17 mg/ml, about 1.18 mg/ml, about 1.19 mg/ml, or about 1.2 mg/ml in the liquid oral formulation. In some embodiments, enalapril maleate is present in about 1 mg/ml in the oral liquid formulation. In some embodiments, the formulation contains enalapril or another pharmaceutically acceptable salt of enalapril in a molar concentration equivalent to 1 mg/mL enalapril maleate. In some embodiments, the formulation contains enalapril or another pharmaceutically acceptable salt of enalapril in a molar concentration equivalent to 0.76 mg/mL enalapril.

[0034] In some embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.5 % w/w to about 30 % w/w of the solids in the oral liquid formulation. In other embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.5 % w/w, about 1 % w/w, about 1.5 % w/w, about 2 % w/w, about 2.5 % w/w, about 3 % w/w, about 3.5 % w/w, about 4 % w/w, about 4.5 % w/w, about 5 % w/w, about 5.5 % w/w, about 6 % w/w, about 6.5 % w/w, about 7 % w/w, about 7.5 % w/w, about 8 % w/w, about 8.5 % w/w, about 9 % w/w, about 9.5 % w/w, about 10 % w/w, about 10.5 % w/w, about 11 % w/w, about 11.5 % w/w, about 12 % w/w, about 12.5 % w/w, about 13 % w/w, about 13.5 % w/w, about 14 % w/w, about 14.5 % w/w, about 15 % w/w, about 15.1 % w/w, about 15.2 % w/w, about 15.3 % w/w, about 15.4 % w/w, about 15.5 % w/w, about 15.6 % w/w, about 15.7 % w/w, about 15.8 % w/w, about 15.9 % w/w, about 16 % w/w, about 16.1 % w/w, about 16.2 % w/w, about 16.3 % w/w, about 16.4 % w/w, about 16.5 % w/w, about 16.6 % w/w, about 16.7 % w/w, about 16.8 % w/w, about 16.9 % w/w, about 17 % w/w, about 17.1 % w/w, about 17.2 % w/w, about 17.3 % w/w, about 17.4 % w/w, about 17.5 % w/w, about 17.6 % w/w, about 17.7 % w/w, about 17.8 % w/w, about 17.9 % w/w, about 18 % w/w, about 18.1 % w/w, about 18.2 % w/w, about 18.3 % w/w, about 18.4 % w/w, about 18.5 % w/w, about 18.6 % w/w, about 18.7 % w/w, about 18.8 % w/w, about 18.9 % w/w, about 19 % w/w, about 19.1 % w/w, about 19.2 % w/w, about 19.3 % w/w, about 19.4 % w/w, about 19.5 % w/w, about 19.6 % w/w, about 19.7 % w/w, about 19.8 % w/w, about 19.9 % w/w, about 20 % w/w, about 20.1 % w/w, about 20.2 % w/w, about 20.3 % w/w, about 20.4 % w/w, about 20.5 % w/w, about 20.6 % w/w, about 20.7 % w/w, about 20.8 % w/w, about 20.9 % w/w, about 21 % w/w, about 21.1 % w/w, about 21.2 % w/w, about 21.3 % w/w, about 21.4 % w/w, about 21.5 % w/w, about 21.6 % w/w, about 21.7 % w/w, about 21.8 % w/w, about 21.9 % w/w, about 22 % w/w, about 22.5 % w/w, about 23 % w/w, about 23.5

% w/w, about 24 % w/w, about 24.5 % w/w, about 25 % w/w, about 25.5 % w/w, about 26 % w/w, about 26.5 % w/w, about 27 % w/w, about 27.5 % w/w, about 28 % w/w, about 28.5 % w/w, about 29 % w/w, about 29.5 % w/w, or about 30 % w/w of the solids in the oral liquid formulation. In some embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 10 % w/w to about 25 % w/w of the solids in the oral liquid formulation. In some embodiments, enalapril is present in about 10.5 % w/w of the solids in the oral liquid formulation. In some embodiments, enalapril is present in about 15 % w/w of the solids in the oral liquid formulation. In some embodiments, enalapril is present in about 18.2 % w/w of the solids in the oral liquid formulation. In some embodiments, enalapril maleate is present in about 13.5 % w/w of the solids in the oral liquid formulation. In some embodiments, enalapril maleate is present in about 19.3 % w/w of the solids in the oral liquid formulation. In some embodiments, enalapril maleate is present in about 24.5 % w/w of the solids in the oral liquid formulation. In some embodiments, enalapril maleate is present in about 24.5 % w/w of the solids in the oral liquid formulation.

[0035] In some embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.1% w/w to about 1 % w/w of the solids in the oral liquid formulation. In other embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.1 % w/w, about 0.15 % w/w, about 0.2 % w/w, about 0.25 % w/w, about 0.3 % w/w, about 0.35 % w/w, about 0.4 % w/w, about 0.45 % w/w, about 0.55 % w/w, about 0.55 % w/w, about 0.65 % w/w, about 0.75 % w/w, about 0.85 % w/w, about 0.99 % w/w, about 0.95 % w/w, or about 1 % w/w of the solids in the oral liquid formulation. In some embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.4 % w/w to about 0.7 % w/w of the solids in the oral liquid formulation. In some embodiments, enalapril is present in about 0.4 % w/w of the solids in the oral liquid formulation. In some embodiments, enalapril maleate is present in about 0.5 % w/w of the solids in the oral liquid formulation. In some embodiments, enalapril maleate is present in about 0.5 % w/w of the solids in the oral liquid formulation. In some embodiments, enalapril maleate is present in about 0.6 % w/w of the solids in the oral liquid formulation. In some embodiments, enalapril maleate is present in about 0.6 % w/w of the solids in the oral liquid formulation.

Sweetener in the Enalapril Oral Liquid Formulations

[0036] Sweeteners or sweetening agents include any compounds that provide a sweet taste. This includes natural and synthetic sugars, natural and artificial sweeteners, natural extracts and any material that initiates a sweet sensation in a subject. In some embodiments, a solid/powder sweetener is used in the oral liquid formulation described herein. In other embodiments, a liquid sweetener is used in the oral liquid formulation described herein.

[0037] Sugars illustratively include glucose, fructose, sucrose, xylitol, tagatose, sucralose, maltitol, isomaltulose, IsomaltTM (hydrogenated isomaltulose), lactitol, sorbitol, erythritol, trehalose, maltodextrin, polydextrose, and the like. Other sweeteners illustratively include glycerin, inulin, erythritol, maltol, acesulfame and salts thereof, e.g., acesulfame potassium, alitame, aspartame, neotame, sodium cyclamate,

saccharin and salts thereof, e.g., saccharin sodium or saccharin calcium, neohesperidin dihydrochalcone, stevioside, thaumatin, and the like. Sweeteners can be used in the form of crude or refined products such as hydrogenated starch hydrolysates, maltitol syrup, high fructose corn syrup, etc., and as branded products, e.g., Sweet AmTM liquid (Product Code 918.003-propylene glycol, ethyl alcohol, and proprietary artificial flavor combination, Flavors of North America) and Sweet AmTM powder (Product Code 918.005--maltodextrin, sorbitol, and fructose combination and Product Code 918.010--water, propylene glycol, sorbitol, fructose, and proprietary natural and artificial flavor combination, Flavors of North America), ProSweetTM (1-10% proprietary plant/vegetable extract and 90-99% dextrose combination, Viriginia Dare), MaltisweetTM (maltitol solution, Ingredion), SorboTM (sorbitol and sorbitol/xylitol solution, SPI Polyols), InvertoseTM (high fructose corn syrup, Ingredion), Rebalance M60 and X60 (sucralose and maltodextrin, Tate and Lyle), and Ora-Sweet® sugar-free flavored syrup (Paddock Laboratories, Inc.). Sweeteners can be used singly or in combinations of two or more. Suitable concentrations of different sweeteners can be selected based on published information, manufacturers' data sheets and by routine testing.

[0038] In some embodiments, the enalapril oral liquid formulation described herein comprises a sweetening agent. In some embodiments, the sweetening agent is sucralose. In some embodiments, the sweetening agent is xylitol. In some embodiments, the sweetener is not mannitol.

[0039] In some embodiments, the enalapril oral liquid formulation described herein comprises sucralose. In some embodiments, sucralose is present in about 0.5 to about 0.9 mg/ml in the oral liquid formulation. In other embodiments, sucralose is present in about 0.51 mg/ml, about 0.52 mg/ml, about 0.53 mg/ml, about 0.54 mg/ml, about 0.55 mg/ml, about 0.56 mg/ml, about 0.57 mg/ml, about 0.58 mg/ml, about 0.59 mg/ml, about 0.60 mg/ml, about 0.61 mg/ml, about 0.62 mg/ml, about 0.63 mg/ml, about 0.64 mg/ml, about 0.65 mg/ml, about 0.66 mg/ml, about 0.67 mg/ml, about 0.68 mg/ml, about 0.69 mg/ml, about 0.70 mg/ml, about 0.71 mg/ml, about 0.72 mg/ml, about 0.73 mg/ml, about 0.74 mg/ml, about 0.75 mg/ml, about 0.76 mg/ml, about 0.77 mg/ml, about 0.78 mg/ml, about 0.79 mg/ml, about 0.80 mg/ml, about 0.81 mg/ml, about 0.82 mg/ml, about 0.83 mg/ml, about 0.84 mg/ml, about 0.85 mg/ml, about 0.86 mg/ml, about 0.87 mg/ml, about 0.88 mg/ml, about 0.89 mg/ml, or about 0.90 mg/ml in the oral liquid formulation. In some embodiments, sucralose is present in about 0.7 mg/ml in the oral liquid formulation. [0040] In some embodiments, sucralose is present in about 1 % w/w to about 30 % w/w of the solids in the oral liquid formulation. In some embodiments, sucralose is present in about 1 % w/w, about 1.5 % w/w, about 2 % w/w, about 2.5 % w/w, about 3 % w/w, about 3.5 % w/w, about 4 % w/w, about 4.5 % w/w, about 5 % w/w, about 5.5 % w/w, about 6 % w/w, about 6.5 % w/w, about 7 % w/w, about 7.5 % w/w, about 8 % w/w, about 8.5 % w/w, about 9 % w/w, about 9.5 % w/w, about 10 % w/w, about 10.5 % w/w, about 11 % w/w, about 11.5 % w/w, about 12 % w/w, about 12.5 % w/w, about 13 % w/w, about

13.5 % w/w, about 14% w/w, about 14.5 % w/w, about 15 % w/w, about 15.5 % w/w, about 16 % w/w, about 16.5 % w/w, about 17 % w/w, about 17.5 % w/w, about 18 % w/w, about 18.5 % w/w, about 19 % w/w, about 19.5 % w/w, about 20 % w/w, about 20.5 % w/w, about 21 % w/w, about 21.5 % w/w, about 22 % w/w, about 22.5 % w/w, about 23 % w/w, about 23.5 % w/w, about 24 % w/w, about 24.5 % w/w, about 25 % w/w, about 25.5 % w/w, about 26 % w/w, about 26.5 % w/w, about 27 % w/w, about 27.5 % w/w, about 28 % w/w, about 28.5 % w/w, about 29 % w/w, about 29.5 % w/w, or about 30 % w/w of the solids in the oral liquid formulation. In some embodiments, sucralose is present in about 18 % w/w of the solids in the oral liquid formulation. In some embodiments, sucralose is present in about 13.5 % w/w of the solids in the oral liquid formulation. In some embodiments, sucralose is present in about 13.5 % w/w of the solids in the oral liquid formulation. In some embodiments, sucralose is present in about 13.5 % w/w of the solids in the oral liquid formulation. In some embodiments, sucralose is present in about 13.5 % w/w of the solids in the oral liquid formulation. In some embodiments, sucralose is present in about 16.5 % w/w of the solids in the oral liquid formulation.

[0041] In some embodiments, the enalapril oral liquid formulation described herein comprises xylitol. In some embodiments, xylitol is present in about 140 mg/ml to about 210 mg/ml in the oral liquid formulation.

[0042] In some embodiments, xylitol is present in about 140 mg/ml, about 145 mg/ml, about 150 mg/ml, about 155 mg/ml, about 160 mg/ml, about 165 mg/ml, about 170 mg/ml, about 175 mg/ml, about 180 mg/ml, about 185 mg/ml, about 190 mg/ml, about 195 mg/ml, about 200 mg/ml, about 205 mg/ml, or about 210 mg/ml of the oral liquid formulation. In some embodiments, xylitol is present in about 150 mg/ml in the oral liquid formulation. In some embodiments, xylitol is present in about 200 mg/ml in the oral liquid formulation.

[0043] In some embodiments, xylitol is present in about 80 % w/w to about 99 % w/w of the solids in the oral liquid formulation. In other embodiments, xylitol is present in about 80 % w/w, about 81 % w/w, about 82 % w/w, about 83 % w/w, about 84 % w/w, about 85 % w/w, about 86 % w/w, about 87 % w/w, about 88 % w/w, about 89 % w/w, about 90 % w/w, about 91 % w/w, about 92 % w/w, about 93 % w/w, about 94 % w/w, about 95 % w/w, about 96 % w/w, about 97 % w/w, about 98 % w/w, or about 99 % w/w of the solids in the oral liquid formulation. In some embodiments, xylitol is present in about 96 % w/w of the solids in the oral liquid formulation. In some embodiments, xylitol is present in about 96 % w/w of the solids in the oral liquid formulation. In some embodiments, xylitol is present in about 96 % w/w of the solids in the oral liquid formulation.

Preservative in the Enalapril Oral Liquid Formulations

[0044] Preservatives include anti-microbials, anti-oxidants, and agents that enhance sterility. Exemplary preservatives include ascorbic acid, ascorbyl palmitate, BHA, BHT, citric acid, EDTA and its salts, erythorbic acid, fumaric acid, malic acid, propyl gallate, sodium ascorbate, sodium bisulfate, sodium metabisulfite, sodium sulfite, parabens (such as methylparaben, ethylparaben, propylparaben, butylparaben and their salts), benzoic acid, sodium benzoate, potassium sorbate, vanillin, and the like.

[0045] In some embodiments, the enalapril oral liquid formulation described herein comprises a preservative.

[0046] In some embodiments, the preservative is a paraben and the sweetener is not a sugar (such as, but not limited to glucose, fructose, sucrose, lactose, maltose) or a sugar alcohol (such as, but not limited to xylitol, mannitol, lactitol, maltitol, sorbitol).

[0047] In some embodiments, the preservative is sodium benzoate.

[0048] In some embodiments, modulation of the pH is desired to provide the best antimicrobial activity of the preservative, sodium benzoate. In some embodiments, the antimicrobial activity of sodium benzoate drops when the pH is increased above 5.

[0049] In some embodiments, the pH of the enalapril oral liquid formulation described herein is less than about 4. In some embodiments, the pH of the enalapril oral liquid formulation described herein is less than about 3.5. In some embodiments, the pH of the enalapril oral liquid formulation described herein is between about 3 and about 4. In some embodiments, the pH of the enalapril oral liquid formulation described herein is between about 3 and about 3.5. In some embodiments, the pH of the enalapril oral liquid formulation described herein is about 3, about 3.1, about 3.2, about 3.3, about 3.4, about 3.5, about 3.6, about 3.7, about 3.8, about 3.9, or about 4. In some embodiments, the pH of the enalapril oral liquid formulation described herein is about 3.3.

[0050] In some embodiments, sodium benzoate is present in about 0.2 to about 1.2 mg/ml in the oral liquid formulation. In other embodiments, sodium benzoate is present in about 0.2 mg/ml, about 0.21 mg/ml, about 0.22 mg/ml, about 0.23 mg/ml, about 0.24 mg/ml, about 0.25 mg/ml, about 0.26 mg/ml, about 0.27 mg/ml, about 0.28 mg/ml, about 0.29 mg/ml, about 0.3 mg/ml, about 0.31 mg/ml, about 0.32 mg/ml, about 0.33 mg/ml, about 0.34 mg/ml, about 0.35 mg/ml, about 0.36 mg/ml, about 0.37 mg/ml, about 0.38 mg/ml, about 0.39 mg/ml, about 0.4 mg/ml, about 0.41 mg/ml, about 0.42 mg/ml, about 0.43 mg/ml, about 0.44 mg/ml, about 0.45 mg/ml, about 0.46 mg/ml, about 0.47 mg/ml, about 0.48 mg/ml, about 0.49 mg/ml, about 0.5 mg/ml, about 0.51 mg/ml, about 0.52 mg/ml, about 0.53 mg/ml, about 0.54 mg/ml, about 0.55 mg/ml, about 0.56 mg/ml, about 0.57 mg/ml, about 0.58 mg/ml, about 0.59 mg/ml, about 0.6 mg/ml, about 0.61 mg/ml, about 0.62 mg/ml, about 0.63 mg/ml, about 0.64 mg/ml, about 0.65 mg/ml, about 0.66 mg/ml, about 0.67 mg/ml, about 0.68 mg/ml, about 0.69 mg/ml, about 0.7 mg/ml, about 0.71 mg/ml, about 0.72 mg/ml, about 0.73 mg/ml, about 0.74 mg/ml, about 0.75 mg/ml, about 0.76 mg/ml, about 0.77 mg/ml, about 0.78 mg/ml, about 0.79 mg/ml, about 0.8 mg/ml, about 0.81 mg/ml, about 0.82 mg/ml, about 0.83 mg/ml, about 0.84 mg/ml, about 0.85 mg/ml, about 0.86 mg/ml, about 0.87 mg/ml, about 0.88 mg/ml, about 0.89 mg/ml, about 0.9 mg/ml, about 0.91 mg/ml, about 0.92 mg/ml, about 0.93 mg/ml, about 0.94 mg/ml, about 0.95 mg/ml, about 0.96 mg/ml, about 0.97 mg/ml, about 0.98 mg/ml, about 0.99 mg/ml, about 1 mg/ml, about 1.01 mg/ml, about 1.02, mg/ml, about 1.03 mg/ml, about 1.04 mg/ml, about 1.05 mg/ml, about 1.06 mg/ml, about 1.07 mg/ml, about 1.08 mg/ml, about 1.09 mg/ml, about 1.11 mg/ml, about 1.12, mg/ml, about 1.13 mg/ml, about 1.14 mg/ml, about 1.15 mg/ml, about 1.16 mg/ml, about 1.17 mg/ml, about 1.18 mg/ml, about 1.19 mg/ml, or about 1.2 mg/ml in the liquid oral formulation. In some embodiments, sodium benzoate is present in about 1 mg/ml in the oral liquid formulation.

[0051] In some embodiments, sodium benzoate is present in about 1% w/w to about 30 % w/w of the solids in the oral liquid formulation. In other embodiments, sodium benzoate is present in about 1 % w/w, about 1.5 % w/w, about 2 % w/w, about 2.5 % w/w, about 3 % w/w, about 3.5 % w/w, about 4 % w/w, about 4.5 % w/w, about 5 % w/w, about 5.5 % w/w, about 6 % w/w, about 6.5 % w/w, about 7 % w/w, about 7.5 % w/w, about 8 % w/w, about 8.5 % w/w, about 9 % w/w, about 9.5 % w/w, about 10 % w/w, about 10.5 % w/w, about 11 % w/w, about 11.5 % w/w, about 12 % w/w, about 12.5 % w/w, about 13 % w/w, about 13.5 % w/w, about 14 % w/w, about 14.5 % w/w, about 15 % w/w, about 15.1 % w/w, about 15.2 % w/w, about 15.3 % w/w, about 15.4 % w/w, about 15.5 % w/w, about 15.6 % w/w, about 15.7 % w/w, about 15.8 % w/w, about 15.9 % w/w, about 16 % w/w, about 16.1 % w/w, about 16.2 % w/w, about 16.3 % w/w, about 16.4 % w/w, about 16.5 % w/w, about 16.6 % w/w, about 16.7 % w/w, about 16.8 % w/w, about 16.9 % w/w, about 17 % w/w, about 17.1 % w/w, about 17.2 % w/w, about 17.3 % w/w, about 17.4 % w/w, about 17.5 % w/w, about 17.6 % w/w, about 17.7 % w/w, about 17.8 % w/w, about 17.9 % w/w, about 18 % w/w, about 18.1 % w/w, about 18.2 % w/w, about 18.3 % w/w, about 18.4 % w/w, about 18.5 % w/w, about 18.6 % w/w, about 18.7 % w/w, about 18.8 % w/w, about 18.9 % w/w, about 19 % w/w, about 19.1 % w/w, about 19.2 % w/w, about 19.3 % w/w, about 19.4 % w/w, about 19.5 % w/w, about 19.6 % w/w, about 19.7 % w/w, about 19.8 % w/w, about 19.9 % w/w, about 20 % w/w, about 20.1 % w/w, about 20.2 % w/w, about 20.3 % w/w, about 20.4 % w/w, about 20.5 % w/w, about 20.6 % w/w, about 20.7 % w/w, about 20.8 % w/w, about 20.9 % w/w, about 21 % w/w, about 21.1 % w/w, about 21.2 % w/w, about 21.3 % w/w, about 21.4 % w/w, about 21.5 % w/w, about 21.6 % w/w, about 21.7 % w/w, about 21.8 % w/w, about 21.9 % w/w, about 22 % w/w, about 22.5 % w/w, about 23 % w/w, about 23.5 % w/w, about 24 % w/w, about 24.5 % w/w, about 25 % w/w, about 25.5 % w/w, about 26 % w/w, about 26.5 % w/w, about 27 % w/w, about 27.5 % w/w, about 28 % w/w, about 28.5 % w/w, about 29 % w/w, about 29.5 % w/w, or about 30 % w/w of the solids in the oral liquid formulation. In some embodiments, sodium benzoate is present in about 10% w/w to about 25 % w/w of the solids in the oral liquid formulation. In some embodiments, sodium benzoate is present in about 13.5% w/w of the solids in the oral liquid formulation. In some embodiments, sodium benzoate is present in about 19.3% w/w of the solids in the oral liquid formulation. In some embodiments, sodium benzoate is present in about 23.5 % w/w of the solids in the oral liquid formulation.

[0052] In some embodiments, sodium benzoate is present in about 0.1% w/w to about 1 % w/w of the solids in the oral liquid formulation. In other embodiments, sodium benzoate is present in about 0.1 % w/w, about 0.15 % w/w, about 0.2 % w/w, about 0.25 % w/w, about 0.3 % w/w, about 0.35 % w/w, about 0.4 % w/w, about 0.45 % w/w, about 0.5 % w/w, about 0.55 % w/w, about 0.6 % w/w, about 0.65 % w/w, about 0.7 % w/w, about 0.75 % w/w, about 0.8 % w/w, about 0.85 % w/w, about 0.9 % w/w, about 0.95 % w/w, or about 1 % w/w of the solids in the oral liquid formulation. In some embodiments, sodium benzoate is present in about 0.4 % w/w to about 0.7 % w/w of the solids in the oral liquid formulation. In some embodiments, sodium benzoate is present in about 0.45 % w/w of the solids in the oral liquid formulation. In some embodiments, sodium benzoate is present in about 0.6 % w/w of the solids in the oral liquid formulation. In some embodiments, sodium benzoate is present in about 0.6 % w/w of the solids in the oral liquid formulation.

[0053] In some embodiments, sodium benzoate is present in an amount sufficient to provide antimicrobial effectiveness to the enalapril oral liquid formulation described herein. (See Table G-1).

[0054] In some embodiments, the preservative is a paraben. In some embodiments, the preservative is a mixture of parabens. In some embodiments, the paraben or mixture of parabens is present in about 0.1 mg/ml to about 2 mg/ml in the oral liquid formulation. In other embodiments, the paraben or mixture of parabens is present in about 0.1 mg/ml, about 0.2 mg/ml, about 0.3 mg/ml, about 0.4 mg/ml, about 0.5 mg/ml, about 0.6 mg/ml, about 0.7 mg/ml, about 0.8 mg/ml, about 0.9 mg/ml, about 1 mg/ml, about 1.1 mg/ml, about 1.2 mg/ml, about 1.3 mg/ml, about 1.4 mg/ml, or about 1.5 mg/ml, about 1.6 mg/ml, about 1.7 mg/ml, about 1.8 mg/ml, about 1.9 mg/ml, or about 2 mg/ml in the liquid oral formulation. In some embodiments, the paraben or mixture of parabens is present in about 2 mg/ml in the oral liquid formulation. In some embodiments, the paraben or mixture of parabens is present in about 1.8 mg/ml in the oral liquid formulation. In some embodiments, the paraben or mixture of parabens is present in about 0.1 mg/ml to about 0.5 mg/ml in the oral liquid formulation.

[0055] In some embodiments, the paraben or mixture of parabens is present in about 2 % w/w to about 30 % w/w of the solids in the oral liquid formulation. In other embodiments, the paraben or mixture of parabens is present in about 2 % w/w, about 3 % w/w, about 4 % w/w, about 5 % w/w, about 6 % w/w, about 7 % w/w, about 8 % w/w, about 9 % w/w, about 10 % w/w, about 11 % w/w, about 12 % w/w, about 13 % w/w, about 14 % w/w, about 15 % w/w, about 16 % w/w, about 17 % w/w, about 18 % w/w, about 19 % w/w, about 20 % w/w, about 21 % w/w, about 22 % w/w, about 23 % w/w, about 24 % w/w, about 25 % w/w, about 26 % w/w, about 27 % w/w, about 28 % w/w, about 29 % w/w, or about 30 % w/w of the solids in the oral liquid formulation. In some embodiments, the paraben or mixture of parabens is present in about 2 % w/w to about 3 % w/w of the solids in the oral liquid formulation. In some embodiments, the paraben or mixture of parabens is present in about 2 % w/w to about 26 % w/w of the

solids in the oral liquid formulation. In some embodiments, the paraben or mixture of parabens is present in about 26 % w/w to about 30 % w/w of the solids in the oral liquid formulation.

Sweetener and preservative incompatibility

[0056] Paraben preservatives (especially methylparaben) can react with selected sugars (glucose, fructose, sucrose, lactose, maltose) and sugar alcohols (xylitol, mannitol, lactitol, maltitol, sorbitol) to form transesterification reaction products. This can be undesirable from a formulation and stability standpoint as the transesterification creates additional degradants.

[0057] In some embodiments, the enalapril oral liquid formulation described herein does not comprise a paraben preservative. In further embodiments, the enalapril oral liquid formulation described herein does not comprise a paraben preservative when the formulation also comprises a sugar or sugar alcohol. pH of Enalapril Oral Liquid Formulations

[0058] Buffering agents maintain the pH of the liquid enalapril formulation. Non-limiting examples of buffering agents include, but are not limited to sodium bicarbonate, potassium bicarbonate, magnesium hydroxide, magnesium lactate, magnesium glucomate, aluminum hydroxide, aluminum hydroxide/sodium bicarbonate co-precipitate, mixture of an amino acid and a buffer, a mixture of an alkali salt of an amino acid and a buffer, and a mixture of an alkali salt of an amino acid and a buffer. Additional buffering agents include citric acid, sodium citrate, sodium tartarate, sodium acetate, sodium carbonate, sodium polyphosphate, potassium polyphosphate, sodium pyrophosphate, potassium pyrophosphate, disodium hydrogenphosphate, dipotassium hydrogenphosphate, trisodium phosphate, tripotassium phosphate, sodium acetate, potassium metaphosphate, magnesium oxide, magnesium hydroxide, magnesium carbonate, magnesium silicate, calcium acetate, calcium glycerophosphate, calcium chloride, calcium hydroxide, calcium lactate, calcium carbonate, calcium bicarbonate, and other calcium salts. Some buffering agents also impart effervescent qualities when a powder is reconstituted in a solution. In some embodiments, the buffering agent is not sodium bicarbonate.

[0059] In some embodiments, the oral liquid formulation comprises a buffer.

[0060] In some embodiments, the buffer in the enalapril oral liquid formulation described herein comprises citric acid. In some embodiments, the buffer in the enalapril oral liquid formulation described herein comprises citric acid and sodium citrate. In some embodiments, the buffer in the enalapril oral liquid formulation described herein comprises citric acid and sodium citrate dihydrate or an equivalent molar amount of sodium citrate anhydrous. In some embodiments, the sodium citrate is monosodium citrate. In some embodiments, the sodium citrate is disodium citrate. In some embodiments, the sodium citrate is trisodium citrate.

[0061] In some embodiments, the buffer in the enalapril oral liquid formulation described herein comprises phosphoric acid. In some embodiments, the buffer in the enalapril oral liquid formulation described herein comprises sodium phosphate.

[0062] In some embodiments, modulation of the pH is desired to provide a lowered impurity profile. In the exemplary stability studies, the main enalapril degradants are enalapril diketopiperazine and enalaprilat:

enalapril diketopiperazine;

enalaprilat

[0063] In some embodiments, the percentage of enalaprilat formation is increased when the pH is above 3.5. (See table C-2 and FIG. 1 and FIG. 2). In some embodiments, the percentage of enalapril diketopiperazine formation is slightly increased as the pH is below 4.

[0064] In some embodiments, the pH of the enalapril oral liquid formulation described herein is less than about 4. In some embodiments, the pH of the enalapril oral liquid formulation described herein is less than about 3.5. In some embodiments, the pH of the enalapril oral liquid formulation described herein is between about 3 and about 4. In some embodiments, the pH of the enalapril oral liquid formulation described herein is between about 3 and about 3.5. In some embodiments, the pH of the enalapril oral liquid formulation described herein is about 3, about 3.1, about 3.2, about 3.3, about 3.4, about 3.5, about 3.6, about 3.7, about 3.8, about 3.9, or about 4. In some embodiments, the pH of the enalapril oral liquid formulation described herein is about 3.3.

[0065] In some embodiments, the formation of degradants is dependent on the buffer concentration. In some embodiments, the buffer concentration impacts the taste of the enalapril oral liquid formulation.

[0066] In some embodiments, the buffer concentration is between about 5 mM and about 20 mM. In some embodiments, the buffer concentration is about 5 mM, about 6 mM, about 7 mM, about 8 mM,

about 9 mM, about 10 mM, about 11 mM, about 12 mM, about 13 mM, about 14 mM, about 15 mM, about 16 mM, about 17 mM, about 18 mM, about 19 mM, or about 20 mM. In some embodiments, the buffer concentration is about 5 mM. In some embodiments, the buffer concentration is about 10 mM. In some embodiments, the buffer concentration is about 20 mM.

[0067] In some embodiments, citric acid is present in about 0.7 to about 2 mg/ml in the oral liquid formulation. In other embodiments, citric acid is present in about 0.7 mg/ml, about 0.71 mg/ml, about 0.72 mg/ml, about 0.73 mg/ml, about 0.74 mg/ml, about 0.75 mg/ml, about 0.76 mg/ml, about 0.77 mg/ml, about 0.78 mg/ml, about 0.79 mg/ml, about 0.8 mg/ml, about 0.81 mg/ml, about 0.82 mg/ml, about 0.83 mg/ml, about 0.84 mg/ml, about 0.85 mg/ml, about 0.86 mg/ml, about 0.87 mg/ml, about 0.88 mg/ml, about 0.89 mg/ml, about 0.9 mg/mL, about 0.91 mg/mL, about 0.92 mg/mL, about 0.93 mg/mL, about 0.94 mg/mL, about 0.95 mg/mL, about 0.96 mg/mL, about 0.97 mg/mL, about 0.98 mg/mL, about 0.99 mg/mL, about 1 mg/mL, about 1.11 mg/ml, about 1.12 mg/ml, about 1.13 mg/ml, about 1.14 mg/ml, about 1.15 mg/ml, about 1.16 mg/ml, about 1.17 mg/ml, about 1.18 mg/ml, about 1.19 mg/ml, about 1.2 mg/ml, about 1.21 mg/ml, about 1.22 mg/ml, about 1.23 mg/ml, about 1.24 mg/ml, about 1.25 mg/ml, about 1.26 mg/ml, about 1.27 mg/ml, about 1.28 mg/ml, about 1.29 mg/ml, about 1.3 mg/mL, about 1.31 mg/mL, about 1.32 mg/mL, about 1.33 mg/mL, about 1.34 mg/mL, about 1.35 mg/mL, about 1.36 mg/mL, about 1.37 mg/mL, about 1.38 mg/mL, about 1.39 mg/mL, about 1.4 mg/ml, about 1.41 mg/ml, about 1.42 mg/ml, about 1.43 mg/ml, about 1.44 mg/ml, about 1.45 mg/ml, about 1.46 mg/ml, about 1.47 mg/ml, about 1.48 mg/ml, about 1.49 mg/ml, about 1.5 mg/ml, about 1.51 mg/ml, about 1.52 mg/ml, about 1.53 mg/ml, about 1.54 mg/ml, about 1.55 mg/ml, about 1.56 mg/ml, about 1.57 mg/ml, about 1.58 mg/ml, about 1.59 mg/ml, about 1.6 mg/mL, about 1.61 mg/mL, about 1.62 mg/mL, about 1.63 mg/mL, about 1.64 mg/mL, about 1.65 mg/mL, about 1.66 mg/mL, about 1.67 mg/mL, about 1.68 mg/mL, about 1.69 mg/mL, about 1.7 mg/ml, about 1.71 mg/ml, about 1.72 mg/ml, about 1.73 mg/ml, about 1.74 mg/ml, about 1.75 mg/ml, about 1.76 mg/ml, about 1.77 mg/ml, about 1.78 mg/ml, about 1.79 mg/ml, about 1.8 mg/ml, about 1.81 mg/ml, about 1.82 mg/ml, about 1.83 mg/ml, about 1.84 mg/ml, about 1.85 mg/ml, about 1.86 mg/ml, about 1.87 mg/ml, about 1.88 mg/ml, about 1.89 mg/ml, about 1.9 mg/mL, about 1.91 mg/mL, about 1.92 mg/mL, about 1.93 mg/mL, about 1.94 mg/mL, about 1.95 mg/mL, about 1.96 mg/mL, about 1.97 mg/mL, about 1.98 mg/mL, about 1.99 mg/mL, or about 2 mg/mL in the oral liquid formulation. In some embodiments, citric acid is present in about 1.65 mg/ml in the oral liquid formulation. In some embodiments, citric acid is present in about 1.82 mg/ml in the oral liquid formulation. In some embodiments, citric acid is present in about 0.82 mg/ml in the oral liquid formulation.

[0068] In some embodiments, citric acid is present in about 2 to about 3.5 mg/ml in the oral liquid formulation. In other embodiments, citric acid is present in about 2 mg/mL, about 2.05 mg/mL, about 2.1

mg/mL, about 2.15 mg/mL, about 2.2 mg/mL, about 2.25 mg/mL, about 2.3 mg/mL, about 2.35 mg/mL, about 2.4 mg/mL, about 2.45 mg/mL, about 2.5 mg/mL, about 2.55 mg/mL, about 2.6 mg/mL, about 2.6 mg/mL, about 2.7 mg/mL, about 2.75 mg/mL, about 2.8 mg/mL, about 2.85 mg/mL, about 2.9 mg/mL, about 2.95 mg/mL, about 3.105 mg/mL, about 3.105 mg/mL, about 3.15 mg/mL, about 3.2 mg/mL, about 3.25 mg/mL, about 3.3 mg/mL, about 3.35 mg/mL, about 3.4 mg/mL, about 3.45 mg/mL, or about 3.5 mg/mL in the oral liquid formulation. In some embodiments, citric acid is present in about 3.3 mg/ml in the oral liquid formulation.

[0069] In some embodiments, citric acid is present in about 10 % w/w to about 50 % w/w of the solids in the oral liquid formulation. In other embodiments, citric acid is present in about 10 % w/w, about 11 % w/w, about 12 % w/w, about 13 % w/w, about 14 % w/w, about 15 % w/w, about 16 % w/w, about 17 % w/w, about 18 % w/w, about 19 % w/w, about 20 % w/w, about 21 % w/w, about 22 % w/w, about 23 % w/w, about 24 % w/w, about 25 % w/w, about 26 % w/w, about 27 % w/w, about 28 % w/w, about 29 % w/w, about 30 % w/w, about 31 % w/w, about 32 % w/w, about 33 % w/w, about 34 % w/w, about 35 % w/w, about 36 % w/w, about 37 % w/w, about 38 % w/w, about 39 % w/w, about 40 % w/w, about 41 % w/w, about 42 % w/w, about 43 % w/w, about 44 % w/w, about 45 % w/w, about 46 % w/w, about 47 % w/w, about 48 % w/w, about 49 % w/w, about 50 % w/w of the solids in the oral liquid formulation. In some embodiments, citric acid is present in about 45 % w/w of the solids in the oral liquid formulation. In some embodiments, citric acid is present in about 31 % w/w of the solids in the oral liquid formulation. In some embodiments, citric acid is present in about 35 % w/w of the solids in the oral liquid formulation. In some embodiments, citric acid is present in about 19 % w/w of the solids in the oral liquid formulation. [0070] In some embodiments, citric acid is present in about 1 % w/w to about 5 % w/w of the solids in the oral liquid formulation. In other embodiments, citric acid is present in about 1 % w/w, about 1.1 % w/w, about 1.2 % w/w, about 1.3 % w/w, about 1.4 % w/w, about 1.5 % w/w, about 1.6 % w/w, about 1.7 % w/w, about 1.8 % w/w, about 1.9 % w/w, about 2 % w/w, about 2.1 % w/w, about 2.2 % w/w, about 2.3 % w/w, about 2.4 % w/w, about 2.5 % w/w, about 2.6 % w/w, about 2.7 % w/w, about 2.8 % w/w, about 2.9 % w/w, about 3 % w/w, about 3.1 % w/w, about 3.2 % w/w, about 3.3 % w/w, about 3.4 % w/w, about 3.5 % w/w, about 3.6 % w/w, about 3.7 % w/w, about 3.8 % w/w, about 3.9 % w/w, about 4 % w/w, about 4.1 % w/w, about 4.2 % w/w, about 4.3 % w/w, about 4.4 % w/w, about 4.5 % w/w, about 4.6 % w/w, about 4.7 % w/w, about 4.8 % w/w, about 4.9 % w/w, or about 5 % w/w of the solids in the oral liquid formulation. In some embodiments, citric acid is present in about 2.1 % w/w of the solids in the oral liquid formulation. In some embodiments, citric acid is present in about 1.6 % w/w of the solids in the oral liquid formulation.

[0071] In some embodiments, sodium citrate dihydrate is present in about 0.1 to about 0.8 mg/ml in the oral liquid formulation. In other embodiments, sodium citrate dihydrate is present in the oral liquid

formulation is about 0.1 mg/mL, about 0.11 mg/mL, about 0.12 mg/mL, about 0.13 mg/mL, about 0.14 mg/mL, about 0.15 mg/ml, about 0.16 mg/mL, about 0.17 mg/mL, about 0.18 mg/mL, about 0.19 mg/mL, about 0.2 mg/ml, about 0.21 mg/ml, about 0.22 mg/ml, about 0.23 mg/ml, about 0.24 mg/ml, about 0.25 mg/ml, about 0.26 mg/ml, about 0.27 mg/ml, about 0.28 mg/ml, about 0.29 mg/ml, about 0.3 mg/ml, about 0.31 mg/ml, about 0.32 mg/ml, about 0.33 mg/ml, about 0.34 mg/ml, about 0.35 mg/ml, about 0.36 mg/ml, about 0.37 mg/ml, about 0.38 mg/ml, about 0.39 mg/ml, about 0.4 mg/ml, about 0.41 mg/ml, about 0.42 mg/ml, about 0.43 mg/ml, about 0.44 mg/ml, about 0.45 mg/ml, about 0.46 mg/ml, about 0.47 mg/ml, about 0.48 mg/ml, about 0.49 mg/ml, about 0.5 mg/ml, about 0.51 mg/ml, about 0.52 mg/ml, about 0.53 mg/ml, about 0.54 mg/ml, about 0.55 mg/ml, about 0.56 mg/ml, about 0.57 mg/ml, about 0.58 mg/ml, about 0.59 mg/ml, about 0.6 mg/ml, about 0.61 mg/ml, about 0.62 mg/ml, about 0.63 mg/ml, about 0.64 mg/ml, about 0.65 mg/ml, about 0.66 mg/ml, about 0.67 mg/ml, about 0.68 mg/ml, about 0.69 mg/ml, about 0.7 mg/ml, about 0.71 mg/ml, about 0.72 mg/ml, about 0.73 mg/ml, about 0.74 mg/ml, about 0.75 mg/ml, about 0.76 mg/ml, about 0.77 mg/ml, about 0.78 mg/ml, about 0.79 mg/ml, or about 0.8 mg/ml in the oral liquid formulation. In some embodiments, sodium citrate dihydrate is present in about 0.75 mg/ml in the oral liquid formulation. In some embodiments, sodium citrate dihydrate is present in about 0.35 mg/ml in the oral liquid formulation. In some embodiments, sodium citrate dihydrate is present in about 0.2 mg/ml in the oral liquid formulation. In some embodiments, sodium citrate dihydrate is present in about 0.15 mg/ml in the oral liquid formulation.

[0072] In some embodiments, sodium citrate dihydrate is present in about 1 % w/w to about 15 % w/w of the solids in the oral liquid formulation. In other embodiments, sodium citrate dihydrate is present in about 1 % w/w, about 1.1 % w/w, about 1.2 % w/w, about 1.3 % w/w, about 1.4 % w/w, about 1.5 % w/w, about 1.6 % w/w, about 1.7 % w/w, about 1.8 % w/w, about 1.9 % w/w, about 2 % w/w, about 2.1 % w/w, about 2.2 % w/w, about 2.3 % w/w, about 2.4 % w/w, about 2.5 % w/w, about 2.6 % w/w, about 2.7 % w/w, about 2.8 % w/w, about 2.9 % w/w, about 3 % w/w, about 3.1 % w/w, about 3.2 % w/w, about 3.3 % w/w, about 3.4 % w/w, about 3.5 % w/w, about 3.6 % w/w, about 3.7 % w/w, about 3.8 % w/w, about 3.9 % w/w, about 4 % w/w, about 4.5 % w/w, about 5 % w/w, about 5.5 % w/w, about 6 % w/w, about 6.5 % w/w, about 7 % w/w, about 7.5 % w/w, about 5 % w/w, about 8.5 % w/w, about 9 % w/w, about 12.5 % w/w, about 10 % w/w, about 10.5 % w/w, about 11 % w/w, about 11.5 % w/w, about 12 % w/w, about 12.5 % w/w, about 13 % w/w, about 13.5 % w/w, about 14 % w/w, about 14.5 % w/w, about 15 % w/w of the solids in the oral liquid formulation. In some embodiments, sodium citrate dihydrate is present in about 10.5 % w/w of the solids in the oral liquid formulation. In some embodiments, sodium citrate dihydrate is present in about 7.5 % w/w of the solids in the oral liquid formulation. In some embodiments, sodium citrate dihydrate is present in about 4.5 % w/w of the solids

in the oral liquid formulation. In some embodiments, sodium citrate dihydrate is present in about 2.9 % w/w of the solids in the oral liquid formulation.

[0073] In other embodiments, sodium citrate dihydrate is not added to the formulation. *Additional excipients*

[0074] In further embodiments, the enalapril liquid formulation described herein comprises additional excipients including, but not limited to, glidants, flavoring agents, coloring agents and thickeners.

Additional excipients such as bulking agents, tonicity agents and chelating agents are within the scope of the embodiments.

[0075] Glidants are substances that improve flowability of a powder. Suitable glidants include, but are not limited to, calcium phosphate tribasic, calcium silicate, cellulose (powdered), colloidal silicon dioxide, magnesium silicate, magnesium trisilicate, silicon dioxide, starch, talc and the like. In some embodiments, the enalapril powder formulations described herein comprise a glidant. In some embodiments the glidant is not colloidal silicon dioxide.

[0076] In another embodiment, the enalapril liquid formulation comprises a flavoring agent or flavorant to enhance the taste or aroma of the formulation in liquid form. Suitable natural or synthetic flavoring agents can be selected from standard reference books, for example Fenaroli's Handbook of Flavor Ingredients, 3rd edition (1995). Non-limiting examples of suitable natural flavors, some of which can readily be simulated with synthetic agents or combinations thereof, include almond, anise, apple, apricot, bergamot, blackberry, blackcurrant, blueberry, cacao, caramel, cherry, cinnamon, clove, coffee, coriander, cranberry, cumin, dill, eucalyptus, fennel, fig, ginger, grape, grapefruit, guava, hop, lemon, licorice, lime, malt, mandarin, molasses, nutmeg, mixed berry, orange, peach, pear, peppermint, pineapple, raspberry, rose, spearmint, strawberry, tangerine, tea, vanilla, wintergreen, etc. Also useful, particularly where the formulation is intended primarily for pediatric use, is tutti-frutti or bubblegum flavor, a compounded flavoring agent based on fruit flavors. Presently preferred flavoring agents include anise, cinnamon, cacao, orange, peppermint, cherry (in particular wild cherry), grape, bubblegum, vanilla, and mixed berry. In some embodiments, the enalapril liquid formulation described herein comprises a mixed berry flavoring agent. Flavoring agents can be used singly or in combinations of two or more.

[0077] In further embodiments, the enalapril liquid formulation comprises a coloring agent for identity and/or aesthetic purposes. Suitable coloring agents illustratively include FD&C Red No. 3, FD&C Red No. 20, FD&C Red No. 40, FD&C Yellow No. 6, FD&C Blue No. 2, FD&C Green No. 5, FD&C Orange No. 5, caramel, ferric oxide and mixtures thereof.

[0078] Thickeners impart viscosity or weight to the resultant liquid forms from the enalapril formulation described herein. Exemplary thickeners include dextrin, cellulose derivatives (carboxymethylcellulose and its salts, ethylcellulose, hydroxyethyl cellulose, methylcellulose, hypromellose, and the like) starches,

pectin, polyethylene glycol, polyethylene oxide, trehalose and certain gums (xanthan gum, locust bean gum, etc.). In certain embodiments, the enalapril liquid formulation comprises a thickener.

[0079] Additional excipients are contemplated in the enalapril liquid formulation embodiments. These additional excipients are selected based on function and compatibility with the enalapril liquid formulations described herein and may be found, for example in *Remington: The Science and Practice of Pharmacy*, Nineteenth Ed (Easton, PA: Mack Publishing Company, 1995); Hoover, John E., *Remington's Pharmaceutical Sciences*, (Easton, PA: Mack Publishing Co 1975); Liberman, H.A. and Lachman, L., Eds., *Pharmaceutical Dosage Forms* (New York, NY: Marcel Decker 1980); and *Pharmaceutical Dosage Forms and Drug Delivery Systems*, Seventh Ed (Lippincott Williams & Wilkins 1999), herein incorporated by reference in their entirety.

Stability

[0080] The main enalapril degradants are enalapril diketopiperazine and enalaprilat.

[0081] The enalapril oral liquid formulations described herein are stable in various storage conditions including refrigerated, ambient and accelerated conditions. Stable as used herein refers to enalapril oral liquid formulations having about 95 % or greater of the initial enalapril amount and about 5 % w/w or less total impurities or related substances at the end of a given storage period. The percentage of impurities is calculated from the amount of impurities relative to the amount of enalapril. Stability is assessed by HPLC or any other known testing method. In some embodiments, the stable enalapril oral liquid formulations have about 5 % w/w, about 4 % w/w, about 3 % w/w, about 2.5 % w/w, about 2 % w/w, about 1.5 % w/w, about 1 % w/w, or about 0.5 % w/w total impurities or related substances. In other embodiments, the stable enalapril oral liquid formulations have about 5 % w/w total impurities or related substances. In yet other embodiments, the stable enalapril oral liquid formulations have about 4 % w/w total impurities or related substances. In yet other embodiments, the stable enalapril oral liquid formulations have about 3 % w/w total impurities or related substances. In yet other embodiments, the stable enalapril oral liquid formulations have about 1 % w/w total impurities or related substances. In yet other embodiments, the stable enalapril oral liquid formulations have about 1 % w/w total impurities or related substances. In yet other embodiments, the stable enalapril oral liquid formulations have about 1 % w/w total impurities or related substances.

[0082] At refrigerated condition, the enalapril oral liquid formulations described herein are stable for at least 1 month, at least 2 months, at least 3 months, at least 6 months, at least 9 months, at least 12 months, at least 15 months, at least 18 months, at least 24 months, at least 30 months and at least 36 months. In some embodiments, refrigerated condition is 5±3 °C. In some embodiments, refrigerated condition is about 2 °C, about 2.1 °C, about 2.2 °C, about 2.3 °C, about 2.4 °C, about 2.5 °C, about 2.6 °C, about 2.7 °C, about 2.8 °C, about 2.9 °C, about 3 °C, about 3.1 °C, about 3.2 °C, about 3.3 °C, about 3.4 °C, about 3.5 °C, about 3.6 °C, about 3.7 °C, about 3.8 °C, about 3.9 °C, about 4 °C, about 4.1 °C, about 4.2 °C,

about 4.3 °C, about 4.4 °C, about 4.5 °C, about 4.6 °C, about 4.7 °C, about 4.8 °C, about 4.9 °C, about 5 °C, about 5.1 °C, about 5.2 °C, about 5.3 °C, about 5.4 °C, about 5.5 °C, about 5.6 °C, about 5.7 °C, about 5.8 °C, about 5.9 °C, about 6.0 °C, about 6.1 °C, about 6.2 °C, about 6.3 °C, about 6.4 °C, about 6.5 °C, about 6.6 °C, about 6.7 °C, about 6.8 °C, about 6.9 °C, about 7 °C, about 7.1 °C, about 7.2 °C, about 7.3 °C, about 7.4 °C, about 7.5 °C, about 7.6 °C, about 7.7 °C, about 7.8 °C, about 7.9 °C, or about 8 °C. At accelerated conditions, the enalapril oral liquid formulations described herein are stable for at least 1 months, at least 2 months, at least 3 months, at least 4 months, at least 5 months, at least 6 months, at least 7 months, at least 8 months, at least 9 months, at least 10 months, at least 11 months or at least 12 months. Accelerated conditions for the enalapril oral liquid formulations described herein include temperature and/or relative humidity (RH) that are at or above ambient levels (e.g. 25±5 °C; 55±10% RH). In some instances, an accelerated condition is at about 25 °C, about 30 °C, about 35 °C, about 40 °C, about 45 °C, about 50 °C, about 55 °C or about 60 °C. In other instances, an accelerated condition is above 55% RH, about 70 % RH, about 75 % RH or about 80 % RH. In further instances, an accelerated condition is about 40 °C or 60 °C at ambient humidity. In yet further instances, an accelerated condition is about 40 °C or 60 °C at ambient humidity. In yet further instances, an accelerated condition is about 40 °C at 75±5 % RH humidity.

Enalapril Oral Powder Formulation

[0083] In another aspect, enalapril oral liquid formulations described herein are prepared from the reconstitution of an enalapril powder formulation. In some embodiments, the enalapril powder formulation comprising enalapril, a sweetener, a preservative, and optionally an excipient is dissolved in water, a buffer, other aqueous solvent, or a liquid to form an enalapril oral liquid formulation. In one embodiment, the sweetening agent is sucralose. In one embodiment, the sweetener is not mannitol. In one embodiment, the sweetening agent is xylitol. In another embodiment, the preservative is sodium benzoate. In one embodiment, the preservative is a paraben preservative. In one aspect, the enalapril powder formulation described herein comprises enalapril, sucralose, and sodium benzoate. In some embodiments, the enalapril powder formulation herein further comprises a flavoring agent. In some embodiments, the enalapril powder formulation herein further comprises one or more buffering agents.

[0084] In some embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.5% w/w to about 30 % w/w of the powder formulation. In other embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.5 % w/w, about 1 % w/w, about 1.5 % w/w, about 2 % w/w, about 2.5 % w/w, about 3 % w/w, about 3.5 % w/w, about 4 % w/w, about 4.5 % w/w, about 5 % w/w, about 5.5 % w/w, about 6 % w/w, about 6.5 % w/w, about 7 % w/w, about 7.5 % w/w, about 8 % w/w, about 8.5 % w/w, about 9 % w/w, about 9.5 % w/w, about 10 % w/w, about 10.5 % w/w, about 11 % w/w, about 11.5 % w/w, about 12 % w/w, about 12.5 % w/w, about 13 % w/w, about 13.5 %

w/w, about 14% w/w, about 14.5 % w/w, about 15 % w/w, about 15.5 % w/w, about 16 % w/w, about 16.5 % w/w, about 17 % w/w, about 17.5 % w/w, about 18 % w/w, about 18.5 % w/w, about 19 % w/w, about 19.5 % w/w, about 20 % w/w, about 20.5 % w/w, about 21 % w/w, about 21.5 % w/w, about 22 % w/w, about 22.5 % w/w, about 23 % w/w, about 23.5 % w/w, about 24 % w/w, about 24.5 % w/w, about 25 % w/w, about 25.5 % w/w, about 26 % w/w, about 26.5 % w/w, about 27 % w/w, about 27.5 % w/w, about 28 % w/w, about 28.5 % w/w, about 29 % w/w, about 29.5 % w/w, or about 30 % w/w of the powder formulation. In some embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 10% w/w to about 25 % w/w of the powder formulation. In some embodiments, enalapril maleate is present in about 13.5 % w/w of the powder formulation. In some embodiments, enalapril maleate is present in about 19.5 % w/w of the powder formulation. In some embodiments, enalapril is present in about 10.5 % w/w of the powder formulation. In some embodiments, enalapril is present in about 10.5 % w/w of the powder formulation. In some embodiments, enalapril is present in about 14.5 % w/w of the powder formulation. In some embodiments, enalapril is present in about 14.5 % w/w of the powder formulation. In some embodiments, enalapril is present in about 14.5 % w/w of the powder formulation. In some embodiments, enalapril is present in about 14.5 % w/w of the powder formulation. In some embodiments, enalapril is present in about 14.5 % w/w of the powder formulation. In some embodiments, enalapril is present in about 18 % w/w of the powder formulation.

[0085] In some embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.1% w/w to about 1 % w/w of the powder formulation. In other embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.1 % w/w, about 0.15 % w/w, about 0.2 % w/w, about 0.25 % w/w, about 0.3 % w/w, about 0.35 % w/w, about 0.4 % w/w, about 0.45 % w/w, about 0.5 % w/w, about 0.55 % w/w, about 0.6 % w/w, about 0.65 % w/w, about 0.7 % w/w, about 0.75 % w/w, about 0.8 % w/w, about 0.85 % w/w, about 0.9 % w/w, about 0.95 % w/w, or about 1 % w/w of the powder formulation. In some embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.4 % w/w to about 0.7 % w/w of the powder formulation. In some embodiments, enalapril maleate is present in about 0.45 % w/w of the powder formulation. In some embodiments, enalapril maleate is present in about 0.4 % w/w of the powder formulation. In some embodiments, enalapril is present in about 0.4 % w/w of the powder formulation. In some embodiments, enalapril is present in about 0.5 % w/w of the powder formulation. In some embodiments, enalapril is present in about 0.5 % w/w of the powder formulation. In some embodiments, enalapril is present in about 0.5 % w/w of the powder formulation. In some embodiments, enalapril is

[0086] Various amounts and concentrations of other components (sweeteners, buffers, preservatives, and the like) in the enalapril powder formulations are found in the previous section describing the amounts and concentrations for the analogous enalapril oral liquid formulations. For example, in some embodiments where sucralose is present in about 1 % w/w to about 30 % w/w of the solids in the oral liquid formulation; in an analogous enalapril powder formulation, sucralose would be about 1 % w/w to about 30 % w/w in the powder formulation. In some embodiments where sodium benzoate is present in about 1 % w/w to about 30 % w/w of the solids in the oral liquid formulation, in an analogous enalapril

powder formulation sodium benzoate is present in about 1 % w/w to about 30 % w/w in the powder formulation.

[0087] Liquid vehicles suitable for the enalapril powder formulations to be reconstituted into an oral solution described herein are selected for a particular oral liquid formulation (solution, suspension, etc.) as well as other qualities such as clarity, toxicity, viscosity, compatibility with excipients, chemical inertness, palatability, odor, color and economy. Exemplary liquid vehicles include water, ethyl alcohol, glycerin, propylene glycol, syrup (sugar or other sweetener based, e.g., Ora-Sweet® SF sugar-free flavored syrup), juices (apple, grape, orange, cranberry, cherry, tomato and the like), other beverages (tea, coffee, soft drinks, milk and the like), oils (olive, soybean, corn, mineral, castor and the like), and combinations or mixtures thereof. Certain liquid vehicles, e.g., oil and water, can be combined together to form emulsions. In some embodiments, water is used for as a vehicle for a enalapril oral liquid formulation. In other embodiments, a syrup is used for as a vehicle for a enalapril oral liquid formulation. In yet other embodiments, a juice is used for as a vehicle for a enalapril oral liquid formulation. [0088] Buffering agents maintain the pH of the liquid enalapril formulation. Non-limiting examples of buffering agents include, but are not limited to sodium bicarbonate, potassium bicarbonate, magnesium hydroxide, magnesium lactate, magnesium gluconate, aluminum hydroxide, aluminum hydroxide/sodium bicarbonate co precipitate, mixture of an amino acid and a buffer, a mixture of aluminum glycinate and a buffer, a mixture of an acid salt of an amino acid and a buffer, and a mixture of an alkali salt of an amino acid and a buffer. Additional buffering agents include citric acid, sodium citrate, sodium tartrate, sodium acetate, sodium carbonate, sodium polyphosphate, potassium polyphosphate, sodium pyrophosphate, potassium pyrophosphate, disodium hydrogenphosphate, dipotassium hydrogenphosphate, trisodium phosphate, tripotassium phosphate, sodium acetate, potassium metaphosphate, magnesium oxide, magnesium hydroxide, magnesium carbonate, magnesium silicate, calcium acetate, calcium glycerophosphate, calcium chloride, calcium hydroxide, calcium lactate, calcium carbonate, calcium bicarbonate, and other calcium salts. Some buffering agents also impart effervescent qualities when a powder is reconstituted in a solution.

[0089] In some embodiments, the reconstituted oral liquid formulation comprises a buffer. In some embodiments, the buffer comprises citric acid and sodium citrate.

In further embodiments, the enalapril powder formulation described herein comprises additional excipients including, but not limited to, glidants, flavoring agents, coloring agents and thickeners.

Additional excipients such as bulking agents, tonicity agents and chelating agents are within the scope of the embodiments.

[0090] Glidants are substances that improve flowability of a powder. Suitable glidants include, but are not limited to, calcium phosphate tribasic, calcium silicate, cellulose (powdered), colloidal silicon

dioxide, magnesium silicate, magnesium trisilicate, silicon dioxide, starch, talc and the like. In some embodiments, the enalapril powder formulations described herein comprise a glidant.

[0091] In another embodiment, the enalapril powder formulation described herein comprises a flavoring agent or flavorant to enhance the taste or aroma of the formulation in liquid form. Suitable natural or synthetic flavoring agents can be selected from standard reference books, for example Fenaroli's Handbook of Flavor Ingredients, 3rd edition (1995). Non-limiting examples of suitable natural flavors, some of which can readily be simulated with synthetic agents or combinations thereof, include almond, anise, apple, apricot, bergamot, blackberry, blackcurrant, blueberry, cacao, caramel, cherry, cinnamon, clove, coffee, coriander, cranberry, cumin, dill, eucalyptus, fennel, fig, ginger, grape, grapefruit, guava, hop, lemon, licorice, lime, malt, mandarin, molasses, nutmeg, mixed berry, orange, peach, pear, peppermint, pineapple, raspberry, rose, spearmint, strawberry, tangerine, tea, vanilla, wintergreen, etc. Also useful, particularly where the formulation is intended primarily for pediatric use, is tutti-frutti or bubblegum flavor, a compounded flavoring agent based on fruit flavors. Presently preferred flavoring agents include anise, cinnamon, cacao, orange, peppermint, cherry (in particular wild cherry), grape, bubblegum, vanilla, and mixed berry. Flavoring agents can be used singly or in combinations of two or more.

[0092] In further embodiments, the enalapril powder formulation described herein comprises a coloring agent for identity and/or aesthetic purposes. Suitable coloring agents illustratively include FD&C Red No. 3, FD&C Red No. 20, FD&C Red No. 40, FD&C Yellow No. 6, FD&C Blue No. 2, D&C Green No. 5, D&C Orange No. 5, caramel, ferric oxide and mixtures thereof.

[0093] In further embodiments, the enalapril powder formulation described herein comprises a thickener. Thickeners impart viscosity or weight to the resultant liquid forms from the enalapril formulation described herein. Exemplary thickeners include dextrin, cellulose derivatives (carboxymethylcellulose and its salts, ethylcellulose, hydroxyethyl cellulose, methylcellulose, hypromellose, and the like) starches, pectin, polyethylene glycol, polyethylene oxide, trehalose and certain gums (xanthan gum, locust bean gum, etc.).

[0094] Additional excipients are contemplated in the enalapril powder formulation embodiments. These additional excipients are selected based on function and compatibility with the the enalapril powder formulation described herein and may be found, for example in *Remington: The Science and Practice of Pharmacy*, Nineteeth Ed (Easton, PA: Mack Publishing Company, 1995); Hoover, John E., *Remington's Pharmaceutical Sciences*, (Easton, PA: Mack Publishing Co 1975); Liberman, H.A. and Lachman, L., Eds., *Pharmaceutical Dosage Forms* (New York, NY: Marcel Decker 1980); and *Pharmaceutical Dosage Forms and Drug Delivery Systems*, Seventh Ed (Lippincott Williams & Wilkins 1999), herein incorporated by reference in their entirety.

[0095] In some embodiments, the enalapril oral liquid formulation prepared from the powder formulations described herein are homogenous. Homogenous liquids as used herein refer to those liquids that are uniform in appearance, identity, consistency and drug concentration per volume. Non-homogenous liquids include such liquids that have varied coloring, viscosity and/or aggregation of solid particulates, as well as non-uniform drug concentration in a given unit volume. Homogeneity in liquids are assessed by qualitative identification or appearance tests and/or quantitative HPLC testing or the like. The mixing methods and excipients described herein are selected to impart a homogenous quality to a resultant enalapril oral liquid formulation.

[0096] Mixing methods encompass any type of mixing that result in a homogenous enalapril oral liquid formulation. In some embodiments, a quantity of an enalapril powder formulation is added to a liquid vehicle and then mixed by a stirring, shaking, swirling, agitation element or a combination thereof. In certain instances, a fraction of a enalapril powder formulation (i.e., one-half, one-third, one-fourth, etc.) is added to a liquid vehicle, mixed by stirring, shaking, swirling, agitation or a combination thereof, and the subsequent powder fraction(s) is added and mixed. In other embodiments, a liquid vehicle is added to an enalapril powder formulation in a container, for example, a bottle, vial, bag, beaker, syringe, or the like. The container is then mixed by stirring, shaking, swirling, agitation, inversion or a combination thereof. In certain instances, a fractional volume of the liquid vehicle (i.e., one-half, one-third, one-fourth volume, etc.) is added to a enalapril powder formulation in a container, mixed by stirring, shaking, swirling, agitation, inversion or a combination thereof; and the subsequent liquid fraction(s) is added and mixed. In certain instances, a one-half fractional volume of the liquid vehicle is added to an enalapril powder formulation in a container and mixing by shaking; the other one-half fractional volume of the liquid vehicle is then subsequently added and mixed. In any of the above embodiments, mixing (i.e., stirring, shaking, swirling, agitation, inversion or a combination thereof) occurs for a certain time intervals such as about 10 seconds, about 20 seconds, about 30 seconds, about 45 seconds, about 60 seconds, about 90 seconds, about 120 seconds, about 2.5 minutes, about 3 minutes, about 3.5 minutes, about 4 minutes, or about 5 minutes. In embodiments, where there are two or more mixing steps, the time intervals for each mixing can be the same (e.g., 2x10 seconds) or different (e.g., 10 seconds for first mixing and 20 seconds for second mixing). In any of the above embodiments, a enalapril oral liquid formulation is allowed to stand for a period of time such as about 10 minutes, about 20 minutes, about 30 minutes, about 45 minutes, about 1 hour, about 1.5 hours or about 2 hours, to allow any air bubbles resultant from any of the mixing methods to dissipate.

Stability of Enalapril Powder Formulation

[0097] The enalapril powder formulations described herein are stable in various storage conditions including refrigerated, ambient and accelerated conditions. Stable as used herein refer to enalapril powder

formulations having about 95 % or greater of the initial enalapril amount and 5 % w/w or less total impurities or related substances at the end of a given storage period. The percentage of impurities is calculated from the amount of impurities relative to the amount of enalapril. Stability is assessed by HPLC or any other known testing method. In some embodiments, the stable enalapril powder formulations have about 5 % w/w, about 4 % w/w, about 3 % w/w, about 2.5 % w/w, about 2 % w/w, about 1.5 % w/w, about 1 % w/w, or about 0.5 % w/w total impurities or related substances. In other embodiments, the stable enalapril powder formulations have about 5 % w/w total impurities or related substances. In yet other embodiments, the stable enalapril powder formulations have about 4 % w/w total impurities or related substances. In yet other embodiments, the stable enalapril powder formulations have about 3 % w/w total impurities or related substances. In yet other embodiments, the stable enalapril powder formulations have about 2 % w/w total impurities or related substances. In yet other embodiments, the stable enalapril powder formulations have about 1 % w/w total impurities or related substances. In yet other embodiments, the stable enalapril powder formulations have about 1 % w/w total impurities or related substances.

[0098] At refrigerated and ambient conditions, in some embodiments, the enalapril powder formulations described herein are stable for at least 1 week, 2 weeks, 4 weeks, 6 weeks, 8 weeks, 10 weeks, 12 weeks, 16 weeks, 20 weeks, at least 24 weeks, at least 30 weeks, or at least 36 weeks. At accelerated conditions, in some embodiments, the enalapril powder formulations described herein are stable for at least 1 week, at least 2 weeks, at least 3 weeks, at least 4 weeks, at least 5 weeks, at least 6 weeks, at least 7 weeks, at least 8 weeks, at least 9 weeks, at least 10 weeks, at least 11 weeks or at least 12 weeks. Accelerated conditions for the enalapril powder formulations described herein include temperature and/or relative humidity (RH) that are above ambient levels (e.g. 25±4 °C; 55±10 % RH). In some instances, an accelerated condition is at about 30 °C, about 35 °C, about 40 °C, about 45 °C, about 50 °C, about 55 °C or about 60 °C. In other instances, an accelerated condition is above 65 % RH, about 70 % RH, about 75 % RH or about 80 % RH. In further instances, an accelerated condition is about 40 °C or 60 °C at ambient humidity. In yet further instances, an accelerated condition is about 40 °C at 75±5 % RH humidity.

Kits and Articles of Manufacture

[0099] For the enalapril powder and liquid formulations described herein, kits and articles of manufacture are also described. Such kits can comprise a carrier, package, or container that is compartmentalized to receive one or more containers such as vials, tubes, and the like, each of the container(s) comprising one of the separate elements to be used in a method described herein including an enalapril powder or liquid formulation. Suitable containers include, for example, bottles, vials, syringes, and test tubes. The containers can be formed from a variety of materials such as glass or plastic.

[00100] A kit will typically may comprise one or more additional containers, each with one or more of various materials (such as reagents, optionally in concentrated form, and/or devices) desirable from a commercial and user standpoint for an enalapril powder or liquid formulation described herein. Non-limiting examples of such materials include, but not limited to, buffers, diluents, filters, needles, syringes; carrier, package, container, vial and/or tube labels listing contents and/or instructions for use, and package inserts with instructions for use associated with an enalapril powder or liquid formulation. A set of instructions will also typically be included.

[00101] A label can be on or associated with the container. A label can be on a container when letters, numbers or other characters forming the label are attached, molded or etched into the container itself; a label can be associated with a container when it is present within a receptacle or carrier that also holds the container, e.g., as a package insert. A label can be used to indicate that the contents are to be used for a specific therapeutic application. The label can also indicate directions for use of the contents, such as in the methods described herein.

Methods

[00102] Provided herein, in one aspect, are methods of treatment comprising administration of the enalapril oral liquid formulations described herein to a subject. In some embodiments, the enalapril oral liquid formulations described herein treat hypertension in a subject. Hypertension as used herein includes both primary (essential) hypertension and secondary hypertension. In certain instances, hypertension is classified in cases when blood pressure values are greater than or equal to 140/90 (systolic/diastolic) mm Hg in a subject. In certain instances, the enalapril oral liquid formulations described herein treat a subject having a blood pressure values are greater than or equal to 140/90 mm Hg. In certain instances, the enalapril oral liquid formulations described herein treat primary (essential) hypertension in a subject. In other instances, the enalapril oral liquid formulations described herein treat secondary hypertension in a subject.

[00103] In other embodiments, the enalapril oral liquid formulations described herein treat prehypertension in a subject. Prehypertension as used herein refers to cases where a subject's blood pressure is elevated above normal but not to the level considered to be hypertension. In some instances, prehypertension is classified in cases when blood pressure values are 120-139/80-89 mm Hg. In certain instances, the enalapril oral liquid formulations described herein treat a subject having blood pressure values of 120-139/80-89 mm Hg.

[00104] In yet other embodiments, the enalapril oral liquid formulations described herein are prophylactically administered to subjects suspected of having, predisposed to, or at risk of developing hypertension. In some embodiments, the administration of enalapril oral liquid formulations described

herein allow for early intervention prior to onset of hypertension. In certain embodiments, upon detection of a biomarker, environmental, genetic factor, or other marker, the enalapril oral liquid formulations described herein are prophylactically administered to subjects.

[00105] In further embodiments, the enalapril oral liquid formulations described herein treat heart failure (e.g., symptomatic congestive), asymptomatic left ventricular dysfunction, myocardial infarction, diabetic nephropathy and chronic renal failure. In certain instances, the enalapril oral liquid formulations described herein treat symptomatic congestive heart failure. In other instances, the enalapril oral liquid formulations described herein treat asymptomatic left ventricular dysfunction. In further instances, the enalapril oral liquid formulations described herein treat myocardial infarction. In yet further instances, the enalapril oral liquid formulations described herein treat diabetic nephropathy. In yet further instances, the enalapril oral liquid formulations described herein treat chronic renal failure.

Dosing

[00106] In one aspect, the enalapril oral liquid formulations are used for the treatment of diseases and conditions described herein. In addition, a method for treating any of the diseases or conditions described herein in a subject in need of such treatment, involves administration of enalapril oral liquid formulations in therapeutically effective amounts to said subject.

[00107] Dosages of enalapril oral liquid formulations described can be determined by any suitable method. Maximum tolerated doses (MTD) and maximum response doses (MRD) for enalapril and/or enalaprilat can be determined via established animal and human experimental protocols as well as in the examples described herein. For example, toxicity and therapeutic efficacy of enalapril and/or enalaprilat can be determined by standard pharmaceutical procedures in cell cultures or experimental animals, including, but not limited to, for determining the LD₅₀ (the dose lethal to 50% of the population) and the ED₅₀ (the dose therapeutically effective in 50% of the population). The dose ratio between the toxic and therapeutic effects is the therapeutic index and it can be expressed as the ratio between LD₅₀ and ED₅₀. Enalapril dosages exhibiting high therapeutic indices are of interest. The data obtained from cell culture assays and animal studies can be used in formulating a range of dosage for use in human. The dosage of such compounds lies preferably within a range of circulating concentrations that include the ED₅₀ with minimal toxicity. The dosage may vary within this range depending upon the dosage form employed and the route of administration utilized. Additional relative dosages, represented as a percent of maximal response or of maximum tolerated dose, are readily obtained via the protocols.

[00108] In some embodiments, the amount of a given enalapril oral liquid formulation that corresponds to such an amount varies depending upon factors such as the particular enalapril salt or form, disease condition and its severity, the identity (e.g., weight, sex) of the subject or host in need of treatment, but

can nevertheless be determined according to the particular circumstances surrounding the case, including, *e.g.*, the specific agent being administered, the liquid composition type, the condition being treated, and the subject or host being treated.

[00109] In some embodiments, the enalapril oral liquid formulations described herein are provided in a dose per day from about 0.01 mg to 100 mg, from about 0.1 mg to about 80 mg, from about 1 to about 60, from about 2 mg to about 40 mg of enalapril. In certain embodiments, the enalapril oral liquid formulations described herein are provided in a daily dose of about 0.01 mg, about 0.05 mg, about 0.1 mg, about 0.2 mg, about 0.4 mg, about 0.6 mg, about 0.8 mg, about 1 mg, about 1.5 mg, about 2 mg, about 2.5 mg, about 3 mg, about 4 mg, about 5 mg, about 6 mg, about 7 mg, about 8 mg, about 9 mg, about 10 mg, about 11 mg, about 12 mg, about 15 mg, about 20 mg, about 25 mg, about 30 mg, about 35 mg, about 40 mg, about 45 mg, about 50 mg, about 55 mg, about 60 mg, about 65 mg, about 70 mg, about 76, mg, about 80 mg, about 85 mg, about 90 mg or about 100 mg, or any range derivable therein. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 1 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 2 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 3 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 4 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 5 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 6 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 7 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 8 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 9 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 10 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 11 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 12 mg. The dose per day described herein can be given once per day or multiple times per day in the form of subdoses given b.i.d., t.i.d., q.i.d., or the like where the number of sub-doses equal the dose per day. [00110] In further embodiments, the daily dosages appropriate for the enalapril oral liquid formulations described herein are from about 0.01 to about 1.0 mg/kg per body weight. In one embodiment, the daily dosages appropriate for the enalapril oral liquid formulations are from about 0.02 to about 0.8 mg/kg enalapril per body weight. In another embodiment, the daily dosage appropriate for the enalapril oral liquid formulations are from about 0.05 to about 0.6 mg/kg per body weight. In another embodiment, the daily dosage appropriate for the enalapril oral liquid formulations is about 0.05 mg/kg, about 0.06 mg/kg,

about 0.07 mg/kg, about 0.08 mg/kg, about 0.10 mg/kg, about 0.15 mg/kg, about 0.20 mg/kg, about 0.25 mg/kg, about 0.30 mg/kg, about 0.40 mg/kg, about 0.50 mg/kg, or about 0.60 mg/kg.

[00111] In other embodiments the enalapril oral liquid formulations are provided at the maximum tolerated dose (MTD) for enalapril and/or enalaprilat. In other embodiments, the amount of the enalapril oral liquid formulations administered is from about 10% to about 90% of the maximum tolerated dose (MTD), from about 25% to about 75% of the MTD, or about 50% of the MTD. In particular embodiments, the amount of the enalapril oral liquid formulations administered is from about 5%, 10%, 15%, 20%, 25%, 30%, 35%, 40%, 45%, 50%, 55%, 60%, 65%, 70%, 75%, 80%, 85%, 90%, 95%, 99%, or higher, or any range derivable therein, of the MTD for enalapril and/or enalaprilat.

[00112] In further embodiments, the enalapril oral liquid formulations are provided in a dosage that is similar, comparable or equivalent to a dosage of a known enalapril tablet formulation. In other embodiments, the enalapril oral liquid formulations are provided in a dosage that provides a similar, comparable or equivalent pharmacokinetic parameters (e.g., AUC, C_{max} , T_{max} , C_{min} , $T_{1/2}$) as a dosage of a known enalapril tablet formulation. Similar, comparable or equivalent pharmacokinetic parameters, in some instances, refer to within 80% to 125%, 80% to 120%, 85% to 125%, 90% to 110%, or increments therein, of the given values. It should be recognized that the ranges can, but need not be symmetrical, e.g., 85% to 105%.

Administration

[00113] Administration of an enalapril oral liquid formulation is at a dosage described herein or at other dose levels and formulations determined and contemplated by a medical practitioner. In certain embodiments, the enalapril oral liquid formulations described herein are administered for prophylactic and/or therapeutic treatments. In certain therapeutic applications, the enalapril oral liquid formulations are administered to a patient already suffering from a disease, e.g., hypertension, in an amount sufficient to cure the disease or at least partially arrest or ameliorate the symptoms, e.g., lower blood pressure.

Amounts effective for this use depend on the severity of the disease, previous therapy, the patient's health status, weight, and response to the enalapril formulations, and the judgment of the treating physician. Therapeutically effective amounts are optionally determined by methods including, but not limited to, a dose escalation clinical trial.

[00114] In prophylactic applications, the enalapril oral liquid formulations described herein are administered to a patient susceptible to or otherwise at risk of a particular disease, e.g., hypertension. Such an amount is defined to be a "prophylactically effective amount or dose." In this use, the precise amounts also depend on the patient's state of health, weight, and the like. When used in a patient, effective amounts for this use will depend on the risk or susceptibility of developing the particular

disease, previous therapy, the patient's health status and response to the enalapril formulations, and the judgment of the treating physician.

[00115] In certain embodiments wherein the patient's condition does not improve, upon the doctor's discretion the administration of an enalapril oral liquid formulations described herein are administered chronically, that is, for an extended period of time, including throughout the duration of the patient's life in order to ameliorate or otherwise control or limit the symptoms of the patient's disease. In other embodiments, administration of an enalapril oral liquid formulation continues until complete or partial response of a disease.

[00116] In certain embodiments wherein a patient's status does improve, the dose of an enalapril oral liquid formulation being administered may be temporarily reduced or temporarily suspended for a certain length of time (*i.e.*, a "drug holiday"). In specific embodiments, the length of the drug holiday is between 2 days and 1 year, including by way of example only, 2 days, 3 days, 4 days, 5 days, 6 days, 7 days, 10 days, 12 days, 15 days, 20 days, 28 days, 35 days, 50 days, 70 days, 100 days, 120 days, 150 days, 180 days, 200 days, 250 days, 280 days, 300 days, 320 days, 350 days, and 365 days. The dose reduction during a drug holiday is, by way of example only, by 10%-100%, including by way of example only 10%, 15%, 20%, 25%, 30%, 35%, 40%, 45%, 50%, 55%, 60%, 65%, 70%, 75%, 80%, 85%, 90%, 95%, and 100%.

[00117] In some embodiments, enalapril oral liquid formulations described herein are administered chronically. For example, in some embodiments, an enalapril oral liquid formulation is administered as a continuous dose, i.e., administered daily to a subject. In some other embodiments, enalapril oral liquid formulations described herein are administered intermittently (e.g. drug holiday that includes a period of time in which the formulation is not administered or is administered in a reduced amount).

[00118] In some embodiments an enalapril oral liquid formulation is administered to a subject who is in a fasted state. A fasted state refers to a subject who has gone without food or fasted for a certain period of time. General fasting periods include at least 4 hours, at least 6 hours, at least 8 hours, at least 10 hours, at least 12 hours, at least 14 hours and at least 16 hours without food. In some embodiments, an enalapril oral liquid formulation is administered orally to a subject who is in a fasted state for at least 8 hours. In other embodiments, an enalapril oral liquid formulation is administered to a subject who is in a fasted state for at least 10 hours. In yet other embodiments, an enalapril oral liquid formulation is administered to a subject who is in a fasted state for at least 12 hours. In other embodiments, an enalapril oral liquid formulation is administered to a subject who has fasted overnight.

[00119] In other embodiments an enalapril oral liquid formulation is administered to a subject who is in a fed state. A fed state refers to a subject who has taken food or has had a meal. In certain embodiments, an enalapril oral liquid formulation is administered to a subject in a fed state 5 minutes post-meal, 10

minutes post-meal, 15 minutes post-meal, 20 minutes post-meal, 30 minutes post-meal, 40 minutes post-meal, 50 minutes post-meal, 1 hour post-meal, or 2 hours post-meal. In certain instances, an enalapril oral liquid formulation is administered to a subject in a fed state 30 minutes post-meal. In other instances, an enalapril oral liquid formulation is administered to a subject in a fed state 1 hour post-meal. In yet further embodiments, an enalapril oral liquid formulation is administered to a subject with food.

[00120] In further embodiments described herein, an enalapril oral liquid formulation is administered at a certain time of day for the entire administration period. For example, an enalapril oral liquid formulation can be administered at a certain time in the morning, in the evening, or prior to bed. In certain instances, an enalapril oral liquid formulation is administered in the morning. In other embodiments, an enalapril oral liquid formulation can be administered at different times of the day for the entire administration period. For example, an enalapril oral liquid formulation can be administered on 8:00 am in the morning for the first day, 12 pm noon for the next day or administration, 4 pm in the afternoon for the third day or administration, and so on.

Further Combinations

[00121] The treatment of certain diseases or conditions (e.g., hypertension, heart failure, myocardial infarction and the like) in a subject with an enalapril oral liquid formulation described herein encompass additional therapies and treatment regimens with other agents in some embodiments. Such additional therapies and treatment regimens can include another therapy, e.g., additional anti-hypertensives, for treatment of the particular disease or condition in some embodiments. Alternatively, in other embodiments, additional therapies and treatment regimens include other agents used to treat adjunct conditions associated with the disease or condition or a side effect from the enalapril oral liquid formulation in the therapy.

[00122] Additional agents for use in combination with an enalapril oral liquid formulation described herein include, but are not limited to, diuretics (loop, thiazide, potassium-sparing, and the like), beta blockers (metoprolol, propanolol, pronethalol, and the like), alpha blockers (phentolamine, phenoxybenzamine, tamsulosin, prazosin, and the like), mixed alpha and beta blockers (bucindolol, carvedilol, labetalol), calcium channel blockers (dihydropyridines such as nifedipine, amlodipine, etc., dilitazem, verapamil and the like), angiotensin II receptor antagonists (saralasin, lsartan, eprosartin, irbesartan, valsartan, and the like), other ACE inhibitors (captopril, quinapril, ramipril, lisinopril, zofenopril, and the like), aldosterone antagonists (eplerenone, spironolactone and the like), vasodilators (hydralazine and the like) and alpha-2 agonists (clonidine, moxonidine, guanabenz and the like).

Certain Definitions

[00123] Unless defined otherwise, all technical and scientific terms used herein have the same meanings as commonly understood by one of ordinary skill in the art. Although any methods and materials similar or equivalent to those described herein can be used in the practice or testing of embodiments described herein, certain preferred methods, devices, and materials are now described.

[00124] As used herein and in the appended claims, the singular forms "a", "an", and "the" include plural reference unless the context clearly dictates otherwise. Thus, for example, reference to "an excipient" is a reference to one or more excipients and equivalents thereof known to those skilled in the art, and so forth. [00125] The term "about" is used to indicate that a value includes the standard level of error for the device or method being employed to determine the value. The use of the term "or" in the claims is used to mean "and/or" unless explicitly indicated to refer to alternatives only or the alternatives are mutually exclusive, although the disclosure supports a definition that refers to only alternatives and to "and/or." The terms "comprise," "have" and "include" are open-ended linking verbs. Any forms or tenses of one or more of these verbs, such as "comprises," "comprising," "has," "having," "includes" and "including," are also open-ended. For example, any method that "comprises," "has" or "includes" one or more steps is not limited to possessing only those one or more steps and also covers other unlisted steps.

[00126] "Optional" or "optionally" may be taken to mean that the subsequently described structure, event or circumstance may or may not occur, and that the description includes instances where the events occurs and instances where it does not.

[00127] As used herein, the term "therapeutic" means an agent utilized to treat, combat, ameliorate, prevent or improve an unwanted condition or disease of a patient. In some embodiments, a therapeutic agent such as enalapril is directed to the treatment and/or the amelioration of, reversal of, or stabilization of the symptoms of hypertension described herein.

[00128] "Administering" when used in conjunction with a therapeutic means to administer a therapeutic systemically or locally, as directly into or onto a target tissue, or to administer a therapeutic to a patient whereby the therapeutic positively impacts the tissue to which it is targeted. Thus, as used herein, the term "administering", when used in conjunction with an enalapril formulation, can include, but is not limited to, providing an enalapril formulation into or onto the target tissue; providing an enalapril formulation systemically to a patient by, e.g., oral administration whereby the therapeutic reaches the target tissue or cells. "Administering" a formulation may be accomplished by injection, topical administration, and oral administration or by other methods alone or in combination with other known techniques.

[00129] The term "animal" as used herein includes, but is not limited to, humans and non-human vertebrates such as wild, domestic and farm animals. As used herein, the terms "patient," "subject" and "individual" are intended to include living organisms in which certain conditions as described herein can occur. Examples include humans, monkeys, cows, sheep, goats, dogs, cats, mice, rats, and transgenic

species thereof. In a preferred embodiment, the patient is a primate. In certain embodiments, the primate or subject is a human. In certain instances, the human is an adult. In certain instances, the human is child. In further instances, the human is 12 years of age or younger. In certain instances, the human is elderly. In other instances, the human is 60 years of age or older. Other examples of subjects include experimental animals such as mice, rats, dogs, cats, goats, sheep, pigs, and cows. The experimental animal can be an animal model for a disorder, e.g., a transgenic mouse with hypertensive pathology. A patient can be a human suffering from hypertension, or its variants or etiological forms.

[00130] By "pharmaceutically acceptable", it is meant the carrier, diluent or excipient must be compatible with the other ingredients of the formulation and not deleterious to the recipient thereof.

[00131] The term "pharmaceutical composition" shall mean a composition comprising at least one active ingredient, whereby the composition is amenable to investigation for a specified, efficacious outcome in a mammal (for example, without limitation, a human). Those of ordinary skill in the art will understand and appreciate the techniques appropriate for determining whether an active ingredient has a desired efficacious outcome based upon the needs of the artisan.

[00132] A "therapeutically effective amount" or "effective amount" as used herein refers to the amount of active compound or pharmaceutical agent that elicits a biological or medicinal response in a tissue, system, animal, individual or human that is being sought by a researcher, veterinarian, medical doctor or other clinician, which includes one or more of the following: (1) preventing the disease; for example, preventing a disease, condition or disorder in an individual that may be predisposed to the disease, condition or disorder but does not yet experience or display the pathology or symptomatology of the disease, (2) inhibiting the disease; for example, inhibiting a disease, condition or disorder in an individual that is experiencing or displaying the pathology or symptomatology of the disease, condition or disorder (i.e., arresting further development of the pathology and/or symptomatology), and (3) ameliorating the disease; for example, ameliorating a disease, condition or disorder in an individual that is experiencing or displaying the pathology or symptomatology of the disease, condition or disorder (i.e., reversing the pathology and/or symptomatology). As such, a non-limiting example of a "therapeutically effective amount" or "effective amount" of a formulation of the present disclosure may be used to inhibit, block, or reverse the activation, migration, or proliferation of cells or to effectively treat hypertension or ameliorate the symptoms of hypertension.

[00133] The terms "treat," "treated," "treatment," or "treating" as used herein refers to both therapeutic treatment in some embodiments and prophylactic or preventative measures in other embodiments, wherein the object is to prevent or slow (lessen) an undesired physiological condition, disorder or disease, or to obtain beneficial or desired clinical results. For the purposes described herein, beneficial or desired clinical results include, but are not limited to, alleviation of symptoms; diminishment of the extent of the

condition, disorder or disease; stabilization (i.e., not worsening) of the state of the condition, disorder or disease; delay in onset or slowing of the progression of the condition, disorder or disease; amelioration of the condition, disorder or disease state; and remission (whether partial or total), whether detectable or undetectable, or enhancement or improvement of the condition, disorder or disease. Treatment includes eliciting a clinically significant response without excessive levels of side effects. Treatment also includes prolonging survival as compared to expected survival if not receiving treatment. A prophylactic benefit of treatment includes prevention of a condition, retarding the progress of a condition, stabilization of a condition, or decreasing the likelihood of occurrence of a condition. As used herein, "treat," "treated," "treatment," or "treating" includes prophylaxis in some embodiments.

EXAMPLES

Example A: Effect of pH on the Formation of Degradants in Enalapril Formulations at 60 °C.

[00134] Formulations were prepared containing enalapril maleate according to Table A-1. The pH of each solution was recorded. Five milliliters of each formulation were transferred to each of four 3-dram glass screw-capped vials with Teflon inserts in the caps. The vials were placed into a 60 °C heating chamber then one vial removed and analyzed by HPLC at times of zero, ~97 and ~180 hours.

TABLE A-1

	Formulation (mM citrate)								
Component	A1 (50)	A2 (50)	A3 (50)	A4 (50)	A5 (50)	A6 (25)			
Enalapril maleate	1.0	1.0	1.0	1.0	1.0	1.0			
Mannitol	50	50	50		50	6.0			
Xylitol				50					
Citric acid, anhydrous	7.35	5.05	2.55	5.05	5.05	2.76			
Sodium citrate, dihydrate	3.45	7.0	10.8	7.0	7.0	3.15			
Sodium benzoate	1	1	1	1	1				
Methylparaben sodium					1.75	0.335			
Propylparaben sodium						0.095			
Potassium sorbate						1			
Sucralose	0.75	0.75	0.75	0.75	0.75	0.75			
Silicon dioxide						0.075			
Mixed berry flavor (powdered)	0.5	0.5	0.5	0.5	0.5	0.5			
Water	qs	qs	qs	qs	qs	qs			
рН	3.4	4.4	5.2	4.4	4.5	4.4			

qs = sufficient quantity

[00135] The results of the HPLC analysis for the two main degradants in the samples, enalapril diketopiperazine and enalaprilat, are provided in Table A-2.

TABLE A-2

Primary De	gradants P	resent in the			of enalapril n	naleate)
			Fo	rmulation		
Hours at 60 °C	A1	A2	A3	A4	A5	A 6
		Enalapri	l Diketopip	erazine		
0	0.04	0.03	0.03	0.03	0.03	0.03
97	3.10	0.88	0.33	0.86	0.70	0.53
180	6.21	1.77	0.75	1.73	1.43	1.07
		-	Enalaprilat			
0	0.09	0.15	0.29	0.14	0.16	0.12
97	5.20	16.9	47.4	16.1	20.3	15.6
180	9.94	34.8	113	33.5	42.2	31.7

Example B: Effect of Buffer Concentration on the Formation of Degradants in Enalapril Formulations at $60\,^{\circ}\text{C}$.

[00136] Formulations were prepared containing enalapril maleate according to Table B-1. The pH of each solution was measured and adjusted as needed to pH 3.3 with \sim 1N HCl or \sim 0.5N NaOH. Five milliliters of each formulation were transferred to each of six 3-dram glass screw-capped vials with Teflon inserts in the caps. The vials were placed into a 60 °C heating chamber then two vials were removed and analyzed by HPLC at times of zero, \sim 66 and \sim 139 hours.

TABLE B-1

Formulation (in mg/mL) of E	nalapril Maleate Formula	tions at Varying Citrate	Buffer Concentrations					
		Formulation						
Component	B1 (5mM citrate)	B2 (10mM citrate)	B3 (20mM citrate)					
Enalapril maleate	1.0	1.0	1.0					
Citric acid, anhydrous	0.82	1.65	3.29					
Sodium citrate, anhydrous	0.19	0.38	0.75					
Sodium benzoate	1.0	1.0	1.0					
Sucralose	0.7	0.7	0.7					

Mixed berry flavor (powdered)	0.5	0.5	0.5	
Water	qs	qs	qs	
рН	3.3	3.3	3.3	

qs = sufficient quantity

[00137] The results of the HPLC analysis for the two main degradants in the samples, enalapril diketopiperazine and enalaprilat, are provided in Table B-2.

TABLE B-2

Primary Degra	Primary Degradants Present in the Formulations (% w/w of enalapril maleate)									
	Formulation									
Hours at 60°C	B1 (5mM citrate)	B2 (10mM citrate)	B3 (20mM citrate)							
	Enalapril Di	ketopiperazine								
0	0.01	0.01	0.01							
66	1.57	1.63	1.79							
139	3.70	3.94	4.24							
	Enal	aprilat								
0	0.00	0.00	0.00							
66	2.98	2.88	3.19							
139	5.28	5.23	5.69							

Example C: Stability of Enalapril Maleate Formulations Containing Paraben Preservatives.

[00138] Powder formulations were prepared according to Table C-1. All components in each formulation except mannitol or xylitol were added to a 2.5 liter polypropylene screw capped bottle. The bottle was mixed by inversion in a Turbula[®] mixer for 5 minutes. The mannitol or xylitol was then added and the components mixed for 5 minutes, then the other half of the mannitol or xylitol was added and a final mix of 5 minutes was completed.

One liter of solution formulation was prepared for each formulation by adding an appropriate amount of each powdered formulation to a 1 liter volumetric flask and adding about 500mL water. The powder was dissolved with mixing then the contents of the flask were brought to 1 liter with additional water. The amount of powder to add was determined such that the final concentration of enalapril maleate was 1.0 mg/mL. Fifty milliliter aliquots of each formulation were placed into HDPE bottles. The bottles were screw-capped and placed into storage at 5 °C \pm 3°C, at room temperature (19-23 °C) and at 40°C \pm 2 °C. At various times, bottles were removed from the storage condition and analyzed.

TABLE C-1

TABLE C-1									
Enalapril	Maleate	Formulation	ons						
er Formul	ation (gra	ms)							
C 1	C2	C3	C4	C5					
12.3	12.3	8.86	2.16	2.16					
74.4	74.4	394.0							
			96.6	93.7					
28.6	35.6	28.4	5.40	5.40					
24.5	14.7	7.73	4.10	4.10					
4.17	4.17	8.86	2.16	2.16					
1.10	1.10								
12.3	12.3								
		8.86	2.16	2.16					
				1.62					
0.859	0.859	4.43		1.08					
9.20	9.20	6.64	1.62	1.62					
6.13	6.13	4.43	1.08	1.08					
173.5	170.7	472.3	115.2	115.2					
Formulati	ions (mg/r	nL)							
1.00	1.00	1.00	1.00	1.00					
6.07	6.07	44.5							
			44.7	43.4					
2.33	2.90	3.21	2.50	2.50					
2.00	1.20	0.87	1.90	1.90					
0.34	0.34	1.00	1.00	1.00					
0.09	0.09	1.00							
1.00	1.00								
		1.00	1.00	1.00					
				0.75					
0.07	0.07	0.50		0.50					
0.75	0.75	0.75	0.75	0.75					
0.50	0.50	0.50	0.50	0.50					
	Enalapril er Formul C1 12.3 74.4 28.6 24.5 4.17 1.10 12.3 0.859 9.20 6.13 173.5 Formulati 1.00 6.07 2.33 2.00 0.34 0.09 1.00 0.07 0.75	C1 C2 12.3 12.3 74.4 74.4 28.6 35.6 24.5 14.7 4.17 4.17 1.10 1.10 12.3 12.3 0.859 0.859 9.20 9.20 6.13 6.13 173.5 170.7 Formulations (mg/normulations) The state of the state	Tenalapril Maleate Formulation (grams) C1	Enalapril Maleate Formulations er Formulation (grams) C1					

[00139] The results of the HPLC analysis for the diketopiperazine and enalaprilat degradants in the samples are provided in Table C-2.

TABLE C-2

Degrada	nt Conten	nt After Sto	orage (%	w/w of e	nalapril n	naleate)		
	Sto	orage		F	'ormulati	on		
	°C	Weeks	C1	C 2	C 3	C4	C5	
	Liquid Formulations							
Diketopiperazine	5	0	0.03	0.04	0.04	0.02	0.02	
		4	0.02	0.03	0.03	0.03	0.02	
		8	0.03	0.04	0.04			
	19-23	0	0.03	0.04	0.04	0.02	0.02	
		4	0.05	0.09	0.11	0.05	0.04	
		8	0.08	0.17	0.19			
	40	0	0.03	0.04	0.04	0.02	0.02	
		4	0.35	0.91	1.10	0.31	0.21	
		8	0.65	1.80	2.05			
Enalaprilat	5	0	0.18	0.14	0.12	0.13	0.19	
		4	0.18	0.15	0.12	0.43	0.53	
		8	0.55	0.38	0.34			
	19-23	0	0.18	0.14	0.12	0.13	0.19	
		4	1.35	0.83	0.80	1.75	2.29	
		8	3.34	2.06	1.98			
	40	0	0.18	0.14	0.12	0.13	0.19	
		4	10.49	6.08	6.11	12.30	16.14	
		8	24.37	14.12	14.22			

Example D: Stability of Enalapril Maleate Formulations Containing Benzoate Preservative.

[00140] Powder formulations were prepared according to Table D-1. All components in each formulation except enalapril maleate and mannitol or xylitol were blended with a mortar and pestle. The enalapril maleate was then triturated with the blend. The xylitol or mannitol was then triturated into the blend using a geometric dilution technique.

One liter of solution formulation was prepared for each formulation by adding an appropriate amount of each powdered formulation to a 1 liter volumetric flask and adding about 500mL water. The powder was dissolved with mixing then the contents of the flask were brought to 1 liter with additional water. The

amount of powder to add was determined such that the final concentration of enalapril maleate was 1.0 mg/mL. Fifty milliliter aliquots of each formulation were placed into HDPE bottles. The bottles were screw-capped and placed into storage at $5^{\circ}C \pm 3^{\circ}C$, at room temperature (19-23°C) and at $40^{\circ}C \pm 2^{\circ}C$. At various times, bottles were removed from the storage condition and analyzed.

TABLE D-1

Composition of E	nalapril M	aleate Fo	rmulations	}		
Powder	Formulatio	on (grams)			
Component	D1	D2	D3	D4	D 5	D 6
Enalapril maleate	3.63	3.63	3.63	3.63	8.86	2.16
Xylitol	537.2	176.1		537.2		
Mannitol			319.4		401.2	98.9
Citric acid, anhydrous	11.9	11.9	11.9	10.4	26.6	6.48
Sodium citrate, anhydrous	2.72	2.72	2.72	4.86	11.3	2.76
Sodium benzoate	3.63	3.63	3.63	3.63	8.86	2.16
Rebalance X60 (sucralose and maltodextrin)		10.9				
Sucralose					6.64	1.62
Saccharin sodium			7.26			
Colloidal silicon dioxide					4.43	
Mixed berry flavor	1.82	1.82	1.82	1.82	4.43	1.08
Total solids	561	211	350.	561	472.3	115.2
Liquid Fo	rmulation	s (mg/mL	ـــ)			
Enalapril maleate	1.00	1.00	1.00	1.00	1.00	1.00
Xylitol	148.0	48.5		148.0		
Mannitol			88.0		45.3	45.8
Citric acid, anhydrous	3.29	3.29	3.29	2.85	3.00	3.00
Sodium citrate, anhydrous	0.75	0.75	0.75	1.34	1.28	1.28
Sodium benzoate	1.00	1.00	1.00	1.00	1.00	1.00
Rebalance X60 (sucralose and maltodextrin)		3.00				
Sucralose					0.75	0.75
Saccharin sodium			2.00			
Colloidal silicon dioxide					0.50	
Mixed berry flavor	0.50	0.50	0.50	0.50	0.50	0.50
pH (measured)	3.2	3.2	3.4	3.7	3.6	3.6

 $\label{eq:condition} \begin{tabular}{l} [00141]{\cite{100141}} The results of the HPLC analysis for the diketopiperazine and enalaprilat degradants in the samples are provided in Table D-2. \end{tabular}$

TABLE D-2

Deg		ontent Aft	er Storage	e (% w/w			ite)		
	Sto	orage		Formulation					
	°C	Weeks	D1	D2	D3	D4	D5	D 6	
		Li	quid For	mulations	S				
Diketopiperazine	5	0	0.04	0.02	0.03	0.03	0.04	0.04	
		4	0.07	0.03	0.05	0.05	0.03		
		8	0.11	0.06	0.08	0.08	0.05		
		12	0.08	0.04	0.06	0.06			
		26	0.11	0.07	0.09	0.07			
	19-23	0	0.04	0.02	0.03	0.03	0.04	0.04	
		4	0.27	0.21	0.24	0.16	0.12	0.12	
		8	0.50	0.41	0.47	0.30	0.21	0.22	
		12	0.62	0.52	0.58	0.35			
		26	1.39	1.20	1.33	0.76			
	40	0	0.04	0.02	0.03	0.03	0.04	0.04	
		4	2.87	2.32	2.73	1.57	1.21	1.13	
		8	5.13	4.42	5.44	2.97	2.23	2.16	
		12	6.86	5.90	6.90	3.91			
		26	13.63	12.18	13.56	7.74			
Enalaprilat	5	0	0.03	0.02	0.03	0.03	0.13	0.14	
		4	0.15	0.12	0.06	0.17	0.13		
		8	0.22	0.19	0.22	0.27	0.34		
		12	0.20	0.17	0.19	0.22			
		8	0.32	0.30	0.30	0.39			
	19-23	0	0.03	0.02	0.03	0.03	0.13	0.14	
		4	0.69	0.66	0.69	0.86	0.74	0.76	
		8	1.38	1.33	1.41	1.68	1.83	1.82	
		12	1.71	1.68	1.73	2.15			
		26	3.63	3.61	3.59	4.55			
	40	0	0.03	0.02	0.03	0.03	0.13	0.14	

4	4.76	4.42	4.76	6.45	5.55	5.24
8	8.95	8.64	9.61	12.94	12.73	12.18
12	11.01	10.64	11.41	16.16		
26	17.18	17.11	18.30	27.36		

Example E: Stability of Solution Formulations of Enalapril Maleate.

[00142] Solution formulations were prepared according to Table E-1. Thirty milliliter aliquots of each formulation were placed into HDPE bottles. The bottles were screw-capped and placed into storage at 5 $^{\circ}$ C \pm 3 $^{\circ}$ C, at room temperature (19-23 $^{\circ}$ C) and at 40 $^{\circ}$ C \pm 2 $^{\circ}$ C. At various times, bottles were removed from the storage condition and analyzed.

Component	E1	E2	E3	E4	E5	E6
•						
Enalapril maleate	1.00	1.00	1.00	1.00	1.00	1.00
Xylitol	150	200		150		
Citric acid anhydrous	3.29	3.29	3.29	3.29	1.65	0.82
Sodium citrate anhydrous	0.75	0.75	0.75	0.75	0.38	0.19
Sodium benzoate	1.00	1.00	1.00	1.00	1.00	1.00
Sucralose			0.70		0.70	0.70
Mixed berry flavor	0.50		0.50	0.50	0.50	0.50
Water	qs	qs	qs	qs	qs	qs
pH (measured)	3.3	3.3	3.3	3.4	3.3	3.3

qs = sufficient quantity

[00143] The results of the HPLC analysis for the diketopiperazine and enalaprilat degradants in the samples are provided in Table E-2.

TABLE E-2

Degradant Content After Storage (% w/w of enalapril maleate)								
	Sto	orage		Formulation				
	°C	Weeks	E1	E2	E3	E4	E5	E6
Diketopiperazine	5	0	0.01	0.01	0.01	0.01	0.01	0.01
		4	0.04	0.04	0.05	0.04	0.03	0.03
		8	0.04	0.04	0.04	0.04	0.03	0.03
		12	0.05	0.05	0.04	0.05	0.04	0.04

		26	0.07	0.06	0.05	0.06	0.05	0.05
		52					0.15	0.14
		62	0.18	0.18	0.16	0.14		
	19-23	0	0.01	0.01	0.01	0.01	0.01	0.01
		4	0.22	0.23	0.21	0.20	0.16	0.15
		8	0.35	0.35	0.32	0.31	0.29	0.28
		12	0.58	0.59	0.53	0.51	0.48	0.45
		26	1.10	1.10	1.00	0.95	0.97	0.92
		52					2.30	2.15
		62	3.02	3.04	2.75	2.64		
	40	0	0.01	0.01	0.01	0.01	0.01	0.01
		4	2.65	2.71	2.60	2.42	1.76	1.68
		8	4.02	3.99	3.99	3.62	3.37	3.13
		12	6.72	6.42	6.47	6.00	5.53	5.29
Enalaprilat	5	0	0.00	0.00	0.01	0.02	0.00	0.00
		4	0.07	0.09	0.10	0.11	0.07	0.08
		8	0.12	0.14	0.10	0.13	0.09	0.08
		12	0.16	0.15	0.15	0.17	0.14	0.11
		26	0.31	0.30	0.29	0.31	0.27	0.24
		52					0.54	0.46
		62	0.75	0.75	0.74	0.71		
	19-23	0	0.00	0.00	0.01	0.02	0.00	0.00
		4	0.65	0.65	0.68	0.70	0.50	0.46
		8	1.17	1.19	1.20	1.23	1.03	0.95
		12	1.67	1.69	1.72	1.80	1.30	1.21
		26	3.36	3.38	3.42	3.57	3.07	2.90
		52					6.32	5.88
		62	7.99	8.02	8.04	8.57		
	40	0	0.00	0.00	0.01	0.02	0.00	0.00
		4	4.85	4.93	5.19	5.42	3.33	3.25
		8	8.08	8.06	8.56	9.01	6.65	6.35
		12	10.70	10.48	11.01	11.97	8.14	7.96

Example F: Effect of pH on the Formation of Degradants in Enalapril Formulations at 5 °C and 19-23 °C.

[00144] The content of enalapril diketopiperazine and enalaprilat that were formed after 8 weeks of storage for formulations C1-C3 and D1-D5 are plotted in Figure 1 (5°C \pm 3 °C) and Figure 2 (19-23 °C storage). These formulations all contained 20mM total citrate buffer content, but with varying pH. The general effects of formulation pH on the formation of the two main enalapril degradants are shown.

Example G: Antimicrobial Effectiveness Testing of Enalapril Maleate Formulations at pH 3.3

[00145] Enalapril formulations were prepared containing differing amounts of the antimicrobial preservative, sodium benzoate. The formulations were then tested for antimicrobial effectiveness (AET) according to the procedures in the 2014 United States Pharmacopeia 37, Chapter <51> for category 3 products. The formulation of the formulations and the AET results are included in Table G-1.

TABLE G-1

Formulation and AET Testing Results						
	Formulation					
	G1	G2	G3	G4	G5	
	Formulation	on (mg/mL)	l			
Enalapril maleate	1.00	1.00	1.00	1.00	1.00	
Xylitol	150	150	150	150		
Sucralose					0.70	
Citric acid, anhydrous	1.64	1.64	1.64	1.64	1.80	
Sodium citrate, anhydrous	0.322	0.322	0.322	0.322		
Sodium citrate, dihydrate					0.165	
Sodium benzoate	1.00	0.80	0.60	0.40	1.0	
Mixed berry flavor	0.50	0.50	0.50	0.50	0.50	
Water	q.s.	q.s.	q.s.	q.s.	q.s.	
HCl/NaOH	as need to achieve pH					
Measured pH	3.3	3.3	3.3	3.3	3.3	
AET Results						
USP <51>	Pass	Pass	Pass	Pass	Pass	

qs = sufficient quantity

Example H: Clinical Trial: Bioavailability Study of 10mg Enalapril Maleate Oral Solution vs. 10mg Epaned[®] Powder for Oral Solution (Reconstituted) Under Fasted Conditions

[00146] The objective of this open-label, randomized, two-period, two-treatment, two-way crossover study was to compare the oral bioavailability of a test formulation of 10mL of enalapril maleate oral solution, 1 mg/mL (formulation E-5), to an equivalent oral dose of the commercially available comparator product, Epaned[®] (enalapril maleate) Powder for Oral Solution, 1 mg/mL, when administered under fasted conditions in healthy adults.

[00147] Study design: Thirty-two healthy adult subjects received a single 10mL dose of enalapril maleate oral solution, 1 mg/mL, formulation E-5 (Treatment A), in one period and a separate single dose of Epaned Powder for Oral Solution (reconstituted with the supplied Ora-Sweet SF), 1 mg/mL (Treatment B) in another period. Each treatment was administered after an overnight fast of at least 10 hours, followed by a 4-hour fast postdose. Each treatment was administered via a 10 mL oral dosing syringe and followed with 240 mL of room temperature tap water. Each drug administration was separated by a washout period of at least 7 days.

[00148] During each study period, meals were the same and scheduled at approximately the same times relative to dose. In addition, during each period, blood samples were obtained prior to and following each dose at selected times through 72 hours postdose. Pharmacokinetic samples were analyzed for enalapril and its metabolite enalaprilat using a validated analytical method; appropriate pharmacokinetic parameters were calculated for each formulation using non-compartmental methods. Blood was also drawn and urine collected for clinical laboratory testing at screening and at the end of the study. [00149] Statistical Methods: The concentration-time data were analyzed using noncompartmental methods in PhoenixTM WinNonlin® (Version 6.3, Pharsight Corporation). Concentration-time data that were below the limit of quantitation (BLQ) were treated as zero in the data summarization and descriptive statistics. In the pharmacokinetic analysis, BLQ concentrations were treated as zero from time-zero up to the time at which the first quantifiable concentration was observed; embedded and/or terminal BLQ concentrations were treated as "missing". Actual sample times were used for all pharmacokinetic and statistical analyses. Analysis of variance (ANOVA) and the Schuirmann's two one-sided t-test procedures at the 5% significance level were applied to the log-transformed pharmacokinetic exposure parameters, C_{max}, AUC_{last}, and AUC_{inf}. The 90% confidence interval for the ratio of the geometric means (Test/Reference) was calculated. Bioequivalence was declared if the lower and upper confidence intervals (CIs) of the log-transformed parameters were within 80% to 125% for enalapril and enalaprilat. [00150] Results: A total of 32 subjects participated in the study and 29 of these subjects completed both study periods. Based on the geometric mean ratios of enalapril and enalaprilat AUCs (AUClast and AUC_{inf}), the bioavailability of the enalapril maleate oral solution (formulation E-5) relative to the Epaned

Powder for Oral Solution (reconstituted) was approximately 105% to 110%. The geometric mean ratios of enalapril and enalaprilat C_{max} were approximately 115% and 109%, respectively. The 90% CI for comparing the maximum exposure to enalapril and enalaprilat, based on $\ln (C_{max})$, was within the accepted 80% to 125% limits. The 90% CIs for comparing total systemic exposure to enalapril and enalaprilat, based on $\ln (AUC_{last})$ and $\ln (AUC_{inf})$, was within the accepted 80% to 125% limits. Therefore, the test formulation of enalapril maleate oral solution, 1 mg/mL, is bioequivalent to the reference product, Epaned Powder for Oral Solution (reconstituted), 1 mg/mL, under fasted conditions.

[00151] While preferred embodiments of the present invention have been shown and described herein, it will be obvious to those skilled in the art that such embodiments are provided by way of example only. Numerous variations, changes, and substitutions will now occur to those skilled in the art without departing from the invention. It should be understood that various alternatives to the embodiments of the invention described herein may be employed in practicing the invention. It is intended that the following claims define the scope of the invention and that methods and structures within the scope of these claims and their equivalents be covered thereby.

UNITED STATES DEPARTMENT OF COMMERCE **United States Patent and Trademark Office** Address: COMMISSIONER FOR PATENTS

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.		
16/177,159	10/31/2018	Gerold L. Mosher	43060-707.304	3572		
	7590 12/14/201 JSINI GOODRICH &		EXAM	IINER		
WILSON, SONSINI, GOODRICH & ROSATI 650 PAGE MILL ROAD			SPRINGER, S'	SPRINGER, STEPHANIE K		
PALO ALTO,	CA 94304-1050		ART UNIT	PAPER NUMBER		
			1629			
			NOTIFICATION DATE	DELIVERY MODE		
			12/14/2018	ELECTRONIC		

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patentdocket@wsgr.com

	Decision Granting Request for Prioritized Examination (Track I)		Applica 16/177,	ation No. 159	Applicant(s) Mosher et al.			
			Examir BRIAN	ner W BROWN	Art Unit OPET	AIA (First Inventor to File) Status Yes		
1.	THE REC	UEST FILED 31 October 2018 IS	<u>GRANTI</u>	<u>ED</u> .				
	The above A. B.	ove-identified application has met the requirements for prioritized examination for an original nonprovisional application (Track I). for an application undergoing continued examination (RCE).						
2.	The above-identified application will undergo prioritized examination. The application will be accorded special status throughout its entire course of prosecution until one of the following occurs:							
	Α.	filing a petition for extension of	f time to	extend the time pe	eriod for filing a rep	ply;		
	В.		filing an amendment to amend the application to contain more than four independent claims, more than thirty total claims , or a multiple dependent claim;					
	C.	filing a request for continued e	<u>xaminat</u>	ion ;				
	D.	filing a notice of appeal;						
	E.	filing a request for suspension of	action;					
	F.	mailing of a notice of allowance;						
	G.	mailing of a final Office action;						
	H.	completion of examination as de	efined in	37 CFR 41.102; or				
	l.	abandonment of the application.						
	-	e inquiries with regard to this decis absence, calls may be directed to				(571)272-5338.		
		W BROWN/ Examiner, OPET						

U.S. Patent and Trademark Office PTO-2298 (Rev. 02-2012)

UNITED STATES DEPARTMENT OF COMMERCE **United States Patent and Trademark Office** Address: COMMISSIONER FOR PATENTS

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	APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
	16/177,159	10/31/2018	Gerold L. Mosher	43060-707.304	3572	
		7590 01/25/201 ISINI GOODRICH &		EXAM	IINER	
	WILSON, SONSINI, GOODRICH & ROSATI 650 PAGE MILL ROAD PALO ALTO, CA 94304-1050			SPRINGER, STEPHANIE K		
	PALO ALTO,	CA 94304-1030		ART UNIT	PAPER NUMBER	
				1629		
				NOTIFICATION DATE	DELIVERY MODE	
				01/25/2019	ELECTRONIC	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

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Case 1:20-cv-01256-LPS Document 74-1								
	Application No. 16/177,159	Applicant(s Mosher et al						
Office Action Summary	Examiner	Art Unit	AIA Status					
	STEPHANIE K SPRINGER	1629	Yes					
The MAILING DATE of this communication applied for Poply	ears on the cover sheet with the	corresponden	ce address					
Period for Reply	VIC CET TO EVDIDE 2 MONTH	JO EDOM TU	E MAILING					
DATE OF THIS COMMUNICATION.	A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE <u>3</u> MONTHS FROM THE MAILING DATE OF THIS COMMUNICATION.							
 Extensions of time may be available under the provisions of 37 CFR 1.13 date of this communication. 	36(a). In no event, however, may a reply be til	mely filed after SIX	(6) MONTHS from the mailing					
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).								
Status								
1) Responsive to communication(s) filed on 31 Oc								
A declaration(s)/affidavit(s) under 37 CFR 1.1								
2a) This action is FINAL . 2b) ✓ 3) An election was made by the applicant in response	This action is non-final.	cat farth duri	ng the interview on					
the restriction requirement and election	have been incorporated into this	s action.	·					
4) Since this application is in condition for allowan closed in accordance with the practice under E								
Disposition of Claims*								
5) Claim(s) 1-30 is/are pending in the application								
5a) Of the above claim(s) is/are withdray	vn from consideration.							
6) Claim(s) is/are allowed.								
7) Claim(s) 1-30 is/are rejected.								
8) Claim(s) is/are objected to.								
9) Claim(s) are subject to restriction and * If any claims have been determined allowable, you may be eli		accution High	two program at a					
participating intellectual property office for the corresponding ap	=	_	iway piogram at a					
http://www.uspto.gov/patents/init_events/pph/index.jsp or send								
Application Papers								
10) The specification is objected to by the Examine	r.							
11) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.								
Applicant may not request that any objection to the di	Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).							
Replacement drawing sheet(s) including the correction	on is required if the drawing(s) is object	ected to. See 3	7 CFR 1.121(d).					
Priority under 35 U.S.C. § 119								
12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).								
Certified copies: a) ☐ All b) ☐ Some** c) ☐ None of th	0'							
1. Certified copies of the priority docume								
2. Certified copies of the priority docume		ication No						
	• •							
3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).								
** See the attached detailed Office action for a list of the certified copies not received.								
Attachment(s)								
1) Volice of References Cited (PTO-892)	3) Interview Summar	• • • • •						
2) Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/S	B/08b) Paper No(s)/Mail 4) Other:	Date						

Paper No(s)/Mail Date _ U.S. Patent and Trademark Office

PTOL-326 (Rev. 11-13)

Application/Control Number: 16/177,159 Page 2

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DETAILED ACTION

Notice of Pre-AIA or AIA Status

The present application, filed on or after March 16, 2013, is being examined under the first

inventor to file provisions of the AIA.

Status

This application is a continuation of application 16/003,994, now US Patent 10,154,987,

filed on June 8, 2018, which is a continuation of application 15/802,341, now US Patent

10,039,745, filed on November 2, 2017, which is a continuation of application 15/613,622, now

US Patent 9,808,442, filed on June 5, 2017, which is a continuation of application 15/081,603,

now US Patent 9,669,008, filed on March 25, 2016 and claims priority to US provisional

application 62/310,198, filed on March 18, 2016.

This application was granted Track One status on December 14, 2018.

Claims 1-30 are pending and are the subject of the Office Action below.

Claim Rejections - 35 USC § 112, Second Paragraph

The following is a quotation of 35 U.S.C. 112(b):

(B) CONCLUSION.—The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the inventor or a joint inventor

regards as the invention.

The following is a quotation of 35 U.S.C. 112 (pre-AIA), second paragraph:

The specification shall conclude with one or more claims particularly pointing out and distinctly

claiming the subject matter which the applicant regards as his invention.

Claims 1-12, 14-27, and 29-30 are rejected under 35 U.S.C. 112(b) or 35 U.S.C. 112 (pre-

AIA), second paragraph, as being indefinite for failing to particularly point out and distinctly claim

the subject matter which the inventor or a joint inventor, or for pre-AIA the applicant regards as

the invention.

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Claims 1, 17, 18, and all claims dependent therefrom, are indefinite for failing to clearly

and precisely set forth the nature of the preservative meeting the claimed requirements, and

distinguishing the components of the buffer from the preservative. Claims 1, 17, and 18 are

generally drawn to a stable oral liquid formulation, comprising or consisting essentially of:

(i) about 0.6 to about 1.2 mg/ml enalapril or a pharmaceutically acceptable salt or solvate

thereof:

(ii) a buffer comprising citric acid and sodium citrate;

(iii) a preservative; and

(iv) water.

The Examiner notes that the claimed compositions are required to comprise citric acid and

sodium citrate as a buffer. Regarding the preservative, dependent claims 12 and 27 recite

particular preservatives meeting the limitations, including citric acid. It is unclear if a single amount

of citric acid in the composition would meet the requirements of both the preservative and the

buffer, as the buffer itself comprises citric acid. Absent this information, the claim clearly fails to

set forth the metes and bounds of the subject matter for which Applicant is presently seeking

protection.

The Examiner notes that claim 14 further limits claim 12, reciting "The stable oral liquid

formulation of claim 12, wherein the sodium benzoate is about 0.2 to about 1.2 mg/ml"; however,

there is no express requirement that the preservative is specifically sodium benzoate in a

concentration of about 0.2 to about 1.2 mg/ml. The Examiner suggests amending claim 14 so as

to clearly convey that the preservative is sodium benzoate in the recited amount, i.e., "The stable

oral liquid formulation of claim 12, wherein the preservative is sodium benzoate, wherein the

sodium benzoate is about 0.2 to about 1.2 mg/ml".

For these reasons, the metes and bounds of the present claims cannot be determined and

one having ordinary skill in the art would not necessarily be reasonably apprised of the scope of

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the claims. In light of such, claims 1-12, 14-27, and 29-30 fail to meet the tenor and express

requirements of 35 U.S.C. 112, second paragraph, and are thus properly rejected.

Claim Rejections - 35 USC § 102/103

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the

basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(a)(1) the claimed invention was patented, described in a printed publication, or in public use, on sale or otherwise available to the public before the effective filing date of the claimed

invention.

The following is a quotation of 35 U.S.C. 103 which forms the basis for all obviousness

rejections set forth in this Office action:

A patent for a claimed invention may not be obtained, notwithstanding that the claimed invention is not identically disclosed as set forth in section 102, if the differences between the claimed

invention and the prior art are such that the claimed invention as a whole would have been obvious before the effective filing date of the claimed invention to a person having ordinary skill in the art to which the claimed invention pertains. Patentability shall not be negated by the

manner in which the invention was made.

Claims 1, 2, 4-13, 14-19, 21-27, 29, and 30 are rejected under 35 U.S.C. 102(a)(1) as

anticipated by or, in the alternative, under 35 U.S.C. 103 as obvious over Nahata et al., "Stability

of elanapril maleate in three extemporaneously prepared oral liquids", Am. J. Health-Syst. Pharm.,

1998, vol. 55, pages 1155-1157 (cited in PTO-892).

Claims 1, 2, 4-13, 14-19, 21-27, 29, and 30 are generally drawn to compositions

comprising:

(i) about 0.6 to about 1.2 mg/ml enalapril or a pharmaceutically acceptable salt or solvate

thereof;

(ii) a buffer comprising citric acid and sodium citrate;

(iii) a preservative; and

(iv) water.

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Nahata teaches formulations comprising

(i) 1 mg/ml enalapril;

(ii) a buffer comprising citric acid and sodium citrate; and

(iv) water.

In particular, Nahata teaches preparation of an aqueous solution comprising 1 mg/ml

enalapril in a citrate buffer solution (page 1156, column 1, paragraph 2). The citrate buffer solution

is prepared accordingly: "Prepare the isotonic citrate buffer solution (pH 5.0) by dissolving 0.353

g of Citric Acid Monohydrate Granular, USP, 1.01 g of Sodium Citrate Dihydrate Granular, USP,

and 0.54 g of sodium chloride in 100 mL of distilled water" (page 1157, Appendix and footnote a).

As noted in the rejection *supra*, claim 12 recites the use of citric acid as a preservative.

The ordinarily skilled artisan would thus recognize the buffer solution taught by Nahata to fulfill

the requirements of both the buffer and the preservative. In other words, citric acid serves as both

a component of the buffer system, as well as a preservative.

Regardless, Nahata also teaches the use of another component meeting the requirements

of a preservative. The ordinarily skilled artisan would recognize the sodium chloride in the citrate

buffer solution taught by Nahata to meet the instant requirements of a preservative; see Parish,

"How do salt and sugar prevent microbial spoilage?", Scientific American, 2006 (cited in PTO-

892; cited to show a fact). Although the instant claims and specification do not explicitly recite

sodium chloride as a preservative, regarding the preservative, the specification recites,

"Preservatives include anti-microbials, anti-oxidants, and agents that enhance sterility"

(paragraph 44). The specification further recites examples of preservatives; however, the

Examiner notes that these are merely exemplary, and non-limiting. Accordingly, the formulation

taught by Nahata anticipates the formulation of claims 1, 5, 12, 15, and 17.

Additionally, the claimed composition would have been prima facie obvious to one having

ordinary skill in the art in view of the teachings of Nahata. In addition to the formulation comprising

(i) 1 mg/ml enalapril;

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(ii) a buffer comprising citric acid and sodium citrate;

(iii) a preservative, such as sodium chloride or citric acid; and

(iv) water,

Nahata also teaches an aqueous solution comprising 1 mg/ml enalapril in a mixture of

Ora-Sweet and Ora-Plus (page 1156, column 1, paragraph 2). Ora-Sweet and Ora-Plus are

commercially available from Paddock Laboratories (page 1157, footnotes d and e). Ora-Sweet is

an aqueous solution comprising sucrose, glycerin, sorbitol, flavoring, citric acid, sodium

phosphate, methylparaben, and potassium sorbate; Ora-Plus is an aqueous solution comprising

microcrystalline cellulose, carboxymethylcellulose sodium, xanthan gum, flavoring, citric acid,

sodium phosphate, simethicone, methylparaben, and potassium sorbate; both Ora-Sweet and

Ora-Plus have a pH of 4.2. Thus, the formulation taught by Nahata comprising 1 mg/ml enalapril

in a mixture of Ora-Sweet and Ora-Plus comprises

(i) 1 mg/ml enalapril;

(ii) citric acid;

(iii) a preservative, such as citric acid, methylparaben, potassium sorbate; and

(iv) water.

The formulations comprising Ora-Sweet and Ora-Plus also comprise sweeteners and

flavoring agents, while not containing mannitol or silicon dioxide, thereby meeting the

requirements of claims 2, 4-6, 19, and 21-23.

Accordingly, one having ordinary skill in the art at the time of the invention would have had

a reasonable expectation of success in arriving at the instantly claimed composition in view of the

teachings of Nahata. Nahata teaches aqueous compositions comprising

(i) 1 mg/ml enalapril;

(ii) citric acid and sodium citrate;

(iii) a preservative, such as citric acid, methylparaben, potassium sorbate; and

(iv) water.

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It would be within the purview of the ordinarily skilled artisan to arrive at a formulation having the

desired properties in view of the teachings of Nahata. For example, Nahata teaches the

superiority of the citrate buffer formulation and the Ora-Sweet/Ora-Plus formulations; the

ordinarily skilled artisan would optimize the combination of the diluents in order to achieve a

formulation having improved properties. In other words, one would recognize the flavorings,

sweeteners, and preservatives of the Ora-Sweet/Ora-Plus formulation to be beneficial in

combination with the buffer comprising both citric acid and sodium citrate. Absent evidence of

criticality in the selection of a particular preservative or particular amounts of each of the

components, optimizing the formulations taught by Nahata would fall within routine optimization

for the ordinarily skilled artisan. The Examiner notes that instant claims 1, 17, and 18 broadly

encompass compositions comprising a wide range of amounts of components, leaving ample

room for optimization of the formulation taught by Nahata.

Regarding the limitations directed towards the pH of the formulation, as recited in claims

9-11 and 24-26, Nahata teaches a citrate buffer having a pH of 5, and an Ora-Sweet/Ora-Plus

mixture having a pH of 4.2. The composition of Nahata fulfills the instant requirement of a pH of

"about 3.5" as a composition having a pH of 4.2 would reasonably be considered to be "about

3.5" absent an explicit definition provided by Applicant as to the amount of variation tolerated by

the term "about" as used in the instant claims. The use of the word "about" in a claim is appropriate

where the claim contains a range of components with no absolute boundaries, and is only limited

to eh extend that prior art exists which would limit broad interpretation of the claim. See *Amgen*,

Inc. v. Chugai Pharmaceutical Co., 927 F.2d 1200, 1217-1218, 18 USPQ2d 1016 (Fed. Cir. 1991).

Although Nahata does not explicitly teach that the formulation is stable at about 5 ± 3 °C

for at least 12 months, or that the formulation has about 95% w/w or greater of the initial enalapril

amount and about 5% w/w or less total impurity or related substances at the end of the given

storage period, the composition taught by Nahata is identical to that instantly claimed. Any

properties exhibited by or benefits provided the composition are inherent and are not given

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patentable weight over the prior art. A chemical composition and its properties are inseparable.

Therefore, if the prior art teaches the identical chemical structure, the properties Applicant

discloses and/or claims are necessarily present. In re Spada, 911 F.2d 705, 709, 15 USPQ 1655,

1658 (Fed. Cir. 1990). See MPEP 2112.01.

In re Best (195 USPQ 430) and In re Fitzgerald (205 USPQ 594) discuss the support of

rejections wherein the prior art discloses subject matter, which there is reason to believe

necessarily includes functions that are newly cited, or is identical to a product instantly claimed.

In such a situation, the burden is shifted to the Applicants to "prove that the subject matter to be

shown in the prior art does not possess the characteristic relied on" (205 USPQ 592, second

column, first full paragraph). There is no requirement that a person having ordinary skill in the art

would have recognized this necessarily present disclosure at the time of the invention, but only

that the subject matter is, in fact, necessarily present in the prior art reference. Schering Corp. v.

Geneva Pharm. Inc., 339 F.3d 1373, 1377, 67 USPQ2d 1664, 1668 (Fed. Cir. 2003); see also

Toro Co. v. Deere & Co., 355 F.3d 1313, 1320, 69 USPQ2d 1584, 1590 (Fed. Cir. 2004) ("[T]he

fact that a characteristic is a necessary feature or result of a prior-art embodiment (that is itself

sufficiently described and enabled) is enough for inherent anticipation, even if that fact was

unknown at the time of the prior invention"). In the instant case, the prior art formulation contains

the same active ingredient and excipients as that presently claimed in the same physical

formulation and in the same amounts, and, therefore, the resultant property of stability at about 5

± 3 °C for at least 12 months, or that the formulation has about 95% w/w or greater of the initial

enalapril amount and about 5% w/w or less total impurity or related substances at the end of the

given storage period, must necessarily be present in the prior art composition, absent factual

evidence to the contrary. The burden is now shifted to Applicant to prove that, in fact, the prior art

formulation does not possess these same claimed characteristics.

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Double Patenting

The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or

improper timewise extension of the "right to exclude" granted by a patent and to prevent possible

harassment by multiple assignees. A nonstatutory double patenting rejection is appropriate where

the conflicting claims are not identical, but at least one examined application claim is not

patentably distinct from the reference claim(s) because the examined application claim is either

anticipated by, or would have been obvious over, the reference claim(s). See, e.g., In re Berg,

140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); In re Goodman, 11 F.3d 1046, 29 USPQ2d

2010 (Fed. Cir. 1993); In re Longi, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); In re Van

Ornum, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); In re Vogel, 422 F.2d 438, 164 USPQ 619

(CCPA 1970); In re Thorington, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be

used to overcome an actual or provisional rejection based on nonstatutory double patenting

provided the reference application or patent either is shown to be commonly owned with the

examined application, or claims an invention made as a result of activities undertaken within the

scope of a joint research agreement. See MPEP § 717.02 for applications subject to examination

under the first inventor to file provisions of the AIA as explained in MPEP § 2159. See MPEP §§

706.02(I)(1) - 706.02(I)(3) for applications not subject to examination under the first inventor to file

provisions of the AIA. A terminal disclaimer must be signed in compliance with 37 CFR 1.321(b).

The USPTO Internet website contains terminal disclaimer forms which may be used.

Please visit www.uspto.gov/patent/patents-forms. The filing date of the application in which the

form is filed determines what form (e.g., PTO/SB/25, PTO/SB/26, PTO/AIA/25, or PTO/AIA/26)

should be used. A web-based eTerminal Disclaimer may be filled out completely online using

web-screens. An eTerminal Disclaimer that meets all requirements is auto-processed and

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approved immediately upon submission. For more information about eTerminal Disclaimers, refer

to www.uspto.gov/patents/process/file/efs/guidance/eTD-info-I.jsp.

Claims 1-30 are rejected on the ground of nonstatutory double patenting as being

unpatentable over claims 1-20 of U.S. Patent No. 9,669,008, herein referred to as '008. Although

the claims at issue are not identical, they are not patentably distinct from each other.

Claims 1-20 are of '008 are generally drawn towards stable oral liquid formulations

comprising enalapril, a buffer comprising citric acid and sodium citrate dehydrate; a preservative

that is sodium benzoate; and water; wherein the pH of the formulation is less than about 3.5;

wherein the formulation is stable at about 5±3 °C for at least 12 months. Claim 18 is drawn to a

particular species of composition, namely, a stable oral liquid formulation, consisting essentially

of: (i) about 1 mg/ml enalapril maleate; (ii) about 0.70 mg/ml sucralose; (iii) a buffer comprising

about 1.82 mg/ml citric acid and about 0.15 mg/ml sodium citrate dihydrate; (iv) about 1 mg/ml

sodium benzoate; (v) a flavoring agent; (vi) water; wherein the pH of the formulation is less than

about 3.5; wherein the formulation is stable at about 5±3 °C for at least 12 months. Thus, claims

1-20 of '008 are drawn to a species of the instantly claimed formulation. The ordinarily skilled

artisan would find it prima facie obvious to arrive at the instantly claimed invention in view of the

methods of use described in claims 1-20 of '008.

Accordingly, the instantly claimed invention is an obvious variant of the invention claimed

in '008.

Claims 1-30 are rejected on the ground of nonstatutory double patenting as being

unpatentable over claims 1-30 of U.S. Patent No. 9,808,442, herein referred to as '442. Although

the claims at issue are not identical, they are not patentably distinct from each other.

SLVGT-EPA 0105781

Art Unit: 1629

Claims 1-30 are of '442 are generally drawn towards methods of treating hypertension,

heart failure, and left ventricular dysfunction in a subject, comprising administering a stable oral

liquid formulation comprising enalapril; a buffer comprising citric acid and sodium citrate

dehydrate; a preservative that is sodium benzoate; and water; wherein the pH of the formulation

is less than about 3.5; wherein the formulation is stable at about 5±3 °C for at least 12 months.

Thus, claims 1-30 of '442 are drawn to methods of use of a species of the instantly claimed

formulation. The ordinarily skilled artisan would find it *prima facie* obvious to arrive at the instantly

claimed invention in view of the methods of use described in claims 1-30 of '442.

Accordingly, the instantly claimed invention is an obvious variant of the invention claimed

in '442.

Claims 1-30 are rejected on the ground of nonstatutory double patenting as being

unpatentable over claims 1-20 of U.S. Patent No. 10,039,745, herein referred to as '745. Although

the claims at issue are not identical, they are not patentably distinct from each other.

Claims 1-20 are of '745 are generally drawn towards stable oral liquid formulations

comprising enalapril, a buffer comprising citric acid and sodium citrate dehydrate; a preservative

that is sodium benzoate; and water; wherein the formulation is stable at about 5±3 °C for at least

12 months. Thus, claims 1-20 of '745 are generally drawn towards a species of the instantly

claimed formulation. The ordinarily skilled artisan would find it prima facie obvious to arrive at the

instantly claimed invention in view of the methods of use described in claims 1-20 of '745.

Accordingly, the instantly claimed invention is an obvious variant of the invention claimed

in '745.

SLVGT-EPA 0105782

Art Unit: 1629

Claims 1-30 are rejected on the ground of nonstatutory double patenting as being

unpatentable over claims 1-30 of U.S. Patent No. 10,154,987, herein referred to as '987. Although

the claims at issue are not identical, they are not patentably distinct from each other.

Claims 1-30 are of '987 are generally drawn towards methods of treating hypertension,

heart failure, and left ventricular dysfunction in a subject, comprising administering a stable oral

liquid formulation comprising enalapril; a buffer comprising citric acid and sodium citrate

dehydrate; a preservative that is sodium benzoate; and water; wherein the pH of the formulation

is less than about 3.5; wherein the formulation is stable at about 5±3 °C for at least 12 months.

Thus, claims 1-30 of '987 are drawn to a method of using a species of the instantly claimed

formulation. The ordinarily skilled artisan would find it *prima facie* obvious to arrive at the instantly

claimed invention in view of the methods of use described in claims 1-30 of '987.

Accordingly, the instantly claimed invention is an obvious variant of the invention claimed

in '987.

Conclusion

No claims are allowed in this application.

If applicants should amend the claims, a complete and responsive reply will clearly identify

where support can be found in the disclosure for each amendment. Applicants should point to the

page and line numbers of the application corresponding to each amendment, and provide any

statements that might help to identify support for the claimed invention (e.g., if the amendment is

not supported in ipsis verbis, clarification on the record may be helpful). Should applicants present

new claims, applicants should clearly identify where support can be found in the disclosure.

Any inquiry concerning this communication or earlier communications from the examiner

should be directed to JEFFREY S LUNDGREN whose telephone number is (571)272-5541. The

examiner can normally be reached on Monday through Thursday from 8 am to 5 pm.

SLVGT-EPA 0105783

Application/Control Number: 16/177,159

Art Unit: 1629

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Jeffrey Lundgren, can be reached on 571-272-5541. The fax phone number for the

organization where this application or proceeding is assigned is 571-270-8380.

Information regarding the status of an application may be obtained from the Patent

Application Information Retrieval (PAIR) system. Status information for published applications

may be obtained from either Private PAIR or Public PAIR. Status information for unpublished

applications is available through Private PAIR only. For more information about the PAIR system,

see http://portal.uspto.gov/external/portal. Should you have questions on access to the Private

PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/Stephanie Springer/ Examiner, Art Unit 1629

/JEFFREY S LUNDGREN/ Supervisory Patent Examiner, Art Unit 1629

Electronically Filed: March 1, 2019 Attorney Docket No.: 43060-707.304

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application:

First Named Inventor: Gerold L. MOSHER Confirmation No.: 3572

U.S. Application No. : 16/177,159

Filed : October 31, 2018 Customer No.: 021971

TC/A.U. : 1629

Examiner : SPRINGER, STEPHANIE K

Title : ENALAPRIL FORMULATIONS

RESPONSE TO THE NON-FINAL OFFICE ACTION DATED JANUARY 25, 2019

Mail Stop Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Commissioner:

Applicant hereby submits a response to the Office Action dated January 25, 2019 (the "Office Action"), in the above-identified application. Applicant respectfully requests amendment of the patent application, and reconsideration and allowance of the pending claims. The Commissioner is hereby authorized to charge any fees associated with the filing of this response to Deposit Account No. 23-2415, referencing Docket No. 43060-707.304.

Amendments to the Claims, reflecting the status of the claims, begin on page 2.

Remarks begin on page 6.

The Conclusion is on page 14.

Amendments to the Claims

This listing of claims will replace all prior versions, amendments and listings of claims in this application. The following amendments do not constitute an admission regarding the patentability of the amended subject matter and should not be so construed. Applicant reserves the right to pursue the subject matter of the withdrawn claims in this or any other appropriate patent application.

Listing of the Claims:

- 1. (Currently Amended) A stable oral liquid formulation, comprising:
 - (i) about 0.6 to about 1.2 mg/ml enalapril or a pharmaceutically acceptable salt or solvate thereof;
 - (ii) a buffer comprising citric acid and sodium citrate;
 - (iii) a preservative, wherein the preservative is selected from ascorbic acid, ascorbyl palmitate, BHA, BHT, EDTA and its salts, erythorbic acid, fumaric acid, malic acid, propyl gallate, sodium ascorbate, sodium bisulfate, sodium metabisulfite, sodium sulfite, methylparaben, ethylparaben, propylparaben, butylparaben, benzoic acid, sodium benzoate, potassium sorbate, and vanillin; and
 - (iv) water;
 - wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 12 months; and wherein the stable oral liquid formulation has about 95% w/w or greater of the initial enalapril amount and about 5% w/w or less total impurity or related substances at the end of the given storage period.
- 2. (Previously Presented) The stable oral liquid formulation of claim 1 further comprising a sweetener.
- 3. (Previously Presented) The stable oral liquid formulation of claim 2, wherein the sweetener is sucralose.
- 4. (Previously Presented) The stable oral liquid formulation of claim 1 further comprising a flavoring agent.

- 5. (Previously Presented) The stable oral liquid formulation of claim 1, wherein the formulation does not contain mannitol.
- 6. (Previously Presented) The stable oral liquid formulation of claim 1, wherein the formulation does not contain silicon dioxide.
- 7. (Previously Presented) The stable oral liquid formulation of claim 1, wherein the buffer comprises about 0.8 to about 3.5 mg/ml citric acid.
- 8. (Previously Presented) The stable oral liquid formulation of claim 1, wherein the buffer comprises about 0.1 to about 0.8 mg/ml sodium citrate.
- 9. (Previously Presented) The stable oral liquid formulation of claim 1, wherein the pH of the stable oral liquid formulation is less than about 3.5.
- 10. (Previously Presented) The stable oral liquid formulation of claim 1, wherein the pH of the stable oral liquid formulation is between about 3 and about 3.5.
- 11. (Previously Presented) The stable oral liquid formulation of claim 1, wherein the pH of the stable oral liquid formulation is about 3.3.
- 12. (Canceled)
- 13. (Previously Presented) The stable oral liquid formulation of claim 1, wherein the preservative is sodium benzoate.
- 14. (Currently Amended) The stable oral liquid formulation of claim [[12]]1, wherein the preservative is sodium benzoate, and wherein the sodium benzoate is about 0.2 to about 1.2 mg/ml.
- 15. (Previously Presented) The stable oral liquid formulation of claim 1, wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 18 months.
- 16. (Previously Presented) The stable oral liquid formulation of claim 1, wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 24 months.
- 17. (Currently Amended) A stable oral liquid formulation, consisting essentially of:
 - (i) about 0.6 to about 1.2 mg/ml enalapril or a pharmaceutically acceptable salt or solvate thereof;

- (ii) a buffer comprising citric acid and sodium citrate;
- (iii) a preservative, wherein the preservative is selected from ascorbic acid, ascorbyl palmitate, BHA, BHT, EDTA and its salts, erythorbic acid, fumaric acid, malic acid, propyl gallate, sodium ascorbate, sodium bisulfate, sodium metabisulfite, sodium sulfite, methylparaben, ethylparaben, propylparaben, butylparaben, benzoic acid, sodium benzoate, potassium sorbate, and vanillin; and
 - (iv) water;

wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 12 months; and wherein the stable oral liquid formulation has about 95% w/w or greater of the initial enalapril amount and about 5% w/w or less total impurity or related substances at the end of the given storage period.

- 18. (Currently Amended) A stable oral liquid formulation, comprising:
 - (i) about 10% to about 25% (w/w of solids) enalapril or a pharmaceutically acceptable salt or solvate thereof;
 - (ii) a buffer comprising about 17% to about 47% (w/w of solids) citric acid and about 1% to about 11% (w/w of solids) sodium citrate;
 - (iii) about 1% to about 30% (w/w of solids) of a preservative, wherein the preservative is selected from ascorbic acid, ascorbyl palmitate, BHA, BHT, EDTA and its salts, erythorbic acid, fumaric acid, malic acid, propyl gallate, sodium ascorbate, sodium bisulfate, sodium metabisulfite, sodium sulfite, methylparaben, ethylparaben, propylparaben, butylparaben, benzoic acid, sodium benzoate, potassium sorbate, and vanillin; and
 - (iv) water;

wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 12 months; and wherein the stable oral liquid formulation has about 95% w/w or greater of the initial enalapril amount and about 5% w/w or less total impurity or related substances at the end of the given storage period.

19. (Previously Presented) The stable oral liquid formulation of claim 18 further comprising a sweetener.

- 20. (Previously Presented) The stable oral liquid formulation of claim 19, wherein the sweetener is sucralose.
- 21. (Previously Presented) The stable oral liquid formulation of claim 18 further comprising a flavoring agent.
- 22. (Previously Presented) The stable oral liquid formulation of claim 18, wherein the formulation does not contain mannitol.
- 23. (Previously Presented) The stable oral liquid formulation of claim 18, wherein the formulation does not contain silicon dioxide.
- 24. (Previously Presented) The stable oral liquid formulation of claim 18, wherein the pH of the stable oral liquid formulation is less than about 3.5.
- 25. (Previously Presented) The stable oral liquid formulation of claim 18, wherein the pH of the stable oral liquid formulation is between about 3 and about 3.5.
- 26. (Previously Presented) The stable oral liquid formulation of claim 18, wherein the pH of the stable oral liquid formulation is about 3.3.
- 27. (Canceled)
- 28. (Previously Presented) The stable oral liquid formulation of claim 18, wherein the preservative is sodium benzoate.
- 29. (Previously Presented) The stable oral liquid formulation of claim 18, wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 18 months.
- 30. (Previously Presented) The stable oral liquid formulation of claim 18, wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 24 months.

Electronically Filed: March 1, 2019 Attorney Docket No.: 43060-707.304

REMARKS

Claims 1-11, 13-26, and 28-30 are pending in this application. By way of this response, claims 1, 14, 17, and 18 have been amended, and claims 12 and 27 have been canceled. No new matter is presented by way of the amendments.

Withdrawal of any subject matter herein does not constitute an admission that the subject matter is unpatentable for any reason and Applicant reserves the right to file claims directed to withdrawn subject matter in this or a related application.

Rejection Under 35 U.S.C. §112(b)

Claims 1-12, 14-27, and 29-30 are rejected under 35 U.S.C. § 112(b) as being indefinite for failing to particularly point out and distinctly claim the subject matter regarded as the invention.

Without acquiescing to the Office's rejection but solely in an effort to expedite prosecution, claims 1, 17, and 18 have been amended to recite "wherein the preservative is selected from ascorbic acid, ascorbyl palmitate, BHA, BHT, EDTA and its salts, erythorbic acid, fumaric acid, malic acid, propyl gallate, sodium ascorbate, sodium bisulfate, sodium metabisulfite, sodium sulfite, methylparaben, ethylparaben, propylparaben, butylparaben, benzoic acid, sodium benzoate, potassium sorbate, and vanillin." Claims 12 and 27 are canceled.

As such, the § 112(b) rejections are now moot. Accordingly, Applicant respectfully requests the rejections be withdrawn.

Rejection Under 35 U.S.C. §102/103

Claims 1, 2, 4-13, 14-19, 21-27, 29, and 30 are rejected under 35 U.S.C. 102(a)(1) as anticipated by or, in the alternative, under 35 U.S.C. 103 as obvious over Nahata et al., "Stability of elanapril maleate in three extemporaneously prepared oral liquids", *Am. J. Health-Syst. Pharm.*, 1998, vol. 55, pages 1155-1157 ("Nahata").

The Office alleges that "the formulation taught by Nahata anticipates the formulation of claims 1, 5, 12, 15, and 17." Specifically, the Office states that "Nahata teaches preparation of an aqueous solution comprising 1 mg/ml enalapril in a citrate buffer solution" and an "ordinary skilled artisan would recognize the sodium chloride in the citrate buffer solution taught by Nahata to meet the instant requirements of a preservative."

Further, the Office alleges that "the formulations [taught in Nahata] comprising Ora-Sweet and Ora-Plus also comprise sweeteners and flavoring agents . . . thereby meeting the requirements of claims 2, 4-6, 19, and 21-23."

In making the rejections, the Office does not dispute that "Nahata does not explicitly teach that the formulation is stable at about 5 ± 3 °C for at least 12 months, or that the formulation has about 95% w/w or greater of the initial enalapril amount and about 5% w/w or less total impurity or related substances at the end of the given storage period." Nevertheless, the Office takes the position that "[a]ny properties exhibited by or benefits provided the composition are inherent and are not given patentable weight over the prior art."

With respect to the obviousness rejections, the Office states that "Nahata teaches aqueous compositions comprising (i) 1 mg/ml enalapril; (ii) citric acid and sodium citrate; (iii) a preservative, such as citric acid, methylparaben, potassium sorbate; and (iv) water," and the Office alleges that "[i]t would be within the purview of the ordinarily skilled artisan to arrive at a formulation having the desired properties in view of the teachings of Nahata."

Applicant respectfully disagrees.

Applicant respectfully submits that Nahata does not teach or suggest all the elements of the claimed formulations, e.g., the stability element—"the formulation is stable at 5 ± 3 °C for at least 12 months"—is not disclosed either expressly or inherently. And such a superior stability is an unexpected result. Applicant further submits an Inventor Declaration by Dr. Gerold Mosher dated February 2, 2017 ("the Mosher Declaration"), with evidence to overcome the \$102/103 rejections asserted by the Office, as discussed in greater detail below.

A. The §102 Rejection

a. The Cited Reference Does Not Teach Enalapril Oral Liquid Formulations That Are Stable at 5 ± 3 °C For At Least 12 Months

"A claim is anticipated only if each and every element as set forth in the claim is found, either expressly or inherently described, in a single prior art reference." Verdegaal Bros. v. Union Oil Co. of California, 814 F.2d 628, 631, 2 USPQ2d 1051, 1053 (Fed. Cir. 1987).

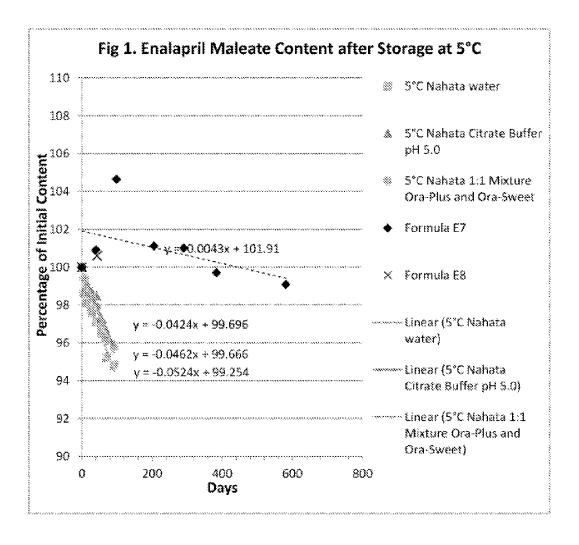
Nahata does not disclose enalapril oral liquid formulations that are stable at 5 ± 3 °C for at least 12 Months, which is one of the elements in the present claims.

Specifically, claim 1 is directed to a stable oral liquid formulation comprising (i) about 0.6 to about 1.2 mg/ml enalapril or a pharmaceutically acceptable salt or solvate thereof; (ii) a buffer comprising citric acid and sodium citrate; (iii) a preservative, wherein the preservative is selected from ascorbic acid, ascorbyl palmitate, BHA, BHT, EDTA and its salts, erythorbic acid, fumaric acid, malic acid, propyl gallate, sodium ascorbate, sodium bisulfate, sodium metabisulfite, sodium sulfite, methylparaben, ethylparaben, propylparaben, butylparaben, benzoic acid, sodium benzoate, potassium sorbate, and vanillin; and (iv) water; wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 12 months; and wherein the stable oral liquid formulation has about 95% w/w or greater of the initial enalapril amount and about 5% w/w or less total impurity or related substances at the end of the given storage period." Claim 17 and claim 18 similarly recite a formulation in a "consisting essentially of" format and in a "% w/w" format, respectively.

The Specification and Drawings of the instant application provide support and evidence of this stability; for example, Table E-2 depicts very little amounts of diketopiperazine or enalaprilat degradants formed in formulations E1 to E6 when stored at 5 °C. Table E-1 shows that formulations E1 to E6 contain enalapril, citric acid, sodium citrate, a preservative, and water, which Applicant notes are the claimed components of the instant applications.

Moreover, the Mosher Declaration provides additional data supporting the claimed stability by comparing the dramatic differences in stability between the enalapril oral liquid formulations of the present application with the stability of the enalapril liquid preparation in Nahata. In the Mosher Declaration, Dr. Mosher plotted graphically with linear regression of the data for extrapolation of the available refrigerated (5 °C) and room temperature (25 °C) stability data published by Nahata as well as E7 and E8 enalapril formulations, which are exemplary

formulations of the present application. The stability comparisons at 5 °C are presented in Fig 1. as below:



As Dr. Mosher explains, "Table A and Fig. 1 show that E7 exhibits excellent stability for at least 18 months (581 days) at 5 °C with essentially no loss of enalapril content in contrast to the extemporaneous preparations of Nahata (stability is defined as no more than 5% formation of degradants and 5% loss of enalapril). While Nahata does not disclose stability at 5 °C for more than 90 days, the extrapolated lines show that at about 100 days, the extemporaneous preparations are unstable with respect to the enalapril content in the preparation." Mosher Declaration, $\P 21$. Evidently, a stability of at least 12 months at 5 ± 3 °C is not an inherent property of the Nahata formulations.

Further, Applicant respectfully points out that the instant application is directed to novel stable enalapril oral liquid formulations with superior stability and uniformity properties. As Dr. Mosher explains, the "currently approved methods of delivering enalapril to pediatric patients requires (1) administering a solid enalapril tablet or portion thereof to the patient, (2) extemporaneously preparing an oral liquid suspension from enalapril tablets and a diluent, such as the method described in "Nahata" and subsequently administering the suspension to the patient, or (3) reconstituting a powder in a liquid carrier" and "[f]or the second method, extemporaneously prepared oral liquids present additional challenges and issues with respect to dosing accuracy and stability, as well as can introduce compounding errors and crosscontamination." Mosher Declaration, ¶10-11. The stable enalapril oral liquid formulations in the present application represent an elegant solution over the previous methods of obtaining liquid enalapril formulation.

Thus, the data presented in the Mosher Declaration clearly demonstrates that the extemporaneous preparations of Nahata do not meet the stability requirement of the present claims.

As such, Nahata does not disclose any liquid formulations of enalapril having a stability at about 5 ± 3 °C for at least 12 months, either explicitly or by inherency. Accordingly, Applicant respectfully requests the §102 rejections be withdrawn.

B. The §103 Rejection

a. The Cited Reference Provides No Reasonable Expectation of Success of the Claimed Subject Matter

Obviousness does not require absolute predictability, however, at least some degree of predictability is required. MPEP § 2143.02. To have a reasonable expectation of success, one must be motivated to do more than merely "vary all parameters or try each of numerous possible choices until one possibly arrived at a successful result, where the prior art gave no indication of which parameters were critical or no direction as to which of many possible choices is likely to be successful." Medichem, S.A. v. Robaldo, 327 F.3d 1157, 1165 (Fed. Cir. 2006).

There is no expectation from Nahata that the extemporaneously prepared oral liquid formulation can be modified to have a stability at about 5 ± 3 °C for at least 12 months (365 days). In fact, as Dr. Mosher explains, "the extrapolated lines [in Nahata] show that at about 100 days, the extemporaneous preparations are unstable with respect to the enalapril content in the preparation." Mosher Declaration, ¶12. Thus, one of ordinary skill in the art would not reasonably expect, based on the teachings in Nahata, to make a formulation having a stability at about 5 ± 3 °C for a period of time that is more than three times longer than the Nahata formulation.

Thus, the Office has not established how one of skill in the art would expect to modify the extemporaneously prepared formulation in Nahata and to arrive at a stable oral liquid formation meeting all the elements of the present claims.

Further, the enalapril tablets used in the extemporaneous preparations of Nahata contain, in addition to enalapril, lactose, magnesium stearate, sodium bicarbonate, starch, and iron oxide. Ora-Plus is an oral suspending vehicle that has a pH of approximately 4.2 and that contains purified water, microcrystalline cellulose, sodium carboxymethylcellulose, xanthan gum, carrageenan, buffering agents (trisodium phosphate and citric acid), an antifoaming agent (simethicone), and preservatives (potassium sorbate and methylparaben). Ora-Sweet syrup vehicle is a flavoring vehicle that is buffered to a pH of approximately 4.2 and that contains purified water, sucrose, glycerin, sorbitol (5%), flavoring, buffering agents (sodium phosphate and citric acid), and preservatives (potassium sorbate and methylparaben). Nahata therefore teaches that these extemporaneously prepared suspensions from enalapril tablets contain a myriad of components, the majority of which are not present in the presently claimed formulations. The following table lists the components that are present in the Nahata formulation:

Enalapril Extemporaneous Formulation (Ora-Sweet/Ora-Plus)
Enalapril
Lactose
magnesium stearate
sodium bicarbonate

Starch
iron oxide
microcrystalline cellulose
carboxymethylcellulose
xanthan gum
carrageenan
calcium sulphate
trisodium phosphate
citric acid
dimethicone
potassium sorbate
methylparaben
Flavoring
Sorbitol
Glycerin
sucrose
Water

Apparently, the extemporaneously prepared formulation in Nahata contains 19 components in addition to enalapril and water. As such, Nahata does not provide any expectation that any particular combination would be successful for stable enalapril oral liquid formulations, which can extend the stability from less than 100 days to at least 12 months at 5 °C. One of skill in the art would need to consider all of these excipients and, through trial-and-error, determine whether each and every one of these components is necessary for stability or if they could be varied or eliminated.

Thus, the Office has not demonstrated a reasonable expectation of success based on Nahata.

b. Unexpected Results

Applicant submits that the subject matter in the claims has unexpected results with respect to stability of liquid enalapril formulations.

As explained in the Mosher Declaration, the claimed stable enalapril liquid formulations are dramatically much more stable than the extemporaneous enalapril preparations of Nahata. In the Mosher Declaration, Dr. Mosher plotted graphically, with linear regression of the data for

extrapolation of the stability data published in Nahata, as well as corresponding E7 and E8 enalapril formulations, which are exemplary formulations of the present claims. *See*, Mosher Declaration, Fig 1 and Fig 2.

As evidenced by the graphs, the E7 formulation demonstrates no loss of enalapril for at least 12 months at 5 °C and about 100 days at 25 °C. The E8 formulation, which has only one data point, is expected to trend similarly. These results drastically contrast with the stability or lack thereof in the extemporaneous and reconstituted enalapril preparations where in these cases, the enalapril degrades substantially after initial preparation. At about 90-100 days, the extemporaneous preparations are at about 95% of the starting enalapril concentration when stored at either 4 °C or 25 °C.

The unexpected stability results of the E7 and E8 formulations are not taught by, and could not have been predicted or contemplated by Nahata.

Accordingly, Applicant respectfully requests the §103 rejection be withdrawn.

Double Patenting Objection

Claims 1-30 are rejected on the ground of nonstatutory double patenting as being unpatentable over claims 1-20 of U.S. Patent No. 9,669,008, claims 1-30 of U.S. Patent No. 9,808,442, claims 1-20 of U.S. Patent No. 10,039,745, and claims 1-30 of U.S. Patent No. 10,154,987.

Without acquiescing in this ground of rejection and solely in an effort to expedite prosecution, Applicant hereby submits Terminal Disclaimers with respect to U.S. Patent No. 9,669,008, U.S. Patent No. 9,808,442, U.S. Patent No. 10,039,745, and U.S. Patent No. 10,154,987.

The Terminal Disclaimers obviate the present rejections. Accordingly, Applicant respectfully requests that the rejections be withdrawn.

In view of the remarks and amendments submitted herein, Applicant believes that the Application is in condition for allowance and such action is earnestly solicited.

* * *

CONCLUSION

Applicant submits that this response fully addresses the Office Action mailed on January 25, 2019. Applicant believes that for the reasons set forth herein the pending claims are in condition for allowance and early and favorable consideration is respectfully requested.

Should the Examiner have any questions or concerns, the Examiner is encouraged to contact the undersigned attorney at (617) 598-7823.

Respectfully submitted,

WILSON SONSINI GOODRICH & ROSATI Professional Corporation

Date: March 1, 2019 By: /Clark Lin/

Clark Y. Lin, Ph.D., Esq. Reg. No. 67,024

650 Page Mill Road Palo Alto, CA 94304 Direct Dial: (858) 350-2306

Customer No. 021971

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: Art Unit: 1629

Inventors: Gerold L. Mosher, *et al.* Examiner: Stephanie K. Springer

Serial No.: 15/081,603 | Confirmation No.: 3892

Filed: March 25, 2016 Customer No.: 021971

Title: ENALAPRIL FORMULATIONS

Mail Stop Amendment Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

DECLARATION OF GEROLD MOSHER UNDER 37 C.F.R. § 1.132

I, Gerold Mosher, do hereby declare as follows:

- 1. I am currently employed at Silvergate Pharmaceuticals, Inc.
- 2. I received my Bachelor's degree in Pharmacy from the University of Kansas in 1979. I also received a Master and a Doctor of Philosophy in Pharmaceutical Chemistry in 1984 and 1986, respectively, from the University of Kansas.
- 3. I have been employed at Silvergate Pharmaceuticals since 2013, as Vice President of Drug Development. As part of my job duties, I develop oral solutions for pediatric use. I have a small laboratory where I develop, characterize and move formulations through the steps required for FDA approval and eventual sale.
- 4. Early in my career, I practiced pharmacy for two years from 1979 to 1981. Subsequently, I worked in large pharmaceutical companies (Eli Lilly and Merck) for about ten years where I focused primarily on pre-formulation and early phase formulations of new drug products. After leaving these companies and prior to Silvergate Pharmaceuticals, I have also

been employed by small startup companies to develop new solubilizing technology for oral, injectable and inhalation formulations.

- 5. In total, I have been in the field of pharmaceutical chemistry for almost 38 years, and have extensive experience in developing pharmaceutical formulations. My Curriculum Vitae is attached as Exhibit A.
- 6. I am familiar with the subject matter claimed in patent application 15/081,603, and am a named inventor on this application. Silvergate Pharmaceuticals is also the Assignee of the '603 application.
- 7. I am aware of the Non-Final Office Action mailed in this matter on January 17, 2017. I am also aware that the oral enalapril liquid formulation claims stand rejected under 35 U.S.C. § 103 as allegedly being unpatentable over US 8,568,747, Nahata et al. (Stability of Enalapril Maleate in three Extemporaneously Prepared Oral Liquids) ("Nahata"), Product Information of Bicitra (Sodium Citrate and Citric Acid Oral Solution) ("Bicitra"), Product Information of Ora-Sweet ("Ora-sweet"), and Rippley at al. (Pharmacokinetics Assessment of an Oral Enalapril Suspension for Use in Children) ("Rippley"). I have reviewed these cited references in the Non-Final Office Action.
- 8. I am submitting this declaration to address the comments made in the Office Action.
- 9. The '603 application relates to enalapril oral liquid formulations that are stable for least 12 months at 5±3 °C. The present oral liquid formulations contain enalapril, sucralose, a citric acid buffer, sodium benzoate and water at a pH of less than 3.5. Development of this described enalapril formulation was oriented on preparing a safe, stable, soluble oral liquid with minimal degradation and having acceptable taste for pediatric patients.
- 10. The currently approved methods of delivering enalapril to pediatric patients requires (1) administering a solid enalapril tablet or portion thereof to the patient, (2) extemporaneously preparing an oral liquid suspension from enalapril tablets and a diluent, such as the method described in "Nahata" and subsequently administering the suspension to the

patient, or (3) reconstituting a powder in a liquid carrier, such as the described enalapril powder in US 8,568,747.

- All of these methods are undesirable and have limitations. For tablets, it is well known that children have difficulty in swallowing oral dosage forms. For the second method, extemporaneously prepared oral liquids present additional challenges and issues with respect to dosing accuracy and stability, as well as can introduce compounding errors and crosscontamination. Similarly, reconstituting powders into a liquid carrier also requires an extra step and could introduce variability, solubility and contamination issues during the reconstitution.
- 12. As compared to these currently available methods, the enalapril oral liquid formulations claimed in the '603 application provides several advantages:
 - <u>Improved ease of administration</u>. It is easier for many patients to swallow a liquid than to swallow a tablet,
 - <u>Patient Compliance</u>. Patients are more likely to take a dose that is not difficult to swallow, or difficult to prepare,
 - Accuracy of dosing. The prescribing information for enalapril tablets provides dosing guidelines based on the weight of the child. When one only has fixed 2.5, 5 or 10 mg tablets available, it is difficult if not impossible to break the tablets in such a way to get an exact dose if the dose is something other than the tablet strength. In addition, if tablets are compounded into a suspension, the tablets are crushed in a mortar and then mixed with a liquid. There is no guarantee that the drug dissolves in, or is dispersed evenly in the liquid (thus leading to potential dosing errors. Moreover, there is always the chance of contamination of the resulting liquid by residual drugs or substances in the mortar. Similarly, in reconstitutable powders, there is also no guarantee that the powder dissolves or disperses evenly in the diluent.
- 13. It should be appreciated that the oral enalapril liquid formulations of the present claims are stable at 5 ± 3 °C for 12 months or longer with minimal degradation. The stability is an important aspect of the present formulations. It contributes to the consistency and uniformity of the formulations as well as allows for accuracy of dosing to patients.

14. Evidence of this stability is found in exemplary formulations E7 and E8 which show minimal degradation as compared to current formulations. In this study, exemplary formulations E7 and E8 were stored at either refrigerated condition (5 °C) or at ambient condition (25 °C). Formulations details for E7 and E8 are as follows:

Composition of Enalapril Maleate				
Formulations				
Component	E7	E8		
Enalapril maleate	1.00	1.00		
Citric acid anhydrous	1.80	1.82		
Sodium citrate anhydrous	0.16	0.15		
Sodium benzoate	1.00	1.00		
Sucralose	0.70	0.70		
Mixed berry flavor	0.50	0.50		
Water	qs	qs		
pH (measured)	3.3	3.3		
as = sufficient quantity				

- qs = sufficient quantity
- 15. In my review of the references cited in the Office Action, none of the references describe this stability of at least 12 months at 5 ± 3 °C or any means of achieving this stability for enalapril formulations.
- 16. I have reviewed Nahata which describes the extemporaneous preparation of oral liquid enalapril formulations by crushing enalapril tablets with a mortar and pestle and suspending the resulting ground tablets in water, citrate buffer, or Ora-Plus/Ora-Sweet. On stability, Nahata states that the "compounded oral liquids [were] stable for 91 days at 4 and 25 °C" defining stable as "concentration after storage was ≥90% of the initial concentration. Table 1 of Nahata shows that the enalapril extemporaneous formulations exhibited about 5% loss of enalapril after about 56 days at 4 °C and about 5% loss of enalapril after about 91 days at 25 °C.
- 17. I have also reviewed US 8,568,747 which describes an oral liquid enalapril formulation obtained by reconstituting an enalapril powder in a liquid. The table in example 6 of US 8,568,747 shows that the resulting oral liquid formulation exhibited about 5% loss of enalapril after about 8 weeks at 25 °C.
- 18. I additionally reviewed Bicitra, Ora-sweet, and Rippley and they do not provide any stability of enalapril formulations whatsoever.

19. To compare the stability of the enalapril extemporaneous preparations as described in Nahata and the reconstituted liquid formulation of US 8,568,747, I submit the following data which depicts the enalapril content of formulations E7 at 5°C and 25 °C and E8 at 5 °C in Table A and Table B:

Table A: Enalapril content in formulations after storage at 5 °C1

		Nahata			
Days	water	Citrate Buffer pH 5.0	1:1 Ora- Plus/Ora- Sweet	E7	E8
0	100	100	100	100	100
7	98.6	98.7	99.4		
14	98.1	99.1	98.6		
28	97.6	98.7	98.4		
40				100.9	
42	97.1	98.5	97.9		100.3
56	96.5	97.3	96.9		
70	95.2	96.3	96.1		
91	94.8	95.9	95.8		
99				104.7	
205				101.1	
290				101.0	
383				99.7	
581				99.1	

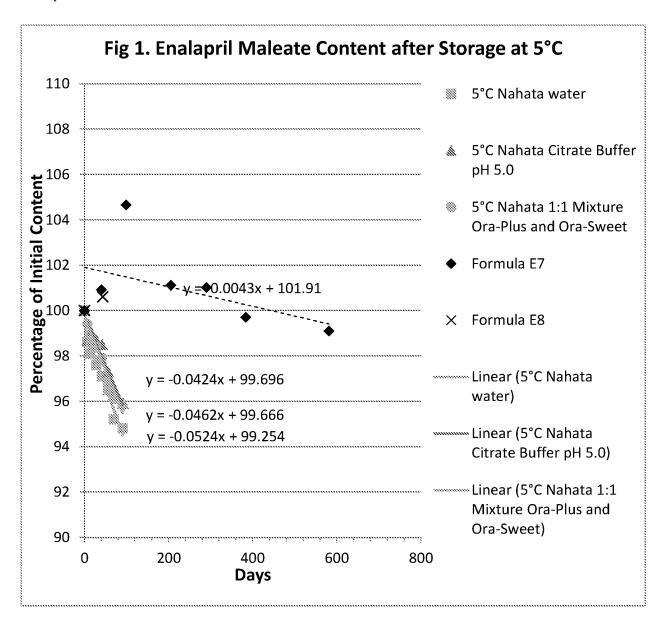
Table B: Enalapril content in formulations after storage at 25 °C

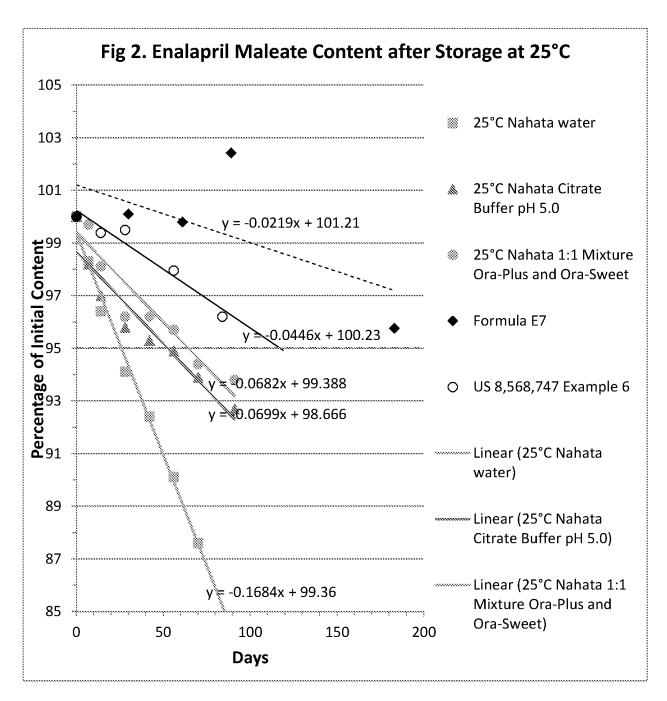
	Nahata		US 8,568,747		
Days	water	Citrate Buffer pH 5.0	1:1 Ora- Plus/Ora-Sweet	Example 6	E7
0	100	100	100	100	100
7	98.3	98.2	99.7		
14	96.4	97	98.1	99.4	
28	94.1	95.8	96.2	99.5	
30					100.1
42	92.4	95.3	96.2		
56	90.1	94.9	95.7	97.9	
61					99.8

¹ I note that US 8,568,747 does not provide stability data of the reconstituted liquid formulation at 5 °C.

70	87.6	93.9	94.4		
84				96.2	
89					102.4
91	84.1	92.7	93.8		
183					95.8

20. To further describe the contrast in stability, the enalapril concentrations published by Nahata, the US 8,568,747 enalapril concentrations, and the concentrations from E7 and E8 are plotted graphically (Fig. 1: 5 °C and Fig. 2: 25 °C) with linear regression of the data for extrapolation.





21. Table A and Fig. 1 show that E7 exhibits excellent stability for at least 18 months (581 days) at 5 °C with essentially no loss of enalapril content in contrast to the extemporaneous preparations of Nahata (stability is defined as no more than 5% formation of degradants and 5% loss of enalapril). While Nahata does not disclose stability at 5 °C for more than 90 days, the extrapolated lines show that at about 100 days, the extemporaneous preparations are unstable with respect to the enalapril content in the preparation.

22. Table B and Fig. 2 show that E7 also exhibits better stability for at least 6 months (183 days) at 25 °C in contrast to the Nahata preparations and the reconstituted formulation of US 8,568,747.

- 23. The additional enalapril content data submitted for E7 and E8 shows that the formulations of the present application are significantly more stable, which in my opinion reflects the superior results and advantages, obtained with the oral liquid enalapril formulation of the present claims.
- 24. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under 18 U.S.C. 1001.

Respectfully submitted on this $2^{\frac{1}{2}}$ day of February, 2017

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Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97

Commissioner for Patents:

An Information Disclosure Statement along with attached PTO/SB/08 is hereby submitted. A copy of each listed publication is submitted, if required, pursuant to 37 CFR §§1.97-1.98, as indicated below.

The Examiner is requested to review the information provided and to make the information of record in the above-identified application. The Examiner is further requested to initial and return the attached PTO/SB/08 in accordance with MPEP § 609.

The right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be enabling for the teachings purportedly offered, is hereby reserved.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, prior art or material to patentability as defined in § 1.56.

Α.	37 CFI because:	R § 1.97	(b). This Information Disclosure Statement should be considered by the Office
		(1)	It is being filed within 3 months of the filing date of a national application and is other than a continued prosecution application under § 1.53 (d);
			OR
		(2)	It is being filed within 3 months of entry of the national stage as set forth in § 1.491 in an international application;
			OR
		(3)	It is being filed before the mailing of a first Office action on the merits;
			OR
		(4)	It is being filed before the mailing of a first Office action after the filing of a request for continued examination under § 1.114.
В.	specified in office action closes pros	n 37 CF on under secution	(c). Although this Information Disclosure Statement is being filed after the period $(R \ \S \ 1.97(b))$, above, it is filed before the mailing date of the earlier of (1) a final $\ \S \ 1.113$, (2) a notice of allowance under $\ \S \ 1.311$, or (3) an action that otherwise in the application, this Information Disclosure Statement should be considered panied by one of:
		a stater	nent as specified in §1.97 (e) provided concurrently herewith;
			OR
			f \$240.00 as set forth in § 1.17 (p) authorized below, enclosed, or included with ment of other papers filed together with this statement.
C.	2. 37 CFR § 1.97 (d). Although this Information Disclosure Statement is being filed after the mailing date of the earlier of (1) a final office action under § 1.113, (2) a notice of allowance under § 1.311, or (3) an action that otherwise closes prosecution in the application, it is being filed before payment of the issue fee and should be considered because it is accompanied by:		
		i. a st	atement as specified in § 1.97 (e);
			AND
		ii. a fe witl	be of \$240.00 as set forth in \$1.17(p) is authorized below, enclosed, or included in the payment of other papers filed together with this Statement.
D.	☐ 37 CFI	R §1.97 ((e). Statement.
		A state	ment is provided herewith to satisfy the requirement under 37 CFR §§ 1.97 (c);
			AND/OR
		A state	ment is provided herewith to satisfy the requirement under 37 CFR §§ 1.97 (d);
			AND/OR
		informathe cor	of a dated communication from a foreign patent office clearly showing that the ation disclosure statement is being submitted within 3 months of the filing date on munication is provided in lieu of a statement under 37 C.F.R. § 1.97(e) (1) as and for under MPEP 609.04(b) V.

E.	Statement Under 37 C.F.R. §1.704(d). Each item of information contained in the information disclosure statement was first cited in any communication from a patent office in a counterpart foreign or international application or from the Office or is a communication that was issued by a patent office in a counterpart foreign or international application or by the Office that was received by an individual designated in § 1.56(c) not more than thirty (30) days prior to the filing of this information disclosure statement. This statement is made pursuant to the requirements of 37 C.F.R. §1.704(d) to avoid reduction of the period of adjustment of the patent term for Applicant(s) delay.		
F.	⊠ 37 CFI	R §1.98 (a) (2). The content of the Information Disclosure Statement is as follows:	
		Copies of each of the references listed on the attached Form PTO/SB/08 are enclosed herewith.	
		OR	
	\boxtimes	Copies of U.S. Patent Documents (issued patents and patent publications) listed on the attached Form PTO/SB/08 are not enclosed.	
		AND/OR	
	\boxtimes	Copies of Foreign Patent Documents and/or Non Patent Literature Documents listed on the attached Form PTO/SB/08 are enclosed in accordance with 37 CFR §1.98 (a)(2).	
		AND/OR	
		Copies of pending unpublished U.S. patent applications are enclosed in accordance with 37 CFR §1.98 (a) (2) (iii).	
G.	37 CFI references.	R §1.98(a)(3). The Information Disclosure Statement includes non-English patents and/or	
		Pursuant to 37 CFR §1.98(a)(3)(i), a concise explanation of the relevance of each patent, publication or other information provided that is not in English is provided herewith.	
		Pursuant to MPEP 609(B), an English language copy of a foreign search report is submitted herewith to satisfy the requirement for a concise explanation where non-English language information is cited in the search report.	
		OR	
		A concise explanation of the relevance of each patent, publication or other information provided that is not in English is as follows:	
		Pursuant to 37 CFR §1.98(a) (3) (ii), a copy of a translation, or a portion thereof, of the non-English language reference(s) is provided herewith.	
H.		$R \ \S 1.98(d)$. Copies of patents, publications and pending U.S. patent applications, or other a specified in 37 C.F.R. $\S 1.98(a)$ are not provided herewith because:	
		Pursuant to 37 CFR §1.98(d)(1) the information was previously submitted in an Information Disclosure Statement, or cited by examiner for another application under which this application claims priority for an earlier effective filing date under 35 U.S.C. 120.	
		Application in which the information was submitted:	
		Information Disclosure Statement(s) filed on:	
		AND	
		The information disclosure statement submitted in the earlier application complied with paragraphs (a) through (c) of 37 CFR §1.98.	

I. Fee Authorization. The Commissioner is hereby authorized to charge the above-referenced fees of \$240.00 and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 23-2415 (Docket No.43060-707.304).

Respectfully submitted,

WILSON SONSINI GOODRICH & ROSATI

Dated: April 19, 2019 By: /Clark Lin/

Clark Y. Lin, Ph.D., Esq. Registration No. 67,024

650 Page Mill Road Palo Alto, CA 94304-1050 (650) 493-9300 Customer No. 02-1971

Attorney Docket No. 43060-707.304 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: MOSHER: Gerold L

[Us] et al.

Serial Number: 16/177,159

Filing or 371 (c) Date: 2018-10-31

Title: ENALAPRIL

FORMULATIONS

Group Art Unit: 1629

Examiner: SPRINGER;

Stephanie K.

CONFIRMATION NO: 3572

FILED ELECTRONICALLY ON: April 19, 2019

Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

<u>INFORMATION DISCLOSURE STATEMENT</u> <u>UNDER 37 CFR § 1.97</u>

Commissioner for Patents:

An Information Disclosure Statement along with attached PTO/SB/08 is hereby submitted. A copy of each listed publication is submitted, if required, pursuant to 37 CFR §§1.97-1.98, as indicated below.

The Examiner is requested to review the information provided and to make the information of record in the above-identified application. The Examiner is further requested to initial and return the attached PTO/SB/08 in accordance with MPEP § 609.

The right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be enabling for the teachings purportedly offered, is hereby reserved.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, prior art or material to patentability as defined in § 1.56.

Α.	37 CFI because:	R § 1.97	(b). This Information Disclosure Statement should be considered by the Office
		(1)	It is being filed within 3 months of the filing date of a national application and is other than a continued prosecution application under § 1.53 (d);
			OR
		(2)	It is being filed within 3 months of entry of the national stage as set forth in § 1.491 in an international application;
			OR
		(3)	It is being filed before the mailing of a first Office action on the merits;
			OR
		(4)	It is being filed before the mailing of a first Office action after the filing of a request for continued examination under § 1.114.
В.	specified in office action closes pros	n 37 CF on under secution	(c). Although this Information Disclosure Statement is being filed after the period $(R \ \S \ 1.97(b))$, above, it is filed before the mailing date of the earlier of (1) a final $\ \S \ 1.113$, (2) a notice of allowance under $\ \S \ 1.311$, or (3) an action that otherwise in the application, this Information Disclosure Statement should be considered panied by one of:
		a stater	nent as specified in §1.97 (e) provided concurrently herewith;
			OR
			f \$240.00 as set forth in § 1.17 (p) authorized below, enclosed, or included with ment of other papers filed together with this statement.
C.	2. 37 CFR § 1.97 (d). Although this Information Disclosure Statement is being filed after the mailing date of the earlier of (1) a final office action under § 1.113, (2) a notice of allowance under § 1.311, or (3) an action that otherwise closes prosecution in the application, it is being filed before payment of the issue fee and should be considered because it is accompanied by:		
		i. a st	atement as specified in § 1.97 (e);
			AND
		ii. a fe witl	be of \$240.00 as set forth in \$1.17(p) is authorized below, enclosed, or included in the payment of other papers filed together with this Statement.
D.	☐ 37 CFI	R §1.97 ((e). Statement.
		A state	ment is provided herewith to satisfy the requirement under 37 CFR §§ 1.97 (c);
			AND/OR
		A state	ment is provided herewith to satisfy the requirement under 37 CFR §§ 1.97 (d);
			AND/OR
		informathe cor	of a dated communication from a foreign patent office clearly showing that the ation disclosure statement is being submitted within 3 months of the filing date on munication is provided in lieu of a statement under 37 C.F.R. § 1.97(e) (1) as and for under MPEP 609.04(b) V.

E.	disclosure foreign or patent offic an individu information	statementinternation in a contact design design disclosu	r 37 C.F.R. §1.704(d). Each item of information contained in the information t was first cited in any communication from a patent office in a counterpart and application or from the Office or is a communication that was issued by a unterpart foreign or international application or by the Office that was received by nated in § 1.56(c) not more than thirty (30) days prior to the filing of this are statement. This statement is made pursuant to the requirements of 37 C.F.R. reduction of the period of adjustment of the patent term for Applicant(s) delay.
F.	⊠ 37 CFF	R §1.98 (6	a) (2). The content of the Information Disclosure Statement is as follows:
		Copies herewith	of each of the references listed on the attached Form PTO/SB/08 are enclosed in.
			OR
	\boxtimes	_	of U.S. Patent Documents (issued patents and patent publications) listed on the Form PTO/SB/08 are not enclosed.
			AND/OR
	\boxtimes		of Foreign Patent Documents and/or Non Patent Literature Documents listed on shed Form PTO/SB/08 are enclosed in accordance with 37 CFR §1.98 (a)(2).
			AND/OR
		-	of pending unpublished U.S. patent applications are enclosed in accordance with §1.98 (a) (2) (iii).
G.	37 CFF references.	R §1.98(a	(1)(3). The Information Disclosure Statement includes non-English patents and/or
			t to 37 CFR §1.98(a)(3)(i), a concise explanation of the relevance of each patent, ion or other information provided that is not in English is provided herewith.
			Pursuant to MPEP 609(B), an English language copy of a foreign search report is submitted herewith to satisfy the requirement for a concise explanation where non-English language information is cited in the search report.
			OR
			A concise explanation of the relevance of each patent, publication or other information provided that is not in English is as follows:
			t to 37 CFR §1.98(a) (3) (ii), a copy of a translation, or a portion thereof, of the glish language reference(s) is provided herewith.
H.			<i>d</i>). Copies of patents, publications and pending U.S. patent applications, or other d in 37 C.F.R. § 1.98(a) are not provided herewith because:
		Informa	t to 37 CFR §1.98(d)(1) the information was previously submitted in an tion Disclosure Statement, or cited by examiner for another application under his application claims priority for an earlier effective filing date under 35 U.S.C.
		Applica	tion in which the information was submitted:
		Informa	tion Disclosure Statement(s) filed on:
			AND
			ormation disclosure statement submitted in the earlier application complied with ohs (a) through (c) of 37 CFR §1.98.

I. Fee Authorization. The Commissioner is hereby authorized to charge the above-referenced fees of \$240.00 and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 23-2415 (Docket No.43060-707.304).

Respectfully submitted,

WILSON SONSINI GOODRICH & ROSATI

Dated: April 19, 2019 By: /Clark Lin/

Clark Y. Lin, Ph.D., Esq. Registration No. 67,024

650 Page Mill Road Palo Alto, CA 94304-1050 (650) 493-9300 Customer No. 02-1971

Case 1:20-cv-01256-LPS Document 74-1 Filed 04/05/21 Page 515 of 748 PageID #: 2706 UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE **United States Patent and Trademark Office** Address: COMMISSIONER FOR PATENTS

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
16/177,159	10/31/2018	Gerold L. Mosher	43060-707.304	3572
	7590 06/24/201 ISINI, GOODRICH &	EXAMINER		
650 PAGE MII	LL ROAD	SPRINGER, STEPHANIE K		
PALO ALTO,	CA 94304-1050	ART UNIT	PAPER NUMBER	
			1629	
			NOTIFICATION DATE	DELIVERY MODE
			06/24/2019	ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patentdocket@wsgr.com

Case 1:20-cv-01256-LPS Document 74-1					
	Application No. 16/177,159 Applicant(s) Mosher et al.				
Office Action Summary	Examiner	Art Unit	AIA (FITF) Status		
	STEPHANIE K SPRINGER	1629	Yes		
The MAILING DATE of this communication applied for Poply	ears on the cover sheet with the	corresponden	ce address		
Period for Reply	/ IC CET TO EVOIDE 2 MONTI		E MAILING		
A SHORTENED STATUTORY PERIOD FOR REPLY DATE OF THIS COMMUNICATION.	_				
 Extensions of time may be available under the provisions of 37 CFR 1.15 date of this communication. 		•			
 If NO period for reply is specified above, the maximum statutory period w Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing adjustment. See 37 CFR 1.704(b). 	cause the application to become ABANDON	NED (35 U.S.C. § 13	3).		
Status					
1) Responsive to communication(s) filed on 1 Mar					
A declaration(s)/affidavit(s) under 37 CFR 1.1					
, —	This action is non-final.	t aat farth duri	ng the interview on		
3) An election was made by the applicant in responsible. ; the restriction requirement and election	have been incorporated into thi	s action.			
4) Since this application is in condition for allowan closed in accordance with the practice under E			to the merits is		
Disposition of Claims*					
5) ✓ Claim(s) <u>1-11,13-26 and 28-30</u> is/are pen	ding in the application.				
5a) Of the above claim(s) is/are withdraw	vn from consideration.				
6) Claim(s) is/are allowed.					
7) Claim(s) 1-11,13-26 and 28-30 is/are rejected	ed.				
8) Claim(s) is/are objected to.					
9) Claim(s) are subject to restriction and					
* If any claims have been determined <u>allowable</u> , you may be eli			iway program at a		
participating intellectual property office for the corresponding ap http://www.uspto.gov/patents/init_events/pph/index.jsp or send					
	an inquity to <u>FT Thee aback@aspt</u>	<u>o.gov.</u>			
Application Papers 10) The specification is objected to by the Examine	ır				
11) The drawing(s) filed on is/are: a) acc		ho Evaminor			
Applicant may not request that any objection to the di	•				
Replacement drawing sheet(s) including the correction	=				
Priority under 35 U.S.C. § 119					
12) Acknowledgment is made of a claim for foreign Certified copies:	priority under 35 U.S.C. § 119(a)-(d) or (f).			
a) ☐ All b) ☐ Some** c) ☐ None of th	e:				
1. Certified copies of the priority docume	ents have been received.				
2. Certified copies of the priority docume	ents have been received in App	lication No			
3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).					
** See the attached detailed Office action for a list of the certific	· · · · · · · · · · · · · · · · · · ·				
Attachment(s)					
1) Notice of References Cited (PTO-892)	3) Interview Summa	• •			
2) Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/S	B/08b) Paper No(s)/Mail 4) Other:	Date			

Paper No(s)/Mail Date 14 pg.
U.S. Patent and Trademark Office

PTOL-326 (Rev. 11-13)

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DETAILED ACTION

Status

The present application, filed on or after March 16, 2013, is being examined under the first

inventor to file provisions of the AIA.

This application is a continuation of application 16/003,994, now US Patent 10,154,987,

filed on June 8, 2018, which is a continuation of application 15/802,341, now US Patent

10,039,745, filed on November 2, 2017, which is a continuation of application 15/613,622, now

US Patent 9,808,442, filed on June 5, 2017, which is a continuation of application 15/081,603,

now US Patent 9,669,008, filed on March 25, 2016 and claims priority to US provisional

application 62/310,198, filed on March 18, 2016.

This application was granted Track One status on December 14, 2018.

Applicant's amendments filed March 1, 2019 amending claims 1, 14, 17, and 18, and

canceling claims 12 and 27 are acknowledged.

Applicant's arguments, filed March 1, 2019, have been fully considered. Rejections and/or

objections not reiterated from previous Office Actions are hereby withdrawn.

Claims 1-11, 13-26, and 28-30 are pending and are the subject of the Office Action below.

Information Disclosure Statement

The information disclosure statement (IDS) submitted on April 19, 2019 has been

considered by the examiner. The submission is in compliance with the provisions of 37 CFR §§

1.97 and 1.98. Enclosed with this Office Action is a return-copy of the Forms PTO-1449 with the

examiner's initials and signature indicating those references that have been considered.

SLVGT-EPA 0106675

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Response to Arguments

Declaration under 37 CFR 1.132

The Declaration under 35 CFR 1.132 submitted on March 1, 2019 has been considered by the Examiner. The declaration under 37 CFR 1.132 is insufficient to overcome the rejections of claims 1-11, 13-26, and 28-30, as it fails to provide data corroborating the Applicant's allegations that the claimed compositions provide unexpected results over the compositions disclosed in the prior art.

The Declaration, dated February 2, 2017, is directed towards application 15/081,603, now US Patent 9,669,008. The Declaration alleges that the enalapril oral liquid formulations of '603 "provides several advantages", particularly improved ease of administration; patient compliance; and accuracy of dosing. The Declaration contends that the enalapril oral liquid formulations "of the present claims", that is, the claims of '603, are stable at 5±3 °C for 12 months or longer with minimal degradation.

The Declaration presents exemplary formulations E7 and E8:

Composition of Enalap		te
Formulations		
Component	E7	E8
Enalapril maleate	1.00	1.00
Citric acid anhydrous	1.80	1.82
Sodium citrate anhydrous	0.16	0.15
Sodium benzoate	1.00	1.00
Sucralose	0.70	0.70
Mixed berry flavor	0.50	0.50
Water	qs	qs
pH (measured)	3.3	3.3
qs = sufficient qu	antity	

It appears that these refer to percentages of the total composition. Thus, formulations E7 and E8 are directed to aqueous compositions comprising

- a) enalapril maleate in an amount of 1.00%;
- b) citric acid and sodium citrate in a total amount of 1.96% or 1.97%;

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c) sodium benzoate in an amount of 1.00%;

d) sucralose in an amount of 0.70%;

e) flavoring in an amount of 0.50%.

The Applicant's attention is directed towards MPEP § 716.02, Allegations of Unexpected

Results: "Any differences between the claimed invention and the prior art may be expected to

result in some differences in properties. The issue is whether the properties differ to such an

extent that the difference is really unexpected."

In order to demonstrate unexpected results, a comparison between the claimed invention

and the closest prior art must be evaluated. By way of comparative examples, the Applicant offers

compositions representing Nahata, prepared using a) water, b), either citrate buffer at a pH of 5.0,

or c) a 1:1 mixture of Ora-Plus and Ora-Sweet (Tables A and B, Figures 1 and 2).

While Applicant has provided evidence demonstrating the unexpected stability of

formulations E7 and E8 as compared to the compositions of Nahata, the formulations E7 and E8

are essentially identical, and limited to a single embodiment of the claimed invention. The

Examiner notes that the instantly claimed invention is drawn to aqueous compositions comprising

(i) about 0.6 to about 1.2 mg/ml enalapril or a pharmaceutically acceptable salt or solvate

thereof:

(ii) a buffer comprising citric acid and sodium citrate, in any amount and in any ratio; and

(iii) one of over twenty recited preservatives, in any amount.

The inventive compositions of E7 and E8 are limited to compositions comprising a single, specific

amount of enalapril; a single, specific amount and ratio of citric acid and sodium citrate; and a

single, specific preservative (sodium benzoate) in a specific amount.

Thus, Applicant has failed to provide data supporting the breadth of the claims. MPEP §

716.02(d) addresses the subject of unexpected results commensurate in scope with the claimed

invention: "Whether the unexpected results are the result of unexpectedly improved results or a

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property not taught by the prior art, the "objective evidence of non-obviousness must be

commensurate in scope with the claims which the evidence is offered to support." In other words,

the showing of unexpected results must be reviewed to see if the results occur over the entire

claimed range. See In re Peterson, 315 F.3d 1325, 1329-31, 65 USPQ2d 1379, 1382-85 (Fed.

Cir. 2003) (data showing improved alloy strength with the addition of 2% rhenium did not evidence

unexpected results for the entire claimed range of about 1-3% rhenium); In re Grasselli, 713 F.2d

731, 741, 218 USPQ 769, 777 (Fed. Cir.1983) (Claims were directed to certain catalysts

containing an alkali metal. Evidence presented to rebut an obviousness rejection compared

catalysts containing sodium with the prior art. The court held this evidence insufficient to rebut the

prima facie case because experiments limited to sodium were not commensurate in scope with

the claims.). However, the subject matter circumscribed by the instant claims extends well beyond

the metes and bounds of these discrete embodiments potentially demonstrated to exert

unexpected results over the prior art compositions, as the Applicant has proffered a single

aqueous composition comprising a) enalapril maleate in an amount of 1.00%; b) citric acid and

sodium citrate in a total amount of 1.96% or 1.97%; and c) sodium benzoate in an amount of

1.00%. As the instant claims are drawn to compositions comprising (i) about 0.6 to about 1.2

mg/ml enalapril or a pharmaceutically acceptable salt or solvate thereof; (ii) a buffer comprising

citric acid and sodium citrate, in any amount and in any ratio; and (iii) one of over twenty recited

preservatives, in any amount, the claims are not commensurate in scope with the disclosed

embodiments. Applicant has failed to address why the data from the exemplified combinations

are indicative of unexpected results over the entire scope of subject matter instantly claimed.

New Grounds of Rejection

Claim Rejections - 35 USC § 112, First Paragraph

The following is a quotation of 35 U.S.C. 112(a):

(a) IN GENERAL.—The specification shall contain a written description of the invention, and of

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the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same, and shall set forth the best mode contemplated by the inventor or joint inventor of carrying out the invention.

The following is a quotation of 35 U.S.C. 112 (pre-AIA), first paragraph:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claims 1-11, 13-26, and 28-30 are rejected under 35 U.S.C. 112(a) or 35 U.S.C. 112 (pre-AIA), first paragraph, as failing to comply with the written description requirement. The claims contain subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor or a joint inventor, or for pre-AIA the inventor(s), at the time the application was filed, had possession of the claimed invention.

The written description requirement is distinct from the enablement requirement; this was first pointed out by the court in In re Ruschig, 379 F.2d 990, 154 USPQ 118 (CCPA 1967), and clarified in Vas-Cath Inc. v. Mahurkar, 935 F.2d 1555, 19 USPQ2d 1111 (Fed. Cir. 1991). The issue of whether the claimed subject matter is adequately supported/described by the specification, is a question of fact. *Id.* at 1563, 19 USPQ2d at 1116.

When considering whether the claimed subject matter complies with the written description requirement, Applicants' disclosure should be read in light of the knowledge possessed by those skilled in the art. See In re Lange, 644 F.2d 856, 863, 209 USPQ 288, 294. See also, In re Alton, 76 F.3d 1168, 37 USPQ2d 1578 (Fed. Cir. 1996).

Applicants enjoy the presumption that their patent application is valid and all statements contained therein are accurate; it is the PTO's burden to demonstrate why any of Applicants claims should be rejected or why any of Applicant's statements should be doubted. In re Marzocchi, 439 F.2d 220, 224, 169 USPQ 367, 370. If successful in presenting such evidence

Page 7

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and argument, the burden then shifts to the Applicant to provide evidence that would convince

one to the contrary.

The instantly claimed invention is generally drawn to compositions comprising:

(i) about 0.6 to about 1.2 mg/ml enalapril or a pharmaceutically acceptable salt or solvate

thereof:

(ii) a buffer comprising citric acid and sodium citrate;

(iii) a preservative selected from ascorbic acid, ascorbyl palmitate, BHA, BHT, EDTA and

its salts, erythorbic acid, fumaric acid, malic acid, propyl gallate, sodium ascorbate, sodium

bisulfate, sodium metabisulfite, sodium sulfite, methylparaben, ethylparaben, propylparaben,

butylparaben, benzoic acid, sodium benzoate, potassium sorbate, and vanillin; and

(iv) water.

The Examiner notes that while the claims limit the amount of enalapril, the buffer and the

preservative are present in the formulations in any amount.

The claimed invention allegedly provides for formulations which are stable at about 5 ± 3

^oC for at least 12 months, having about 95% w/w or greater of the initial enalapril amount and

about 5% w/w or less total impurity or related substances at the end of the given storage period,

as recited in independent claims 1, 17, and 18. Allegedly, the recited properties of stability are the

result of the instantly claimed combinations of active agent, buffer, preservative, and water.

Turning to the specification, the specification discloses certain working embodiments

representing the claimed formulations.

Table A-1 (page 36) is directed towards liquid formulations comprising

(i) 1.0 mg/ml enalapril maleate;

(ii) a buffer comprising 5-12 mM of a mixture of citric acid and sodium citrate;

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(iii) 1 mg/mL sodium benzoate; 1 mg/mL sodium benzoate and 1.75 mg/mL

methylparaben sodium; or 0.335 mg/mL methylparaben sodium, 0.095 mg/mL propylparaben

sodium, and 1 mg/mL potassium sorbate; and

(iv) water.

Table B-1 (pages 37-38) is directed towards liquid formulations comprising

(i) 1.0 mg/ml enalapril maleate:

(ii) a buffer comprising 1-4 mg/mL sodium citrate;

(iii) 1 mg/mL sodium benzoate; and

(iv) water.

The formulations of Tables A-1 and B-1 are evaluated after heating at 60 °C. There is no

disclosure directed towards the formulations of Table A-1 at the recited storage conditions of 5 \pm

3 °C for at least 12 months. Accordingly, the formulations of Tables A-1 and B-1 are not relevant

to the instantly claimed invention.

Table C-1 (page 39) is directed towards formulations formed by dissolving a powder

formulation of enalapril in water; the resulting liquid formulations comprise

(i) 1.0 mg/ml enalapril maleate;

(ii) a buffer comprising 4 to 4.4 mg/mL sodium citrate;

(iii) 0.34 mg/mL sodium methylparaben, 0.09 mg/mL sodium propylparaben, and 1.0

mg/mL potassium sorbate; 1.0 mg/mL sodium methylparaben, 1.0 mg/mL sodium propylparaben,

and 1.0 mg/mL sodium benzoate; or 1.0 mg/mL sodium methylparaben and 1.0 mg/mL sodium

benzoate; and

(iv) water.

The formulations of Table C-1 are evaluated under storage conditions of 5 ± 3 °C for up

to 8 weeks. However, the instantly claimed invention requires stability for up to 12 months, and

thus, Table C-1 does not appear relevant to the claimed invention.

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Table D-1 (page 41) is also directed towards formulations formed by dissolving a powder

formulation of enalapril in water; the resulting liquid formulations comprise

(i) 1.0 mg/ml enalapril maleate;

(ii) a buffer comprising 4 to 4.28 mg/mL sodium citrate;

(iii) 1.0 mg/mL sodium benzoate; and

(iv) water.

The formulations of Table D-1 are evaluated under storage conditions of 5 ± 3 °C for up

to 26 weeks. Again, the instantly claimed invention requires stability for up to 12 months, and

thus, Table D-1 does not appear relevant to the claimed invention.

Table E-1 (page 43) is directed towards liquid formulations comprising

(i) 1.0 mg/ml enalapril maleate;

(ii) a buffer comprising 1 to 4 mg/mL of a mixture of citric acid and sodium citrate;

(iii) 1 mg/mL sodium benzoate; and

(iv) water.

The formulations of Table D-1 are evaluated under storage conditions of 5 ± 3 °C for up

to 62 weeks.

Table G-1 (page 45) is directed towards liquid formulations comprising

(i) 1.0 mg/ml enalapril maleate;

(ii) a buffer comprising 1.8 to 1.96 mg/mL of a mixture of citric acid and sodium citrate;

(iii) 0.40 to 1 mg/mL sodium benzoate; and

(iv) water.

There is no disclosure regarding evaluation of storage conditions of the formulations of G-

1, and thus, Table G-1 is not pertinent to the claimed invention.

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Thus, the specification disclosed formulations of Table D-1 and evidence demonstrating

that the formulations of Table D-1 provide the recited properties of at about 5 ± 3 °C for at least

12 months, having about 95% w/w or greater of the initial enalapril amount and about 5% w/w or

less total impurity or related substances at the end of the given storage period. The Declaration

filed March 1, 2019 also provides working embodiments of the claimed invention. The Declaration

dated February 2, 2017, is directed towards application 15/081,603, now US Patent 9,669,008.

The Declaration provides embodiments of a single aqueous composition comprising a) enalapril

maleate in an amount of 1.00%; b) citric acid and sodium citrate in a total amount of 1.96% or

1.97%; and c) sodium benzoate in an amount of 1.00%. The comparative formulations of Nahata

are evaluated under storage conditions of 5 ± 3 °C for up to 91 days. The inventive formulation of

E8 is only evaluated up to 42 days; the inventive formulation of E7 is evaluated up to 581 days

(Table A, page 5).

Accordingly, the specification and declaration provide working embodiments of liquid

aqueous compositions comprising

(i) 1.0 mg/mL enalapril maleate; (ii) a buffer comprising 1 to 4 mg/mL of a mixture of citric

acid and sodium citrate; and (iii) 1 mg/mL sodium benzoate;

(i) 1.0 mg/mL enalapril maleate; b) a buffer comprising 1.96-1.97 mg/mL of a mixture of

citric acid and sodium citrate; and (iii) 1 mg/mL sodium benzoate.

The Examiner again notes that when considering whether the claimed subject matter

complies with the written description requirement, Applicants' disclosure should be read in light

of the knowledge possessed by those skilled in the art. See *In re Lange*, 644 F.2d 856, 863, 209

USPQ 288, 294. See also, *In re Alton*, 76 F.3d 1168, 37 USPQ2d 1578 (Fed. Cir. 1996).

The state of the art at the time of the invention suggests that while liquid formulations of

enalapril were well known at the time of the invention, the long term storage stability of said

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extemporaneously prepared oral liquids", Am. J. Health-Syst. Pharm., 1998, vol. 55, pages 1155-

formulations was not disclosed. Nahata et al., "Stability of elanapril maleate in three

1157 (cited in PTO-892 dated January 25, 2019) is the closest prior art.

Nahata teaches formulations comprising

(i) 1 mg/ml enalapril;

(ii) a buffer comprising citric acid and sodium citrate:

(iii) a preservative, such as citric acid, sodium chloride, and

(iv) water.

Nahata also teaches an aqueous solution comprising 1 mg/ml enalapril in a mixture of

Ora-Sweet and Ora-Plus (page 1156, column 1, paragraph 2). Ora-Sweet and Ora-Plus are

commercially available from Paddock Laboratories (page 1157, footnotes d and e). Ora-Sweet is

an aqueous solution comprising sucrose, glycerin, sorbitol, flavoring, citric acid, sodium

phosphate, methylparaben, and potassium sorbate; Ora-Plus is an aqueous solution comprising

microcrystalline cellulose, carboxymethylcellulose sodium, xanthan gum, flavoring, citric acid,

sodium phosphate, simethicone, methylparaben, and potassium sorbate; both Ora-Sweet and

Ora-Plus have a pH of 4.2. Thus, the formulation taught by Nahata comprising 1 mg/ml enalapril

in a mixture of Ora-Sweet and Ora-Plus comprises

(i) 1 mg/ml enalapril;

(ii) citric acid;

(iii) a preservative, such as citric acid, methylparaben, potassium sorbate; and

(iv) water.

The formulations comprising Ora-Sweet and Ora-Plus also comprise sweeteners and

flavoring agents, while not containing mannitol or silicon dioxide.

Although Nahata does not explicitly teach that the formulation is stable at about 5 ± 3 °C

for at least 12 months, or that the formulation has about 95% w/w or greater of the initial enalapril

amount and about 5% w/w or less total impurity or related substances at the end of the given

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storage period, the formulations taught by Nahata generally meet the requirements of the instantly

claimed invention. However, the Declaration and remarks filed March 1, 2019, contend that the

instantly claimed formulations provide unexpected stability over the prior art formulations.

Regarding the requirement for adequate written description, Applicant's attention is

directed to MPEP § 2163. In particular, Regents' of the University of California v. Eli Lilly & Co.,

119 F.3d 1559, 1568 (Fed. Cir. 1997), cert. denied, 523 U.S. 1089, 118 S. Ct. 1548 (1998), holds

that an adequate written description requires a precise definition, such as by structure, formula,

chemical name, or physical properties, "not a mere wish or plan for obtaining the claimed chemical

invention." Eli Lilly, 119 F.3d at 1566. The Federal Circuit has adopted the standard set forth in

the Patent and Trademark Office ("PTO") Guidelines for Examination of Patent Applications under

the 35 U.S.C. 112.1 "Written Description" Requirement ("Guidelines"), 66 Fed. Reg. 1099 (Jan.

5, 2001), which state that the written description requirement can be met by "showing that an

invention is complete by disclosure of sufficiently detailed, relevant identifying characteristics,"

including "functional characteristics when coupled with a known or disclosed correlation between

function and structure..." Enzo Biochem v. Gen-Probe Inc., 296 F.3d 316, 1324-25 (Fed. Cir.

2002) (quoting Guidelines, 66 Fed. Reg. at 1106. Moreover, although Eli Lilly and Enzo were

decided within the factual context of DNA sequences, this does not preclude extending the

reasoning of those cases to chemical structures in general. Univ. of Rochester v. G.D. Searle &

Co., 249 Supp. 2d 216, 225 (W.D.N.Y. 2003).

The specification and declaration provide working embodiments representing the instantly

claimed invention in the form of liquid aqueous compositions comprising

(i) 1.0 mg/mL enalapril maleate; (ii) a buffer comprising 1 to 4 mg/mL of a mixture of citric

acid and sodium citrate; and (iii) 1 mg/mL sodium benzoate;

(i) 1.0 mg/mL enalapril maleate; b) a buffer comprising 1.96-1.97 mg/mL of a mixture of

citric acid and sodium citrate; and (iii) 1 mg/mL sodium benzoate.

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While such disclosure has been acknowledged, it is noted that the claimed subject matter

extends far beyond these select embodiments potentially demonstrated to have unexpected long-

term stability as compared to the formulations of Nahata. The instant claims are drawn to

compositions comprising (i) about 0.6 to about 1.2 mg/ml enalapril or a pharmaceutically

acceptable salt or solvate thereof; (ii) a buffer comprising citric acid and sodium citrate, in any

amount and in any ratio; and (iii) one of over twenty recited preservatives, in any amount.

However, Applicant has failed to provide a limiting definition via the disclosure of relevant

structural characteristics or physical properties that would provide adequate written description of

the genus of formulations capable of achieving the required long-term stability to demonstrate

that Applicant was actually in possession of the breadth of the claimed invention at the time of the

invention.

MPEP § 2163 recites, "The written description requirement for a claimed genus may be

satisfied through sufficient description of a representative number of species by actual reduction

to practice, reduction to drawings, or by disclosure of relevant identifying characteristics, i.e.,

structure or other physical and/or chemical properties, by functional characteristics coupled with

a known of disclosed correlation between function and structure, or by a combination of such

identifying characteristics, sufficient to show the Applicant was in possession of the claimed

genus." The Applicant's attention is directed to Eli Lilly, 119 F.3d at 1568, 43 USPQ2d at 1406.

Although the Applicants have disclosed a select number of embodiments of the claimed

invention, there is no disclosure, either explicitly or with sound basis to support the breadth of the

claimed invention, particularly the allegedly unexpected long-term stability that Applicant

contends is the inventive concept of the claimed formulations. Although one would generally

recognize that a preservative could be substituted for another preservative, Applicant has failed

to provide a limiting definition via the disclosure of relevant physical properties as being

responsible for the function of the formulation that would provide adequate written description of

the genus of formulations which would be stable at about 5 ± 3 °C for at least 12 months, having

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or related substances at the end of the given storage period. Thus, Applicants have not

about 95% w/w or greater of the initial enalapril amount and about 5% w/w or less total impurity

adequately described the invention for the breadth that is claimed. It thus appears that Applicants

were not in possession of the claimed invention at the time the application was filed, the

boundaries of the genus have not been adequately set forth, and the limited number of exemplified

compositions would not support the breadth of the claimed genus.

Accordingly, the claims are considered to lack sufficient written description and are

properly rejected under 35 U.S.C. 112, first paragraph.

Maintained Rejections

Double Patenting

The nonstatutory double patenting rejection is based on a judicially created doctrine

grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or

improper timewise extension of the "right to exclude" granted by a patent and to prevent possible

harassment by multiple assignees. A nonstatutory double patenting rejection is appropriate where

the conflicting claims are not identical, but at least one examined application claim is not

patentably distinct from the reference claim(s) because the examined application claim is either

anticipated by, or would have been obvious over, the reference claim(s). See, e.g., In re Berg,

140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); In re Goodman, 11 F.3d 1046, 29 USPQ2d

2010 (Fed. Cir. 1993); In re Longi, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); In re Van

Ornum, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); In re Vogel, 422 F.2d 438, 164 USPQ 619

(CCPA 1970); In re Thorington, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be

used to overcome an actual or provisional rejection based on nonstatutory double patenting

provided the reference application or patent either is shown to be commonly owned with the

examined application, or claims an invention made as a result of activities undertaken within the

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scope of a joint research agreement. See MPEP § 717.02 for applications subject to examination

under the first inventor to file provisions of the AIA as explained in MPEP § 2159. See MPEP §§

706.02(I)(1) - 706.02(I)(3) for applications not subject to examination under the first inventor to file

provisions of the AIA. A terminal disclaimer must be signed in compliance with 37 CFR 1.321(b).

The USPTO Internet website contains terminal disclaimer forms which may be used.

Please visit www.uspto.gov/patent/patents-forms. The filing date of the application in which the

form is filed determines what form (e.g., PTO/SB/25, PTO/SB/26, PTO/AIA/25, or PTO/AIA/26)

should be used. A web-based eTerminal Disclaimer may be filled out completely online using

web-screens. An eTerminal Disclaimer that meets all requirements is auto-processed and

approved immediately upon submission. For more information about eTerminal Disclaimers, refer

to www.uspto.gov/patents/process/file/efs/guidance/eTD-info-l.jsp.

Claims 1-30 are rejected on the ground of nonstatutory double patenting as being

unpatentable over claims 1-20 of U.S. Patent No. 9,669,008, herein referred to as '008. Although

the claims at issue are not identical, they are not patentably distinct from each other.

Claims 1-20 are of '008 are generally drawn towards stable oral liquid formulations

comprising enalapril, a buffer comprising citric acid and sodium citrate dehydrate; a preservative

that is sodium benzoate; and water; wherein the pH of the formulation is less than about 3.5;

wherein the formulation is stable at about 5±3 °C for at least 12 months. Claim 18 is drawn to a

particular species of composition, namely, a stable oral liquid formulation, consisting essentially

of: (i) about 1 mg/ml enalapril maleate; (ii) about 0.70 mg/ml sucralose; (iii) a buffer comprising

about 1.82 mg/ml citric acid and about 0.15 mg/ml sodium citrate dihydrate; (iv) about 1 mg/ml

sodium benzoate; (v) a flavoring agent; (vi) water; wherein the pH of the formulation is less than

about 3.5; wherein the formulation is stable at about 5±3 °C for at least 12 months. Thus, claims

1-20 of '008 are drawn to a species of the instantly claimed formulation. The ordinarily skilled

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artisan would find it prima facie obvious to arrive at the instantly claimed invention in view of the

methods of use described in claims 1-20 of '008.

Accordingly, the instantly claimed invention is an obvious variant of the invention claimed

in '008.

Claims 1-30 are rejected on the ground of nonstatutory double patenting as being

unpatentable over claims 1-30 of U.S. Patent No. 9,808,442, herein referred to as '442. Although

the claims at issue are not identical, they are not patentably distinct from each other.

Claims 1-30 are of '442 are generally drawn towards methods of treating hypertension,

heart failure, and left ventricular dysfunction in a subject, comprising administering a stable oral

liquid formulation comprising enalapril; a buffer comprising citric acid and sodium citrate

dehydrate; a preservative that is sodium benzoate; and water; wherein the pH of the formulation

is less than about 3.5; wherein the formulation is stable at about 5±3 °C for at least 12 months.

Thus, claims 1-30 of '442 are drawn to methods of use of a species of the instantly claimed

formulation. The ordinarily skilled artisan would find it prima facie obvious to arrive at the instantly

claimed invention in view of the methods of use described in claims 1-30 of '442.

Accordingly, the instantly claimed invention is an obvious variant of the invention claimed

in '442.

Claims 1-30 are rejected on the ground of nonstatutory double patenting as being

unpatentable over claims 1-20 of U.S. Patent No. 10,039,745, herein referred to as '745. Although

the claims at issue are not identical, they are not patentably distinct from each other.

Claims 1-20 are of '745 are generally drawn towards stable oral liquid formulations

comprising enalapril, a buffer comprising citric acid and sodium citrate dehydrate; a preservative

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that is sodium benzoate; and water; wherein the formulation is stable at about 5±3 °C for at least

12 months. Thus, claims 1-20 of '745 are generally drawn towards a species of the instantly

claimed formulation. The ordinarily skilled artisan would find it *prima facie* obvious to arrive at the

instantly claimed invention in view of the methods of use described in claims 1-20 of '745.

Accordingly, the instantly claimed invention is an obvious variant of the invention claimed

in '745.

Claims 1-30 are rejected on the ground of nonstatutory double patenting as being

unpatentable over claims 1-30 of U.S. Patent No. 10,154,987, herein referred to as '987. Although

the claims at issue are not identical, they are not patentably distinct from each other.

Claims 1-30 are of '987 are generally drawn towards methods of treating hypertension,

heart failure, and left ventricular dysfunction in a subject, comprising administering a stable oral

liquid formulation comprising enalapril; a buffer comprising citric acid and sodium citrate

dehydrate; a preservative that is sodium benzoate; and water; wherein the pH of the formulation

is less than about 3.5; wherein the formulation is stable at about 5±3 °C for at least 12 months.

Thus, claims 1-30 of '987 are drawn to a method of using a species of the instantly claimed

formulation. The ordinarily skilled artisan would find it *prima facie* obvious to arrive at the instantly

claimed invention in view of the methods of use described in claims 1-30 of '987.

Accordingly, the instantly claimed invention is an obvious variant of the invention claimed

in '987.

Response to Arguments

Applicant has stated that Applicant has submitted terminal disclaimers with respect to US

Patent Nos. 9,669,008; 9,808,442; 10,039,745; and 10,154,987. However, a terminal disclaimer

has not been filed. Further, the Applicant failed to provide remarks directed at the propriety of the

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double patenting rejection itself. In view of this, and the fact that no patentable subject matter has

yet been identified, the obviousness double patenting rejections are hereby maintained.

Conclusion

No claims are allowed in this application.

THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as

set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS

from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the

mailing date of this final action and the advisory action is not mailed until after the end of the

THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the

date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be

calculated from the mailing date of the advisory action. In no event, however, will the statutory

period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner

should be directed to Stephanie Springer whose telephone number is 571-270-7380. The

examiner can normally be reached on Monday through Thursday from 8 am to 5 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Jeffrey Lundgren, can be reached on 571-272-5541. The fax phone number for the

organization where this application or proceeding is assigned is 571-270-8380.

Information regarding the status of an application may be obtained from the Patent

Application Information Retrieval (PAIR) system. Status information for published applications

may be obtained from either Private PAIR or Public PAIR. Status information for unpublished

applications is available through Private PAIR only. For more information about the PAIR system,

see http://portal.uspto.gov/external/portal. Should you have questions on access to the Private

PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

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Application/Control Number: 16/177,159 Art Unit: 1629 Page 19

/Stephanie Springer/ Examiner, Art Unit 1629

/JEFFREY S LUNDGREN/ Supervisory Patent Examiner, Art Unit 1629

Cond 1:20 ov 012F6 LDC Decument 74.1 Filed 04/0F/21 Dece F2F of 740 DecelD #: 2726
Case 1:20-cv-01256-LPS Document 74-1 Filed 04/05/21 Page 535 of 748 PageID #: 2726 9669008
9808442
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as the term of said prior patent is presently shortened by any terminal disclaimer. The owner hereby agrees that any patent so granted on the instant application shall be enforceable only for and during such period that it and the prior patent are commonly owned. This agreement runs with any patent granted on the instant application and is binding upon the grantee, its successors or assigns.
In making the above disclaimer, the owner does not disclaim the terminal part of the term of any patent granted on the instant application that would extend to the expiration date of the full statutory term of the prior patent, "as the term of said prior patent is presently shortened by any terminal disclaimer," in the event that said prior patent later: - expires for failure to pay a maintenance fee; - is held unenforceable;
- is found invalid by a court of competent jurisdiction; - is statutorily disclaimed in whole or terminally disclaimed under 37 CFR 1.321;
- has all claims canceled by a reexamination certificate;
- is reissued; or - is in any manner terminated prior to the expiration of its full statutory term as presently shortened by any terminal disclaimer.
- is in any manner terminated prior to the expiration of its full statutory term as presently shortened by any terminal discialiner.
Terminal disclaimer fee under 37 CFR 1.20(d) is included with Electronic Terminal Disclaimer request.
I certify, in accordance with 37 CFR 1.4(d)(4), that the terminal disclaimer fee under 37 CFR 1.20(d) required for this terminal disclaimer has already been paid in the above-identified application.
Applicants claims the following fee status:
Small Entity
Micro Entity
Regular Undiscounted
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.
THIS PORTION MUST BE COMPLETED BY THE SIGNATORY OR SIGNATORIES
I certify, in accordance with 37 CFR 1.4(d)(4) that I am:
An attorney or agent registered to practice before the Patent and Trademark Office who is of record in this application
Registration Number 67024
A sole inventor
A joint inventor; I certify that I am authorized to sign this submission on behalf of all of the inventors as evidenced by the power of attorney in the application
A joint inventor; all of whom are signing this request

Case 1:20-cv-01256-LPS Signature	Document 74-1 /Clark Lin/	Filed 04/05/21	Page 536 of 748 PageID #: 2727
Name	Clark Y. Lin		

^{*}Statement under 37 CFR 3.73(b) is required if terminal disclaimer is signed by the assignee (owner). Form PTO/SB/96 may be used for making this certification. See MPEP § 324.

Doc Code: DISQ.E.FILE Document Description: Electronic Terminal Disclaimer – Approved				
Application No.: 16177159				
Filing Date: 31-Oct-2018				
Applicant/Patent under Reexamination: Mosher				
Electronic Terminal Disclaimer filed on August 1, 2019				
This patent is subject to a terminal disclaimer				
DISAPPROVED				
Approved/Disapproved by: Electronic Terminal Disclaimer automatically approved by EFS-Web				
U.S. Patent and Trademark Office				

Doc Code: A.NE.AFCP

Document Description: After Final Consideration Pilot Program Request

PTO/SB/434 (05-13)

CERTIFICATION AND REQUEST FOR CONSIDERATION UNDER THE AFTER FINAL CONSIDERATION PILOT PROGRAM 2.0			
Practitioner Docket No.: Application No.: Filing Date:			
43060-707.304	16/177,159	October 31, 2018	
First Named Inventor: Title:			
Gerold L. MOSHER	ENALAPRIL FORI	MULATIONS	

APPLICANT HEREBY CERTIFIES THE FOLLOWING AND REQUESTS CONSIDERATION UNDER THE AFTER FINAL CONSIDERATION PILOT PROGRAM 2.0 (AFCP 2.0) OF THE ACCOMPANYING RESPONSE UNDER 37 CFR 1.116.

- The above-identified application is (i) an original utility, plant, or design nonprovisional application filed under 35 U.S.C. 111(a) [a continuing application (e.g., a continuation or divisional application) is filed under 35 U.S.C. 111(a) and is eligible under (i)], or (ii) an international application that has entered the national stage in compliance with 35 U.S.C. 371(c).
- 2. The above-identified application contains an outstanding final rejection.
- Submitted herewith is a response under 37 CFR 1.116 to the outstanding final rejection. The response includes an
 amendment to at least one independent claim, and the amendment does not broaden the scope of the independent claim in
 any aspect.
- 4. This certification and request for consideration under AFCP 2.0 is the only AFCP 2.0 certification and request filed in response to the outstanding final rejection.
- 5. Applicant is willing and available to participate in any interview requested by the examiner concerning the present response.
- 6. This certification and request is being filed electronically using the Office's electronic filing system (EFS-Web).
- Any fees that would be necessary consistent with current practice concerning responses after final rejection under 37 CFR 1.116, e.g., extension of time fees, are being concurrently filed herewith. [There is no additional fee required to request consideration under AFCP 2.0.]
- 8. By filing this certification and request, applicant acknowledges the following:
 - Reissue applications and reexamination proceedings are not eligible to participate in AFCP 2.0.
 - The examiner will verify that the AFCP 2.0 submission is compliant, *i.e.*, that the requirements of the program have been met (see items 1 to 7 above). For compliant submissions:
 - The examiner will review the response under 37 CFR 1.116 to determine if additional search and/or consideration (i) is necessitated by the amendment and (ii) could be completed within the time allotted under AFCP 2.0. If additional search and/or consideration is required but cannot be completed within the allotted time, the examiner will process the submission consistent with current practice concerning responses after final rejection under 37 CFR 1.116, e.g., by mailing an advisory action.
 - o If the examiner determines that the amendment does not necessitate additional search and/or consideration, or if the examiner determines that additional search and/or consideration is required and could be completed within the allotted time, then the examiner will consider whether the amendment places the application in condition for allowance (after completing the additional search and/or consideration, if required). If the examiner determines that the amendment does not place the application in condition for allowance, then the examiner will contact the applicant and request an interview.
 - The interview will be conducted by the examiner, and if the examiner does not have negotiation authority, a primary examiner and/or supervisory patent examiner will also participate.
 - If the applicant declines the interview, or if the interview cannot be scheduled within ten (10) calendar days from the date that the examiner first contacts the applicant, then the examiner will proceed consistent with current practice concerning responses after final rejection under 37 CFR 1.116.

Signature	Date		
/Clark Lin/	August 1, 2019		
Name	Practitioner		
(Print/Typed) Clark Y. Lin	Registration No. 67,024		
Note: This form must be signed in accordance with 37 CFR 1 33. See 37 CFR 1 4/d) for signature requirements and certifications. Subrait multiple			

Note: This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4(d) for signature requirements and certifications. Submit multiple forms if more than one signature is required, see below*.

7 * Total of 1	forms	are	submitted
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Electronically Filed: August 1, 2019 Attorney Docket No.: 43060-707.304

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Inventors: Gerold L. Mosher, et al.

Serial No.: 16/177,159

Filed: October 31, 2018

Title: ENALAPRIL FORMULATIONS

Group Art Unit: 1629

Confirmation No.: 3572

Examiner: SPRINGER, Stephanie K.

Customer No.: 21971

Certificate of Electronic Filing

I hereby certify that the attached Response and all accompanying papers is being deposited by Electronic Filing on **August 1**, **2019**, by using the EFS – Web patent filing system and addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By:	/Rose Andico/	
-	Rose Andico	

Mail Stop AF

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

RESPONSE TO FINAL OFFICE ACTION WITH REQUEST FOR CONSIDERATION UNDER THE AFTER FINAL CONSIDERATION PILOT PROGRAM

Dear Commissioner:

This paper is a response to the Final Office Action mailed on June 24, 2019. The Commissioner is hereby authorized to charge any fees associated with the filing of this response to Deposit Account No. 23-2415, referencing Docket No. 43060-707.304.

Prior to reconsidering this application on the merits, please amend the application as follows:

Amendments to the Claims begin on page 2.

Remarks begin on page 6.

The **Conclusion** is on page 8.

U.S. Patent Application No. 16/177,159 Attorney Docket No.: 43060-707.304 Response to the Final Office Action dated June 24, 2019

Amendments to the Claims

This listing of claims will replace all prior versions, amendments and listings of claims in this application. The following amendments do not constitute an admission regarding the patentability of the amended subject matter and should not be so construed. Applicant reserves the right to pursue the subject matter of the withdrawn claims in this or any other appropriate patent application.

Listing of the Claims:

- 1. (Currently Amended) A stable oral liquid formulation, comprising:
 - (i) about 0.6 to about 1.2 mg/ml enalapril or a pharmaceutically acceptable salt or solvate thereof;
 - (ii) a buffer comprising about 1-4 mg/ml of a mixture of citric acid and sodium citrate;
 - (iii) about 1 mg/ml[[a]] preservative, wherein the preservative is selected from ascorbic acid, ascorbyl palmitate, BHA, BHT, EDTA and its salts, erythorbic acid, fumaric acid, malic acid, propyl gallate, sodium ascorbate, sodium bisulfate, sodium metabisulfite, sodium sulfite, methylparaben, ethylparaben, propylparaben, butylparaben, benzoic acid, sodium benzoate, potassium sorbate, and vanillin; and
 - (iv) water;
 - wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 12 months; and wherein the stable oral liquid formulation has about 95% w/w or greater of the initial enalapril amount and about 5% w/w or less total impurity or related substances at the end of the given storage period.
- 2. (Previously Presented) The stable oral liquid formulation of claim 1 further comprising a sweetener.
- 3. (Previously Presented) The stable oral liquid formulation of claim 2, wherein the sweetener is sucralose.
- 4. (Previously Presented) The stable oral liquid formulation of claim 1 further comprising a flavoring agent.

- 5. (Previously Presented) The stable oral liquid formulation of claim 1, wherein the formulation does not contain mannitol.
- 6. (Previously Presented) The stable oral liquid formulation of claim 1, wherein the formulation does not contain silicon dioxide.
- 7. (Previously Presented) The stable oral liquid formulation of claim 1, wherein the buffer comprises about 0.8 to about 3.5 mg/ml citric acid.
- 8. (Previously Presented) The stable oral liquid formulation of claim 1, wherein the buffer comprises about 0.1 to about 0.8 mg/ml sodium citrate.
- 9. (Previously Presented) The stable oral liquid formulation of claim 1, wherein the pH of the stable oral liquid formulation is less than about 3.5.
- 10. (Previously Presented) The stable oral liquid formulation of claim 1, wherein the pH of the stable oral liquid formulation is between about 3 and about 3.5.
- 11. (Previously Presented) The stable oral liquid formulation of claim 1, wherein the pH of the stable oral liquid formulation is about 3.3.
- 12. (Canceled)
- 13. (Previously Presented) The stable oral liquid formulation of claim 1, wherein the preservative is sodium benzoate.
- 14. (Canceled)
- 15. (Previously Presented) The stable oral liquid formulation of claim 1, wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 18 months.
- 16. (Previously Presented) The stable oral liquid formulation of claim 1, wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 24 months.
- 17. (Currently Amended) A stable oral liquid formulation, consisting essentially of:
 - (i) about 0.6 to about 1.2 mg/ml enalapril or a pharmaceutically acceptable salt or solvate thereof;
 - (ii) a buffer comprising <u>about 1-4 mg/ml of a mixture of citric acid and sodium</u> citrate:

- (iii) about 1 mg/ml[[a]] preservative, wherein the preservative is selected from ascorbic acid, ascorbyl palmitate, BHA, BHT, EDTA and its salts, erythorbic acid, fumaric acid, malic acid, propyl gallate, sodium ascorbate, sodium bisulfate, sodium metabisulfite, sodium sulfite, methylparaben, ethylparaben, propylparaben, butylparaben, benzoic acid, sodium benzoate, potassium sorbate, and vanillin; and
 - (iv) water;

wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 12 months; and wherein the stable oral liquid formulation has about 95% w/w or greater of the initial enalapril amount and about 5% w/w or less total impurity or related substances at the end of the given storage period.

- 18. (Currently Amended) A stable oral liquid formulation, comprising:
 - (i) about 10% to about 25% (w/w of solids) enalapril or a pharmaceutically acceptable salt or solvate thereof;
 - (ii) a buffer comprising about 17% to about 47% (w/w of solids) citric acid and about 1% to about 11% (w/w of solids) sodium citrate;
 - (iii) about 19.3%1% to about 30% (w/w of solids) of a preservative, wherein the preservative is selected from ascorbic acid, ascorbyl palmitate, BHA, BHT, EDTA and its salts, erythorbic acid, fumaric acid, malic acid, propyl gallate, sodium ascorbate, sodium bisulfate, sodium metabisulfite, sodium sulfite, methylparaben, ethylparaben, propylparaben, butylparaben, benzoic acid, sodium benzoate, potassium sorbate, and vanillin; and
 - (iv) water;

wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 12 months; and wherein the stable oral liquid formulation has about 95% w/w or greater of the initial enalapril amount and about 5% w/w or less total impurity or related substances at the end of the given storage period.

- 19. (Previously Presented) The stable oral liquid formulation of claim 18 further comprising a sweetener.
- 20. (Previously Presented) The stable oral liquid formulation of claim 19, wherein the sweetener is sucralose.

- 21. (Previously Presented) The stable oral liquid formulation of claim 18 further comprising a flavoring agent.
- 22. (Previously Presented) The stable oral liquid formulation of claim 18, wherein the formulation does not contain mannitol.
- 23. (Previously Presented) The stable oral liquid formulation of claim 18, wherein the formulation does not contain silicon dioxide.
- 24. (Previously Presented) The stable oral liquid formulation of claim 18, wherein the pH of the stable oral liquid formulation is less than about 3.5.
- 25. (Previously Presented) The stable oral liquid formulation of claim 18, wherein the pH of the stable oral liquid formulation is between about 3 and about 3.5.
- 26. (Previously Presented) The stable oral liquid formulation of claim 18, wherein the pH of the stable oral liquid formulation is about 3.3.
- 27. (Canceled)
- 28. (Previously Presented) The stable oral liquid formulation of claim 18, wherein the preservative is sodium benzoate.
- 29. (Previously Presented) The stable oral liquid formulation of claim 18, wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 18 months.
- 30. (Previously Presented) The stable oral liquid formulation of claim 18, wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 24 months.

Electronically Filed: August 1, 2019 Attorney Docket No.: 43060-707.304

<u>REMARKS</u>

Claims 1-11, 13, 15-26, and 28-30 are pending in this application. By way of this response, claims 1, 17, and 18 have been amended, and claim 14 has been canceled. No new matter is presented by way of the amendments.

Withdrawal of any subject matter herein does not constitute an admission that the subject matter is unpatentable for any reason and Applicant reserves the right to file claims directed to withdrawn subject matter in this or a related application.

Rejection Under 35 U.S.C. §112(a)

Claims 1-11, 13, 15-26, and 28-30 are rejected under 35 U.S.C. § 112(a) as failing to comply with the written description requirement. Specifically, the Office noted that "while the claims limit the amount of enalapril, the buffer and the preservative are present in the formulations in any amount."

Without acquiescing to the Office's rejection but solely in an effort to expedite prosecution, claims 1 and 17 have been amended to recite "(ii) a buffer comprising about 1-4 mg/ml of a mixture of citric acid and sodium citrate; (iii) about 1 mg/ml preservative, wherein the preservative is...." Claim 18 has been amended similarly in a % w/w format.

Accordingly, Applicant respectfully requests the rejections be withdrawn.

Double Patenting Objection

Claims 1-11, 13, 15-26, and 28-30 are rejected on the ground of nonstatutory double patenting as being unpatentable over claims 1-20 of U.S. Patent No. 9,669,008, claims 1-30 of U.S. Patent No. 9,808,442, claims 1-20 of U.S. Patent No. 10,039,745, and claims 1-30 of U.S. Patent No. 10,154,987.

Without acquiescing in this ground of rejection and solely in an effort to expedite prosecution, Applicant hereby submits Terminal Disclaimers with respect to U.S. Patent No. 9,669,008, U.S. Patent No. 9,808,442, U.S. Patent No. 10,039,745, and U.S. Patent No. 10,154,987, as well as U.S. Appl. Ser. No. 16/242,898.

The Terminal Disclaimers obviate the present rejections. Accordingly, Applicant respectfully requests that the rejections be withdrawn.

In view of the remarks and amendments submitted herein, Applicant believes that the Application is in condition for allowance and such action is earnestly solicited.

* * *

U.S. Patent Application No. 16/177,159
Response to the Final Office Action dated June 24, 2019

CONCLUSION

Applicant submits that this response fully addresses the Office Action mailed on June 24, 2019. Applicant believes that for the reasons set forth herein the pending claims are in condition for allowance and early and favorable consideration is respectfully requested.

Should the Examiner have any questions or concerns, the Examiner is encouraged to contact the undersigned attorney at (617) 598-7823.

Respectfully submitted,

WILSON SONSINI GOODRICH & ROSATI Professional Corporation

Attorney Docket No.: 43060-707.304

Date: August 1, 2019 By: /Clark Lin/

Clark Y. Lin, Ph.D., Esq. Reg. No. 67,024

650 Page Mill Road Palo Alto, CA 94304 Direct Dial: (858) 350-2306

Customer No. 021971

Attorney Docket No. 43060-707.304 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: MOSHER; Gerold L. et

ıl.

Serial Number: 16/177,159

Filing or 371 (c) Date: 2018-10-31

Title: ENALAPRIL

FORMULATIONS

Group Art Unit: 1629

Examiner: SPRINGER,

Stephanie K.

CONFIRMATION NO: 3572

FILED ELECTRONICALLY ON: August 23, 2019

Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97

Commissioner for Patents:

An Information Disclosure Statement along with attached PTO/SB/08 is hereby submitted. A copy of each listed publication is submitted, if required, pursuant to 37 CFR §§1.97-1.98, as indicated below.

The Examiner is requested to review the information provided and to make the information of record in the above-identified application. The Examiner is further requested to initial and return the attached PTO/SB/08 in accordance with MPEP § 609.

The right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be enabling for the teachings purportedly offered, is hereby reserved.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, prior art or material to patentability as defined in § 1.56.

Α.	37 CFI because:	R § 1.97	7 (b). This Information Disclosure Statement should be considered by the Office		
		(1)	It is being filed within 3 months of the filing date of a national application and is other than a continued prosecution application under § 1.53 (d);		
			OR		
		(2)	It is being filed within 3 months of entry of the national stage as set forth in § 1.491 in an international application;		
			OR		
		(3)	It is being filed before the mailing of a first Office action on the merits;		
			OR		
		(4)	It is being filed before the mailing of a first Office action after the filing of a request for continued examination under § 1.114.		
В.	specified in office action closes pros	n 37 CF on under secution	(c). Although this Information Disclosure Statement is being filed after the period $(R \ \S \ 1.97(b))$, above, it is filed before the mailing date of the earlier of (1) a final $(\S \ 1.113, (2))$ a notice of allowance under $(\S \ 1.311, (3))$ an action that otherwise in the application, this Information Disclosure Statement should be considered panied by one of:		
	\boxtimes	a stater	ment as specified in §1.97 (e) provided concurrently herewith;		
			OR		
			f \$240.00 as set forth in § 1.17 (p) authorized below, enclosed, or included with ment of other papers filed together with this statement.		
C.	2. 37 CFR § 1.97 (d). Although this Information Disclosure Statement is being filed after the mailing date of the earlier of (1) a final office action under § 1.113, (2) a notice of allowance under § 1.311, or (3) an action that otherwise closes prosecution in the application, it is being filed before payment of the issue fee and should be considered because it is accompanied by:				
		i. a st	atement as specified in § 1.97 (e);		
			AND		
			be of \$240.00 as set forth in \$1.17(p) is authorized below, enclosed, or included the payment of other papers filed together with this Statement.		
D.	⊠ 37 CFI	R §1.97 ((e). Statement.		
		A state	ment is provided herewith to satisfy the requirement under 37 CFR §§ 1.97 (c); AND/OR		
		A atota	ment is provided herewith to satisfy the requirement under 37 CFR §§ 1.97 (d);		
	Ш	A state	AND/OR		
	\square	A cont			
		informathe cor	of a dated communication from a foreign patent office clearly showing that the ation disclosure statement is being submitted within 3 months of the filing date on mmunication is provided in lieu of a statement under 37 C.F.R. § 1.97(e) (1) as ed for under MPEP 609.04(b) V.		

Е.	Statement Under 37 C.F.R. §1.704(d). Each item of information contained in the information disclosure statement was first cited in any communication from a patent office in a counterpart foreign or international application or from the Office or is a communication that was issued by a patent office in a counterpart foreign or international application or by the Office that was received by an individual designated in § 1.56(c) not more than thirty (30) days prior to the filing of this information disclosure statement. This statement is made pursuant to the requirements of 37 C.F.R. §1.704(d) to avoid reduction of the period of adjustment of the patent term for Applicant(s) delay.			
F.	⊠ 37 CFI	R §1.98 (a) (2). The content of the Information Disclosure Statement is as follows:		
		Copies of each of the references listed on the attached Form PTO/SB/08 are enclosed herewith.		
		OR		
		Copies of U.S. Patent Documents (issued patents and patent publications) listed on the attached Form PTO/SB/08 are not enclosed.		
		AND/OR		
	\boxtimes	Copies of Foreign Patent Documents and/or Non Patent Literature Documents listed on the attached Form PTO/SB/08 are enclosed in accordance with 37 CFR §1.98 (a)(2).		
		AND/OR		
		Copies of pending unpublished U.S. patent applications are enclosed in accordance with 37 CFR §1.98 (a) (2) (iii).		
G.	37 CFI references.	R §1.98(a)(3). The Information Disclosure Statement includes non-English patents and/or		
		Pursuant to 37 CFR §1.98(a)(3)(i), a concise explanation of the relevance of each patent, publication or other information provided that is not in English is provided herewith.		
		Pursuant to MPEP 609(B), an English language copy of a foreign search report is submitted herewith to satisfy the requirement for a concise explanation where non-English language information is cited in the search report.		
		OR		
		A concise explanation of the relevance of each patent, publication or other information provided that is not in English is as follows:		
		Pursuant to 37 CFR §1.98(a) (3) (ii), a copy of a translation, or a portion thereof, of the non-English language reference(s) is provided herewith.		
H.		$R \ \S 1.98(d)$. Copies of patents, publications and pending U.S. patent applications, or other a specified in 37 C.F.R. $\S 1.98(a)$ are not provided herewith because:		
		Pursuant to 37 CFR §1.98(d)(1) the information was previously submitted in an Information Disclosure Statement, or cited by examiner for another application under which this application claims priority for an earlier effective filing date under 35 U.S.C. 120.		
		Application in which the information was submitted:		
		Information Disclosure Statement(s) filed on:		
		AND		
		The information disclosure statement submitted in the earlier application complied with paragraphs (a) through (c) of 37 CFR §1.98.		

Respectfully submitted,

WILSON SONSINI GOODRICH & ROSATI

Dated: September 3, 2019 By: /Clark Lin/

Clark Y. Lin, Ph.D., Esq. Registration No. 67,024

650 Page Mill Road Palo Alto, CA 94304-1050 (650) 493-9300 Customer No. 021971

UNITED STATES DEPARTMENT OF COMMERCE **United States Patent and Trademark Office** Address: COMMISSIONER FOR PATENTS

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

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	APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
	16/177,159	59 10/31/2018 Gerold L. Mosher		43060-707.304	3572
		7590 09/16/201 JSINI, GOODRICH &	EXAMINER		
	650 PAGE MII	LL ROAD	SPRINGER, STEPHANIE K		
	PALO ALTO, CA 94304-1050			ART UNIT	PAPER NUMBER
				1629	-
				NOTIFICATION DATE	DELIVERY MODE
				09/16/2019	ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patentdocket@wsgr.com

Case 1:20-cv-01256-LPS Document 74-1 Eiled 04/05/21 Paye 552 of 348 PageID #: 2743 16/177.159 **Advisory Action** Mosher et al. Before the Filing of an Appeal Brief **Art Unit** AIA (FITF) Status Examiner 1629 Yes STEPHANIE K SPRINGER --The MAILING DATE of this communication appears on the cover sheet with the correspondence address --THE REPLY FILED 01 August 2019 FAILS TO PLACE THIS APPLICATION IN CONDITION FOR ALLOWANCE. NO NOTICE OF APPEAL FILED 1. The reply was filed after a final rejection. No Notice of Appeal has been filed. To avoid abandonment of this application, applicant must timely file one of the following replies: (1) an amendment, affidavit, or other evidence, which places the application in condition for allowance; (2) a Notice of Appeal (with appeal fee) in compliance with 37 CFR 41.31; or (3) a Request for Continued Examination (RCE) in compliance with 37 CFR 1.114 if this is a utility or plant application. Note that RCEs are not permitted in design applications. The reply must be filed within one of the following time periods: a) The period for reply expires ____ months from the mailing date of the final rejection. b) 🗹 The period for reply expires on: (1) the mailing date of this Advisory Action; or (2) the date set forth in the final rejection, whichever is later. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of the final rejection. c) A prior Advisory Action was mailed more than 3 months after the mailing date of the final rejection in response to a first after-final reply filed within 2 months of the mailing date of the final rejection. The current period for reply expires ____ months from the mailing date of the prior Advisory Action or SIX MONTHS from the mailing date of the final rejection, whichever is earlier. Examiner Note: If box 1 is checked, check either box (a), (b) or (c). ONLY CHECK BOX (b) WHEN THIS ADVISORY ACTION IS THE <u>FIRST</u> RESPONSE TO APPLICANTS <u>FIRST</u> AFTER FINAL REPLY WHICH WAS FILED WITHIN TWO MONTHS OF THE FINAL REJECTION. ONLY CHECK BOX (c) IN THE LIMITED SITUATION SET FORTH UNDER BOX (c). See MPEP 706.07(f). Extensions of time may be obtained under 37 CFR 1.136(a). The date on which the petition under 37 CFR 1.136(a) and the appropriate extension fee have been filed is the date for purposes of determining the period of extension and the corresponding amount of the fee. The appropriate extension fee under 37 CFR 1.17(a) is calculated from: (1) the expiration date of the shortened statutory period for reply originally set in the final Office action: or (2) as set forth in (b) or (c) above, if checked. Any reply received by the Office later than three months after the mailing date of the final rejection, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). **NOTICE OF APPEAL** __. A brief in compliance with 37 CFR 41.37 must be filed within two months of the date of filing the Notice 2. The Notice of Appeal was filed on of Appeal (37 CFR 41.37(a)), or any extension thereof (37 CFR 41.37(e)), to avoid dismissal of the appeal. Since a Notice of Appeal has been filed, any reply must be filed within the time period set forth in 37CFR 41.37(a). **AMENDMENTS** 3. The proposed amendments filed after a final rejection, but prior to the date of filing a brief, will not be entered because a) They raise new issues that would require further consideration and/or search (see NOTE below); b) They raise the issue of new matter (see NOTE below); c) They are not deemed to place the application in better form for appeal by materially reducing or simplifying the issues for appeal; and/or d) They present additional claims without canceling a corresponding number of finally rejected claims. NOTE: See Continuation Sheet (See 37CFR 1.116 and 41.33(a)). 4. The amendments are not in compliance with 37CFR 1.121. See attached Notice of Non-Compliant Amendment (PTOL-324). 5. Applicants reply has overcome the following rejection(s): 6. Newly proposed or amended claim(s) would be allowable if submitted in a separate, timely filed amendment canceling the non-allowable 7. For purposes of appeal, the proposed amendment(s):(a) will not be entered, or (b) will be entered, and an explanation of how the new or amended claims would be rejected is provided below or appended. AFFIDAVIT OR OTHER EVIDENCE 8. A declaration(s)/affidavit(s) under **37 CFR 1.130(b)** was/were filed on 9. The affidavit or other evidence filed after final action, but before or on the date of filing a Notice of Appeal will not be entered because applicant failed to provide a showing of good and sufficient reasons why the affidavit or other evidence is necessary and was not earlier presented. See 37 CFR 1.116(e). 10. The affidavit or other evidence filed after the date of filing the Notice of Appeal, but prior to the date of filing a brief, will not be entered because the affidavit or other evidence failed to overcome all rejections under appeal and/or appellant fails to provide a showing of good and sufficient reasons why it is necessary and was not earlier presented. See 37 CFR 41.33(d)(1). 11. The affidavit or other evidence is entered. An explanation of the status of the claims after entry is below or attached. REQUEST FOR RECONSIDERATION/OTHER 12. The request for reconsideration has been considered but does NOT place the application in condition for allowance because: See Continuation Sheet. 13. Note the attached Information *Disclosure Statement*(s). (PTO/SB/08) Paper No(s). 14. Other: See attached PTO-2323. STATUS OF CLAIMS 15. The status of the claim(s) is (or will be) as follows: Claim(s) allowed:

U.S. Patent and Trademark Office PTOL-303 (Rev. 08-2013)

/JEFFREY S LUNDGREN/

Claim(s) objected to:

Claim(s) rejected: 1-11,13-26 and 28-30. Claim(s) withdrawn from consideration:

Supervisory Patent Examiner, Art Unit 1629

Continuation Sheet (PTOL-303)

Application No. 16/177,159

Continuation of 3. NOTE: The proposed amendments filed 1 August 2019 under AFCP are not entered as they present new issues that require further consideration and/or search, as the amendment proposes introducing further limitations not previously presented for examination. If the proposed amendments were entered, the Examiner would be required to reevaluate the claims for patentability, including a new search to determine whether the newly added limitations are a novel, unobvious limitation. In particular, the recited range of a buffer comprising "about 1-4 mg/ml of a mixture" of citric acid and sodium citrate and " about 1 mg/ml preservative" introduces new limitations which were not recited in the previous claims.

Further, the new limitations raise the issue of new matter, as the newly added limitations are not properly supported in the specification as filed. There is no disclosure of a range of about 1-4 mg/ml of a buffer, let alone the claimed 1-4 mg/ml mixture of citric acid and sodium citrate. Regarding the preservative, while there is support for about 1 mg/ml of a preservative that is either sodium benzoate or a paraben, there is insufficient support for the use of 1 mg/ml of all of the recited preservatives. Because the amendment raises new issues that require further consideration and/or search, they are not deemed to place the application in better form for appeal because they do not materially reduce or simplify the issues for appeal.

Applicant is reminded that when an amendment is filed, a complete and responsive reply will clearly identify where support can be found in the disclosure for each amendment. Applicants should point to the page and line numbers of the application corresponding to each amendment. In the reply filed 1 August 2019 under AFCP, Applicant merely asserts "no new matter is presented by way of the amendments".

Pursuant with the guidelines of the AFCP 2.0 program, the Examiner has determined that further search and/or consideration would be required if the proposed amendments were entered and that such search and/or consideration cannot be completed by the Examiner in the time allotted under the AFCP 2.0 program.

Continuation of REQUEST FOR RECONSIDERATION/OTHER 12. The request for reconsideration has been considered but does NOT place the application in condition for allowance because: Applicant's request for reconsideration of the present application with regard to the rejections of record in light of the remarks presented in the after final remarks submitted on 1 August 2019 have been made. Applicant's remarks directed towards the obviation of the rejections of record are not found persuasive, because they are predicated, at least in part, on the entry of the proposed amendments. In particular, a new search and examination is required, as the limitations regarding the concentration range of the preservative and the buffer was not previously presented for examination.

Additionally, as noted supra, the proposed amendments appear to introduce new matter, as there is no disclosure in the specification regarding the recited range of "about 1-4 mg/ml mixture of a mixture of citric acid and sodium citrate" or "about 1 mg/ml preservative", unless the preservative is limited to sodium benzoate or a paraben.

As the amendments are not entered, the rejections are maintained for the reasons set forth at pages 3-14 of the Office Action dated June 24, 2019. As noted therein, the disclosed embodiments do not provide adequate evidence of possession of the instantly claimed invention, as the disclosed embodiments are directed towards specific combinations of formulations comprising enalapril maleate, citric acid, sodium citrate, sodium benzoate, and water in specific amounts. The Examiner notes that the Declaration is directed towards application 15/081,603, now US Patent 9,669,008. The example disclosed therein is insufficient to address the breadth of the instant claims.

Accordingly, the 112, 1st paragraph rejection due to a lack of adequate written description for the claimed product is maintained.

	Application No.	Applicant(s)			
Examiner-Initiated Interview Summary	16/177,159	Mosher et al.			
,	Examiner	Art Unit	AIA (FITF) Status		
	STEPHANIE K SPRINGER	1629	Yes		
All participants (applicant, applicant's representative, PTO pe	rsonnel):				
(1) <u>STEPHANIE K. SPRINGER</u> .	(3)				
(2) <u>Clark Lin</u> .	(4)				
Date of Interview: 22 August 2019.					
Type: ☑ Telephonic ☐ Video Conference ☐ Personal [copy given to: ☐ applicant ☐ ap	plicant's representative]				
Exhibit shown or demonstration conducted: Yes If Yes, brief description:	lo.				
Issues Discussed 101 112 102 103 (For each of the checked box(es) above, please describe below the issue and detailed description	Others of the discussion)				
Claim(s) discussed:					
Identification of prior art discussed:					
Substance of Interview (For each issue discussed, provide a detailed description and indicate if agreement w or a portion thereof, claim interpretation, proposed amendments, arguments of any agreement with the control of the contro		ntification or clarific	ation of a reference		
Pursuant to the guidelines of AFCP, the Examiner informed Abe entered, and an Advisory Action would be issued.	Applicant's representative that t	the amendmen	ts would not		
Applicant recordation instructions: It is not necessary for applicant to provide a separate record of the substance of interview.					
Examiner recordation instructions: Examiners must summarize the substance of any interview of record. A complete and proper recordation of the substance of an interview should include the items listed in MPEP 713.04 for complete and proper recordation including the identification of the general nrust of each argument or issue discussed, a general indication of any other pertinent matters discussed regarding patentability and the general results or outcome of the interview, to include an indication as to whether or not agreement was reached on the issues raised.					
☐ Attachment					
/JEFFREY S LUNDGREN/ Supervisory Patent Examiner, Art Unit 1629					

U.S. Patent and Trademark Office PTOL-413B (Rev. 8/11/2010)

	Application No.	Applicant(s	3)			
AFCP 2.0	16/177,159	Mosher et al.				
Decision	Examiner	Art Unit	AIA (FITF) Status			
	STEPHANIE K SPRINGER	1629	Yes			
This is in response to the After Final Consideration Pilot reque	est filed <u>01 August 2019</u> .					
1. Improper Request – The AFCP 2.0 request is improper the request will be treated under pre-pilot procedure.	for the following reason(s) and the	after final an	nendment submitted with			
☐ An AFCP 2.0 request form PTO/SB,	/434 (or equivalent document) was	not submitte	d.			
☐ A non-broadening amendment to at	least one independent claim was no	ot submitted.				
The request is not the first proper AI rejection.	FCP 2.0 request submitted in respo	nse to the mo	est recent final			
☐ Other:						
2. Proper Request						
A. After final amendment submitted with the request The after final amendment cannot be reviewed			of the pilot program.			
☐ The after final amendment will be tr	eated under pre-pilot procedure.					
B. Updated search and/or completed additional consideration. The examiner performed an updated search and/or completed additional consideration of the after final amendment within the time authorized for the pilot program. The result(s) of the updated search and/or completed additional consideration are:						
1. All of the rejections in the most re issued herewith.	ecent final Office action are overco	me and a Not	tice of Allowance is			
2. The after final amendment would. See attached interview summary for		in the most re	ecent final Office action			
3. The after final amendment was re for further details.	viewed, and it raises a new issue(s)). See attache	d interview summary			
 4. The after final amendment raises final Office action. A decision on de pilot. See attached interview summa 	termining allowability could not be	e made withii	n the guidelines of the			
□ 5. Other:						
Examiner Note: Please attach an interview summary when necessary as described above.						

Doc code CREEX: 20-cv-01256-LPS Document 74-1 Filed 04/05/21 Page 556 of 748 PageID #7078B330EFS (02-18)

Doc description: Request for Continued Examination (RCE)

Approved for use through 11/30/2020. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

REQUEST FOR CONTINUED EXAMINATION(RCE)TRANSMITTAL (Submitted Only via EFS-Web)								
Application Number	16/177,159	Filing Date	2018-10-31	Docket Number (if applicable)	43060-707.304	Art Unit	1629	
First Named Inventor	Gerold L. Moshe	er, et. al.		Examiner Name	Springer, Stephanie K.			
Request for C	This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. The Instruction Sheet for this form is located at WWW.USPTO.GOV							
		SU	IBMISSION REQ	UIRED UNDER 37	CFR 1.114			
in which they	were filed unless	applicant inst		pplicant does not wi	nents enclosed with the RCE wash to have any previously filed			
	y submitted. If a f on even if this box			any amendments file	d after the final Office action m	nay be con	sidered as a	
☐ Co	nsider the argum	ents in the Ap	peal Brief or Reply	Brief previously filed	on			
Oth	ner 							
⊠ Am	nendment/Reply							
☐ Info	ormation Disclosu	ure Statement	(IDS)					
☐ Affi	davit(s)/ Declara	tion(s)						
☐ Ott	her 							
			MIS	CELLANEOUS				
				requested under 37 (er 37 CFR 1.17(i) red	CFR 1.103(c) for a period of n quired)	nonths _		
Other —								
				FEES				
The Dire	The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed. The Director is hereby authorized to charge any underpayment of fees, or credit any overpayments, to Deposit Account No 232415							
	SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED							
× Patent	Practitioner Sign	nature						
Applica	Applicant Signature							

Doc code Case 1:20-cv-01256-LPS Document 74-1 Filed 04/05/21 Page 557 of 748 PageID #: 2748 Page

Doc description: Request for Continued Examination (RCE)

Approved for use through 11/30/2020. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Signature of Registered U.S. Patent Practitioner						
Signature	/Clark Lin/	Date (YYYY-MM-DD)	2019-10-24				
Name	Clark Y. Lin	Registration Number	67024				

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Electronically Filed: October 24, 2019 Attorney Docket No.: 43060-707.304

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Inventors:

Gerold L. Mosher, et al.

Serial No.: 16/177,159

Filed: October 31, 2018

Title: ENALAPRIL FORMULATIONS

Group Art Unit: 1629

Confirmation No.: 3572

Examiner: SPRINGER, Stephanie K.

Customer No.: 21971

Certificate of Electronic Filing

I hereby certify that the attached Response and all accompanying papers is being deposited by Electronic Filing on **October 24**, **2019**, by using the EFS – Web patent filing system and addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By: /Paula Derby/
Paula Derby

Mail Stop AF

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

RESPONSE TO FINAL OFFICE ACTION DATED JUNE 24, 2019

Dear Commissioner:

Applicant hereby submits a response to the Final Office Action dated June 24, 2019. Applicant respectfully requests reconsideration and allowance of the pending claims.

The response is submitted with a petition to obtain a one-month extension of time, extending the deadline for responding to Oct 24, 2019. The Commissioner is hereby authorized to charge any fees associated with the filing of this response to Deposit Account No. 23-2415, referencing Docket No. 43060-707.304.

Prior to reconsidering this application on the merits, please amend the application as follows:

Amendments to the Claims begin on page 2.

Remarks begin on page 6.

The Conclusion is on page 8.

Amendments to the Claims

This listing of claims will replace all prior versions, amendments and listings of claims in this application. The following amendments do not constitute an admission regarding the patentability of the amended subject matter and should not be so construed. Applicant reserves the right to pursue the subject matter of the withdrawn claims in this or any other appropriate patent application.

Listing of the Claims:

- 1. (Currently Amended) A stable oral liquid formulation, comprising:
 - (i) about 0.6 to about 1.2 mg/ml enalapril or a pharmaceutically acceptable salt or solvate thereof;
 - (ii) a buffer comprising about 1-4 mg/ml of a mixture of citric acid and sodium citrate;
 - (iii) about 1 mg/mla preservative, wherein the preservative is selected from ascorbic acid, ascorbyl palmitate, BHA, BHT, EDTA and its salts, erythorbic acid, fumaric acid, malic acid, propyl gallate, sodium ascorbate, sodium bisulfate, sodium metabisulfite, sodium sulfite, methylparaben, ethylparaben, propylparaben, butylparaben, benzoic acid, sodium benzoate, potassium sorbate, and vanillin; and
 - (iv) water;
 - wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 12 months; and wherein the stable oral liquid formulation has about 95% w/w or greater of the initial enalapril amount and about 5% w/w or less total impurity or related substances at the end of the given storage period.
- 2. (Previously Presented) The stable oral liquid formulation of claim 1 further comprising a sweetener.
- 3. (Previously Presented) The stable oral liquid formulation of claim 2, wherein the sweetener is sucralose.
- 4. (Previously Presented) The stable oral liquid formulation of claim 1 further comprising a flavoring agent.

- 5. (Previously Presented) The stable oral liquid formulation of claim 1, wherein the formulation does not contain mannitol.
- 6. (Previously Presented) The stable oral liquid formulation of claim 1, wherein the formulation does not contain silicon dioxide.
- 7. (Previously Presented) The stable oral liquid formulation of claim 1, wherein the buffer comprises about 0.8 to about 3.5 mg/ml citric acid.
- 8. (Previously Presented) The stable oral liquid formulation of claim 1, wherein the buffer comprises about 0.1 to about 0.8 mg/ml sodium citrate.
- 9. (Previously Presented) The stable oral liquid formulation of claim 1, wherein the pH of the stable oral liquid formulation is less than about 3.5.
- 10. (Previously Presented) The stable oral liquid formulation of claim 1, wherein the pH of the stable oral liquid formulation is between about 3 and about 3.5.
- 11. (Previously Presented) The stable oral liquid formulation of claim 1, wherein the pH of the stable oral liquid formulation is about 3.3.
- 12. (Canceled)
- 13. (Previously Presented) The stable oral liquid formulation of claim 1, wherein the preservative is sodium benzoate.
- 14. (Canceled)
- 15. (Previously Presented) The stable oral liquid formulation of claim 1, wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 18 months.
- 16. (Previously Presented) The stable oral liquid formulation of claim 1, wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 24 months.
- 17. (Currently Amended) A stable oral liquid formulation, consisting essentially of:
 - (i) about 0.6 to about 1.2 mg/ml enalapril or a pharmaceutically acceptable salt or solvate thereof;
 - (ii) a buffer comprising about 1-4 mg/ml of a mixture of citric acid and sodium citrate;

- (iii) about 1 mg/mla preservative, wherein the preservative is selected from ascorbic acid, ascorbyl palmitate, BHA, BHT, EDTA and its salts, erythorbic acid, fumaric acid, malic acid, propyl gallate, sodium ascorbate, sodium bisulfate, sodium metabisulfite, sodium sulfite, methylparaben, ethylparaben, propylparaben, butylparaben, benzoic acid, sodium benzoate, potassium sorbate, and vanillin; and
 - (iv) water;

wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 12 months; and wherein the stable oral liquid formulation has about 95% w/w or greater of the initial enalapril amount and about 5% w/w or less total impurity or related substances at the end of the given storage period.

- 18. (Canceled)
- 19. (Currently Amended) The stable oral liquid formulation of claim [[18]]31 further comprising a sweetener.
- 20. (Previously Presented) The stable oral liquid formulation of claim 19, wherein the sweetener is sucralose.
- 21. (Currently Amended) The stable oral liquid formulation of claim [[18]]31 further comprising a flavoring agent.
- 22. (Currently Amended) The stable oral liquid formulation of claim [[18]]31, wherein the formulation does not contain mannitol.
- 23. (Currently Amended) The stable oral liquid formulation of claim [[18]]31, wherein the formulation does not contain silicon dioxide.
- 24. (Currently Amended) The stable oral liquid formulation of claim [[18]]31, wherein the pH of the stable oral liquid formulation is less than about 3.5.
- 25. (Currently Amended) The stable oral liquid formulation of claim [[18]]31, wherein the pH of the stable oral liquid formulation is between about 3 and about 3.5.
- 26. (Currently Amended) The stable oral liquid formulation of claim [[18]]31, wherein the pH of the stable oral liquid formulation is about 3.3.

- 27. (Canceled)
- 28. (Currently Amended) The stable oral liquid formulation of claim [[18]]31, wherein the preservative is sodium benzoate.
- 29. (Currently Amended) The stable oral liquid formulation of claim [[18]]31, wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 18 months.
- 30. (Currently Amended) The stable oral liquid formulation of claim [[18]]31, wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 24 months.
- 31. (New) A stable oral liquid formulation, comprising:
 - (i) about 0.6 to about 1.2 mg/ml enalapril or a pharmaceutically acceptable salt or solvate thereof;
 - (ii) a buffer comprising about 1-4 mg/ml of a mixture of citric acid and sodium citrate;
 - (iii) about 1 mg/ml of a preservative, wherein the preservative is a paraben or a mixture of parabens; and
 - (iv) water;

wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 12 months; and wherein the stable oral liquid formulation has about 95% w/w or greater of the initial enalapril amount and about 5% w/w or less total impurity or related substances at the end of the given storage period.

Electronically Filed: October 24, 2019 Attorney Docket No.: 43060-707.304

REMARKS

Applicant would like to thank the Office for the Advisory Action dated September 16, 2019.

Claims 1-11, 13, 15-26, and 28-30 are pending in this application. By way of this response, claims 1, 17, 19, 21-26, and 28-30 have been amended, and claims 14 and 18 have been canceled. Claim 31 is newly added. Thus, claims 1-11, 13, 15-17, 19-26, and 28-31 under examination.

Support for the amendments can be found throughout the specifications and the originally filed claims, for example, paragraphs [0033], [0050], and [0054], Example E, and Table E-1. No new matter is presented by way of the amendments.

Withdrawal of any subject matter herein does not constitute an admission that the subject matter is unpatentable for any reason and Applicant reserves the right to file claims directed to withdrawn subject matter in this or a related application.

Rejection Under 35 U.S.C. §112(a)

Claims 1-11, 13, 15-26, and 28-30 are rejected under 35 U.S.C. § 112(a) as failing to comply with the written description requirement. Specifically, the Office noted that "while the claims limit the amount of enalapril, the buffer and the preservative are present in the formulations in any amount."

Without acquiescing to the Office's rejection but solely in an effort to expedite prosecution, claims 1 and 17 have been amended to recite "(ii) a buffer comprising about 1-4 mg/ml of a mixture of citric acid and sodium citrate; (iii) about 1 mg/ml sodium benzoate."

The support for "about 1-4 mg/ml of a mixture of citric acid and sodium citrate" can be found, e.g., in Example E and Table E-1 of the specification. Indeed, the Office has acknowledged, in the Final Office Action dated June 24, 2019, that "Table E-1 (page 43) is directed toward liquid formulations comprising ... a buffer comprising 1 to 4 mg/mL of a mixture of citric acid and sodium citrate." The support for "about 1 mg/ml sodium benzoate" can be found, e.g., paragraph [0050] of the specification.

Newly added claim 31 recites "(ii) a buffer comprising about 1-4 mg/ml of a mixture of citric acid and sodium citrate; (iii) about 1 mg/ml of a preservative, wherein the preservative is a paraben or a mixture of parabens." The support for "about 1 mg/ml of a preservative, wherein the preservative is a paraben or a mixture of parabens" can be found, e.g., paragraph [0054] of the specification. The support for "about 1-4 mg/ml of a mixture of citric acid and sodium citrate" can be found, e.g., in Example E and Table E-1 of the specification as mentioned above.

Accordingly, Applicant respectfully requests the rejections be withdrawn.

Double Patenting Objection

Claims 1-11, 13, 15-26, and 28-30 are rejected on the ground of nonstatutory double patenting as being unpatentable over claims 1-20 of U.S. Patent No. 9,669,008, claims 1-30 of U.S. Patent No. 9,808,442, claims 1-20 of U.S. Patent No. 10,039,745, and claims 1-30 of U.S. Patent No. 10,154,987.

Without acquiescing in this ground of rejection and solely in an effort to expedite prosecution, Applicant has submitted Terminal Disclaimers on August 1, 2019, with respect to U.S. Patent No. 9,669,008, U.S. Patent No. 9,808,442, U.S. Patent No. 10,039,745, and U.S. Patent No. 10,154,987, as well as U.S. Appl. Ser. No. 16/242,898.

The above submitted Terminal Disclaimers were approved on August 1, 2019.

The Terminal Disclaimers obviate the present rejections. Accordingly, Applicant respectfully requests that the rejections be withdrawn.

In view of the remarks and amendments submitted herein, Applicant believes that the Application is in condition for allowance and such action is earnestly solicited.

* * *

CONCLUSION

Applicant submits that this response fully addresses the Office Action mailed on June 24, 2019. Applicant believes that for the reasons set forth herein the pending claims are in condition for allowance and early and favorable consideration is respectfully requested.

Should the Examiner have any questions or concerns, the Examiner is encouraged to contact the undersigned attorney at (617) 598-7823.

Respectfully submitted,

WILSON SONSINI GOODRICH & ROSATI Professional Corporation

Date: October 24, 2019 By: /Clark Lin/

Clark Y. Lin, Ph.D., Esq. Reg. No. 67,024

650 Page Mill Road Palo Alto, CA 94304 Direct Dial: (858) 350-2306 Customer No. 021971

UNITED STATES DEPARTMENT OF COMMERCE **United States Patent and Trademark Office** Address: COMMISSIONER FOR PATENTS

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
16/177,159	10/31/2018	10/31/2018 Gerold L. Mosher		3572
	7590 01/07/202 JSINI, GOODRICH &	EXAMINER		
650 PAGE MIL	LL ROAD	SPRINGER, STEPHANIE K		
PALO ALTO, CA 94304-1050			ART UNIT	PAPER NUMBER
			1629	
			NOTIFICATION DATE	DELIVERY MODE
			01/07/2020	ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patentdocket@wsgr.com

Case 1:20-cv-01256-LPS Document 74-1						
	Application No. 16/177,159	'''				
Office Action Summary	Examiner	Art Unit	AIA (FITF) Status			
	STEPHANIE K SPRINGER	1629	Yes			
The MAILING DATE of this communication app	ears on the cover sheet with the	corresponden	ce address			
Period for Reply						
A SHORTENED STATUTORY PERIOD FOR REPLY DATE OF THIS COMMUNICATION.	_					
 Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term 						
adjustment. See 37 CFR 1.704(b). Status						
1) Responsive to communication(s) filed on 24	October 2019.					
☐ A declaration(s)/affidavit(s) under 37 CFR 1						
	This action is non-final.					
3) An election was made by the applicant in reson; the restriction requirement and election						
4) Since this application is in condition for allow closed in accordance with the practice under	•					
Disposition of Claims*						
5) Claim(s) <u>1-11,13,15-17,19-26 and 28-3</u>	$\underline{1}$ is/are pending in the application	ation.				
5a) Of the above claim(s) is/are withdr	awn from consideration.					
6) Claim(s) is/are allowed.						
7) 🗹 Claim(s) <u>1-11,13,15-17,19-26 and 28-31</u> i	s/are rejected.					
8) Claim(s) is/are objected to.						
9) Claim(s) are subject to restriction at	•					
* If any claims have been determined <u>allowable</u> , you may be eli		-	iway program at a			
participating intellectual property office for the corresponding ap http://www.uspto.gov/patents/init_events/pph/index.jsp or send	·					
	an inquity to <u>PPHIEEGDack@uspi</u>	<u>,o.gov.</u>				
Application Papers	nor					
10) The specification is objected to by the Exami		ov the Eversia	0.14			
11) The drawing(s) filed on is/are: a) a	• •	•				
Applicant may not request that any objection to the di Replacement drawing sheet(s) including the correction	• · · ·	• ,				
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Priority under 35 U.S.C. § 119 12) Acknowledgment is made of a claim for foreign Certified copies:	gn priority under 35 U.S.C. § 1	l 19(a)-(d) or (f).			
a)□ All b)□ Some** c)□ None of t	he:					
1. Certified copies of the priority document	nents have been received.					
2. Certified copies of the priority document	nents have been received in A	Application No	ı			
 Copies of the certified copies of the application from the International But 		ı received in tl	his National Stage			
** See the attached detailed Office action for a list of the certific	ed copies not received.					
Attachment(s)						
1) Notice of References Cited (PTO-892)	3) Interview Summa	ary (PTO-413)				
	Paner No(s)/Mail					
 Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/S Paper No(s)/Mail Date 4 pgs, 9/3/19. 	4) Other:					

U.S. Patent and Trademark Office

PTOL-326 (Rev. 11-13)

Art Unit: 1629

DETAILED ACTION

Continued Examination Under § 37 CFR 1.114

A request for continued examination under 37 CFR 1.114, including the fee set forth in 37

CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for

continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been

timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR

1.114. Applicant's submission filed on October 24, 2019 has been entered.

Status

The present application, filed on or after March 16, 2013, is being examined under the first

inventor to file provisions of the AIA.

This application is a continuation of application 16/003,994, now US Patent 10,154,987,

filed on June 8, 2018, which is a continuation of application 15/802,341, now US Patent

10,039,745, filed on November 2, 2017, which is a continuation of application 15/613,622, now

US Patent 9,808,442, filed on June 5, 2017, which is a continuation of application 15/081,603,

now US Patent 9,669,008, filed on March 25, 2016 and claims priority to US provisional

application 62/310,198, filed on March 18, 2016.

This application was granted Track One status on December 14, 2018.

Applicant's amendments filed October 24, 2019 amending claims 1, 17, 19, 21-26, and

28-30, canceling claims 12, 14, 18, and 27, and adding new claim 31 are acknowledged.

Applicant's arguments, filed October 24, 2019, have been fully considered. Rejections

and/or objections not reiterated from previous Office Actions are hereby withdrawn.

Claims 1-11, 13, 15-17, 19-26, and 28-31 are pending and are the subject of the Office

Action below.

SLVGT-EPA 0106769

Art Unit: 1629

Previous Rejections Withdrawn

Any rejection not reiterated in the instant Office action is considered withdrawn. Certain

new rejections are provided in the Office action below. Where Applicants arguments addressing

the previous grounds of rejection relate to the present grounds of rejection, the Examiner

addresses the Applicants comments.

Terminal Disclaimer

The terminal disclaimer filed on August 1, 2019 disclaiming the terminal portion of any

patent granted on this application which would extend beyond the expiration date of US Patents

9,669,008; 9,808,442; 10,039,745; and 10,154,987 has been reviewed and is accepted. The

terminal disclaimer has been recorded.

Information Disclosure Statement

The information disclosure statement (IDS) submitted on September 3, 2019 has been

considered by the examiner. The submission is in compliance with the provisions of 37 CFR §§

1.97 and 1.98. Enclosed with this Office Action is a return-copy of the Forms PTO-1449 with the

examiner's initials and signature indicating those references that have been considered.

New Grounds of Rejection

Claim Rejections - 35 USC § 112, Second Paragraph

The following is a quotation of 35 U.S.C. 112(b):

(B) CONCLUSION.—The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the inventor or a joint inventor

regards as the invention.

The following is a quotation of 35 U.S.C. 112 (pre-AIA), second paragraph:

The specification shall conclude with one or more claims particularly pointing out and distinctly

claiming the subject matter which the applicant regards as his invention.

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Page 4

35 U.S.C. 112 (pre-AIA), second paragraph, as being indefinite for failing to particularly point out

Claims 1-11, 13, 15-17, 19-26, and 28-31 are rejected under 35 U.S.C. 112(b) or

and distinctly claim the subject matter which the inventor or a joint inventor, or for pre-AIA the

applicant regards as the invention.

Claims 1-11, 15-17, 13, 15-17, 19-26, and 28-31 are indefinite for reciting the term "stable"

because one of ordinary skill in the art could not reasonably determine the metes and bounds of

this limitation. The term "stable" is not a term of the art such that the ordinarily skilled artisan would

recognize what qualities or properties are encompassed therein. The term "stable" is a relative

term which is not defined by the claims or the specification in a manner such that one of ordinary

skill in the art would not be reasonably apprised of the scope of the invention. One would

reasonably recognize that a "stable" composition and stability refer to a degree of change or

variability over a period of time; however, there is no clear disclosure such that one would

recognize what types of changes are required to be "stable" such that one would recognize the

metes and bounds of the instantly claimed invention. While the claims recite "the stable oral liquid

formulation has about 95% w/w or greater of the initial enalapril amount and about 5% w/w or less

total impurity or related substances at the end of the given storage period", it is unclear if this is

the only requirement of a "stable" composition or if other changes or variance would be tolerated

while still meeting the instant requirements of stability. For example, while the formulation may

still comprise "about 95% w/w or greater of the initial enalapril amount" it is unclear if this would

also require the maintenance of a constant pH, i.e., a stable pH, throughout this timeframe.

Similarly, the formulation could comprise the requisite amount of enalapril, but it is unclear if

enalapril which is not in solution would also meet the requirements. Note that the "related

substances" falling within the scope of the "about 5% w/w or less total impurity or related

substances" is not clearly defined such that one would recognize what "related substances" would

render a composition unstable. The claims fail to clearly, precisely, or deliberately set forth how

stability is measured and what standard or threshold value would be used to make such a

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comparison to determine whether the stability meets Applicant's claimed limitation. Absent this

information, the claim clearly fails to set forth the metes and bounds of the subject matter for which

Applicant is presently seeking protection.

Claims 1, 17, and 31, and all claims dependent therefrom, are indefinite because it is

unclear if the recitations "wherein the formulation is stable at about 5 ± 3 °C for at least 12 months"

and "wherein the stable oral liquid formulation has about 95% w/w or greater of the initial enalapril

amount and about 5% w/w or less total impurity or related substances at the end of the given

storage period" are claim limitations. The ordinarily skilled artisan would not be able to reasonably

determine how this limitation affects the scope of the claimed composition. It is unclear if additional

components or elements are required in order to achieve the recited properties, or if the recitations

merely describe a property that would necessarily result from the composition as claimed. In

particular, it is unclear if the recited formulations would necessarily possess the properties

"wherein the formulation is stable at about 5 ± 3 °C for at least 12 months" and "wherein the stable

oral liquid formulation has about 95% w/w or greater of the initial enalapril amount and about 5%

w/w or less total impurity or related substances at the end of the given storage period". In other

words, it is unclear if the recitations are claim limitations, or if the recitations regarding stability

merely describe the composition of instant claims 1, 17, and 31. For example, it is unclear if the

composition of instant claim 1 is capable of achieving stability at about 5 ± 3 °C for at least 12

months as recited, *i.e.*, would any composition comprising about 0.6 to about 1.2 mg/mL enalapril,

about 1-4 mg/mL of a mixture of citric acid and sodium citrate, and about 1 mg/mL sodium

benzoate in water necessarily achieve the recited stability, or if further components required in

order to meet the recited limitations regarding stability. While the Examiner acknowledges that

the term "comprising" in instant claims 1 and 31 is open ended, and allows for additional

excipients, it is unclear if additional excipients are required in order to achieve the recited stability.

Thus, it is unclear if the recitations are merely descriptive language to describe a property which

is inherent to the formulations as claimed.

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Regarding the use of functional descriptive language, the Applicant's attention is directed

towards MPEP § 2173.05(g): "the use of functional language in a claim may fail 'to provide a

clear-cut indication of the scope of the subject matter embraced by the claim' and thus be

indefinite. In re Swinehart, 439 F.2d 210, 213 (CCPA 1971). For example, when claims merely

recite a description of a problem to be solved or a function or result achieved by the invention, the

boundaries of the claim scope may be unclear. Halliburton Energy Servs., Inc. v. M-I LLC, 514

F.3d1244, 1255 (Fed. Cir. 2008) (noting that the Supreme Court explained that a vice of functional

claiming occurs 'when the inventor is painstaking when he recites what has already been seen,

and then uses conveniently functional language at the exact point of novelty')". Examiners should

consider the following factors when examining claims that contain functional language to

determine whether the language is ambiguous: (1) whether there is a clear cut indication of the

scope of the subject matter covered by the claim; (2) whether the language sets forth well-defined

boundaries of the invention or only states a problem solved or a result obtained; and (3) whether

one of ordinary skill in the art would know from the claim terms what structure or steps are

encompassed by the claim. In the instant case, it is unclear whether the language sets forth well-

defined boundaries of the invention or only states a result obtained.

For these reasons, the claims fail to meet the tenor and express requirements of 35 U.S.C.

112, second paragraph, and are thus rejected.

New Grounds of Rejections

Claim Rejections - 35 USC § 112, First Paragraph

The following is a quotation of 35 U.S.C. 112(a):

(a) IN GENERAL.—The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly

connected, to make and use the same, and shall set forth the best mode contemplated by the

inventor or joint inventor of carrying out the invention.

The following is a quotation of 35 U.S.C. 112 (pre-AIA), first paragraph:

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The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying

out his invention.

Claims 1-11, 13, 15-17, 19-26, and 28-31 are rejected under 35 U.S.C. 112(a) or

35 U.S.C. 112 (pre-AIA), first paragraph, as failing to comply with the written description

requirement. The claims contain subject matter which was not described in the specification in

such a way as to reasonably convey to one skilled in the relevant art that the inventor or a joint

inventor, or for pre-AIA the inventor(s), at the time the application was filed, had possession of

the claimed invention. This is a new matter rejection. See MPEP § 706.03(o).

In particular, the specification fails to provide adequate support for the limitations wherein

the composition "a buffer comprising about 1-4 mg/ml of a mixture of citric acid and sodium citrate"

as recited in instant claims 1, 17, and 31. See MPEP § 2163.06 (I) and In re Rasmussen, 650

F.2d 1212, 211 USPQ 323 (CCPA 1981).

In the amendment filed October 24, 2019, Applicant amended independent claims 1 and

17 and added new claim 31 to recite the limitation, "a buffer comprising about 1-4 mg/ml of a

mixture of citric acid and sodium citrate".

Applicants allege that support for the limitations wherein the amount is "about 1-4 mg/ml

of a mixture of citric acid and sodium citrate" may be found in throughout the specifications and

the originally filed claims; Applicant particularly cites to paragraphs 33, 50, and 54, and Example

E and Table E-1 at page 43 of the original specification as filed. Applicant asserts, "No new matter

is presented by way of the amendments."

Upon analysis of the originally filed disclosure, the Examiner is unable to find support for

the limitation "a buffer comprising about 1-4 mg/ml of a mixture of citric acid and sodium citrate".

Turning to paragraph 67, the specification recites, "In some embodiments, citric acid is present in

about 0.7 to about 2 mg/ml in the oral liquid formulation". At paragraph 71, the specification states.

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"In some embodiments, sodium citrate dehydrate is present in about 0.1 to about 0.8 mg/ml in the

oral liquid formulation". While Applicants have specific working examples, such working examples

are limited to specific formulations comprising specific amounts of citric acid and sodium citrate,

in a specific ratio.

As Applicant notes at page 6 of the remarks filed October 24, 2019, the Examiner

summarized Example E thusly:

Table E-1 (page 43) is directed towards liquid formulations comprising

(i) 1.0 mg/ml enalapril maleate;

(ii) a buffer comprising 1 to 4 mg/mL of a mixture of citric acid and sodium citrate;

(iii) 1 mg/mL sodium benzoate; and

(iv) water.

The Examiner notes that the Examiner's summarization of the compositions of Example

E does not provide support for the amendments. More specifically, turning towards Example E,

one sees that the amounts of citric acid and sodium citrate are recited in particular amounts, not

in a range. Examples E1-E4 each comprise 3.29 mg/mL citric acid and 0.75 mg/mL sodium citrate;

Example E5 comprises 1.65 mg/mL citric acid and 0.38 mg/mL sodium citrate; and Example E6

comprises 0.82 mg/mL citric acid and 0.19 mg/mL sodium citrate. These six examples support a

specific buffer comprising a specific ratio of citric acid to sodium citrate, in specific amounts, and

do not provide support for the recited range of "about 1-4 mg/ml of a mixture of citric acid and

sodium citrate".

Thus, the limitation "a buffer comprising about 1-4 mg/ml of a mixture of citric acid and

sodium citrate" is considered new matter because nowhere in the originally filed disclosure do

Applicants disclose the use of the combination of citric acid and sodium citrate in this range, nor

does Applicant disclose the use of said combination in any and all ratios falling within the scope

of the recited range.

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For these reasons, it appears that the requirement that the composition comprises "about

1-4 mg/ml of a mixture of citric acid and sodium citrate" wherein the citric acid and sodium citrate

are in any combination was not particularly contemplated at the time of the invention. Accordingly,

the amendments are considered new matter and are properly rejected under 35 U.S.C. 112, first

paragraph.

Conclusion

No claims are allowed in this application.

If applicants should amend the claims, a complete and responsive reply will clearly identify

where support can be found in the disclosure for each amendment. Applicants should point to the

page and line numbers of the application corresponding to each amendment, and provide any

statements that might help to identify support for the claimed invention (e.g., if the amendment is

not supported *in ipsis verbis*, clarification on the record may be helpful). Should applicants present

new claims, applicants should clearly identify where support can be found in the disclosure.

Information regarding the status of an application may be obtained from the Patent

Application Information Retrieval (PAIR) system. Status information for published applications

may be obtained from either Private PAIR or Public PAIR. Status information for unpublished

applications is available through Private PAIR only. For more information about the PAIR system,

see http://portal.uspto.gov/external/portal. Should you have questions on access to the Private

PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Any inquiry concerning this communication or earlier communications from the examiner

should be directed to Stephanie Springer whose telephone number is 571-270-7380. The

examiner can normally be reached on Monday through Thursday from 8 am to 5 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Jeffrey Lundgren, can be reached on 571-272-5541. The fax phone number for the

organization where this application or proceeding is assigned is 571-270-8380.

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Information regarding the status of an application may be obtained from the Patent

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may be obtained from either Private PAIR or Public PAIR. Status information for unpublished

applications is available through Private PAIR only. For more information about the PAIR system,

see http://portal.uspto.gov/external/portal. Should you have questions on access to the Private

PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/Stephanie Springer/ Examiner, Art Unit 1629

/JEFFREY S LUNDGREN/ Supervisory Patent Examiner, Art Unit 1629

UNITED STATES DEPARTMENT OF COMMERCE **United States Patent and Trademark Office** Address: COMMISSIONER FOR PATENTS

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.			
16/177,159	10/31/2018	Gerold L. Mosher	43060-707.304	3572			
	7590 03/06/202 ISINI, GOODRICH &		EXAMINER				
650 PAGE MII	SPRINGER, S	TEPHANIE K					
PALO ALTO,	CA 94304-1050		ART UNIT	PAPER NUMBER			
			1629				
			NOTIFICATION DATE	DELIVERY MODE			
			03/06/2020	ELECTRONIC			

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patentdocket@wsgr.com

	Application No. 16/177,159	Applicant(s) Mosher et al.						
Applicant-Initiated Interview Summary	Examiner STEPHANIE K SPRINGER	Art Unit 1629	AIA (FITF) Status Yes					
All participants (applicant, applicants representative, PTO)	personnel):							
(1) <u>STEPHANIE K. SPRINGER</u> .	(3)							
(2) Clark Lin.	(4)							
Date of Interview: 28 February 2020.								
Type: ☑ Telephonic ☐ Video Conference ☐ Personal [copy given to: ☐ applicant ☐ applicant's representative]								
Exhibit shown or demonstration conducted:								
Issues Discussed 101 112 102 103 Others (For each of the checked box(es) above, please describe below the issue and detailed description of the discussion)								
Claim(s) discussed: 1.								
Identification of prior art discussed:								
Substance of Interview (For each issue discussed, provide a detailed description and indicate if agreement reference or a portion thereof, claim interpretation, proposed amendments, arguments.)	nts of any applied references etc)							
Discussed rejections of record. Examiner suggested preseinstantly claimed invention.	nting additional evidence si	upporting the	breadth of the					
No agreement was reached.								
Applicant recordation instructions: The formal written reply to the last section 713.04). If a reply to the last Office action has already been filed, a thirty days from this interview date, or the mailing date of this interview sum interview.	pplicant is given a non-extendable	e period of the lo	nger of one month or					
Examiner recordation instructions : Examiners must summarize the substance of any interview of record. A complete and proper recordation of the substance of an interview should include the items listed in MPEP 713.04 for complete and proper recordation including the identification of the general thrust of each argument or issue discussed, a general indication of any other pertinent matters discussed regarding patentability and the general results or outcome of the interview, to include an indication as to whether or not agreement was reached on the issues raised.								
☐ Attachment								
/Stephanie K Springer/ Examiner, Art Unit 1629	/JEFFREY S LUNDGRE Supervisory Patent Exar		nit 1629					

U.S. Patent and Trademark Office PTOL-413 (Rev. 8/11/2010)

Case 1:20-cv-01256-LPS Document 74-1 Filed 04/05/21 Page 580 of 748 PageID #: 2771

Summary of Record of Interview Requirements

Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

Title 37 Code of Federal Regulations (CFR) 1.133 Interviews Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiners responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicants correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

- A complete and proper recordation of the substance of any interview should include at least the following applicable items:
- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,-
- 2) an identification of the claims discussed.
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner.
 - (The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicants record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

Examiner to Check for Accuracy

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiners version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, Interview Record OK on the paper recording the substance of the interview along with the date and the examiners initials.

Attorney Docket No.: 43060-707.304

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Inventors:

Gerold L. Mosher, et al.

Serial No.: 16/177,159

Filed: October 31, 2018

Title: ENALAPRIL FORMULATIONS

Group Art Unit: 1629

Confirmation No.: 3572

Examiner: SPRINGER, Stephanie K.

Customer No.: 21971

Certificate of Electronic Filing

I hereby certify that the attached Response and all accompanying papers is being deposited by Electronic Filing on May 15, 2020, by using the EFS – Web patent filing system and addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By: /Paula Derby/

Paula Derby

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

RESPONSE TO NON-FINAL OFFICE ACTION DATED JANUARY 7, 2020

Dear Commissioner:

Applicant hereby submits a response to the Non-Final Office Action dated January 7, 2020 (the "Office Action"). Applicant respectfully requests reconsideration and allowance of the pending claims.

The response is submitted with a petition to obtain a two-month extension of time, extending the deadline for responding to June 7, 2020. The Commissioner is hereby authorized to charge any fees associated with the filing of this response to Deposit Account No. 23-2415, referencing Docket No. 43060-707.304.

Prior to reconsidering this application on the merits, please amend the application as follows:

Amendments to the Claims begin on page 2.

Remarks begin on page 7.

The Conclusion is on page 11.

Amendments to the Claims

This listing of claims will replace all prior versions, amendments and listings of claims in this application. The following amendments do not constitute an admission regarding the patentability of the amended subject matter and should not be so construed. Applicant reserves the right to pursue the subject matter of the withdrawn claims in this or any other appropriate patent application.

Listing of the Claims:

- 1. (Currently amended) An stable oral liquid formulation, comprising:
 - (i) about 0.6 to about 1.2 mg/ml enalapril or a pharmaceutically acceptable salt or solvate thereof;
 - (ii) a buffer comprising about 1-4 mg/ml of a mixture of citric acid and sodium citrate, wherein the buffer is present at a concentration between about 5 mM and about 20 mM in the oral liquid formulation;
 - (iii) about 1 mg/ml sodium benzoate; and
 - (iv) water;

wherein the formulation <u>maintains</u> is stable at about $5 \pm 3^{\circ}$ C for at least 12 months; and

wherein the stable oral liquid formulation has about 95% w/w or greater of the initial enalapril amount and about 5% w/w or less total impurity or related substances at the end of a the given-storage period of at least 12 months at about $5 \pm 3^{\circ}$ C.

- 2. (Currently amended) The stable-oral liquid formulation of claim 1 further comprising a sweetener.
- 3. (Currently amended) The stable oral liquid formulation of claim 2, wherein the sweetener is sucralose.
- 4. (Currently amended) The stable oral liquid formulation of claim 1 further comprising a flavoring agent.
- 5. (Currently amended) The stable-oral liquid formulation of claim 1, wherein the formulation does not contain mannitol.

- 6. (Currently amended) The stable-oral liquid formulation of claim 1, wherein the formulation does not contain silicon dioxide.
- 7. (Currently amended) The stable-oral liquid formulation of claim 1, wherein the buffer comprises about 0.8 to about 3.5 mg/ml citric acid.
- 8. (Currently amended) The stable-oral liquid formulation of claim 1, wherein the buffer comprises about 0.1 to about 0.8 mg/ml sodium citrate.
- 9. (Currently amended) The stable oral liquid formulation of claim 1, wherein the pH of the stable oral liquid formulation is less than about 3.5.
- 10. (Currently amended) The stable-oral liquid formulation of claim 1, wherein the pH of the stable-oral liquid formulation is between about 3 and about 3.5.
- 11. (Currently amended) The stable oral liquid formulation of claim 1, wherein the pH of the stable oral liquid formulation is about 3.3.
- 12. (Canceled)
- 13. (Currently amended) The stable oral liquid formulation of claim 1, wherein the preservative is sodium benzoate.
- 14. (Canceled)
- 15. (Currently amended) The stable oral liquid formulation of claim 1, wherein the formulation maintains about 95% w/w or greater of the initial enalapril amount at the end of a storage period of at least 18 months is stable at about 5 ± 3° C for at least 18 months.
- 16. (Canceled)
- 17. (Currently amended) An stable-oral liquid formulation, consisting essentially of:
 - (i) about 0.6 to about 1.2 mg/ml enalapril or a pharmaceutically acceptable salt or solvate thereof;
 - (ii) a buffer comprising about 1-4 mg/ml of a mixture of citric acid and sodium citrate, wherein the buffer is present at a concentration between about 5 mM and about 20 mM in the oral liquid formulation;
 - (iii) about 1 mg/ml sodium benzoate; and

- (iv) water; and
- (v) optionally a sweetener, a flavoring agent, or both;

wherein the formulation <u>maintains</u> is stable at about $5 \pm 3^{\circ}$ C for at least 12 months; and

wherein the stable oral liquid formulation has about 95% w/w or greater of the initial enalapril amount and about 5% w/w or less total impurity or related substances at the end of a the given storage period of at least 12 months at about $5 \pm 3^{\circ}$ C.

- 18. (Canceled)
- 19. (Currently amended) The stable-oral liquid formulation of claim 31 further comprising a sweetener.
- 20. (Currently amended) The stable-oral liquid formulation of claim 19, wherein the sweetener is sucralose.
- 21. (Currently amended) The stable-oral liquid formulation of claim 31 further comprising a flavoring agent.
- 22. (Currently amended) The stable-oral liquid formulation of claim 31, wherein the formulation does not contain mannitol.
- 23. (Currently amended) The stable-oral liquid formulation of claim 31, wherein the formulation does not contain silicon dioxide.
- 24. (Currently amended) The stable-oral liquid formulation of claim 31, wherein the pH of the stable-oral liquid formulation is less than about 3.5.
- 25. (Currently amended) The stable-oral liquid formulation of claim 31, wherein the pH of the stable-oral liquid formulation is between about 3 and about 3.5.
- 26. (Currently amended) The stable-oral liquid formulation of claim 31, wherein the pH of the stable-oral liquid formulation is about 3.3.
- 27. (Canceled)

- 28. (Currently amended) The stable oral liquid formulation of claim 31, wherein the preservative is sodium benzoate.
- 29. (Currently amended) The stable-oral liquid formulation of claim 31, wherein the formulation maintains about 95% w/w or greater of the initial enalapril amount at the end of a storage period of at least 18 months is stable at about 5 ± 3° C for at least 18 months.
- 30. (Canceled)
- 31. (Currently amended) An stable-oral liquid formulation, comprising:
 - (i) about 0.6 to about 1.2 mg/ml enalapril or a pharmaceutically acceptable salt or solvate thereof;
 - (ii) a buffer comprising about 1-4 mg/ml of a mixture of citric acid and sodium citrate, wherein the buffer is present at a concentration between about 5 mM and about 20 mM in the oral liquid formulation;
 - (iii) about 1 mg/ml of a preservative, wherein the preservative is a paraben or a mixture of parabens; and
 - (iv) water;

wherein the formulation <u>maintains</u> is stable at about $5 \pm 3^{\circ}$ C for at least 12 months; and

wherein the stable oral liquid formulation has about 95% w/w or greater of the initial enalapril amount and about 5% w/w or less total impurity or related substances at the end of a the given storage period of at least 12 months at about $5 \pm 3^{\circ}$ C.

- 32. (New) The oral liquid formulation of claim 1, wherein the enalapril or a pharmaceutically acceptable salt or solvate thereof is enalapril maleate, and wherein the enalapril maleate is present in the oral liquid formulation at about 1.0 mg/ml.
- 33. (New) The oral liquid formulation of claim 1, wherein the buffer is present at a concentration between about 10 mM and about 20 mM in the oral liquid formulation.
- 34. (New) The oral liquid formulation of claim 1, wherein the buffer is present at a concentration of about 10 mM in the oral liquid formulation.

- 35. (New) The oral liquid formulation of claim 31, wherein the enalapril or a pharmaceutically acceptable salt or solvate thereof is enalapril maleate, and wherein the enalapril maleate is present in the oral liquid formulation at about 1.0 mg/ml.
- 36. (New) The oral liquid formulation of claim 31, wherein the buffer is present at a concentration between about 10 mM and about 20 mM in the oral liquid formulation.

* * *

Attorney Docket No.: 43060-707.304

REMARKS

Claims 1-11, 13, 15-17, 19-26, and 28-31 were pending in this application.

By way of this response, claims 1-11, 13, 15, 17, 19-26, 28, 29, and 31 have been amended, and claims 16 and 30 are canceled. New claims 32-36 are added. Support for the amendments can be found throughout the specifications and the originally filed claims, for example, paragraphs [0038], [0039], [0066], and [0076], Example B, Example E, Table B-1, Table B-2, Table E-1, and Table E-2 of the instant application published as US 2019/0070147 A1. No new matter is presented by way of the amendments.

Upon entry of the amendments, claims 1-11, 13, 15, 17, 19-26, 28, 29, and 31-36 are pending and under examination.

Withdrawal of any subject matter herein does not constitute an admission that the subject matter is unpatentable for any reason and Applicant reserves the right to file claims directed to withdrawn subject matter in this or a related application.

Interview Summary (Interview of February 28, 2020)

Applicant expresses its appreciation to Examiner Springer for conducting a telephone interview with Applicant's representative, Clark Lin, and for offering suggestions of overcoming the outstanding rejections. During the interview, the rejections of record were discussed, and it was suggested to the Applicant to present additional evidence supporting the pending claims.

Rejection Under 35 U.S.C. §112(b)

Claims 1-11, 13, 15-17, 19-26, and 28-31 were rejected under 35 U.S.C. § 112(b) as allegedly indefinite for reciting the term "stable."

Without acquiescing to the Office's rejection, Applicant submits that the amended claims 1-11, 13, 15-17, 19-26, and 28-31 do not recite the term "stable," rendering the rejection moot. Therefore, Applicant respectfully requests the rejection be withdrawn.

Rejection Under 35 U.S.C. §112(a)

Claims 1-11, 13, 15-17, 19-26, and 28-31 were rejected under 35 U.S.C. § 112(a) as allegedly failing to comply with the written description requirement. Specifically, the Office remarks that the specification fails to provide adequate support for claim limitations reciting "a buffer comprising about 1-4 mg/ml of a mixture of citric acid and sodium citrate" in the independent claims.

Without acquiescing to the Office's rejection, Applicant submits that the amended independent claims 1, 17 and 31 recite, in part, "wherein the buffer is present at a concentration between about 5 mM and about 20 mM in the oral liquid formulation." A buffer concentration "between about 5 mM and about 20 mM" was described in the instant application, published as US 2019/0070147 A1, e.g., in paragraph [0066], Example B, Example E, Table B-1, Table B-2, Table E-1.

Applicant further submits an Inventor Declaration by Dr. Gerold Mosher dated May, 14, 2020 (the "Mosher Declaration"), with evidence to overcome the §112(a) rejection asserted by the Office. In the Mosher Declaration, Dr. Mosher explains that:

The '159 application describes that stable oral enalapril liquid formulations can be prepared with suitable buffers including citrate buffers at varying concentrations. Formulations containing a mixture of citric acid and sodium citrate at various amounts as buffers are exemplified in the '159 application, for example, formulations B1-B3 in Example B and formulations E1-E6 in Example E. The buffer concentrations of formulations E1 to E6 are the following:

Buffer Concentration	E1	E2	E3	E4	E5	E6
Citric acid (mg/mL)	3.29	3.29	3.29	3.29	1.65	0.82
Sodium citrate (mg/mL)	0.75	0.75	0.75	0.75	0.38	0.19
Citrate concentration (mM)	20	20	20	20	10	5

Mosher Declaration, ¶13.

In addition, Dr. Mosher provided additional embodiments of the citrate buffer-based formulations in the Mosher Declaration as "exemplary formulations H1 and H7-H13 presented below in Table 1, which all contain a mixture of citric acid and sodium citrate". *See*, Mosher

Declaration, ¶15. According to the storage stability results illustrated in Table 2 and Table 3 (not reproduced here), formulations of Table 1 demonstrated excellent stability. *See*, Mosher Declaration, ¶17-18, Table 2, and Table 3.

Table 1

	Comp	ositions (1	ng/mL) fo	r Stability	Testing			
	H1	H7	Н8	Н9	H10	H11	H12	H13
Ingredients	Citrate	Citrate	Citrate	Citrate	Citrate	Citrate	Citrate	Citrate
Citric acid, anhydrous	1.82	1.92	1.92	1.92	1.92	1.92	3.84	3.84
Sodium citrate, dihydrate	0.15	-	-	-				
Citrate concentration (mM)	10	10	10	10	10	10	20	20
Sodium benzoate	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
Sucralose NF	0.70	0.70	0.70	0.70				
Enalapril Maleate	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
Purified water	qs	qs	qs	qs	qs	qs	qs	qs
pН	3.3	3.3	4.0	4.5	3	4	3	4

Dr. Mosher explains: "Table E-2 and Tables 1-3 show that formulations of the '159 application can be prepared using a mixture of citric acid and sodium citrate, and the amount of the total citrate can vary, at least between about 5 mM and about 20 mM. All the formulations in Table E-2 and Tables 1-3 demonstrated superior stability, e.g., retaining greater than about 98% of the initial enalapril maleate content and having less than about 2% w/w total impurity after 52 weeks at 5 °C." Mosher Declaration, ¶19.

Dr. Mosher further remarks that "although formulations exemplified in the '159 application and in Tables 1-3 have a total citrate amount of about 5 mM, 10 mM or 20 mM, I would expect that similar formulations having a total citrate amount between about 5 mM and about 20 mM to have similar, superior stability as the exemplified formulations." Mosher Declaration, ¶20.

Thus, Applicant submits a person of ordinary skill in the art reading the present disclosure, for example, paragraph [0066] and Examples B and E, would appreciate that the disclosed formulations can be prepared with a mixture of citric acid and sodium citrate as a

buffer at a concentration of at least between about 5 mM and about 20 mM. Therefore, the amended claims are supported for written description purposes in the instant application.

Accordingly, Applicant respectfully requests reconsideration and allowance of the pending claims.

* * *

CONCLUSION

Applicant submits that this response fully addresses the Non-Final Office Action mailed on January 7, 2020. Applicant believes that for the reasons set forth herein the pending claims are in condition for allowance and early and favorable consideration is respectfully requested.

Should the Examiner have any questions or concerns, the Examiner is encouraged to contact the undersigned attorney at (617) 598-7823.

Respectfully submitted,

WILSON SONSINI GOODRICH & ROSATI Professional Corporation

Date: May 15, 2020 By: /Clark Lin/

Clark Y. Lin, Ph.D., Esq. Reg. No. 67,024

650 Page Mill Road Palo Alto, CA 94304 Direct Dial: (858) 350-2306

Customer No. 021971

Attorney Docket No. 43060-707.304

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Inventors:

Gerold L. Mosher, et al.

Serial No.: 16/177,159

Filed: October 31, 2018

Title: ENALAPRIL FORMULATIONS

Group Art Unit: 1629

Confirmation No.: 3572

Examiner: SPRINGER, Stephanie K

Customer No.: 21971

Certificate of Electronic Filing

I hereby certify that the attached Response and all accompanying papers is being deposited by Electronic Filing on May 15, 2020, by using the EFS – Web patent filing system and addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By: /Paula Derby/

Mail Stop Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

DECLARATION OF GEROLD MOSHER UNDER 37 C.F.R. § 1.132

- I, **Gerold Mosher**, state and declare as follows:
- 1. I am currently employed at Azurity Pharmaceuticals, Inc., a company formed in May 2019 by the acquisition of Silvergate Pharmaceuticals, Inc. by CutisPharma, Inc.
- 2. I received my Bachelor's degree in Pharmacy from the University of Kansas in 1979. I also received a Master and a Doctor of Philosophy in Pharmaceutical Chemistry in 1984 and 1986, respectively, from the University of Kansas.
- 3. I have been employed at Silvergate Pharmaceuticals and now Azurity

 Pharmaceuticals since 2013, and my current position is Vice President of Product Development. As part of my job duties, I develop oral formulations for pediatric use. I have a small laboratory where I

develop, characterize and move formulations through the steps required for FDA approval and eventual sale.

- 4. Early in my career, I practiced pharmacy for two years from 1979 to 1981. Subsequently, I worked in large pharmaceutical companies (Eli Lilly and Merck) for about ten years where I focused primarily on pre-formulation and early phase formulations of new drug products. After leaving these companies and prior to Silvergate Pharmaceuticals, I have also been employed by small startup companies to develop new solubilizing technology for oral, injectable, and inhalation formulations.
- 5. In total, I have been in the field of pharmaceutical chemistry for about 40 years, and have extensive experience in developing pharmaceutical formulations. My *Curriculum Vitae* is attached as Exhibit A.
- 6. I am familiar with the subject matter claimed in U.S. Pat. App. Ser. No. 16/177,159 ("the '159 application"), and I am a named inventor on this application. Silvergate Pharmaceuticals, Inc. is the assignee of all rights in the invention of the pending '159 application.
- 7. I am aware of the Non-Final Office Action mailed in this matter on January 7, 2020. I am also aware that the pending claims were rejected under 35 U.S.C. 112(b) and 35 U.S.C. 112(a).
- 8. I am submitting this declaration to address some of the comments made in the Office Action..
- 9. The '159 application relates to enalapril oral liquid formulations that are stable at about 5 ± 3 °C for at least 12 months. The development of this described enalapril formulation was oriented on preparing a safe, stable, uniform oral liquid with minimal degradation and having an acceptable taste for pediatric patients.
- 10. Traditionally, approved methods of delivering enalapril to pediatric patients requires (1) administering a solid enalapril tablet or portion thereof to the patient, (2) extemporaneously preparing an oral liquid suspension from enalapril tablets and a diluent, such as the method described in "Nahata" and subsequently administering the suspension to the patient, or (3) reconstituting a powder in a liquid carrier. All of these methods are undesirable and have limitations. For tablets, it is well known that children have difficulty swallowing solid oral dosage forms. For the second method,

extemporaneously prepared oral liquids present additional challenges and issues with respect to dosing accuracy and stability, as well as can introduce compounding errors and cross-contamination.

- 11. Compared to these currently available methods, the enalapril oral liquid formulation claimed in the '159 application provides several advantages:
 - <u>Improved ease of administration</u>. It is easier for many patients to swallow a liquid than to swallow a tablet,
 - <u>Patient Compliance</u>. Patients are more likely to take a dose that is not difficult to swallow, or difficult to prepare,
 - Accuracy of dosing. The prescribing information for enalapril tablets provides dosing guidelines based on the weight of the child. When one only has fixed 2.5, 5 or 10 mg tablets available, it is difficult if not impossible to break the tablets in such a way to get an exact dose if the dose is something other than the tablet strength. In addition, if tablets are compounded into a suspension, the tablets are crushed in a mortar and then mixed with a liquid. There is no guarantee that the drug dissolves in, or is dispersed evenly in the liquid (thus leading to potential dosing errors. Moreover, there is always the chance of contamination of the resulting liquid by residual drugs or substances in the mortar. Similarly, in reconstitutable powders, there is also no guarantee that the powder dissolves or disperses evenly in the diluent.
- 12. The oral enalapril liquid formulations of the '159 application have superior stability—they are stable at 5 ± 3 °C for 12 months or longer with minimal degradation. The stability is an important aspect of the present formulations. It contributes to the consistency and uniformity of the formulations as well as allows for accuracy of dosing to patients.
- 13. The '159 application describes that stable oral enalapril liquid formulations can be prepared with suitable buffers including citrate buffers at varying concentrations. Formulations containing a mixture of citric acid and sodium citrate at various amounts as buffers are exemplified in the '159 application, for example, formulations B1-B3 in Example B and formulations E1-E6 in Example E. The buffer concentrations of formulations E1 to E6 are the following:

Buffer Concentration	E1	E2	E3	E4	E5	E6

Citric acid (mg/mL)	3.29	3.29	3.29	3.29	1.65	0.82
Sodium citrate (mg/mL)	0.75	0.75	0.75	0.75	0.38	0.19
Citrate concentration (mM)	20	20	20	20	10	5

14. The storage stability of formulations E1-E6 is summarized in Table E-2, partially copied below for the stability results at 5 °C. After storing at about 5 °C for a period of 52 or 62 weeks, the combined amount of two primary degradants, Enalaprilat and diketopiperazine, remained less than 1 % w/w, demonstrating excellent formulation stability. As shown in Table E-2, the formulations prepared with 5 mM, 10 mM or 20 mM of a mixture of citric acid and sodium citrate as a buffer have comparable stability over 52 weeks at about 5 °C.

TABLE E-2

			IADL					
De	gradant	Content Af	fter Storag	ge (% w/w	of enalap	ril maleate	e)	
	S	torage			Form	ılation		
	°C	Weeks	E1	E2	E3	E4	E5	E6
Diketopiperazine	5	0	0.01	0.01	0.01	0.01	0.01	0.01
		4	0.04	0.04	0.05	0.04	0.03	0.03
		8	0.04	0.04	0.04	0.04	0.03	0.03
		12	0.05	0.05	0.04	0.05	0.04	0.04
		26	0.07	0.06	0.05	0.06	0.05	0.05
		52					0.15	0.14
		62	0.18	0.18	0.16	0.14		
Enalaprilat	5	0	0.00	0.00	0.01	0.02	0.00	0.00
		4	0.07	0.09	0.10	0.11	0.07	0.08
		8	0.12	0.14	0.10	0.13	0.09	0.08
		12	0.16	0.15	0.15	0.17	0.14	0.11
		26	0.31	0.30	0.29	0.31	0.27	0.24
		52					0.54	0.46
		62	0.75	0.75	0.74	0.71		

- 15. Further evidence of the superior stability of the citrate buffer-based formulations disclosed in the '159 application can be found in exemplary formulations H1 and H7-H13 presented below in Table 1, which all contain a mixture of citric acid and sodium citrate.
- 16. Formulations H1 and H7-H13 were prepared according to the compositions in Table 1 and titrated if needed to the target pH with 5N hydrochloric acid or 5N sodium hydroxide. Formulations H1 and H7-H9 were placed into HDPE containers and sealed with screw caps and induction sealing and stored at 5 °C. Formulations H10-H13 were placed into glass containers, sealed with Teflon lined screw caps and stored at 60 °C. The formulations were sampled at various

times during storage. Samples were analyzed by HPLC for enalapril maleate and enalapril related substances. The results of the analyses are presented in Table 2 and Table 3.

- 17. The enalapril maleate assay results in Table 2 show that formulations H1 and H7-H9 retain greater than 98% of the initial enalapril maleate content and have less than 2% of total impurity after 52 weeks at 5 °C. Formulations H1 and H7-H9 demonstrated excellent stability. Further, by comparing the amounts of the two primary degradants (i.e., Diketopiperazine and Enalaprilat) in Table 2 and Table E-2, it can be expected that formulations E1-E6 have comparable stability to formulations H1 and H7-H9.
- 18. In Table 3, the stability of formulations prepared with a mixture of citric acid and sodium citrate as a buffer at two different concentrations and pH values were compared under an accelerated condition at 60 °C. The results in Table 3 show that a citrate buffer concentration of about 10 mM or 20 mM, at least when adjusted to a pH value of about 3-4, are suitable to be used in formulations of the '159 application and yield similar stability.

Table 1

	C	Composition	s (mg/mL) fo	r Stability	Testing			
	H1	H7	Н8	Н9	H10	H11	H12	H13
Ingredients	Citrate	Citrate	Citrate	Citrate	Citrate	Citrate	Citrate	Citrate
Citric acid, anhydrous	1.82	1.92	1.92	1.92	1.92	1.92	3.84	3.84
Sodium citrate, dihydrate	0.15	-	-	-				
Citrate concentration (mM)	10	10	10	10	10	10	20	20
Sodium benzoate	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
Sucralose NF	0.70	0.70	0.70	0.70				
Enalapril Maleate	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
Purified water	qs	qs	qs	qs	qs	qs	qs	qs
pН	3.3	3.3	4.0	4.5	3	4	3	4

Table 2

	Assay and Total Degradant Content After Storage						
	S	torage			Formu	lation	
	°C	Weeks	H1	H7	Н8	H9	
Enalapril Maleate	5	0	100.0	100.0	100.0	100.0	
(% initial)		2	100.1	100.7	100.4	100.3	
		4	100.2	99.8	100.0	99.6	
		8	100.0	99.6	100.9	100.7	

		24	99.8	100.4	100.1	99.8
		28	99.8	99.7	_	_
		36	_	_	99.9	99.4
		52	99.9	99.8	99.5	99.2
Diketopiperazine	5	0	< 0.05	< 0.05	< 0.05	< 0.05
(% w/w of		2	< 0.05	< 0.05	< 0.05	< 0.05
enalapril maleate)		4	< 0.05	< 0.05	< 0.05	< 0.05
		8	< 0.05	< 0.05	< 0.05	< 0.05
		24	0.06	0.07	< 0.05	< 0.05
		28	0.09	0.10	-	-
		36	-	-	0.06	< 0.05
		52	0.14	0.12	0.07	< 0.05
Enalaprilat	5	0	< 0.05	< 0.05	0.09	0.10
(% w/w of		2	0.06	0.07	0.13	0.16
enalapril maleate)		4	0.08	0.08	0.17	0.24
		8	0.15	0.14	0.27	0.37
		24	0.19	0.20	0.41	0.58
		28	0.35	0.36	-	-
		36	-	-	0.85	1.17
		52	0.53	0.52	1.10	1.49
Total Impurities	5	0	< 0.05	< 0.05	0.09	0.10
(% w/w of		2	0.07	0.07	0.14	0.16
enalapril maleate)		4	0.09	0.10	0.20	0.26
		8	0.18	0.18	0.31	0.41
		24	0.25	0.27	0.43	0.60
		28	0.44	0.46	-	-
		36	-	-	0.91	1.20
		52	0.68	0.65	1.18	1.53

Table 3
Assay Results After Storage of Formulations at 60 °C

		Enalap	ril Maleate	e, pH 3 (%	initial)	Enalap	ril Maleate	e, pH 4 (%	initial)
Buffer	mM	0 Days	2 Days	4 Days	7 Days	0 Days	2 Days	4 Days	7 Days
Citrate	10	100.0	97.1	97.2	95.5	100.0	97.2	96.6	94.6
	20	100.0	97.1	96.8	95.2	100.0	96.8	96.4	94.4

19. As presented above, Table E-2 and Tables 1-3 show that formulations of the '159 application can be prepared using a mixture of citric acid and sodium citrate, and the amount of the total citrate can vary, at least between about 5 mM and about 20 mM. All the formulations in Table E-2 and Tables 1-3 demonstrated superior stability, e.g., retaining greater than about 98% of the

initial enalapril maleate content and having less than about 2% w/w total impurity after 52 weeks at 5 °C.

- 20. Further, although formulations exemplified in the '159 application and in Tables 1-3 have a total citrate amount of about 5 mM, 10 mM or 20 mM, I would expect that similar formulations having a total citrate amount between about 5 mM and about 20 mM to have similar, superior stability as the exemplified formulations.
- 21. I declare that all statements made herein are true to the best of my knowledge, or if made upon information and belief, are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Respectfully submitted on this 15 day of May, 2020

Gerold L. Mosher, Ph.D.

Gerold L. Mosker

Attorney Docket No. 43060-707.304 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: MOSHER; Gerold L. Group Art Unit: 1629

et al.

Serial Number: 16/177,159 Examiner: SPRINGER,

Stephanie K.

Filing or 371 (c) 2018-10-31 **CONFIRMATION**

Date: NO: 3572

Title: ENALAPRIL FORMULATIONS

FILED ELECTRONICALLY ON: June 5. 2020

Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97

Commissioner for Patents:

An Information Disclosure Statement along with attached PTO/SB/08 is hereby submitted. A copy of each listed publication is submitted, if required, pursuant to 37 CFR §§1.97-1.98, as indicated below.

The Examiner is requested to review the information provided and to make the information of record in the above-identified application. The Examiner is further requested to initial and return the attached PTO/SB/08 in accordance with MPEP § 609.

The right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered, is hereby reserved.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, prior art or material to patentability as defined in § 1.56.

A.	37 CF because:	R § 1.97	7 (b). This Information Disclosure Statement should be considered by the Office
		(1)	It is being filed within 3 months of the filing date of a national application and is other than a continued prosecution application under § 1.53 (d);
			OR
		(2)	It is being filed within 3 months of entry of the national stage as set forth in § 1.491 in an international application;
			OR
		(3)	It is being filed before the mailing of a first Office action on the merits;
			OR
		(4)	It is being filed before the mailing of a first Office action after the filing of a request for continued examination under § 1.114.
В.	specified in action unde	n <i>37 CFF</i> er § 1.11 n in the a	(c). Although this Information Disclosure Statement is being filed after the period $(8 \ 1.97(b))$, above, it is filed before the mailing date of the earlier of (1) a final office (3), (2) a notice of allowance under § 1.311, or (3) an action that otherwise closes application, this Information Disclosure Statement should be considered because it one of:
		a stater	nent as specified in §1.97 (e) provided concurrently herewith;
			OR
	\boxtimes		f \$240.00 as set forth in § 1.17 (p) authorized below, enclosed, or included with the nt of other papers filed together with this statement.
C .	date of the (3) an action	earlier on that ot	(d). Although this Information Disclosure Statement is being filed after the mailing f (1) a final office action under § 1.113, (2) a notice of allowance under § 1.311, or herwise closes prosecution in the application, it is being filed before payment of the d be considered because it is accompanied by:
		i. a st	atement as specified in § 1.97 (e);
			AND
			e of \$240.00 as set forth in \$1.17(p) is authorized below, enclosed, or included with payment of other papers filed together with this Statement.
D.	☐ 37 CFI	R §1.97 ((e). Statement.
		A state	ment is provided herewith to satisfy the requirement under 37 CFR §§ 1.97 (c);
			AND/OR
		A state	ment is provided herewith to satisfy the requirement under 37 CFR §§ 1.97 (d);
			AND/OR
		informathe cor	of a dated communication from a foreign patent office clearly showing that the ation disclosure statement is being submitted within 3 months of the filing date on munication is provided in lieu of a statement under 37 C.F.R. § 1.97(e) (1) as ed for under MPEP 609.04(b) V.

E.	Statement Under 37 C.F.R. §1.704(d). Each item of information contained in the information disclosure statement was first cited in any communication from a patent office in a counterpart foreign or international application or from the Office or is a communication that was issued by a patent office in a counterpart foreign or international application or by the Office that was received by an individual designated in § 1.56(c) not more than thirty (30) days prior to the filing of this information disclosure statement. This statement is made pursuant to the requirements of 37 C.F.R. §1.704(d) to avoid reduction of the period of adjustment of the patent term for Applicant(s) delay.				
F.	⊠ 37 CFF	R §1.98 (a) (2). The content of the Information Disclosure Statement is as follows:			
		Copies of each of the references listed on the attached Form PTO/SB/08 are enclosed herewith.			
		OR			
		Copies of U.S. Patent Documents (issued patents and patent publications) listed on the attached Form PTO/SB/08 are not enclosed.			
		AND/OR			
	\boxtimes	Copies of Foreign Patent Documents and/or Non Patent Literature Documents listed on the attached Form PTO/SB/08 are enclosed in accordance with 37 CFR §1.98 (a)(2).			
		AND/OR			
		Copies of pending unpublished U.S. patent applications are enclosed in accordance with 37 CFR §1.98 (a) (2) (iii).			
G.	☐ 37 CFR §1.98(a)(3). The Information Disclosure Statement includes non-English patents and/or references.				
		Pursuant to 37 CFR §1.98(a)(3)(i), a concise explanation of the relevance of each patent, publication or other information provided that is not in English is provided herewith.			
		Pursuant to MPEP 609(B), an English language copy of a foreign search report is submitted herewith to satisfy the requirement for a concise explanation where non-English language information is cited in the search report.			
		OR			
		A concise explanation of the relevance of each patent, publication or other information provided that is not in English is as follows:			
		Pursuant to 37 CFR §1.98(a) (3) (ii), a copy of a translation, or a portion thereof, of the non-English language reference(s) is provided herewith.			
H.		$R \ \S 1.98(d)$. Copies of patents, publications and pending U.S. patent applications, or other a specified in 37 C.F.R. $\S 1.98(a)$ are not provided herewith because:			
		Pursuant to 37 CFR §1.98(d)(1) the information was previously submitted in an Information Disclosure Statement, or cited by examiner for another application under which this application claims priority for an earlier effective filing date under 35 U.S.C. 120.			
		Application in which the information was submitted:			
		Information Disclosure Statement(s) filed on:			
		AND			
		The information disclosure statement submitted in the earlier application complied with paragraphs (a) through (c) of 37 CFR §1.98.			

I. Evaluation The Commissioner is hereby \$240.00 and charge any additional fees or credit any to Deposit Account No. 23-2415 (Docket No.43060)	y overpayment associated with this communication
	Respectfully submitted,
	WILSON SONSINI GOODRICH & ROSATI
Dated: June 5, 2020	By: /Clark Lin/
650 Page Mill Road Palo Alto, CA 94304-1050 (650) 493-9300 Customer No. 21971	Clark Y. Lin, Reg. No. 67,024

Case 1:20-cv-01256-LPS Document 74-1 Filed 04/05/21 Page 603 of 748 PageID #: 2794

United States Patent and Trademark Office



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NOTICE OF ALLOWANCE AND FEE(S) DUE

21971 7590 07/16/2020 WILSON, SONSINI, GOODRICH & ROSATI 650 PAGE MILL ROAD PALO ALTO, CA 94304-1050 EXAMINER

RAO, SAVITHA M

ART UNIT PAPER NUMBER

1629

DATE MAILED: 07/16/2020

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
16/177 159	10/31/2018	Gerold I. Mosher	43060-707 304	3572

TITLE OF INVENTION: ENALAPRIL FORMULATIONS

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$1000	\$0.00	\$0.00	\$1000	10/16/2020

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the ENTITY STATUS shown above. If the ENTITY STATUS is shown as SMALL or MICRO, verify whether entitlement to that entity status still applies.

If the ENTITY STATUS is the same as shown above, pay the TOTAL FEE(S) DUE shown above.

If the ENTITY STATUS is changed from that shown above, on PART B - FEE(S) TRANSMITTAL, complete section number 5 titled "Change in Entity Status (from status indicated above)".

For purposes of this notice, small entity fees are 1/2 the amount of undiscounted fees, and micro entity fees are 1/2 the amount of small entity fees.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Maintenance fees are due in utility patents issuing on applications filed on or after Dec. 12, 1980. It is patentee's responsibility to ensure timely payment of maintenance fees when due. More information is available at www.uspto.gov/PatentMaintenanceFees.

Page 1 of 3

Case 1:2	20-cv-01256-LF	PS Document	74-fee(si) ed 04/s	05/21 _{AL} Page	604	of 748 Pagel	D #: 2795
Complete and send	this form, together v	with applicable fee(s), by mail or fax, or	via EFS-Web.			
By mail, send to:	Mail Stop ISSUE Commissioner for P.O. Box 1450 Alexandria, Virgin	FEE Patents				By fax, send to	o: (571)-273-288
further correspondence in	ncluding the Patent, adva	ince orders and notificatio	E and PUBLICATION FE on of maintenance fees will dence address; and/or (b)	be mailed to the cur	rrent cor	respondence address as	s indicated unless correcte
CURRENT CORRESPONDI	7590 07/16 NSINI, GOODRIC	lock 1 for any change of address)	No Fe pa ha I h Sta ad	te: A certificate of e(s) Transmittal. The pers. Each additionate its own certificate Ceereby certify that the Postal Service verseed to the Mail	mailing is certifi al paper, e of mai rtificate nis Fee(s with suff	can only be used for icate cannot be used for such as an assignmenting or transmission. of Mailing or Transmits being icient postage for first SUE FEE address abo	domestic mailings of the or any other accompanying tor formal drawing, mu-
THEO HETO, C	7191901 1030						(Typed or printed name
							(Signature
			L				(Date
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTO	R	ATTO	RNEY DOCKET NO.	CONFIRMATION NO.
16/177,159	10/31/2018	•	Gerold L. Mosher		4	3060-707.304	3572
TITLE OF INVENTION	: ENALAPRIL FORMU	JLATIONS					
APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUI	PREV. PAID ISSU	JE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$1000	\$0.00	\$0.00		\$1000	10/16/2020
EXAM	IINER	ART UNIT	CLASS-SUBCLASS	٦			
RAO, SAV	VITHA M	1629	514-183000	_			
1. Change of corresponde	ence address or indicatio	on of "Fee Address" (37	2. For printing on the	patent front page, li	ist		
 Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-09 or more recent) attached. Use of a Customer 			(1) The names of up or agents OR, alterna (2) The name of a sin registered attorney or 2 registered patent att listed, no name will b	ively, gle firm (having as a agent) and the nam orneys or agents. If	a members	1er a	
Number is required. 3. ASSIGNEE NAME A	ND RESIDENCE DATA	A TO BE PRINTED ON	THE PATENT (print or ty	/pe)			
recorded, or filed for i	recordation, as set forth i	ied below, no assignee dat in 37 CFR 3.11 and 37 CF	ta will appear on the paten FR 3.81(a). Completion of	f this form is NOT a	a substit	ute for filing an assign	must have been previousl ment.
(A) NAME OF ASSIC	JNEE		(B) RESIDENCE: (CIT	Y and STATE OR C	COUNT	RY)	
Please check the appropri	iate assignee category or	categories (will not be pr	rinted on the patent) : \Box	Individual 🖵 Corpo	oration c	or other private group e	ntity 🗖 Government
		lication Fee (if required)		# of Copies			
4b. Method of Payment:							
La Electronic Paymen			Non-electronic payment b	•			
The Director is her	reby authorized to charg	e the required fee(s), any	deficiency, or credit any o	overpayment to Dep	osit Acc	count No	
Applicant asserting	tus (from status indicate ag micro entity status. Se g small entity status. See g to regular undiscounte	ee 37 CFR 1.29 e 37 CFR 1.27	fee payment in the micr NOTE: If the application to be a notification of lo	o entity amount will n was previously un ss of entitlement to ox will be taken to b	l not be a der mica micro ea	accepted at the risk of a ro entity status, checkin ntity status.	/SB/15A and 15B), issue application abandonment. ag this box will be taken lement to small or micro

Date _

Registration No.

NOTE: This form must be signed in accordance with 37 CFR 1.31 and 1.33. See 37 CFR 1.4 for signature requirements and certifications.

Authorized Signature _

Typed or printed name

Case 1:20-cv-01256-LPS Document 74-1 Filed 04/05/21 Page 605 of 748 PageID #: 2796

United States Patent and Trademark Office



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450

Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
16/177,159	10/31/2018	Gerold L. Mosher	43060-707.304 3572		
21971 75	90 07/16/2020	EXAMINER			
*	INI, GOODRICH &	RAO, SAVITHA M			
650 PAGE MILL ROAD			ART UNIT PAPER NUMBER		
PALO ALTO, CA	94304-1050				
			1629		
			DATE MAILED: 07/16/2020)	

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(Applications filed on or after May 29, 2000)

The Office has discontinued providing a Patent Term Adjustment (PTA) calculation with the Notice of Allowance.

Section 1(h)(2) of the AIA Technical Corrections Act amended 35 U.S.C. 154(b)(3)(B)(i) to eliminate the requirement that the Office provide a patent term adjustment determination with the notice of allowance. See Revisions to Patent Term Adjustment, 78 Fed. Reg. 19416, 19417 (Apr. 1, 2013). Therefore, the Office is no longer providing an initial patent term adjustment determination with the notice of allowance. The Office will continue to provide a patent term adjustment determination with the Issue Notification Letter that is mailed to applicant approximately three weeks prior to the issue date of the patent, and will include the patent term adjustment on the patent. Any request for reconsideration of the patent term adjustment determination (or reinstatement of patent term adjustment) should follow the process outlined in 37 CFR 1.705.

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

OMB Clearance and PRA Burden Statement for PTOL-85 Part B

The Paperwork Reduction Act (PRA) of 1995 requires Federal agencies to obtain Office of Management and Budget approval before requesting most types of information from the public. When OMB approves an agency request to collect information from the public, OMB (i) provides a valid OMB Control Number and expiration date for the agency to display on the instrument that will be used to collect the information and (ii) requires the agency to inform the public about the OMB Control Number's legal significance in accordance with 5 CFR 1320.5(b).

The information collected by PTOL-85 Part B is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b) (2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

	Application No. 16/177,159	Applicant(s Mosher et a					
Notice of Allowability	Examiner SAVITHA M RAO	Art Unit 1629	AIA (FITF) Status Yes				
The MAILING DATE of this communication appears on the cover sheet with the correspondence address All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.							
 1. ✓ This communication is responsive to 05/15/2020. ☐ A declaration(s)/affidavit(s) under 37 CFR 1.130(b) was/were filed on 							
2. An election was made by the applicant in response to a restriction requirement set forth during the interview on; the restriction requirement and election have been incorporated into this action.							
Patent Prosecution Highway program at a participating in	3. The allowed claim(s) is/are See Continuation Sheet. As a result of the allowed claim(s), you may be eligible to benefit from the Patent Prosecution Highway program at a participating intellectual property office for the corresponding application. For more information, please see http://www.uspto.gov/patents/init_events/pph/index.jsp or send an inquiry to PPHfeedback@uspto.gov.						
4. ☐ Acknowledgment is made of a claim for foreign priority und	er 35 U.S.C. § 119(a)-(d) or	(f).					
Certified copies:							
a) \square All b) \square Some *c) \square None of the:							
1. Certified copies of the priority documents hav	e been received.						
2. Certified copies of the priority documents hav	e been received in Application	on No					
3. Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).							
* Certified copies not received:							
Applicant has THREE MONTHS FROM THE "MAILING DATE noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		e a reply complying w	ith the requirements				
5. CORRECTED DRAWINGS (as "replacement sheets") mus	t be submitted.						
including changes required by the attached Examiner's Paper No./Mail Date							
Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).							
6. ☐ DEPOSIT OF and/or INFORMATION about the deposit of E attached Examiner's comment regarding REQUIREMENT I							
Attachment(s)							
1. Notice of References Cited (PTO-892)	5. 🗌 Examiner's	s Amendment/Comme	ent				
2. ✓ Information Disclosure Statements (PTO/SB/08),	6. ☑ Examiner'	s Statement of Reaso	ns for Allowance				
Paper No./Mail Date <u>06/05/2020</u> . 3. Examiner's Comment Regarding Requirement for Deposit of Biological Material	7. 🗌 Other	·					
4. Interview Summary (PTO-413), Paper No./Mail Date. 07/01/2020.							
/SAVITHA M RAO/							
Primary Examiner, Art Unit 1629							
LLC Detect and Trademark Office							
U.S. Patent and Trademark Office PTOL-37 (Rev. 08-13) Notice	of Allowability	Part of Paper No./	Mail Date 20200709				

Continuation Sheet (PTOL-37)

Application No. 16/177,159

Continuation of 3. The allowed claim(s) is/are: 1-11,13,15,17,19-29 and 31-36

Application/Control Number: 16/177,159 Page 2

Art Unit: 1629

Notice of Pre-AIA or AIA Status

The present application, filed on or after March 16, 2013, is being examined under the first inventor to file provisions of the AIA.

DETAILED ACTION

Claims 1-11, 13, 15, 17, 19-29 and 31-36 are pending in the instant application.

Applicants representative Mr. Clark Lin interviewed with the examiner to discuss the claim amendments and the submitted affidavit on 7/1/2020. Please see the attached interview summary for details.

Information Disclosure Statement

The information disclosure statement (IDS) dated 06/05/2020 complies with the provisions of 37 CFR 1.97, 1.98 and MPEP § 609. Accordingly, it has been placed in the application file and the information therein has been considered as to the merits.

Terminal disclaimer

The terminal disclaimer filed on 08/01/2019 disclaiming the terminal portion of any patent granted on this application which would extend beyond the expiration date of US patents 9669008. 9808442, 10039745 and 10154987 and US application 16/242898 have been reviewed and is accepted. The terminal disclaimer has been recorded.

Rule 37 CFR 1.132 Declaration

Applicant's submission of the declarations of Gerold Mosher under 37 CFR 1.132 filed 05/15/2020 is acknowledged. The declarations is found to be persuasive in

Application/Control Number: 16/177,159

Art Unit: 1629

overcoming the outstanding rejections set forth in the non-final rejection dated

01/07/2020.

REASONS FOR ALLOWANCE

In view of the applicants claim amendments, arguments and the declaration filed

on 05/15/2020 and the following examiners statement of reasons for allowance, claims

1-11, 13, 15, 17, 19-29 and 31-36 are found to be allowable.

Following a diligent search it was determined that the prior art neither teaches

nor provides adequate motivation to arrive at the instantly claimed oral liquid

formulation, comprising: (i) about 0.6 to about 1.2 mg/ml enalapril or a pharmaceutically

acceptable salt or solvate thereof; (ii) a buffer comprising a mixture of citric acid and

sodium citrate, wherein the buffer is present at a concentration between about 5 mM

and about 20 mM in the oral liquid formulation; (iii) about 1 mg/ml of a preservative,

wherein the preservative is a paraben or a mixture of parabens; and (iv) water; wherein

the formulation maintains about 95% w/w or greater of the initial enalapril amount at the

end of a the given storage period of at least 12 months at about 5 ± 30 C.

Conclusion

Claims 1-11, 13, 15, 17, 19-29 and 31-36 (renumbered 1-29) are allowed.

Any comments considered necessary by applicant must be submitted no later

than the payment of the issue fee and, to avoid processing delays, should preferably

accompany the issue fee. Such submissions should be clearly labeled "Comments on

Statement of Reasons for Allowance."

SLVGT-EPA 0106998

Page 3

Appx585

Application/Control Number: 16/177,159

Art Unit: 1629

Page 4

Any inquiry concerning this communication or earlier communications from the examiner should be directed to SAVITHA RAO whose telephone number is (571)270-5315. The examiner can normally be reached on Mon-Fri 7.00 am to 4.00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jeffrey Lundgren can be reached on (571) 272-5541. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/SAVITHA M RAO/ Primary Examiner, Art Unit 1629

	Application No. 16/177,159	Applicant(s) Mosher et al.					
Applicant-Initiated Interview Summary	Examiner SAVITHA M RAO	Art Unit 1629	AIA (FITF) Status Yes				
All participants (applicant, applicants representative, PTC	personnel):						
(1) <u>SAVITHA M. RAO</u> .	(3)						
(2) Clark Lin.	(4)						
Date of Interview: 01 July 2020.							
Type: Telephonic Video Conference Personal [copy given to: applicant	applicant's representativ	/e]					
Exhibit shown or demonstration conducted:	☑ No.						
Issues Discussed 101 112 102 103 (For each of the checked box(es) above, please describe below the issue and deta	Others iled description of the discussion)						
Claim(s) discussed: 1.							
Identification of prior art discussed: none.							
Substance of Interview (For each issue discussed, provide a detailed description and indicate if agreeme reference or a portion thereof, claim interpretation, proposed amendments, arguments.)		de: identification	or clarification of a				
Applicants discussed the claim amendments and how that	t overcomes the 112 rejection	on on file.					
Applicant recordation instructions: The formal written reply to the last Office action must include the substance of the interview. (See MPEP section 713.04). If a reply to the last Office action has already been filed, applicant is given a non-extendable period of the longer of one month or thirty days from this interview date, or the mailing date of this interview summary form, whichever is later, to file a statement of the substance of the interview.							
Examiner recordation instructions : Examiners must summarize the substance of any interview of record. A complete and proper recordation of the substance of an interview should include the items listed in MPEP 713.04 for complete and proper recordation including the identification of the general thrust of each argument or issue discussed, a general indication of any other pertinent matters discussed regarding patentability and the general results or outcome of the interview, to include an indication as to whether or not agreement was reached on the issues raised.							
☐ Attachment							
/SAVITHA M RAO/ Primary Examiner, Art Unit 1629							

U.S. Patent and Trademark Office PTOL-413 (Rev. 8/11/2010)

Summary of Record of Interview Requirements

Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

Title 37 Code of Federal Regulations (CFR) 1.133 Interviews Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiners responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicants correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

- A complete and proper recordation of the substance of any interview should include at least the following applicable items:
- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,-
- 2) an identification of the claims discussed.
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner.
 - (The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicants record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

Examiner to Check for Accuracy

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiners version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, Interview Record OK on the paper recording the substance of the interview along with the date and the examiners initials.

Electronically Filed On: July 22, 2020

PATENT

Attorney Docket No. 43060-707.304

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application	Confirmation No.: 3572
Inventors: Gerold L. Mosher, et al.) Art Unit: 1629
Application No.: 16/177,159) Examiner: Rao, Savitha M.
Filed: October 31, 2018) Customer No. 021971
Title: ENALAPRIL FORMULATIONS)
))

Mail Stop Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

AMENDMENT AFTER ALLOWANCE UNDER 37 C.F.R. § 1.312

Dear Commissioner:

This Amendment under 37 C.F.R. § 1.312 is submitted after the Notice of Allowance mailed July 16, 2020. Entry of the following amendment under 37 C.F.R. § 1.312 is respectfully requested.

Amendments to the Claims begin on page 2 of this paper.

Remarks begin on page 6 of this paper.

Conclusion begins on page <u>7</u> of this paper.

11743574_1.docx Atty. Docket No.: 43060-707.304

SLVGT-EPA_0107030

AMENDMENTS TO THE CLAIMS

This listing of claims will replace all prior versions, and listings of claims in this application. Applicant reserves the right to pursue any subject matter of any canceled claims in this or any other appropriate patent application. Support for these claims is provided in the remarks following the listing of claims.

Listing of the Claims

- 1. (Previously presented) An oral liquid formulation, comprising:
 - (i) about 0.6 to about 1.2 mg/ml enalapril or a pharmaceutically acceptable salt or solvate thereof;
 - (ii) a buffer comprising a mixture of citric acid and sodium citrate, wherein the buffer is present at a concentration between about 5 mM and about 20 mM in the oral liquid formulation;
 - (iii) about 1 mg/ml sodium benzoate; and
 - (iv) water;
 - wherein the formulation maintains about 95% w/w or greater of the initial enalapril amount at the end of a storage period of at least 12 months at about $5 \pm 3^{\circ}$ C.
- 2. (Previously presented) The oral liquid formulation of claim 1 further comprising a sweetener.
- 3. (Previously presented) The oral liquid formulation of claim 2, wherein the sweetener is sucralose.
- 4. (Previously presented) The oral liquid formulation of claim 1 further comprising a flavoring agent.
- 5. (Previously presented) The oral liquid formulation of claim 1, wherein the formulation does not contain mannitol.
- 6. (Previously presented) The oral liquid formulation of claim 1, wherein the formulation does not contain silicon dioxide.
- 7. (Previously presented) The oral liquid formulation of claim 1, wherein the buffer comprises about 0.8 to about 3.5 mg/ml citric acid.

- 8. (Previously presented) The oral liquid formulation of claim 1, wherein the buffer comprises about 0.1 to about 0.8 mg/ml sodium citrate.
- 9. (Previously presented) The oral liquid formulation of claim 1, wherein the pH of the oral liquid formulation is less than about 3.5.
- 10. (Previously presented) The oral liquid formulation of claim 1, wherein the pH of the oral liquid formulation is between about 3 and about 3.5.
- 11. (Previously presented) The oral liquid formulation of claim 1, wherein the pH of the oral liquid formulation is about 3.3.
- 12. (Canceled)
- 13. (Canceled)
- 14. (Canceled)
- 15. (Previously presented) The oral liquid formulation of claim 1, wherein the formulation maintains about 95% w/w or greater of the initial enalapril amount at the end of a storage period of at least 18 months at about $5 \pm 3^{\circ}$ C.
- 16. (Canceled)
- 17. (Previously presented) An oral liquid formulation, consisting essentially of:
 - (i) about 0.6 to about 1.2 mg/ml enalapril or a pharmaceutically acceptable salt or solvate thereof;
 - (ii) a buffer comprising a mixture of citric acid and sodium citrate, wherein the buffer is present at a concentration between about 5 mM and about 20 mM in the oral liquid formulation;
 - (iii) about 1 mg/ml sodium benzoate;
 - (iv) water; and
 - (v) optionally a sweetener, a flavoring agent, or both; wherein the formulation maintains about 95% w/w or greater of the initial enalapril amount at the end of a storage period of at least 12 months at about $5 \pm 3^{\circ}$ C.
- 18. (Canceled)

- 19. (Previously presented) The oral liquid formulation of claim 31 further comprising a sweetener.
- 20. (Previously presented) The oral liquid formulation of claim 19, wherein the sweetener is sucralose.
- 21. (Previously presented) The oral liquid formulation of claim 31 further comprising a flavoring agent.
- 22. (Previously presented) The oral liquid formulation of claim 31, wherein the formulation does not contain mannitol.
- 23. (Previously presented) The oral liquid formulation of claim 31, wherein the formulation does not contain silicon dioxide.
- 24. (Previously presented) The oral liquid formulation of claim 31, wherein the pH of the oral liquid formulation is less than about 3.5.
- 25. (Previously presented) The oral liquid formulation of claim 31, wherein the pH of the oral liquid formulation is between about 3 and about 3.5.
- 26. (Previously presented) The oral liquid formulation of claim 31, wherein the pH of the oral liquid formulation is about 3.3.
- 27. (Canceled)
- 28. (Canceled)
- 29. (Previously presented) The oral liquid formulation of claim 31, wherein the formulation maintains about 95% w/w or greater of the initial enalapril amount at the end of a storage period of at least 18 months at about $5 \pm 3^{\circ}$ C.
- 30. (Canceled)
- 31. (Previously presented) An oral liquid formulation, comprising:
 - (i) about 0.6 to about 1.2 mg/ml enalapril or a pharmaceutically acceptable salt or solvate thereof;

- (ii) a buffer comprising a mixture of citric acid and sodium citrate, wherein the buffer is present at a concentration between about 5 mM and about 20 mM in the oral liquid formulation;
- (iii) about 1 mg/ml of a preservative, wherein the preservative is a paraben or a mixture of parabens; and
- (iv) water;
- wherein the formulation maintains about 95% w/w or greater of the initial enalapril amount at the end of a storage period of at least 12 months at about $5 \pm 3^{\circ}$ C.
- 32. (Previously presented) The oral liquid formulation of claim 1, wherein the enalapril or a pharmaceutically acceptable salt or solvate thereof is enalapril maleate, and wherein the enalapril maleate is present in the oral liquid formulation at about 1.0 mg/ml.
- 33. (Previously presented) The oral liquid formulation of claim 1, wherein the buffer is present at a concentration between about 10 mM and about 20 mM in the oral liquid formulation.
- 34. (Previously presented) The oral liquid formulation of claim 1, wherein the buffer is present at a concentration of about 10 mM in the oral liquid formulation.
- 35. (Previously presented) The oral liquid formulation of claim 31, wherein the enalapril or a pharmaceutically acceptable salt or solvate thereof is enalapril maleate, and wherein the enalapril maleate is present in the oral liquid formulation at about 1.0 mg/ml.
- 36. (Previously presented) The oral liquid formulation of claim 31, wherein the buffer is present at a concentration between about 10 mM and about 20 mM in the oral liquid formulation.

* * *

REMARKS

Amendment to the Claims

Applicant respectfully requests entrance of this Amendment to amend the claims of the instant application. After entry of this amendment, claims 1-11, 15, 17, 19-26, 29, and 31-36 are pending in this case. Claims 13 and 28, both of which recited "wherein the preservative is sodium benzoate", have been canceled to eliminate redundancy. No other claims have been amended or added. Accordingly, Applicant respectfully submits that the amendment does not add any new matter or raise any new issues.

Summary of Applicant-Initiated Examiner Interview

Applicant also thanks Examiner Rao for the courtesy of a telephonic interview with Applicant's representative Clark Y. Lin on July 1, 2020. During the interview rejections under 35 U.S.C. § 112 were discussed.

CONCLUSION

Applicant believes that this application is in condition of allowance and requests expeditious issuance of the claims. Should the Examiner have any questions, the Examiner is encouraged to contact the undersigned at (617) 598-7823. The Commissioner is authorized to charge any additional fees that may be required, including petition fees and extension of time fees, or credit any overpayment to Deposit Account No. 23-2415 (Attorney Docket No.: 43060-707.304).

		Respectfully submitted,	
Date: <u>July 22, 2020</u>	By:	/Clark Lin/ Clark Lin, Esq., Reg. No. 67,024	

WILSON SONSINI GOODRICH & ROSATI 650 Page Mill Road Palo Alto, CA 94304-1050 Phone: (650) 493-9300

Client No. 021971

Case 1:	20-cv-01256-LF	S Document	74 flesiled odso	5/21 _{A1} Page 6	321 of 748 Page	eID #: 2812
Complete and send	this form, together v	vith applicable fee(s), by mail or fax, or v	ia EFS-Web.		
By mail, send to:	Mail Stop ISSUE I Commissioner for P.O. Box 1450 Alexandria, Virgin	Patents			By fax, send	d to: (571)-273-2885
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				July 23, 2020)	(Date,
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR	A	TTORNEY DOCKET NO.	CONFIRMATION NO.
L	10/31/2018					
16/177,159 TITLE OF INVENTION	: ENALAPRIL FORMU	LATIONS	Gerold I., Mosher		43060-707,304	3572
APPLN, TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE F	EE TOTAL FEE(S) DU	JE DATE DUE
nonprovisional	UNDISCOUNTED	\$1000	\$0.00	\$0.00	\$1000	10/16/2020
EXAN	MNER	ART UNIT	CLASS-SUBCLASS			
RAO, SA	VITHA M	1629	514-183000			
CFR 1.363). Change of corresp Address form PTO/Si "Fee Address" ind	lication (or "Fee Address" more recent) attached. Us	nge of Correspondence	For printing on the p (1) The names of up to or agents OR, alternativ (2) The name of a single registered attorney or a 2 registered patent attorities of the	3 registered patent a rely. le firm (having as a m geat) and the names meys or agents. If no	ember a of up to 2 name is	Sonsini, Goodrich & Ros
3. ASSIGNEE NAME A	ND RESIDENCE DATA		THE PATENT (print or typ	•		
recorded, or filed for	recordation, as set forth is	ed below, no assignee dat 137 CFR 3.11 and 37 CI	R 3.81(a). Completion of	this form is NOT a su	bstitute for filing an assi	ent must have been previously ignment.
(A) NAME OF ASSI SILVERGATE P	GNEE HARMACEUTICALS	s, INC.	(B) RESIDENCE: (CITY GREENWOOD	and STATE OR COM VILLAGE, CO	UNTRY)	
Please check the appropri	riate assignee category or	categories (will not be p	risted on the patent) : 🛄 In	dividual 🗷 Cosporat	ion or other private group	p entity 🚨 Government
4a. Fees submitted:	XIssue Fee □Publ	ication Fee (if required)	Advance Order - #	of Copies		
4b. Method of Payment:	(Please first reapply any	previously paid fee show	n above)			
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The Director is he	reby authorized to charge	the required fee(s), any	deficiency, or credit any ov	erpayment to Deposit	Account No. 23-2415	<u> </u>
Applicant certifyis Applicant assertin	tus (from status indicate ag micro emity status. See g small entity status. See ag to regular undiscounted	e 37 CFR 1.29 37 CFR 1.27	fee payment in the micro NOTE: If the application to be a notification of loss	entity amount will no was previously under s of entitlement to mic c will be taken to be a	t be accepted at the risk of micro entity status, check to entity status.	TO/SB/15A and 15B), issue of application abandonment, cking this box will be taken attitement to small or micro

NOTE: This form must be signed in accordance with 37 CFR 1.31 and 1.33. See 37 CFR 1.4 for signature requirements and certifications.

Typed or printed name Clark Y. Lin.

Authorized Signature

/Clark Lin/

67,024

Date July 23, 2020

Registration No.

UNITED STATES DEPARTMENT OF COMMERCE **United States Patent and Trademark Office** Address: COMMISSIONER FOR PATENTS

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.		
16/177,159	10/31/2018	Gerold L. Mosher	43060-707.304	3572		
	7590 07/31/202 JSINI, GOODRICH &	EXAM	EXAMINER			
650 PAGE MII	LL ROAD	RAO, SAVITHA M				
PALO ALTO,	CA 94304-1050	ART UNIT	PAPER NUMBER			
			1629			
			NOTIFICATION DATE	DELIVERY MODE		
			07/31/2020	ELECTRONIC		

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patentdocket@wsgr.com

		Application No.	Applican	t(s)
		16/177,159	Mosher e	t al.
Resp	oonse to Rule 312 Communication	Examiner	Art Unit	AIA (FITF) Status
		SAVITHA M RAO	1629	Yes
	The MAILING DATE of this communication appear	rs on the cover sheet with the c	orrespond	lence address
1. ☑ The ai a) ☑	mendment filed on <u>22 July 2020</u> under 37 CFR 1.312 entered.	has been considered, and has be	een:	
b) 🗹	entered as directed to matters of form not affecting	the scope of the invention.		
c) 🗌	disapproved because the amendment was filed afte	r the payment of the issue fee.		
	Any amendment filed after the date the issue fee and the required fee to withdraw the application for the second s		a petition ι	under 37 CFR 1.313(c)(1)
d) 🗌	disapproved. See explanation below.			
e) 🗌	entered in part. See explanation below.			
/SAVITHA Primary E	A M RAO/ xaminer, Art Unit 1629			

Application No.Applicant(s)16/177,159Mosher et al.				
Notice of Allowability	Examiner SAVITHA M RAO	Art Unit 1629	AIA (FITF) Status Yes	
The MAILING DATE of this communication app All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT R of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED or other appropriate com IGHTS. This application is	D in this application. If no Imunication will be mailed	t included d in due course. THIS	
1. ☐ This communication is responsive to 05/15/2020. ☐ A declaration(s)/affidavit(s) under 37 CFR 1.130(b) was	s/were filed on			
2. An election was made by the applicant in response to a resrestriction requirement and election have been incorporate		orth during the interview	on; the	
3. The allowed claim(s) is/are 1-11,15,17,19-26,29 and 31-36 the Patent Prosecution Highway program at a participating information, please see http://www.uspto.gov/patents/inipPHfeedback@uspto.gov.	ng intellectual property off	ice for the corresponding		
4. ☐ Acknowledgment is made of a claim for foreign priority und	er 35 U.S.C. § 119(a)-(d)	or (f).		
Certified copies:				
a) \square All b) \square Some *c) \square None of the:				
1. Certified copies of the priority documents have	e been received.			
2. Certified copies of the priority documents have		ation No		
3. Copies of the certified copies of the priority de	ocuments have been rece	eived in this national stag	e application from the	
International Bureau (PCT Rule 17.2(a)).				
* Certified copies not received:				
Applicant has THREE MONTHS FROM THE "MAILING DATE noted below. Failure to timely comply will result in ABANDON! THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		o file a reply complying w	ith the requirements	
5. CORRECTED DRAWINGS (as "replacement sheets") mus	t be submitted.			
including changes required by the attached Examiner' Paper No./Mail Date				
Identifying indicia such as the application number (see 37 CFR sheet. Replacement sheet(s) should be labeled as such in the h			t (not the back) of each	
6. DEPOSIT OF and/or INFORMATION about the deposit of attached Examiner's comment regarding REQUIREMENT				
Attachment(s)				
1. Notice of References Cited (PTO-892)	5. 🗌 Examir	ner's Amendment/Comme	ent	
2. ☑ Information Disclosure Statements (PTO/SB/08),	6. 🗹 Examir	ner's Statement of Reaso	ns for Allowance	
Paper No./Mail Date <u>06/05/2020</u> . 3. Examiner's Comment Regarding Requirement for Deposit	7. 🗌 Other _			
of Biological Material .	7. [_] Other _	·		
4. ✓ Interview Summary (PTO-413),				
Paper No./Mail Date. <u>07/01/2020</u> .				
/SAVITHA M RAO/ Primary Examiner, Art Unit 1629				
Fillinary Examiner, Art Offic 1029				
ILS Patent and Trademark Office				
U.S. Patent and Trademark Office PTOL-37 (Rev. 08-13) Notice	of Allowability	Part of Paper No.	/Mail Date 20200811	

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Art Unit: 1629

Notice of Pre-AIA or AIA Status

The present application, filed on or after March 16, 2013, is being examined under the first inventor to file provisions of the AIA.

DETAILED ACTION

Claims 1-11, 15, 17, 19-26, 29 and 31-36 are pending in the instant application.

Applicants representative Mr. Clark Lin interviewed with the examiner to discuss the claim amendments and the submitted affidavit on 7/1/2020. Please see the attached interview summary for details.

Information Disclosure Statement

The information disclosure statement (IDS) dated 06/05/2020 complies with the provisions of 37 CFR 1.97, 1.98 and MPEP § 609. Accordingly, it has been placed in the application file and the information therein has been considered as to the merits.

Terminal disclaimer

The terminal disclaimer filed on 08/01/2019 disclaiming the terminal portion of any patent granted on this application which would extend beyond the expiration date of US patents 9669008. 9808442, 10039745 and 10154987 and US application 16/242898 have been reviewed and is accepted. The terminal disclaimer has been recorded.

Rule 37 CFR 1.132 Declaration

Applicant's submission of the declarations of Gerold Mosher under 37 CFR 1.132 filed 05/15/2020 is acknowledged. The declarations is found to be persuasive in

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Page 3

Art Unit: 1629

overcoming the outstanding rejections set forth in the non-final rejection dated

01/07/2020.

REASONS FOR ALLOWANCE

In view of the applicants claim amendments, arguments and the declaration filed

on 05/15/2020 and the following examiners statement of reasons for allowance, claims

1-11, 15, 17, 19-26, 29 and 31-36 are found to be allowable.

Following a diligent search it was determined that the prior art neither teaches

nor provides adequate motivation to arrive at the instantly claimed oral liquid

formulation, comprising: (i) about 0.6 to about 1.2 mg/ml enalapril or a pharmaceutically

acceptable salt or solvate thereof; (ii) a buffer comprising a mixture of citric acid and

sodium citrate, wherein the buffer is present at a concentration between about 5 mM

and about 20 mM in the oral liquid formulation; (iii) about 1 mg/ml of a preservative,

wherein the preservative is a paraben or a mixture of parabens; and (iv) water; wherein

the formulation maintains about 95% w/w or greater of the initial enalapril amount at the

end of a the given storage period of at least 12 months at about 5 ± 30 C.

Conclusion

Claims 1-11, 15, 17, 19-26, 29 and 31-36 (renumbered 1-28) are allowed.

Any comments considered necessary by applicant must be submitted no later

than the payment of the issue fee and, to avoid processing delays, should preferably

accompany the issue fee. Such submissions should be clearly labeled "Comments on

Statement of Reasons for Allowance."

SLVGT-EPA 0107049

Application/Control Number: 16/177,159

Art Unit: 1629

Page 4

Any inquiry concerning this communication or earlier communications from the examiner should be directed to SAVITHA RAO whose telephone number is (571)270-5315. The examiner can normally be reached on Mon-Fri 7.00 am to 4.00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jeffrey Lundgren can be reached on (571) 272-5541. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/SAVITHA M RAO/ Primary Examiner, Art Unit 1629

Page 628 of 748 PageID #: 2819

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APPLICATION NO.	ISSUE DATE PATENT NO.		ATTORNEY DOCKET NO.	CONFIRMATION NO.	
16/177,159	09/29/2020	10786482	43060-707.304	3572	

21971

7590

09/09/2020

WILSON, SONSINI, GOODRICH & ROSATI 650 PAGE MILL ROAD PALO ALTO, CA 94304-1050

ISSUE NOTIFICATION

The projected patent number and issue date are specified above.

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 0 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

Gerold L. Mosher, Kansas City, MO; Silvergate Pharmaceuticals, Inc., Greenwood Village, CO; David W. Miles, Kansas City, MO;

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The USA offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to encourage and facilitate business investment. To learn more about why the USA is the best country in the world to develop technology, manufacture products, and grow your business, visit <u>SelectUSA.gov</u>.

IR103 (Rev. 10/09) SLVGT-EPA 0107056

PTO/AIA/15 (10-17)

Approved for use through 11/30/2020. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Attorney Docket No. 43060–707.307

UTILITY	Tittorney booket	70000 101.00				
PATENT APPLICATION	First Named Inve	entor	Gerold	L. MOSHER		
TRANSMITTAL	Title		ENALAPRIL FORMULATIONS			
(Only for new nonprovisional applications under 37 CFR 1.53(b))	Priority Mail Expr Label No.	ess®	Filed Electro	nically via EFS-Web on January 8, 2019		
APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	ADDRESS	TO:		mmissioner for Patents P.O. Box 1450 xandria, VA 22313-1450		
1. Fee Transmittal Form (PTO/SB/17 or equivalent)	ACCOM	1PANY	'ING AP	PLICATION PAPERS		
 Applicant asserts small entity status. See 37 CFR 1.27 Applicant certifies micro entity status. See 37 CFR 1.29. 			e rs ocument(s)) of Assignee			
Applicant must attach form PTO/SB/15A or B or equivalent. 4. Specification [Total Pages 51] Both the claims and abstract must start on a new page. (See MPEP § 608.01(a) for information on the preferred arrangement of the claims and abstract must start on a new page. (See MPEP § 608.01(a) for information on the preferred arrangement of the claims and abstract must start on a new page. (See MPEP § 608.01(a) for information on the preferred arrangement of the claims and a new page. (See MPEP § 608.01(a) for information on the preferred arrangement of the claim on the preferred arrangement of the claim on the preferred arrangement on the preferred arrangement of the claim on the preferred arrangement of the claim on the preferred arrangement of the claim on the preferred arrangement on the preferred arrangement on the preferred arrangement of the claim on the preferred arrangement on the preferred arrangement on the preferred arrangement on the preferred arrangement of the preferred arrangement on the preferred arra	(when the light of	ere is an a Translati able) Ition Disc (08 or PTO Copies c nary Ame Receipt F 503) (Shot d Copy on n priority i blication to 5 U.S.C. 12 alent. Certificat	closure State 1-1449) If citations a Indianal Postcard Ild be specific If Priority Do Is claimed) Request 2(b)(2)(B)(i). I Indianal Rec	ement attached vally itemized)		
c. Statements verifying identity of above copies	Jaines von den 1 FF mansk	h = :l	ll: A	ulication Data Chara (ADC)		
*Note: (1) Benefit claims under 37 CFR 1.78 and foreign priority of (2) For applications filed under 35 U.S.C. 111, the applications filed under 35 U.S.C. 111, the applications assignee, person to whom the inventor is under an oblinterest in the matter. See 37 CFR 1.46(b).	tion must contain an AD	S specify	ing the app	icant if the applicant is an		
19. CORRES	PONDENCE ADDR	ESS				
The address associated with Customer Number: 21971			OR	Correspondence address below		
Name						
Address						
City State			Zip Code			
Country Telephone			Email			
Signature /Clark Lin/		Date		August 12, 2020		
Name (Print/Type) Clark Y. Lin		Registration No. (Attorney/Agent) 67024				

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	43060-707.307
		Application Number	
Title of Invention	ENALAPRIL FORMULATION	S	
bibliographic data arran This document may be	iged in a format specified by the Uni	ited States Patent and Trademark O nitted to the Office in electronic for	being submitted. The following form contains the office as outlined in 37 CFR 1.76. rmat using the Electronic Filing System (EFS) or the

Secrecy Order 37 CFR 5.2:

$_{ m o}$ Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order purs	uant to
$^{\perp}$ 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)	

Inventor Information:

Invente	or	1						R	emove]			
Legal N	Name												
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-	Gero	ld		L.			MOSHER	₹			П		-
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Resid	ence	Information (Select One)	US Residency		Non US Re	sidency	Activ	e US M	ilitary Service	•		_
City	Kans	as City		State/Province	МС	Countr	y of Resi	dence	US				
									+ -				
Mailing	Addr	ess of Invent	or:										_
Addres	ss 1		12309 Wyand	dotte Street									_
Addres	ss 2		-										_
City		Kansas City				State/Prov	/ince	мо					_
Postal	Code	_	64145		Co	untry i	us	1					_
				tional Inventor Inf the Add button.	orma	tion blocks	may be		Ad	dd			

Correspondence Information:

Enter either Customer Number or complete the Correspondence Information section below. For further information see 37 CFR 1.33(a).

Case 1:20-cv-01256-LPS Document 74-1 Filed 04/05/21 Pagep631 of use the light of use the li

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			Attorney Docket Number 43060-			60-707.307				
Application Data	Shee	et 37 CFR 1.76	Application Number		+5000-7	07.307				
Title of Invention ENALAPRIL FORMULATIONS										
☐ An Address is being provided for the correspondence Information of this application.										
Customer Number		21971								
Email Address		patentdocket@wsgr.	com			Add Email		Remove	Email	
Application Info	orma	ation:								
Title of the Invention	1	ENALAPRIL FORMI	ULATIONS							
Attorney Docket Nun	nber	43060-707.307		Small Enti	ity Statu	ıs Claimed				
Application Type		Nonprovisional							₹	
Subject Matter		Utility							•	
Total Number of Draw	wing \$	Sheets (if any)	2	Suggeste	d Figur	e for Publicat	tion (i	if any)	1	
Filing By Refere	ence	:		•						
Only complete this section of application papers including provided in the appropriate For the purposes of a filing of reference to the previously the section of the previously the previ	g a spec e section date un	cification and any draw n(s) below (i.e., "Dome: der 37 CFR 1.53(b), the	vings are being filed stic Benefit/Nationa e description and an	 Any domestic Stage Informate y drawings of the 	: benefit o tion" and he presen	r foreign priority "Foreign Priority t application are	inform Inform	nation mu nation").	ust be	
Application number of the filed application	e previo	ously Filing da	te (YYYY-MM-DD)		In	tellectual Propei	rty Autl	hority or (Country	
Publication Infe	orm	ation:			•					
Request Early Pu	ublicati	ion (Fee required a	t time of Reques	t 37 CFR 1.2	19)					
35 U.S.C. 122(b) subject of an app	and c	Publish. I here ertify that the inverse n filed in another comonths after filing.	ntion disclosed ir	the attached	d applica	ition <mark>has not</mark> a	and w	rill not b		
Representative Information:										
Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Either enter Customer Number or complete the Representative Name section below. If both sections are completed the customer Number will be used for the Representative Information during processing.										
Please Select One:	•	Customer Numbe	r US Pate	ent Practitioner	r O	Limited Recog	nition	(37 CFR	₹ 11.9)	
Customer Number	2′	1971								

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	43060-707.307
		Application Number	
Title of Invention	ENALAPRIL FORMULATION	5	

Domestic Benefit/National Stage Information: This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, 365(c), or 386(c) or indicate National Stage entry from a PCT application. Providing benefit claim information in the Application Data Sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78. When referring to the current application, please leave the "Application Number" field blank. **Prior Application Status** Pending Remove Filing or 371(c) Date **Application Number** Continuity Type **Prior Application Number** (YYYY-MM-DD) 2020-05-26 Continuation of 16/883553 **Prior Application Status** Remove ²ending Filing or 371(c) Date Continuity Type **Prior Application Number** Application Number (YYYY-MM-DD) 16/883553 Continuation of 16/242898 2019-01-08 Prior Application Status Pending Remove Filing or 371(c) Date **Prior Application Number Application Number** Continuity Type (YYYY-MM-DD) 2018-10-31 16/242898 Continuation of 16/177159 **Prior Application Status** Patented Remove Issue Date Prior Application Filing Date Application Continuity Type Patent Number (YYYY-MM-DD) Number Number (YYYY-MM-DD) 16/177159 16/003994 2018-06-08 10154987 2018-12-18 Continuation of **Prior Application Status** Patented Remove Issue Date Application Prior Application Filing Date Continuity Type Patent Number (YYYY-MM-DD) Number Number (YYYY-MM-DD) 16/003994 Continuation of 15/802341 2017-11-02 10039745 2018-08-07 Prior Application Status atented Remove Issue Date Application Prior Application Filing Date Continuity Type Patent Number (YYYY-MM-DD) Number Number (YYYY-MM-DD) 15/802341 Continuation of 15/613622 2017-06-05 9808442 2017-11-07 Remove **Prior Application Status** Patented 1 Issue Date Prior Application Filing Date Application Continuity Type Patent Number (YYYY-MM-DD) Number Number (YYYY-MM-DD) 15/613622 Continuation of 15/081603 2016-03-25 9669008 2017-06-06 Expired Remove **Prior Application Status** Filing or 371(c) Date Application Number Continuity Type **Prior Application Number** (YYYY-MM-DD) 15/081603 Claims benefit of provisional 62/310198 2016-03-18 Additional Domestic Benefit/National Stage Data may be generated within this form Add by selecting the Add button.

Case 1:20-cv-01256-LPS Document 74-1 Filed 04/05/21 Pagep633 of use 4kg gaged 22 5:00 Document 74-1 Filed 04/05/21 Pagep633 of use 4kg gaged 22 5:00 Document 74-1 Filed 04/05/21 Pagep633 of use 4kg gaged 22 5:00 Document 74-1 Filed 04/05/21 Pagep633 of use 4kg gaged 20 Document 74-1 Filed 04/05/21 Pagep63

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Application Da	ata Shoot 37 CED 1 76	Attorney Docket Number	43060-707.307
Application Data Sheet 37 CFR 1.76		Application Number	
Title of Invention	ENALAPRIL FORMULATION	S	

Foreign Priority Information:

This section allows for the applicant to claim priority to a foreign application. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55. When priority is claimed to a foreign application that is eligible for retrieval under the priority document exchange program (PDX)ⁱ the information will be used by the Office to automatically attempt retrieval pursuant to 37 CFR 1.55(i)(1) and (2). Under the PDX program, applicant bears the ultimate responsibility for ensuring that a copy of the foreign application is received by the Office from the participating foreign intellectual property office, or a certified copy of the foreign priority application is filed, within the time period specified in 37 CFR 1.55(g)(1).

Remove

Application Number

Countryⁱ
Filing Date (YYYY-MM-DD)

Access Codeⁱ (if applicable)

			Remove
Application Number	Country ⁱ	Filing Date (YYYY-MM-DD)	Access Code ⁱ (if applicable)
Additional Foreign Priority Add button.	Data may be generated wit	hin this form by selecting the	Add

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications

This application (1) claims priority to or the benefit of an application filed before March 16, 2013 and (2) also
contains, or contained at any time, a claim to a claimed invention that has an effective filing date on or after March
16, 2013.
NOTE: By providing this statement under 37 CFR 1.55 or 1.78, this application, with a filing date on or after March
16, 2013, will be examined under the first inventor to file provisions of the AIA.

Application Da	ata Shoot 37 CED 1 76	Attorney Docket Number	43060-707.307
Application Data Sheet 37 CFR 1.76		Application Number	
Title of Invention	ENALAPRIL FORMULATION	S	

Authorization or Opt-Out of Authorization to Permit Access:

When this Application Data Sheet is properly signed and filed with the application, applicant has provided written authority to permit a participating foreign intellectual property (IP) office access to the instant application-as-filed (see paragraph A in subsection 1 below) and the European Patent Office (EPO) access to any search results from the instant application (see paragraph B in subsection 1 below).

Should applicant choose not to provide an authorization identified in subsection 1 below, applicant <u>must opt-out</u> of the authorization by checking the corresponding box A or B or both in subsection 2 below.

NOTE: This section of the Application Data Sheet is **ONLY** reviewed and processed with the **INITIAL** filing of an application. After the initial filing of an application, an Application Data Sheet cannot be used to provide or rescind authorization for access by a foreign IP office(s). Instead, Form PTO/SB/39 or PTO/SB/69 must be used as appropriate.

1. Authorization to Permit Access by a Foreign Intellectual Property Office(s)

- A. <u>Priority Document Exchange (PDX)</u> Unless box A in subsection 2 (opt-out of authorization) is checked, the undersigned hereby <u>grants the USPTO authority</u> to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the State Intellectual Property Office of the People's Republic of China (SIPO), the World Intellectual Property Organization (WIPO), and any other foreign intellectual property office participating with the USPTO in a bilateral or multilateral priority document exchange agreement in which a foreign application claiming priority to the instant patent application is filed, access to: (1) the instant patent application-as-filed and its related bibliographic data, (2) any foreign or domestic application to which priority or benefit is claimed by the instant application and its related bibliographic data, and (3) the date of filing of this Authorization. See 37 CFR 1.14(h) (1).
- B. <u>Search Results from U.S. Application to EPO</u> Unless box B in subsection 2 (opt-out of authorization) is checked, the undersigned hereby <u>grants the USPTO authority</u> to provide the EPO access to the bibliographic data and search results from the instant patent application when a European patent application claiming priority to the instant patent application is filed. See 37 CFR 1.14(h)(2).

The applicant is reminded that the EPO's Rule 141(1) EPC (European Patent Convention) requires applicants to submit a copy of search results from the instant application without delay in a European patent application that claims priority to the instant application.

2. Opt-Out of Authorizations to Permit Access by a Foreign Intellectual Property Office	al Property Office(s)
---	-----------------------

A. Applicant <u>DOES NOT</u> authorize the USPTO to permit a participating foreign IP office access to the in:	stant
application-as-filed. If this box is checked, the USPTO will not be providing a participating foreign IP office	with
iny documents and information identified in subsection 1A above.	

B. Applicant DOES NOT authorize the USPTO to transmit to the EPO any search results from the instant patent
application. If this box is checked, the USPTO will not be providing the EPO with search results from the instant
application.

NOTE: Once the application has published or is otherwise publicly available, the USPTO may provide access to the application in accordance with 37 CFR 1.14.

Application Da	ata Shoot 37 CED 1 76	Attorney Docket Number	43060-707.307
Application Data Sheet 37 CFR 1.76		Application Number	
Title of Invention	ENALAPRIL FORMULATION:	S	

Applicant Information:

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.						
Applicant 1 Remove						
The information to be provided 1.43; or the name and address who otherwise shows sufficien applicant under 37 CFR 1.46 (in this so of the ast propriet assignee	ection is the name and address ssignee, person to whom the in ary interest in the matter who in person to whom the inventor	s of the legal represental oventor is under an oblig s the applicant under 37 is obligated to assign, of	this section should not be completed. tive who is the applicant under 37 CFR ation to assign the invention, or person CFR 1.46. If the applicant is an appropriate person who otherwise shows sufficient are who are also the applicant should be Clear		
Assignee		Legal Representative ur	nder 35 U.S.C. 117	Joint Inventor		
Person to whom the invent	or is oblig	ated to assign.	Person who sho	ows sufficient proprietary interest		
If applicant is the legal repre	esentativ	ve, indicate the authority to	file the patent applicat	ion, the inventor is:		
>						
Name of the Deceased or I	egally I	ncapacitated Inventor:				
If the Applicant is an Orga	nization	check here.				
Organization Name	lvergate	Pharmaceuticals, Inc.				
Mailing Address Informa	tion Fo	r Applicant:				
Address 1	6251	Greenwood Plaza Blvd., Bldg. (6, Suite 101			
Address 2						
City Green		wood Village	State/Province	co		
Country US			Postal Code	80111		
Phone Number			Fax Number			
Email Address						
Additional Applicant Data m	ay be g	enerated within this form by	selecting the Add but	ton. Add		

Assignee Information including Non-Applicant Assignee Information:

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.

Application Data Sheet 37 CEP 1 76			Attorney Docket Number		43060-70	43060-707.307		
Application Data Sheet 37 CFR 1.76		Application Number						
Title of Invention ENALAPRIL FORMULATIONS								
Assignee	1							
application publi publication as ar	Complete this section if assignee information, including non-applicant assignee information, is desired to be included on the patent application publication. An assignee-applicant identified in the "Applicant Information" section will appear on the patent application sublication as an applicant. For an assignee-applicant, complete this section only if identification as an assignee is also desired on the atent application publication.							
							R	Remove
If the Assigne	e or Non-A	Applicant A	Assignee is an	Organization	check here.			
Prefix		Given N	ame	Middle Nan	ne	Family Nar	me	Suffix
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Mailing Addre	ess Inform	ation For	Assignee inc	cluding Non-	Applicant As	ssignee:	<u> </u>	
Address 1								
Address 2								
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Country				Postal Code				
Phone Number				Fax Numb	mber			
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Additional Ass selecting the A			ant Assignee	Data may be લ	generated wi	thin this forn	n by	Add
Signature								Remove
NOTE: This Application Data Sheet must be signed in accordance with 37 CFR 1.33(b). However, if this Application Data Sheet is submitted with the INITIAL filing of the application and either box A or B is not checked in subsection 2 of the "Authorization or Opt-Out of Authorization to Permit Access" section, then this form must also be signed in accordance with 37 CFR 1.14(c). This Application Data Sheet must be signed by a patent practitioner if one or more of the applicants is a juristic entity (e.g., corporation or association). If the applicant is two or more joint inventors, this form must be signed by a patent practitioner, all joint inventors who are the applicant, or one or more joint inventor-applicants who have been given power of attorney (e.g., see USPTO Form PTO/AIA/81) on behalf of all joint inventor-applicants. See 37 CFR 1.4(d) for the manner of making signatures and certifications.								
Signature /Clark Lin/					Date (Y	YYY-MM-DI	D) 2020-08-12	
First Name	Clark		Last Name	Lin		Registra	tion Number	r 67024
Additional Signature may be generated within this form by selecting the Add button.								

Case 1:20-cv-01256-LPS Document 74-1 Filed 04/05/21 Pagapaa afuz-ka Bagadabati one again 1-0032

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Application Da	ata Shoot 37 CED 1 76	Attorney Docket Number	43060-707.307
Application Data Sheet 37 CFR 1.76		Application Number	
Title of Invention	ENALAPRIL FORMULATION	S	

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1 The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent CooperationTreaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

ENALAPRIL FORMULATIONS

ABSTRACT OF THE DISCLOSURE

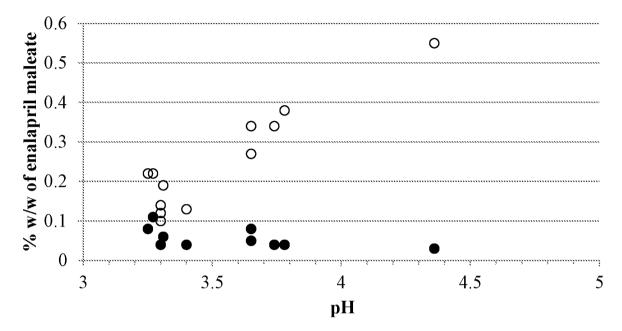
Provided herein are stable enalapril oral liquid formulations. Also provided herein are methods of using enalapril oral liquid formulations for the treatment of certain diseases including hypertension, heart failure and asymptomatic left ventricular dysfunction.

WSGR Docket No. 43060-707.307

1/2

FIG. 1

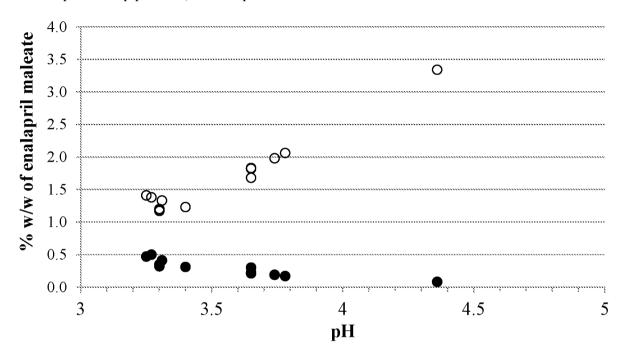
• Enalapril diketopiperazine; • Enalaprilat



2/2

FIG. 2

• Enalapril diketopiperazine; • Enalaprilat



Doc Code: TRACK1.REQ

Document Description: TrackOne Request

PTO/AIA/424 (04-14)

CERTIFICATION AND REQUEST FOR PRIORITIZED	EXAMINATION
UNDER 37 CFR 1.102(e) (Page 1 of 1)	

First Named Inventor:	Gerold L. MOSHER	Nonprovisional Application Number (if known):	
Title of Invention:	ENALAPRIL FORMULATIONS		

APPLICANT HEREBY CERTIFIES THE FOLLOWING AND REQUESTS PRIORITIZED EXAMINATION FOR THE ABOVE-IDENTIFIED APPLICATION.

- 1. The processing fee set forth in 37 CFR 1.17(i)(1) and the prioritized examination fee set forth in 37 CFR 1.17(c) have been filed with the request. The publication fee requirement is met because that fee, set forth in 37 CFR 1.18(d), is currently \$0. The basic filing fee, search fee, and examination fee are filed with the request or have been already been paid. I understand that any required excess claims fees or application size fee must be paid for the application.
- 2. I understand that the application may not contain, or be amended to contain, more than four independent claims, more than thirty total claims, or any multiple dependent claims, and that any request for an extension of time will cause an outstanding Track I request to be dismissed.
- 3. The applicable box is checked below:
- i. (a) The application is an original nonprovisional utility application filed under 35 U.S.C. 111(a).
 This certification and request is being filed with the utility application via EFS-Web.
 ---OR---
 - (b) The application is an original nonprovisional plant application filed under 35 U.S.C. 111(a). This certification and request is being filed with the plant application in paper.
- ii. An executed inventor's oath or declaration under 37 CFR 1.63 or 37 CFR 1.64 for each inventor, <u>or</u> the application data sheet meeting the conditions specified in 37 CFR 1.53(f)(3)(i) is filed with the application.

II. Request for Continued Examination - Prioritized Examination under § 1.102(e)(2)

- i. A request for continued examination has been filed with, or prior to, this form.
- ii. If the application is a utility application, this certification and request is being filed via EFS-Web.
- iii. The application is an original nonprovisional utility application filed under 35 U.S.C. 111(a), or is a national stage entry under 35 U.S.C. 371.
- iv. This certification and request is being filed prior to the mailing of a first Office action responsive to the request for continued examination.
- v. No prior request for continued examination has been granted prioritized examination status under 37 CFR 1.102(e)(2).

Signature / Clark Lin/	Date August 12, 2020	
Name (Print/Typed) Clark Y. Lin	Practitioner 67024 Registration Number	
Note: This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4(d) for signature requirements and certifications. Submit multiple forms if more than one signature is required.*		
*Total of forms are submitted.		

Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

PTO/AIA/96 (08-12)

Approved for use through 01/31/2013. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

STATEMENT UNDER 37 CFR 3.73(c)				
Applicant/Patent Owner: Silvergate Pharmaceutica	als, Inc.			
Application No./Patent No.: 15/081,603	Filed/Issue Date: March 25, 2016			
Titled: ENALAPRIL FORMULATIONS				
Silvergate Pharmaceuticals, Inc.	a Corporation			
(Name of Assignee)	(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)			
states that, for the patent application/patent identified	above, it is (choose one of options 1, 2, 3 or 4 below):			
1. The assignee of the entire right, title, and inte	rest.			
2. An assignee of less than the entire right, title,	and interest (check applicable box):			
	o interest is%. Additional Statement(s) by the owners abmitted to account for 100% of the ownership interest.			
There are unspecified percentages of own right, title and interest are:	ership. The other parties, including inventors, who together own the entire			
Additional Statement(s) by the owner(s) ho right, title, and interest.	olding the balance of the interest <u>must be submitted</u> to account for the entire			
3. The assignee of an undivided interest in the enth other parties, including inventors, who together of	entirety (a complete assignment from one of the joint inventors was made). wn the entire right, title, and interest are:			
Additional Statement(s) by the owner(s) hol right, title, and interest.	ding the balance of the interest must be submitted to account for the entire			
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[Page 1 of 2]

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[Page 2 of 2]

WSGR Docket No. 43060-707.307

PATENT APPLICATION

ENALAPRIL FORMULATIONS

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ENALAPRIL FORMULATIONS

CROSS-REFERENCE OF RELATED APPLICATIONS

[0001] This application is a continuation of U.S. Patent Application No. 16/883,553, filed May 26, 2020 which is a continuation of U.S. Patent Application No. 16/242,898, filed January 8, 2019, which is a continuation of 16/177,159, filed October 31, 2018, which is a continuation of U.S. Patent Application No. 16/003,994, filed June 8, 2018 (now U.S. Patent No. 10,154,987, issued December 18, 2018), which is a continuation of U.S. Patent Application No. 15/802,341, filed November 2, 2017 (now U.S. Patent No. 10,039,745, issued August 7, 2018), which is a continuation of U.S. Patent Application No. 15/613,622, filed June 5, 2017 (now U.S. Patent No. 9,808,442, issued November 7, 2017), which is a continuation of U.S. Patent Application No. 15/081,603, filed March 25, 2016 (now U.S. Patent No. 9,669,008, issued June 06, 2017), which claims the benefit of U.S. Provisional Patent Application No. 62/310,198, filed March 18, 2016, all of which are incorporated herein by reference in their entirety.

BACKGROUND OF THE INVENTION

[0002] Hypertension, or high blood pressure, is a serious health issue in many countries. According to the National Heart Blood and Lung Institute, it is thought that about 1 in 3 adults in the United States alone have hypertension. Left unchecked, hypertension is considered a substantial risk factor for cardiovascular and other diseases including coronary heart disease, myocardial infarction, congestive heart failure, stroke and kidney failure. Hypertension is classified as primary (essential) hypertension or secondary hypertension. Primary hypertension has no known cause and may be related to a number of environmental, lifestyle and genetic factors such as stress, obesity, smoking, inactivity and sodium intake. Secondary hypertension can be caused by drug or surgical interventions, or by abnormalities in the renal, cardiovascular or endocrine system.

[0003] A number of antihypertensive drugs are available for treating hypertension. Various therapeutic classes of antihypertensive drugs include alpha-adrenergic blockers, beta-adrenergic blockers, calciumchannel blockers, hypotensives, mineralcorticoid antagonists, central alpha-agonists, diuretics and renninangiotensin-aldosterone inhibitors which include angiotensin II receptor antagonists (ARB) and angiotensin-converting enzyme (ACE) inhibitors. Angiotensin-converting enzyme (ACE) inhibitors inhibit angiotensin-converting enzyme (ACE), a peptydyl dipeptidase that catalyzes angiotension I to angiotension II, a potent vasoconstrictor involved in regulating blood pressure.

[0004] Enalapril is a prodrug belonging to the angiotensin-converting enzyme (ACE) inhibitor of medications. It is rapidly hydrolyzed in the liver to enalaprilat following oral administration. Enalaprilat acts as a potent inhibitor of ACE. The structural formulae of enalapril and enalaprilat are as follows:

[0005] Enalapril is currently administered in the form of oral tablets, (e.g., Vasotec®) or in the form of liquid formulations obtained by reconstitution of enalapril powder formulations. In addition to the treatment of hypertension, enalapril tablets have been used for symptomatic congestive heart failure, and asymptomatic left ventricular dysfunction.

SUMMARY OF THE INVENTION

[0006] Provided herein are enalapril oral liquid formulations. In one aspect, the enalapril oral liquid formulation, comprises (i) enalapril or a pharmaceutically acceptable salt or solvate thereof; (ii) a sweetener that is sucralose (iii) a buffer comprising citric acid; (iv) a preservative that is sodium benzoate; and (v) water; wherein the pH of the formulation is less than about 3.5; and wherein the formulation is stable at about 5±3 °C for at least 12 months.

[0007] In some embodiments, the enalapril is enalapril maleate. In some embodiments, the formulation further comprises a flavoring agent. In some embodiments, the buffer in the formulation further comprises sodium citrate dihydrate. In some embodiments, the amount of enalapril or a pharmaceutically acceptable salt or solvate thereof is about 0.6 to about 1.2 mg/ml. In some embodiments, the amount of sucralose is about 0.5 to about 0.9 mg/ml. In some embodiments, the amount of citric acid in the buffer is about 0.8 to about 3.5 mg/ml. In some embodiments, the amount of sodium citrate dihydrate in the buffer is about 0.1 to about 0.80 mg/ml. In some embodiments, the amount of the sodium benzoate is about 0.2 to about 1.2 mg/ml. In some embodiments, the amount of enalapril or a pharmaceutically acceptable salt or solvate thereof is about 10 to about 25 % (w/w of solids). In some embodiments, the amount of sucralose is about 8 to about 18 % (w/w of solids). In some embodiments, the amount of citric acid in the buffer is about 17 to about 47 % (w/w of solids). In some embodiments, the amount of sodium citrate dihydrate in the buffer is about 1 to about 11 % (w/w of solids). In some embodiments, the amount of sodium benzoate is about 12 to about 25 % (w/w of solids). In some embodiments, the pH of the formulation is between about 3 and about 3.5. In some embodiments, the pH of the formulation is about 3.3. In some embodiments, the citrate concentration in the buffer is about 5 mM to about 20 mM. In some embodiments, the citrate concentration in the buffer is about 10 mM. In some embodiments, the formulation is stable at about 5±3 °C for at least 18 months. In some embodiments, the formulation is stable at about 5±3 °C for at least 24

months. In some embodiments, the formulation does not contain mannitol. In some embodiments, the formulation does not contain silicon dioxide.

[0008] In one aspect, the enalapril oral liquid formulation, comprises (i) about 1 mg/ml enalapril maleate; (ii) about 0.70 mg/ml of a sweetener that is sucralose; (iii) a buffer comprising about 1.82 mg/ml citric acid; (iv) about 1 mg/ml of a preservative that is sodium benzoate; and (v) water; wherein the pH of the formulation is less than about 3.5; and wherein the formulation is stable at about 5±3 °C for at least 12 months.

[0009] In some embodiments, the formulation further comprises a flavoring agent. In some embodiments, the buffer further comprises about 0.15 mg/mL sodium citrate dihydrate. In some embodiments, the pH of the formulation is between about 3 and about 3.5. In some embodiments, the pH of the formulation is about 3.3. In some embodiments, the citrate concentration in the buffer is about 5 mM to about 20 mM. In some embodiments, the citrate concentration in the buffer is about 10 mM. In some embodiments, the formulation is stable at about 5±3 °C for at least 18 months. In some embodiments, the formulation is stable at about 5±3 °C for at least 24 months. In some embodiments, the formulation does not contain mannitol. In some embodiments, the formulation does not contain silicon dioxide.

[0010] In one aspect, the enalapril oral liquid formulation comprises (i) about 19.3 % (w/w of solids) enalapril maleate; (ii) about 13.5 % (w/w of solids) of a sweetener that is sucralose; (iii) a buffer comprising about 35.2 % (w/w of solids) citric acid; (iv) about 19.3 % (w/w of solids) of a preservative that is sodium benzoate; and (v) water; wherein the pH of the formulation is less than about 3.5; and wherein the formulation is stable at about 5±3 °C for at least 12 months.

[0011] In some embodiments, the formulation further comprises a flavoring agent. In some embodiments, the buffer further comprises about 2.9 % (w/w of solids) sodium citrate dihydrate. In some embodiments, the pH of the formulation is between about 3 and about 3.5. In some embodiments, the pH of the formulation is about 3.3. In some embodiments, the citrate concentration in the buffer is about 5 mM to about 20 mM. In some embodiments, the citrate concentration in the buffer is about 10 mM. In some embodiments, the formulation is stable at about 5±3 °C for at least 18 months. In some embodiments, the formulation does not contain mannitol. In some embodiments, the formulation does not contain mannitol. In some embodiments, the formulation does not contain silicon dioxide.

[0012] In one aspect, the enalapril oral liquid formulation consists essentially of (i) about 1 mg/ml enalapril maleate; (ii) about 0.70 mg/ml of a sweetener that is sucralose; (iii) a buffer comprising about 1.82 mg/ml citric acid and about 0.15 mg/ml sodium citrate dihydrate; (iv) about 1 mg/ml of a preservative that is sodium benzoate; (v) a flavoring agent; and (vi) water; wherein the pH of the

formulation is less than about 3.5 adjusted by sodium hydroxide or hydrochloric acid; and wherein the formulation is stable at about 5±3 °C for at least 12 months.

[0013] Also provided herein are methods of treating hypertension in a subject comprising administering to that subject a therapeutically effective amount of enalapril oral liquid formulation comprising (i) about 1 mg/ml enalapril maleate; (ii) about 0.7 mg/ml sucralose; (iii) a buffer comprising about 1.82 mg/ml citric acid and about 0.15 mg/ml sodium citrate dihydrate; (iv) about 1 mg/ml of a preservative that is sodium benzoate; and (v) water; wherein the pH of the formulation is less than about 3.5; and wherein the formulation is stable at about 5±3 °C for at least 12 months. In some embodiments, the formulation does not contain mannitol. In some embodiments, the formulation does not contain silicon dioxide.

[0014] In some embodiments, the hypertension is primary (essential) hypertension. In some embodiments, the subject has blood pressure values greater than or equal to 140/90 mmm Hg. In some embodiments, the subject is an adult. In some embodiments, the subject is elderly. In some embodiments, the subject is a child. In some embodiments, the formulation is administered to the subject in a fasted state. In some embodiments, the formulation is further administered to the subject in a fed state. In some embodiments, the formulation is further administered in combination with an agent selected from the group consisting of diuretics, beta blockers, alpha blockers, mixed alpha and beta blockers, calcium channel blockers, angiotensin II receptor antagonists, ACE inhibitors, aldosterone antagonists, and alpha-2 agonists.

[0015] Also provided herein are methods of treating prehypertension in a subject comprising administering to that subject a therapeutically effective amount of enalapril oral liquid formulation comprising (i) about 1 mg/ml enalapril maleate; (ii) about 0.7 mg/ml of a sweetener that is sucralose; (ii) a buffer comprising about 1.82 mg/ml citric acid and about 0.15 mg/ml sodium citrate dihydrate; (iv) about 1 mg/ml of a preservative that is sodium benzoate; and (v) water; wherein the pH of the formulation is less than about 3.5; and wherein the formulation is stable at about 5±3 °C for at least 12 months. In some embodiments, the formulation does not contain mannitol. In some embodiments, the formulation does not contain silicon dioxide.

[0016] In some embodiments, the subject has blood pressure values of about 120-139/80-89 mm Hg. [0017] Also provided herein are methods of treating heart failure in a subject comprising administering to that subject a therapeutically effective amount of enalapril oral liquid formulation comprising (i) about 1 mg/ml enalapril maleate; (ii) about 0.70 mg/ml of a sweetener that is sucralose; (iii) a buffer comprising about 1.82 mg/ml citric acid and about 0.15 mg/ml sodium citrate dihydrate; (iv) about 1 mg/ml of a preservative that is sodium benzoate; and (v) water; wherein the pH of the formulation is less than about 3.5; and wherein the formulation is stable at about 5±3 °C for at least 12 months. In some embodiments,

the formulation does not contain mannitol. In some embodiments, the formulation does not contain silicon dioxide.

[0018] Also provided herein are methods of treating left ventricular dysfunction in a subject comprising administering to that subject a therapeutically effective amount of enalapril oral liquid formulation comprising (i) about 1 mg/ml enalapril maleate; (ii) about 0.7 mg/ml of a sweetener that is sucralose; (iii) a buffer comprising about 1.82 mg/ml citric acid and about 0.15 mg/ml sodium citrate dihydrate; (iv) about 1 mg/ml of a preservative that is sodium benzoate; and (v) water; wherein the pH of the formulation is less than about 3.5; and wherein the formulation is stable at about 5±3 °C for at least 12 months. In some embodiments, the formulation does not contain mannitol. In some embodiments, the formulation does not contain silicon dioxide.

INCORPORATION BY REFERENCE

[0019] All publications, patents, and patent applications mentioned in this specification are herein incorporated by reference to the same extent as if each individual publication, patent, or patent application was specifically and individually indicated to be incorporated by reference.

BRIEF DESCRIPTION OF THE DRAWINGS

[0020] The novel features of the invention are set forth with particularity in the appended claims. A better understanding of the features and advantages of the present invention will be obtained by reference to the following detailed description that sets forth illustrative embodiments, in which the principles of the invention are utilized, and the accompanying drawings of which:

[0021] FIG. 1: Effect of pH on degradant formation after 8 weeks of storage of various enalapril solution formulations at 5°C.

[0022] FIG. 2: Effect of pH on degradant formation after 8 weeks of storage of various enalapril solution formulations at room temperature (19-22°C).

DETAILED DESCRIPTION OF THE INVENTION

[0023] Provided herein are stable enalapril oral liquid formulations. Also provided herein are stable enalapril powder formulations for reconstitution for oral liquid administration. These enalapril formulations described herein are useful for the treatment of hypertension, prehypertension, heart failure as well as ventricular dysfunction. The formulations are advantageous over conventional solid dosage administration of enalapril ranging from ease of administration, accuracy of dosing, accessibility to additional patient populations such as to children and the elderly, and an increased patient compliance to medication.

[0024] It is generally known that certain segments of the population have difficulty ingesting and swallowing solid oral dosage forms such as tablets and capsules. As many as a quarter of the total population has this difficulty. Often, this leads to non-compliance with the recommended medical therapy with the solid dosage forms, thereby resulting in rending the therapy ineffective. Further, solid dosage forms are not recommended for children or elderly due to increased risk in choking.

[0025] Furthermore, the dose of enalapril to be given to children is calculated according to the child's weight. When the calculated dose is something other than the amount present in one or more intact solid dosage forms, the solid dosage form must be divided to provide the correct dose. This leads to inaccurate dosing when solid dosages forms, such as tablets, are compounded to prepare other formulations for children.

[0026] For enalapril, one solution to overcoming the use of the tablet form is for a compounding pharmacist to pulverize and crush the enalapril tablet(s) into a powder via mortar and pestle and reconstitute the powder in some liquid form. However forming a enalapril oral liquid in this fashion has significant drawbacks including large variability in the actual dosage, incomplete solubilizing of the enalapril tablet in the liquid, rapid instability, inconsistent formulation methods per compounding pharmacy, and a number of other potential issues. The crushed tablet liquid formulation may also be potentially unsafe due to contamination with residual drugs and other substances from the mortar and pestle or other crushing agent.

[0027] Alternatively, enalapril is formulated as enalapril powder compositions for reconstitution as oral liquids as described in U.S. Pat. No. 8,568,747. The powder compositions as described in this patent require mannitol and colloidal silicon dioxide for stability and dissolution. While these powder compositions are an improvement over crushing tablets, they still require a step of mixing with a diluent. The stable enalapril oral liquid formulations described herein require no extra steps or manipulation prior to administration to a subject. Further, the stable enalapril oral liquid formulations described herein do not require or need mannitol or colloidal silicon dioxide for stability and dissolution.

[0028] The present embodiments described herein provide a safe and effective oral administration of enalapril for the treatment of hypertension and other disorders. In particular, the embodiments provide stable enalapril oral liquid formulations as well as alternatively enalapril powder formulations for oral liquid administration.

[0029] As used herein, "enalapril" refers to enalapril base, its salt, or solvate or derivative or isomer or polymorph thereof. Suitable compounds include the free base, the organic and inorganic salts, isomers, isomer salts, solvates, polymorphs, complexes etc. U.S. Pat. Nos. 4,374,829; 4,472,380 and 4,510,083 disclose exemplary methods in the preparation of enalapril. In some embodiments, the enalapril used in

the formulations described herein is an enalapril salt. In some instances, the enalapril salt is enalapril maleate. In other instances, the enalapril salt is in the form of enalapril sodium.

[0030] Other ACE inhibitors are contemplated in the formulations within and include but are not limited to quinapril, indolapril, ramipril, perindopril, lisinopril, benazepril, imidapril, zofenopril, trandolapril, fosinopril, captopril, and their salts, solvates, derivatives, polymorphs, or complexes, thereof.

Enalapril Oral Liquid Formulations

[0031] Oral liquids include, but are not limited to, solutions (both aqueous and nonaqueous), suspensions, emulsions, syrups, slurries, juices, elixirs, dispersions, and the like. It is envisioned that solution/suspensions are also included where certain components described herein are in a solution while other components are in a suspension.

[0032] In one aspect, the enalapril liquid formulations described herein comprise enalapril, a preservative, a sweetening agent, a buffer, and water. In one embodiment, the sweetening agent is sucralose. In one embodiment, the sweetening agent is xylitol. In one embodiment, the sweetening agent is not mannitol. In another embodiment, the preservative is sodium benzoate. In some embodiments, the preservative is a paraben. In some embodiments, the preservative is a mixture of parabens. In yet another embodiment, the buffer comprises citric acid. In some embodiments, the buffer further comprises sodium citrate. In one aspect, the enalapril liquid formulation described herein comprises enalapril, sucralose, sodium benzoate, citric acid, sodium citrate, and water. In some embodiments, the enalapril liquid formulation herein further comprises a flavoring agent. In some embodiments, the enalapril liquid formulation is not obtained from crushing enalapril tablet and dissolving the powder in a suitable vehicle for oral administration. In some embodiments, the enalapril liquid formulation does not contain silicon dioxide. In some embodiments, the enalapril liquid formulation does not contain mannitol. In some embodiments, the enalapril liquid formulation does not contain lactose. In some embodiments, the enalapril liquid formulation does not contain magnesium stearate. In some embodiments, the enalapril liquid formulation does not contain sodium bicarbonate. In some embodiments, the enalapril liquid formulation does not contain iron oxides.

[0033] In some embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.6 to about 1.2 mg/ml in the oral liquid formulation. In other embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.6 mg/ml, about 0.61 mg/ml, about 0.62 mg/ml, about 0.63 mg/ml, about 0.64 mg/ml, about 0.65 mg/ml, about 0.66 mg/ml, about 0.67 mg/ml, about 0.68 mg/ml, about 0.69 mg/ml, about 0.7 mg/ml, about 0.71 mg/ml, about 0.72 mg/ml, about 0.73 mg/ml, about 0.74 mg/ml, about 0.75 mg/ml, about 0.76 mg/ml, about 0.77 mg/ml, about 0.78 mg/ml, about 0.79 mg/ml, about 0.8 mg/ml, about 0.81 mg/ml, about 0.82 mg/ml, about 0.83 mg/ml, about 0.84

mg/ml, about 0.85 mg/ml, about 0.86 mg/ml, about 0.87 mg/ml, about 0.88 mg/ml, about 0.89 mg/ml, about 0.99 mg/ml, about 0.91 mg/ml, about 0.92 mg/ml, about 0.93 mg/ml, about 0.94 mg/ml, about 0.95 mg/ml, about 0.96 mg/ml, about 0.97 mg/ml, about 0.98 mg/ml, about 0.99 mg/ml, about 1 mg/ml, about 1.01 mg/ml, about 1.02, mg/ml, about 1.03 mg/ml, about 1.04 mg/ml, about 1.05 mg/ml, about 1.06 mg/ml, about 1.07 mg/ml, about 1.08 mg/ml, about 1.09 mg/ml, about 1.1 mg/ml, about 1.11 mg/ml, about 1.12, mg/ml, about 1.13 mg/ml, about 1.14 mg/ml, about 1.15 mg/ml, about 1.16 mg/ml, about 1.17 mg/ml, about 1.18 mg/ml, about 1.19 mg/ml, or about 1.2 mg/ml in the liquid oral formulation. In some embodiments, enalapril is present in about 0.76 mg/ml in the oral liquid formulation. In some embodiments, the formulation contains enalapril or another pharmaceutically acceptable salt of enalapril in a molar concentration equivalent to 1 mg/mL enalapril maleate. In some embodiments, the formulation contains enalapril acceptable salt of enalapril in a molar concentration equivalent to 0.76 mg/mL enalapril.

[0034] In some embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.5 % w/w to about 30 % w/w of the solids in the oral liquid formulation. In other embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.5 % w/w, about 1 % w/w, about 1.5 % w/w, about 2 % w/w, about 2.5 % w/w, about 3 % w/w, about 3.5 % w/w, about 4 % w/w, about 4.5 % w/w, about 5 % w/w, about 5.5 % w/w, about 6 % w/w, about 6.5 % w/w, about 7 % w/w, about 7.5 % w/w, about 8 % w/w, about 8.5 % w/w, about 9 % w/w, about 9.5 % w/w, about 10 % w/w, about 10.5 % w/w, about 11 % w/w, about 11.5 % w/w, about 12 % w/w, about 12.5 % w/w, about 13 % w/w, about 13.5 % w/w, about 14 % w/w, about 14.5 % w/w, about 15 % w/w, about 15.1 % w/w, about 15.2 % w/w, about 15.3 % w/w, about 15.4 % w/w, about 15.5 % w/w, about 15.6 % w/w, about 15.7 % w/w, about 15.8 % w/w, about 15.9 % w/w, about 16 % w/w, about 16.1 % w/w, about 16.2 % w/w, about 16.3 % w/w, about 16.4 % w/w, about 16.5 % w/w, about 16.6 % w/w, about 16.7 % w/w, about 16.8 % w/w, about 16.9 % w/w, about 17 % w/w, about 17.1 % w/w, about 17.2 % w/w, about 17.3 % w/w, about 17.4 % w/w, about 17.5 % w/w, about 17.6 % w/w, about 17.7 % w/w, about 17.8 % w/w, about 17.9 % w/w, about 18 % w/w, about 18.1 % w/w, about 18.2 % w/w, about 18.3 % w/w, about 18.4 % w/w, about 18.5 % w/w, about 18.6 % w/w, about 18.7 % w/w, about 18.8 % w/w, about 18.9 % w/w, about 19 % w/w, about 19.1 % w/w, about 19.2 % w/w, about 19.3 % w/w, about 19.4 % w/w, about 19.5 % w/w, about 19.6 % w/w, about 19.7 % w/w, about 19.8 % w/w, about 19.9 % w/w, about 20 % w/w, about 20.1 % w/w, about 20.2 % w/w, about 20.3 % w/w, about 20.4 % w/w, about 20.5 % w/w, about 20.6 % w/w, about 20.7 % w/w, about 20.8 % w/w, about 20.9 % w/w, about 21 % w/w, about 21.1 % w/w, about 21.2 % w/w, about 21.3 % w/w, about 21.4 % w/w, about 21.5 % w/w, about 21.6 % w/w, about 21.7 % w/w, about 21.8 % w/w, about 21.9 % w/w, about 22 % w/w, about 22.5 % w/w, about 23 % w/w, about 23.5

% w/w, about 24 % w/w, about 24.5 % w/w, about 25 % w/w, about 25.5 % w/w, about 26 % w/w, about 26.5 % w/w, about 27 % w/w, about 27.5 % w/w, about 28 % w/w, about 28.5 % w/w, about 29 % w/w, about 29.5 % w/w, or about 30 % w/w of the solids in the oral liquid formulation. In some embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 10 % w/w to about 25 % w/w of the solids in the oral liquid formulation. In some embodiments, enalapril is present in about 10.5 % w/w of the solids in the oral liquid formulation. In some embodiments, enalapril is present in about 15 % w/w of the solids in the oral liquid formulation. In some embodiments, enalapril is present in about 18.2 % w/w of the solids in the oral liquid formulation. In some embodiments, enalapril maleate is present in about 13.5 % w/w of the solids in the oral liquid formulation. In some embodiments, enalapril maleate is present in about 19.3 % w/w of the solids in the oral liquid formulation. In some embodiments, enalapril maleate is present in about 24.5 % w/w of the solids in the oral liquid formulation. In some embodiments, enalapril maleate is present in about 24.5 % w/w of the solids in the oral liquid formulation.

[0035] In some embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.1% w/w to about 1 % w/w of the solids in the oral liquid formulation. In other embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.1 % w/w, about 0.15 % w/w, about 0.2 % w/w, about 0.25 % w/w, about 0.3 % w/w, about 0.35 % w/w, about 0.4 % w/w, about 0.45 % w/w, about 0.5 % w/w, about 0.55 % w/w, about 0.65 % w/w, about 0.7 % w/w, about 0.75 % w/w, about 0.85 % w/w, about 0.85 % w/w, about 0.95 % w/w, or about 1 % w/w of the solids in the oral liquid formulation. In some embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.4 % w/w to about 0.7 % w/w of the solids in the oral liquid formulation. In some embodiments, enalapril is present in about 0.4 % w/w of the solids in the oral liquid formulation. In some embodiments, enalapril maleate is present in about 0.5 % w/w of the solids in the oral liquid formulation. In some embodiments, enalapril maleate is present in about 0.6 % w/w of the solids in the oral liquid formulation. In some embodiments, enalapril maleate is present in about 0.6 % w/w of the solids in the oral liquid formulation. In some embodiments, enalapril maleate is present in about 0.6 % w/w of the solids in the oral liquid formulation.

Sweetener in the Enalapril Oral Liquid Formulations

[0036] Sweeteners or sweetening agents include any compounds that provide a sweet taste. This includes natural and synthetic sugars, natural and artificial sweeteners, natural extracts and any material that initiates a sweet sensation in a subject. In some embodiments, a solid/powder sweetener is used in the oral liquid formulation described herein. In other embodiments, a liquid sweetener is used in the oral liquid formulation described herein.

[0037] Sugars illustratively include glucose, fructose, sucrose, xylitol, tagatose, sucralose, maltitol, isomaltulose, IsomaltTM (hydrogenated isomaltulose), lactitol, sorbitol, erythritol, trehalose, maltodextrin, polydextrose, and the like. Other sweeteners illustratively include glycerin, inulin, erythritol, maltol, acesulfame and salts thereof, e.g., acesulfame potassium, alitame, aspartame, neotame, sodium cyclamate,

saccharin and salts thereof, e.g., saccharin sodium or saccharin calcium, neohesperidin dihydrochalcone, stevioside, thaumatin, and the like. Sweeteners can be used in the form of crude or refined products such as hydrogenated starch hydrolysates, maltitol syrup, high fructose corn syrup, etc., and as branded products, e.g., Sweet AmTM liquid (Product Code 918.003-propylene glycol, ethyl alcohol, and proprietary artificial flavor combination, Flavors of North America) and Sweet AmTM powder (Product Code 918.005--maltodextrin, sorbitol, and fructose combination and Product Code 918.010--water, propylene glycol, sorbitol, fructose, and proprietary natural and artificial flavor combination, Flavors of North America), ProSweetTM (1-10% proprietary plant/vegetable extract and 90-99% dextrose combination, Viriginia Dare), MaltisweetTM (maltitol solution, Ingredion), SorboTM (sorbitol and sorbitol/xylitol solution, SPI Polyols), InvertoseTM (high fructose corn syrup, Ingredion), Rebalance M60 and X60 (sucralose and maltodextrin, Tate and Lyle), and Ora-Sweet® sugar-free flavored syrup (Paddock Laboratories, Inc.). Sweeteners can be used singly or in combinations of two or more. Suitable concentrations of different sweeteners can be selected based on published information, manufacturers' data sheets and by routine testing.

[0038] In some embodiments, the enalapril oral liquid formulation described herein comprises a sweetening agent. In some embodiments, the sweetening agent is sucralose. In some embodiments, the sweetening agent is xylitol. In some embodiments, the sweetener is not mannitol.

[0039] In some embodiments, the enalapril oral liquid formulation described herein comprises sucralose. In some embodiments, sucralose is present in about 0.5 to about 0.9 mg/ml in the oral liquid formulation. In other embodiments, sucralose is present in about 0.51 mg/ml, about 0.52 mg/ml, about 0.53 mg/ml, about 0.54 mg/ml, about 0.55 mg/ml, about 0.56 mg/ml, about 0.57 mg/ml, about 0.58 mg/ml, about 0.59 mg/ml, about 0.60 mg/ml, about 0.61 mg/ml, about 0.62 mg/ml, about 0.63 mg/ml, about 0.64 mg/ml, about 0.65 mg/ml, about 0.66 mg/ml, about 0.67 mg/ml, about 0.68 mg/ml, about 0.69 mg/ml, about 0.70 mg/ml, about 0.71 mg/ml, about 0.72 mg/ml, about 0.73 mg/ml, about 0.74 mg/ml, about 0.75 mg/ml, about 0.76 mg/ml, about 0.77 mg/ml, about 0.78 mg/ml, about 0.79 mg/ml, about 0.80 mg/ml, about 0.81 mg/ml, about 0.82 mg/ml, about 0.83 mg/ml, about 0.84 mg/ml, about 0.85 mg/ml, about 0.86 mg/ml, about 0.87 mg/ml, about 0.88 mg/ml, about 0.89 mg/ml, or about 0.90 mg/ml in the oral liquid formulation. In some embodiments, sucralose is present in about 0.7 mg/ml in the oral liquid formulation. [0040] In some embodiments, sucralose is present in about 1 % w/w to about 30 % w/w of the solids in the oral liquid formulation. In some embodiments, sucralose is present in about 1 % w/w, about 1.5 % w/w, about 2 % w/w, about 2.5 % w/w, about 3 % w/w, about 3.5 % w/w, about 4 % w/w, about 4.5 % w/w, about 5 % w/w, about 5.5 % w/w, about 6 % w/w, about 6.5 % w/w, about 7 % w/w, about 7.5 % w/w, about 8 % w/w, about 8.5 % w/w, about 9 % w/w, about 9.5 % w/w, about 10 % w/w, about 10.5 % w/w, about 11 % w/w, about 11.5 % w/w, about 12 % w/w, about 12.5 % w/w, about 13 % w/w, about

13.5 % w/w, about 14% w/w, about 14.5 % w/w, about 15 % w/w, about 15.5 % w/w, about 16 % w/w, about 16.5 % w/w, about 17 % w/w, about 17.5 % w/w, about 18 % w/w, about 18.5 % w/w, about 19 % w/w, about 19.5 % w/w, about 20 % w/w, about 20.5 % w/w, about 21 % w/w, about 21.5 % w/w, about 22 % w/w, about 22.5 % w/w, about 23 % w/w, about 23.5 % w/w, about 24 % w/w, about 24.5 % w/w, about 25 % w/w, about 25.5 % w/w, about 26 % w/w, about 26.5 % w/w, about 27 % w/w, about 27.5 % w/w, about 28 % w/w, about 28.5 % w/w, about 29 % w/w, about 29.5 % w/w, or about 30 % w/w of the solids in the oral liquid formulation. In some embodiments, sucralose is present in about 8 % w/w of the solids in the oral liquid formulation. In some embodiments, sucralose is present in about 9.5 % w/w of the solids in the oral liquid formulation. In some embodiments, sucralose is present in about 13.5 % w/w of the solids in the oral liquid formulation. In some embodiments, sucralose is present in about 13.5 % w/w of the solids in the oral liquid formulation. In some embodiments, sucralose is present in about 13.5 % w/w of the solids in the oral liquid formulation. In some embodiments, sucralose is present in about 13.5 % w/w of the solids in the oral liquid formulation. In some embodiments, sucralose is present in about 16.5 % w/w of the solids in the oral liquid formulation.

[0041] In some embodiments, the enalapril oral liquid formulation described herein comprises xylitol. In some embodiments, xylitol is present in about 140 mg/ml to about 210 mg/ml in the oral liquid formulation.

[0042] In some embodiments, xylitol is present in about 140 mg/ml, about 145 mg/ml, about 150 mg/ml, about 155 mg/ml, about 160 mg/ml, about 165 mg/ml, about 170 mg/ml, about 175 mg/ml, about 180 mg/ml, about 185 mg/ml, about 190 mg/ml, about 195 mg/ml, about 200 mg/ml, about 205 mg/ml, or about 210 mg/ml of the oral liquid formulation. In some embodiments, xylitol is present in about 150 mg/ml in the oral liquid formulation. In some embodiments, xylitol is present in about 200 mg/ml in the oral liquid formulation.

[0043] In some embodiments, xylitol is present in about 80 % w/w to about 99 % w/w of the solids in the oral liquid formulation. In other embodiments, xylitol is present in about 80 % w/w, about 81 % w/w, about 82 % w/w, about 83 % w/w, about 84 % w/w, about 85 % w/w, about 86 % w/w, about 87 % w/w, about 88 % w/w, about 89 % w/w, about 90 % w/w, about 91 % w/w, about 92 % w/w, about 93 % w/w, about 94 % w/w, about 95 % w/w, about 96 % w/w, about 97 % w/w, about 98 % w/w, or about 99 % w/w of the solids in the oral liquid formulation. In some embodiments, xylitol is present in about 96 % w/w of the solids in the oral liquid formulation. In some embodiments, xylitol is present in about 96 % w/w of the solids in the oral liquid formulation. In some embodiments, xylitol is present in about 96 % w/w of the solids in the oral liquid formulation.

Preservative in the Enalapril Oral Liquid Formulations

[0044] Preservatives include anti-microbials, anti-oxidants, and agents that enhance sterility. Exemplary preservatives include ascorbic acid, ascorbyl palmitate, BHA, BHT, citric acid, EDTA and its salts, erythorbic acid, fumaric acid, malic acid, propyl gallate, sodium ascorbate, sodium bisulfate, sodium metabisulfite, sodium sulfite, parabens (such as methylparaben, ethylparaben, propylparaben, butylparaben and their salts), benzoic acid, sodium benzoate, potassium sorbate, vanillin, and the like.

[0045] In some embodiments, the enalapril oral liquid formulation described herein comprises a preservative.

[0046] In some embodiments, the preservative is a paraben and the sweetener is not a sugar (such as, but not limited to glucose, fructose, sucrose, lactose, maltose) or a sugar alcohol (such as, but not limited to xylitol, mannitol, lactitol, maltitol, sorbitol).

[0047] In some embodiments, the preservative is sodium benzoate.

[0048] In some embodiments, modulation of the pH is desired to provide the best antimicrobial activity of the preservative, sodium benzoate. In some embodiments, the antimicrobial activity of sodium benzoate drops when the pH is increased above 5.

[0049] In some embodiments, the pH of the enalapril oral liquid formulation described herein is less than about 4. In some embodiments, the pH of the enalapril oral liquid formulation described herein is less than about 3.5. In some embodiments, the pH of the enalapril oral liquid formulation described herein is between about 3 and about 4. In some embodiments, the pH of the enalapril oral liquid formulation described herein is between about 3 and about 3.5. In some embodiments, the pH of the enalapril oral liquid formulation described herein is about 3, about 3.1, about 3.2, about 3.3, about 3.4, about 3.5, about 3.6, about 3.7, about 3.8, about 3.9, or about 4. In some embodiments, the pH of the enalapril oral liquid formulation described herein is about 3.3.

[0050] In some embodiments, sodium benzoate is present in about 0.2 to about 1.2 mg/ml in the oral liquid formulation. In other embodiments, sodium benzoate is present in about 0.2 mg/ml, about 0.21 mg/ml, about 0.22 mg/ml, about 0.23 mg/ml, about 0.24 mg/ml, about 0.25 mg/ml, about 0.26 mg/ml, about 0.27 mg/ml, about 0.28 mg/ml, about 0.29 mg/ml, about 0.3 mg/ml, about 0.31 mg/ml, about 0.32 mg/ml, about 0.33 mg/ml, about 0.34 mg/ml, about 0.35 mg/ml, about 0.36 mg/ml, about 0.37 mg/ml, about 0.38 mg/ml, about 0.39 mg/ml, about 0.4 mg/ml, about 0.41 mg/ml, about 0.42 mg/ml, about 0.43 mg/ml, about 0.44 mg/ml, about 0.45 mg/ml, about 0.46 mg/ml, about 0.47 mg/ml, about 0.48 mg/ml, about 0.49 mg/ml, about 0.5 mg/ml, about 0.51 mg/ml, about 0.52 mg/ml, about 0.53 mg/ml, about 0.54 mg/ml, about 0.55 mg/ml, about 0.56 mg/ml, about 0.57 mg/ml, about 0.58 mg/ml, about 0.59 mg/ml, about 0.6 mg/ml, about 0.61 mg/ml, about 0.62 mg/ml, about 0.63 mg/ml, about 0.64 mg/ml, about 0.65 mg/ml, about 0.66 mg/ml, about 0.67 mg/ml, about 0.68 mg/ml, about 0.69 mg/ml, about 0.7 mg/ml, about 0.71 mg/ml, about 0.72 mg/ml, about 0.73 mg/ml, about 0.74 mg/ml, about 0.75 mg/ml, about 0.76 mg/ml, about 0.77 mg/ml, about 0.78 mg/ml, about 0.79 mg/ml, about 0.8 mg/ml, about 0.81 mg/ml, about 0.82 mg/ml, about 0.83 mg/ml, about 0.84 mg/ml, about 0.85 mg/ml, about 0.86 mg/ml, about 0.87 mg/ml, about 0.88 mg/ml, about 0.89 mg/ml, about 0.9 mg/ml, about 0.91 mg/ml, about 0.92 mg/ml, about 0.93 mg/ml, about 0.94 mg/ml, about 0.95 mg/ml, about 0.96 mg/ml, about 0.97 mg/ml, about 0.98 mg/ml, about 0.99 mg/ml, about 1 mg/ml, about 1.01 mg/ml, about 1.02, mg/ml, about 1.03 mg/ml, about 1.04 mg/ml, about 1.05 mg/ml, about 1.06 mg/ml, about 1.07 mg/ml, about 1.08 mg/ml, about 1.09 mg/ml, about 1.11 mg/ml, about 1.12 mg/ml, about 1.13 mg/ml, about 1.14 mg/ml, about 1.15 mg/ml, about 1.16 mg/ml, about 1.17 mg/ml, about 1.18 mg/ml, about 1.19 mg/ml, or about 1.2 mg/ml in the liquid oral formulation. In some embodiments, sodium benzoate is present in about 1 mg/ml in the oral liquid formulation.

[0051] In some embodiments, sodium benzoate is present in about 1% w/w to about 30 % w/w of the solids in the oral liquid formulation. In other embodiments, sodium benzoate is present in about 1 % w/w, about 1.5 % w/w, about 2 % w/w, about 2.5 % w/w, about 3 % w/w, about 3.5 % w/w, about 4 % w/w, about 4.5 % w/w, about 5 % w/w, about 5.5 % w/w, about 6 % w/w, about 6.5 % w/w, about 7 % w/w, about 7.5 % w/w, about 8 % w/w, about 8.5 % w/w, about 9 % w/w, about 9.5 % w/w, about 10 % w/w, about 10.5 % w/w, about 11 % w/w, about 11.5 % w/w, about 12 % w/w, about 12.5 % w/w, about 13 % w/w, about 13.5 % w/w, about 14 % w/w, about 14.5 % w/w, about 15 % w/w, about 15.1 % w/w, about 15.2 % w/w, about 15.3 % w/w, about 15.4 % w/w, about 15.5 % w/w, about 15.6 % w/w, about 15.7 % w/w, about 15.8 % w/w, about 15.9 % w/w, about 16 % w/w, about 16.1 % w/w, about 16.2 % w/w, about 16.3 % w/w, about 16.4 % w/w, about 16.5 % w/w, about 16.6 % w/w, about 16.7 % w/w, about 16.8 % w/w, about 16.9 % w/w, about 17 % w/w, about 17.1 % w/w, about 17.2 % w/w, about 17.3 % w/w, about 17.4 % w/w, about 17.5 % w/w, about 17.6 % w/w, about 17.7 % w/w, about 17.8 % w/w, about 17.9 % w/w, about 18 % w/w, about 18.1 % w/w, about 18.2 % w/w, about 18.3 % w/w, about 18.4 % w/w, about 18.5 % w/w, about 18.6 % w/w, about 18.7 % w/w, about 18.8 % w/w, about 18.9 % w/w, about 19 % w/w, about 19.1 % w/w, about 19.2 % w/w, about 19.3 % w/w, about 19.4 % w/w, about 19.5 % w/w, about 19.6 % w/w, about 19.7 % w/w, about 19.8 % w/w, about 19.9 % w/w, about 20 % w/w, about 20.1 % w/w, about 20.2 % w/w, about 20.3 % w/w, about 20.4 % w/w, about 20.5 % w/w, about 20.6 % w/w, about 20.7 % w/w, about 20.8 % w/w, about 20.9 % w/w, about 21 % w/w, about 21.1 % w/w, about 21.2 % w/w, about 21.3 % w/w, about 21.4 % w/w, about 21.5 % w/w, about 21.6 % w/w, about 21.7 % w/w, about 21.8 % w/w, about 21.9 % w/w, about 22 % w/w, about 22.5 % w/w, about 23 % w/w, about 23.5 % w/w, about 24 % w/w, about 24.5 % w/w, about 25 % w/w, about 25.5 % w/w, about 26 % w/w, about 26.5 % w/w, about 27 % w/w, about 27.5 % w/w, about 28 % w/w, about 28.5 % w/w, about 29 % w/w, about 29.5 % w/w, or about 30 % w/w of the solids in the oral liquid formulation. In some embodiments, sodium benzoate is present in about 10% w/w to about 25 % w/w of the solids in the oral liquid formulation. In some embodiments, sodium benzoate is present in about 13.5% w/w of the solids in the oral liquid formulation. In some embodiments, sodium benzoate is present in about 19.3% w/w of the solids in the oral liquid formulation. In some embodiments, sodium benzoate is present in about 23.5 % w/w of the solids in the oral liquid formulation.

[0052] In some embodiments, sodium benzoate is present in about 0.1% w/w to about 1 % w/w of the solids in the oral liquid formulation. In other embodiments, sodium benzoate is present in about 0.1 % w/w, about 0.15 % w/w, about 0.2 % w/w, about 0.25 % w/w, about 0.3 % w/w, about 0.35 % w/w, about 0.4 % w/w, about 0.45 % w/w, about 0.5 % w/w, about 0.55 % w/w, about 0.6 % w/w, about 0.65 % w/w, about 0.7 % w/w, about 0.75 % w/w, about 0.8 % w/w, about 0.85 % w/w, about 0.9 % w/w, about 0.95 % w/w, or about 1 % w/w of the solids in the oral liquid formulation. In some embodiments, sodium benzoate is present in about 0.4 % w/w to about 0.7 % w/w of the solids in the oral liquid formulation. In some embodiments, sodium benzoate is present in about 0.45 % w/w of the solids in the oral liquid formulation. In some embodiments, sodium benzoate is present in about 0.6 % w/w of the solids in the oral liquid formulation. In some embodiments, sodium benzoate is present in about 0.6 % w/w of the solids in the oral liquid formulation.

[0053] In some embodiments, sodium benzoate is present in an amount sufficient to provide antimicrobial effectiveness to the enalapril oral liquid formulation described herein. (See Table G-1). [0054] In some embodiments, the preservative is a paraben. In some embodiments, the preservative is a mixture of parabens. In some embodiments, the paraben or mixture of parabens is present in about 0.1 mg/ml to about 2 mg/ml in the oral liquid formulation. In other embodiments, the paraben or mixture of parabens is present in about 0.1 mg/ml, about 0.2 mg/ml, about 0.3 mg/ml, about 0.4 mg/ml, about 0.5 mg/ml, about 0.6 mg/ml, about 0.7 mg/ml, about 0.8 mg/ml, about 0.9 mg/ml, about 1 mg/ml, about 1.1 mg/ml, about 1.2 mg/ml, about 1.3 mg/ml, about 1.4 mg/ml, or about 1.5 mg/ml, about 1.6 mg/ml, about 1.7 mg/ml, about 1.8 mg/ml, about 1.9 mg/ml, or about 2 mg/ml in the liquid oral formulation. In some embodiments, the paraben or mixture of parabens is present in about 2 mg/ml in the oral liquid formulation. In some embodiments, the paraben or mixture of parabens is present in about 1.6 mg/ml to about 1.8 mg/ml in the oral liquid formulation. In some embodiments, the paraben or mixture of parabens is present in about 0.1 mg/ml to about 0.5 mg/ml in the oral liquid formulation.

[0055] In some embodiments, the paraben or mixture of parabens is present in about 2 % w/w to about 30 % w/w of the solids in the oral liquid formulation. In other embodiments, the paraben or mixture of parabens is present in about 2 % w/w, about 3 % w/w, about 4 % w/w, about 5 % w/w, about 6 % w/w, about 7 % w/w, about 8 % w/w, about 9 % w/w, about 10 % w/w, about 11 % w/w, about 12 % w/w, about 13 % w/w, about 14 % w/w, about 15 % w/w, about 16 % w/w, about 17 % w/w, about 18 % w/w, about 19 % w/w, about 20 % w/w, about 21 % w/w, about 22 % w/w, about 23 % w/w, about 24 % w/w, about 25 % w/w, about 26 % w/w, about 27 % w/w, about 28 % w/w, about 29 % w/w, or about 30 % w/w of the solids in the oral liquid formulation. In some embodiments, the paraben or mixture of parabens is present in about 2 % w/w to about 3 % w/w of the solids in the oral liquid formulation. In some embodiments, the paraben or mixture of parabens is present in about 2 % w/w to about 26 % w/w of the

solids in the oral liquid formulation. In some embodiments, the paraben or mixture of parabens is present in about 26 % w/w to about 30 % w/w of the solids in the oral liquid formulation.

Sweetener and preservative incompatibility

[0056] Paraben preservatives (especially methylparaben) can react with selected sugars (glucose, fructose, sucrose, lactose, maltose) and sugar alcohols (xylitol, mannitol, lactitol, maltitol, sorbitol) to form transesterification reaction products. This can be undesirable from a formulation and stability standpoint as the transesterification creates additional degradants.

[0057] In some embodiments, the enalapril oral liquid formulation described herein does not comprise a paraben preservative. In further embodiments, the enalapril oral liquid formulation described herein does not comprise a paraben preservative when the formulation also comprises a sugar or sugar alcohol. pH of Enalapril Oral Liquid Formulations

[0058] Buffering agents maintain the pH of the liquid enalapril formulation. Non-limiting examples of buffering agents include, but are not limited to sodium bicarbonate, potassium bicarbonate, magnesium hydroxide, magnesium lactate, magnesium glucomate, aluminum hydroxide, aluminum hydroxide/sodium bicarbonate co-precipitate, mixture of an amino acid and a buffer, a mixture of aluminum glycinate and a buffer, a mixture of an acid salt of an amino acid and a buffer, and a mixture of an alkali salt of an amino acid and a buffer. Additional buffering agents include citric acid, sodium citrate, sodium tartarate, sodium acetate, sodium carbonate, sodium polyphosphate, potassium polyphosphate, sodium pyrophosphate, potassium pyrophosphate, disodium hydrogenphosphate, dipotassium hydrogenphosphate, trisodium phosphate, tripotassium phosphate, sodium acetate, potassium metaphosphate, magnesium oxide, magnesium hydroxide, magnesium carbonate, magnesium silicate, calcium acetate, calcium glycerophosphate, calcium chloride, calcium hydroxide, calcium lactate, calcium carbonate, calcium bicarbonate, and other calcium salts. Some buffering agents also impart effervescent qualities when a powder is reconstituted in a solution. In some embodiments, the buffering agent is not sodium bicarbonate.

[0059] In some embodiments, the oral liquid formulation comprises a buffer.

[0060] In some embodiments, the buffer in the enalapril oral liquid formulation described herein comprises citric acid. In some embodiments, the buffer in the enalapril oral liquid formulation described herein comprises citric acid and sodium citrate. In some embodiments, the buffer in the enalapril oral liquid formulation described herein comprises citric acid and sodium citrate dihydrate or an equivalent molar amount of sodium citrate anhydrous. In some embodiments, the sodium citrate is monosodium citrate. In some embodiments, the sodium citrate is disodium citrate. In some embodiments, the sodium citrate is trisodium citrate.

[0061] In some embodiments, the buffer in the enalapril oral liquid formulation described herein comprises phosphoric acid. In some embodiments, the buffer in the enalapril oral liquid formulation described herein comprises sodium phosphate.

[0062] In some embodiments, modulation of the pH is desired to provide a lowered impurity profile. In the exemplary stability studies, the main enalapril degradants are enalapril diketopiperazine and enalaprilat:

enalapril diketopiperazine;

enalaprilat

[0063] In some embodiments, the percentage of enalaprilat formation is increased when the pH is above 3.5. (See table C-2 and FIG. 1 and FIG. 2). In some embodiments, the percentage of enalapril diketopiperazine formation is slightly increased as the pH is below 4.

[0064] In some embodiments, the pH of the enalapril oral liquid formulation described herein is less than about 4. In some embodiments, the pH of the enalapril oral liquid formulation described herein is less than about 3.5. In some embodiments, the pH of the enalapril oral liquid formulation described herein is between about 3 and about 4. In some embodiments, the pH of the enalapril oral liquid formulation described herein is between about 3 and about 3.5. In some embodiments, the pH of the enalapril oral liquid formulation described herein is about 3, about 3.1, about 3.2, about 3.3, about 3.4, about 3.5, about 3.6, about 3.7, about 3.8, about 3.9, or about 4. In some embodiments, the pH of the enalapril oral liquid formulation described herein is about 3.3.

[0065] In some embodiments, the formation of degradants is dependent on the buffer concentration. In some embodiments, the buffer concentration impacts the taste of the enalapril oral liquid formulation.

[0066] In some embodiments, the buffer concentration is between about 5 mM and about 20 mM. In some embodiments, the buffer concentration is about 5 mM, about 7 mM, about 8 mM,

about 9 mM, about 10 mM, about 11 mM, about 12 mM, about 13 mM, about 14 mM, about 15 mM, about 16 mM, about 17 mM, about 18 mM, about 19 mM, or about 20 mM. In some embodiments, the buffer concentration is about 5 mM. In some embodiments, the buffer concentration is about 10 mM. In some embodiments, the buffer concentration is about 20 mM.

[0067] In some embodiments, citric acid is present in about 0.7 to about 2 mg/ml in the oral liquid formulation. In other embodiments, citric acid is present in about 0.7 mg/ml, about 0.71 mg/ml, about 0.72 mg/ml, about 0.73 mg/ml, about 0.74 mg/ml, about 0.75 mg/ml, about 0.76 mg/ml, about 0.77 mg/ml, about 0.78 mg/ml, about 0.79 mg/ml, about 0.8 mg/ml, about 0.81 mg/ml, about 0.82 mg/ml, about 0.83 mg/ml, about 0.84 mg/ml, about 0.85 mg/ml, about 0.86 mg/ml, about 0.87 mg/ml, about 0.88 mg/ml, about 0.89 mg/ml, about 0.9 mg/mL, about 0.91 mg/mL, about 0.92 mg/mL, about 0.93 mg/mL, about 0.94 mg/mL, about 0.95 mg/mL, about 0.96 mg/mL, about 0.97 mg/mL, about 0.98 mg/mL, about 0.99 mg/mL, about 1 mg/mL, about 1.11 mg/ml, about 1.12 mg/ml, about 1.13 mg/ml, about 1.14 mg/ml, about 1.15 mg/ml, about 1.16 mg/ml, about 1.17 mg/ml, about 1.18 mg/ml, about 1.19 mg/ml, about 1.2 mg/ml, about 1.21 mg/ml, about 1.22 mg/ml, about 1.23 mg/ml, about 1.24 mg/ml, about 1.25 mg/ml, about 1.26 mg/ml, about 1.27 mg/ml, about 1.28 mg/ml, about 1.29 mg/ml, about 1.3 mg/mL, about 1.31 mg/mL, about 1.32 mg/mL, about 1.33 mg/mL, about 1.34 mg/mL, about 1.35 mg/mL, about 1.36 mg/mL, about 1.37 mg/mL, about 1.38 mg/mL, about 1.39 mg/mL, about 1.4 mg/ml, about 1.41 mg/ml, about 1.42 mg/ml, about 1.43 mg/ml, about 1.44 mg/ml, about 1.45 mg/ml, about 1.46 mg/ml, about 1.47 mg/ml, about 1.48 mg/ml, about 1.49 mg/ml, about 1.5 mg/ml, about 1.51 mg/ml, about 1.52 mg/ml, about 1.53 mg/ml, about 1.54 mg/ml, about 1.55 mg/ml, about 1.56 mg/ml, about 1.57 mg/ml, about 1.58 mg/ml, about 1.59 mg/ml, about 1.6 mg/mL, about 1.61 mg/mL, about 1.62 mg/mL, about 1.63 mg/mL, about 1.64 mg/mL, about 1.65 mg/mL, about 1.66 mg/mL, about 1.67 mg/mL, about 1.68 mg/mL, about 1.69 mg/mL, about 1.7 mg/ml, about 1.71 mg/ml, about 1.72 mg/ml, about 1.73 mg/ml, about 1.74 mg/ml, about 1.75 mg/ml, about 1.76 mg/ml, about 1.77 mg/ml, about 1.78 mg/ml, about 1.79 mg/ml, about 1.8 mg/ml, about 1.81 mg/ml, about 1.82 mg/ml, about 1.83 mg/ml, about 1.84 mg/ml, about 1.85 mg/ml, about 1.86 mg/ml, about 1.87 mg/ml, about 1.88 mg/ml, about 1.89 mg/ml, about 1.9 mg/mL, about 1.91 mg/mL, about 1.92 mg/mL, about 1.93 mg/mL, about 1.94 mg/mL, about 1.95 mg/mL, about 1.96 mg/mL, about 1.97 mg/mL, about 1.98 mg/mL, about 1.99 mg/mL, or about 2 mg/mL in the oral liquid formulation. In some embodiments, citric acid is present in about 1.65 mg/ml in the oral liquid formulation. In some embodiments, citric acid is present in about 1.82 mg/ml in the oral liquid formulation. In some embodiments, citric acid is present in about 0.82 mg/ml in the oral liquid formulation.

[0068] In some embodiments, citric acid is present in about 2 to about 3.5 mg/ml in the oral liquid formulation. In other embodiments, citric acid is present in about 2 mg/mL, about 2.05 mg/mL, about 2.1

mg/mL, about 2.15 mg/mL, about 2.2 mg/mL, about 2.25 mg/mL, about 2.3 mg/mL, about 2.35 mg/mL, about 2.4 mg/mL, about 2.45 mg/mL, about 2.5 mg/mL, about 2.55 mg/mL, about 2.6 mg/mL, about 2.6 mg/mL, about 2.7 mg/mL, about 2.75 mg/mL, about 2.8 mg/mL, about 2.85 mg/mL, about 2.9 mg/mL, about 2.95 mg/mL, about 3.10 mg/mL, about 3.10 mg/mL, about 3.10 mg/mL, about 3.10 mg/mL, about 3.2 mg/mL, about 3.25 mg/mL, about 3.3 mg/mL, about 3.3 mg/mL, about 3.4 mg/mL, about 3.4 mg/mL, about 3.5 mg/mL in the oral liquid formulation. In some embodiments, citric acid is present in about 3.3 mg/ml in the oral liquid formulation.

[10069] In some embodiments, citric acid is present in about 10 % w/w to about 50 % w/w of the solids in the oral liquid formulation. In other embodiments, citric acid is present in about 10 % w/w, about 11 % w/w, about 12 % w/w, about 13 % w/w, about 14 % w/w, about 15 % w/w, about 16 % w/w, about 17 % w/w, about 18 % w/w, about 19 % w/w, about 20 % w/w, about 21 % w/w, about 22 % w/w, about 23 % w/w, about 24 % w/w, about 25 % w/w, about 26 % w/w, about 27 % w/w, about 28 % w/w, about 29 % w/w, about 30 % w/w, about 31 % w/w, about 32 % w/w, about 33 % w/w, about 34 % w/w, about 35 % w/w, about 36 % w/w, about 37 % w/w, about 38 % w/w, about 39 % w/w, about 40 % w/w, about 41 % w/w, about 42 % w/w, about 43 % w/w, about 44 % w/w, about 45 % w/w, about 46 % w/w, about 47 % w/w, about 48 % w/w, about 49 % w/w, about 50 % w/w of the solids in the oral liquid formulation. In some embodiments, citric acid is present in about 45 % w/w of the solids in the oral liquid formulation. In some embodiments, citric acid is present in about 31 % w/w of the solids in the oral liquid formulation. In some embodiments, citric acid is present in about 35 % w/w of the solids in the oral liquid formulation. In some embodiments, citric acid is present in about 19 % w/w of the solids in the oral liquid formulation. [0070] In some embodiments, citric acid is present in about 1 % w/w to about 5 % w/w of the solids in the oral liquid formulation. In other embodiments, citric acid is present in about 1 % w/w, about 1.1 % w/w, about 1.2 % w/w, about 1.3 % w/w, about 1.4 % w/w, about 1.5 % w/w, about 1.6 % w/w, about 1.7 % w/w, about 1.8 % w/w, about 1.9 % w/w, about 2 % w/w, about 2.1 % w/w, about 2.2 % w/w, about 2.3 % w/w, about 2.4 % w/w, about 2.5 % w/w, about 2.6 % w/w, about 2.7 % w/w, about 2.8 % w/w, about 2.9 % w/w, about 3 % w/w, about 3.1 % w/w, about 3.2 % w/w, about 3.3 % w/w, about 3.4 % w/w, about 3.5 % w/w, about 3.6 % w/w, about 3.7 % w/w, about 3.8 % w/w, about 3.9 % w/w, about 4 % w/w, about 4.1 % w/w, about 4.2 % w/w, about 4.3 % w/w, about 4.4 % w/w, about 4.5 % w/w, about 4.6 % w/w, about 4.7 % w/w, about 4.8 % w/w, about 4.9 % w/w, or about 5 % w/w of the solids in the oral liquid formulation. In some embodiments, citric acid is present in about 2.1 % w/w of the solids in the oral liquid formulation. In some embodiments, citric acid is present in about 1.6 % w/w of the solids in the oral liquid formulation.

[0071] In some embodiments, sodium citrate dihydrate is present in about 0.1 to about 0.8 mg/ml in the oral liquid formulation. In other embodiments, sodium citrate dihydrate is present in the oral liquid

formulation is about 0.1 mg/mL, about 0.11 mg/mL, about 0.12 mg/mL, about 0.13 mg/mL, about 0.14 mg/mL, about 0.15 mg/ml, about 0.16 mg/mL, about 0.17 mg/mL, about 0.18 mg/mL, about 0.19 mg/mL, about 0.2 mg/ml, about 0.21 mg/ml, about 0.22 mg/ml, about 0.23 mg/ml, about 0.24 mg/ml, about 0.25 mg/ml, about 0.26 mg/ml, about 0.27 mg/ml, about 0.28 mg/ml, about 0.29 mg/ml, about 0.3 mg/ml, about 0.31 mg/ml, about 0.32 mg/ml, about 0.33 mg/ml, about 0.34 mg/ml, about 0.35 mg/ml, about 0.36 mg/ml, about 0.37 mg/ml, about 0.38 mg/ml, about 0.39 mg/ml, about 0.4 mg/ml, about 0.41 mg/ml, about 0.42 mg/ml, about 0.43 mg/ml, about 0.44 mg/ml, about 0.45 mg/ml, about 0.46 mg/ml, about 0.47 mg/ml, about 0.48 mg/ml, about 0.49 mg/ml, about 0.5 mg/ml, about 0.51 mg/ml, about 0.52 mg/ml, about 0.53 mg/ml, about 0.54 mg/ml, about 0.55 mg/ml, about 0.56 mg/ml, about 0.57 mg/ml, about 0.58 mg/ml, about 0.59 mg/ml, about 0.6 mg/ml, about 0.61 mg/ml, about 0.62 mg/ml, about 0.63 mg/ml, about 0.64 mg/ml, about 0.65 mg/ml, about 0.66 mg/ml, about 0.67 mg/ml, about 0.68 mg/ml, about 0.69 mg/ml, about 0.7 mg/ml, about 0.71 mg/ml, about 0.72 mg/ml, about 0.73 mg/ml, about 0.74 mg/ml, about 0.75 mg/ml, about 0.76 mg/ml, about 0.77 mg/ml, about 0.78 mg/ml, about 0.79 mg/ml, or about 0.8 mg/ml in the oral liquid formulation. In some embodiments, sodium citrate dihydrate is present in about 0.75 mg/ml in the oral liquid formulation. In some embodiments, sodium citrate dihydrate is present in about 0.35 mg/ml in the oral liquid formulation. In some embodiments, sodium citrate dihydrate is present in about 0.2 mg/ml in the oral liquid formulation. In some embodiments, sodium citrate dihydrate is present in about 0.15 mg/ml in the oral liquid formulation.

[0072] In some embodiments, sodium citrate dihydrate is present in about 1 % w/w to about 15 % w/w of the solids in the oral liquid formulation. In other embodiments, sodium citrate dihydrate is present in about 1 % w/w, about 1.1 % w/w, about 1.2 % w/w, about 1.3 % w/w, about 1.4 % w/w, about 1.5 % w/w, about 1.6 % w/w, about 1.7 % w/w, about 1.8 % w/w, about 1.9 % w/w, about 2 % w/w, about 2.1 % w/w, about 2.2 % w/w, about 2.3 % w/w, about 2.4 % w/w, about 2.5 % w/w, about 2.6 % w/w, about 2.7 % w/w, about 2.8 % w/w, about 2.9 % w/w, about 3 % w/w, about 3.1 % w/w, about 3.2 % w/w, about 3.3 % w/w, about 3.4 % w/w, about 3.5 % w/w, about 3.6 % w/w, about 3.7 % w/w, about 3.8 % w/w, about 3.9 % w/w, about 4 % w/w, about 4.5 % w/w, about 5 % w/w, about 5.5 % w/w, about 6 % w/w, about 6.5 % w/w, about 7 % w/w, about 7.5 % w/w, about 5 % w/w, about 8.5 % w/w, about 9 % w/w, about 9.5 % w/w, about 10 % w/w, about 10.5 % w/w, about 11 % w/w, about 11.5 % w/w, about 12 % w/w, about 12.5 % w/w, about 13 % w/w, about 13.5 % w/w, about 14 % w/w, about 14.5 % w/w, about 15 % w/w of the solids in the oral liquid formulation. In some embodiments, sodium citrate dihydrate is present in about 10.5 % w/w of the solids in the oral liquid formulation. In some embodiments, sodium citrate dihydrate is present in about 4.5 % w/w of the solids in the oral liquid formulation. In some embodiments, sodium citrate dihydrate is present in about 4.5 % w/w of the solids

in the oral liquid formulation. In some embodiments, sodium citrate dihydrate is present in about 2.9 % w/w of the solids in the oral liquid formulation.

[0073] In other embodiments, sodium citrate dihydrate is not added to the formulation. *Additional excipients*

[0074] In further embodiments, the enalapril liquid formulation described herein comprises additional excipients including, but not limited to, glidants, flavoring agents, coloring agents and thickeners.

Additional excipients such as bulking agents, tonicity agents and chelating agents are within the scope of the embodiments.

[0075] Glidants are substances that improve flowability of a powder. Suitable glidants include, but are not limited to, calcium phosphate tribasic, calcium silicate, cellulose (powdered), colloidal silicon dioxide, magnesium silicate, magnesium trisilicate, silicon dioxide, starch, talc and the like. In some embodiments, the enalapril powder formulations described herein comprise a glidant. In some embodiments the glidant is not colloidal silicon dioxide.

[0076] In another embodiment, the enalapril liquid formulation comprises a flavoring agent or flavorant to enhance the taste or aroma of the formulation in liquid form. Suitable natural or synthetic flavoring agents can be selected from standard reference books, for example Fenaroli's Handbook of Flavor Ingredients, 3rd edition (1995). Non-limiting examples of suitable natural flavors, some of which can readily be simulated with synthetic agents or combinations thereof, include almond, anise, apple, apricot, bergamot, blackberry, blackcurrant, blueberry, cacao, caramel, cherry, cinnamon, clove, coffee, coriander, cranberry, cumin, dill, eucalyptus, fennel, fig, ginger, grape, grapefruit, guava, hop, lemon, licorice, lime, malt, mandarin, molasses, nutmeg, mixed berry, orange, peach, pear, peppermint, pineapple, raspberry, rose, spearmint, strawberry, tangerine, tea, vanilla, wintergreen, etc. Also useful, particularly where the formulation is intended primarily for pediatric use, is tutti-frutti or bubblegum flavor, a compounded flavoring agent based on fruit flavors. Presently preferred flavoring agents include anise, cinnamon, cacao, orange, peppermint, cherry (in particular wild cherry), grape, bubblegum, vanilla, and mixed berry. In some embodiments, the enalapril liquid formulation described herein comprises a mixed berry flavoring agent. Flavoring agents can be used singly or in combinations of two or more.

[0077] In further embodiments, the enalapril liquid formulation comprises a coloring agent for identity and/or aesthetic purposes. Suitable coloring agents illustratively include FD&C Red No. 3, FD&C Red No. 20, FD&C Red No. 40, FD&C Yellow No. 6, FD&C Blue No. 2, FD&C Green No. 5, FD&C Orange No. 5, caramel, ferric oxide and mixtures thereof.

[0078] Thickeners impart viscosity or weight to the resultant liquid forms from the enalapril formulation described herein. Exemplary thickeners include dextrin, cellulose derivatives (carboxymethylcellulose and its salts, ethylcellulose, hydroxyethyl cellulose, methylcellulose, hypromellose, and the like) starches,

pectin, polyethylene glycol, polyethylene oxide, trehalose and certain gums (xanthan gum, locust bean gum, etc.). In certain embodiments, the enalapril liquid formulation comprises a thickener.

[0079] Additional excipients are contemplated in the enalapril liquid formulation embodiments. These additional excipients are selected based on function and compatibility with the enalapril liquid formulations described herein and may be found, for example in *Remington: The Science and Practice of Pharmacy*, Nineteenth Ed (Easton, PA: Mack Publishing Company, 1995); Hoover, John E., *Remington's Pharmaceutical Sciences*, (Easton, PA: Mack Publishing Co 1975); Liberman, H.A. and Lachman, L., Eds., *Pharmaceutical Dosage Forms* (New York, NY: Marcel Decker 1980); and *Pharmaceutical Dosage Forms and Drug Delivery Systems*, Seventh Ed (Lippincott Williams & Wilkins 1999), herein incorporated by reference in their entirety.

Stability

[0080] The main enalapril degradants are enalapril diketopiperazine and enalaprilat.

[0081] The enalapril oral liquid formulations described herein are stable in various storage conditions including refrigerated, ambient and accelerated conditions. Stable as used herein refers to enalapril oral liquid formulations having about 95 % or greater of the initial enalapril amount and about 5 % w/w or less total impurities or related substances at the end of a given storage period. The percentage of impurities is calculated from the amount of impurities relative to the amount of enalapril. Stability is assessed by HPLC or any other known testing method. In some embodiments, the stable enalapril oral liquid formulations have about 5 % w/w, about 4 % w/w, about 3 % w/w, about 2.5 % w/w, about 2 % w/w, about 1.5 % w/w, about 1 % w/w, or about 0.5 % w/w total impurities or related substances. In other embodiments, the stable enalapril oral liquid formulations have about 5 % w/w total impurities or related substances. In yet other embodiments, the stable enalapril oral liquid formulations have about 4 % w/w total impurities or related substances. In yet other embodiments, the stable enalapril oral liquid formulations have about 3 % w/w total impurities or related substances. In yet other embodiments, the stable enalapril oral liquid formulations have about 1 % w/w total impurities or related substances. In yet other embodiments, the stable enalapril oral liquid formulations have about 1 % w/w total impurities or related substances. In yet other embodiments, the stable enalapril oral liquid formulations have about 1 % w/w total impurities or related substances.

[0082] At refrigerated condition, the enalapril oral liquid formulations described herein are stable for at least 1 month, at least 2 months, at least 3 months, at least 6 months, at least 9 months, at least 12 months, at least 15 months, at least 18 months, at least 24 months, at least 30 months and at least 36 months. In some embodiments, refrigerated condition is 5±3 °C. In some embodiments, refrigerated condition is about 2 °C, about 2.1 °C, about 2.2 °C, about 2.3 °C, about 2.4 °C, about 2.5 °C, about 2.6 °C, about 2.7 °C, about 2.8 °C, about 2.9 °C, about 3 °C, about 3.1 °C, about 3.2 °C, about 3.3 °C, about 3.4 °C, about 3.5 °C, about 3.6 °C, about 3.7 °C, about 3.8 °C, about 3.9 °C, about 4 °C, about 4.1 °C, about 4.2 °C,

about 4.3 °C, about 4.4 °C, about 4.5 °C, about 4.6 °C, about 4.7 °C, about 4.8 °C, about 4.9 °C, about 5 °C, about 5.1 °C, about 5.2 °C, about 5.3 °C, about 5.4 °C, about 5.5 °C, about 5.6 °C, about 5.7 °C, about 5.8 °C, about 5.9 °C, about 6.0 °C, about 6.1 °C, about 6.2 °C, about 6.3 °C, about 6.4 °C, about 6.5 °C, about 6.6 °C, about 6.7 °C, about 6.8 °C, about 6.9 °C, about 7 °C, about 7.1 °C, about 7.2 °C, about 7.3 °C, about 7.4 °C, about 7.5 °C, about 7.6 °C, about 7.7 °C, about 7.8 °C, about 7.9 °C, or about 8 °C. At accelerated conditions, the enalapril oral liquid formulations described herein are stable for at least 1 months, at least 2 months, at least 3 months, at least 4 months, at least 5 months, at least 6 months, at least 7 months, at least 8 months, at least 9 months, at least 10 months, at least 11 months or at least 12 months. Accelerated conditions for the enalapril oral liquid formulations described herein include temperature and/or relative humidity (RH) that are at or above ambient levels (e.g. 25±5 °C; 55±10% RH). In some instances, an accelerated condition is at about 25 °C, about 30 °C, about 35 °C, about 40 °C, about 45 °C, about 50 °C, about 50 °C or about 60 °C. In other instances, an accelerated condition is above 55% RH, about 70 % RH, about 75 % RH or about 80 % RH. In further instances, an accelerated condition is about 40 °C or 60 °C at ambient humidity. In yet further instances, an accelerated condition is about 40 °C or 60 °C at ambient humidity. In yet further instances, an accelerated condition is about 40 °C at 75±5 % RH humidity.

Enalapril Oral Powder Formulation

[0083] In another aspect, enalapril oral liquid formulations described herein are prepared from the reconstitution of an enalapril powder formulation. In some embodiments, the enalapril powder formulation comprising enalapril, a sweetener, a preservative, and optionally an excipient is dissolved in water, a buffer, other aqueous solvent, or a liquid to form an enalapril oral liquid formulation. In one embodiment, the sweetening agent is sucralose. In one embodiment, the sweetener is not mannitol. In one embodiment, the sweetening agent is xylitol. In another embodiment, the preservative is sodium benzoate. In one embodiment, the preservative is a paraben preservative. In one aspect, the enalapril powder formulation described herein comprises enalapril, sucralose, and sodium benzoate. In some embodiments, the enalapril powder formulation herein further comprises a flavoring agent. In some embodiments, the enalapril powder formulation herein further comprises one or more buffering agents.

[0084] In some embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.5% w/w to about 30 % w/w of the powder formulation. In other embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.5 % w/w, about 1 % w/w, about 1.5 % w/w, about 2 % w/w, about 2.5 % w/w, about 3 % w/w, about 3.5 % w/w, about 4 % w/w, about 4.5 % w/w, about 5 % w/w, about 5.5 % w/w, about 6 % w/w, about 6.5 % w/w, about 7 % w/w, about 7.5 % w/w, about 8 % w/w, about 8.5 % w/w, about 9 % w/w, about 9.5 % w/w, about 10 % w/w, about 10.5 % w/w, about 11 % w/w, about 11.5 % w/w, about 12 % w/w, about 12.5 % w/w, about 13 % w/w, about 13.5 %

w/w, about 14% w/w, about 14.5 % w/w, about 15 % w/w, about 15.5 % w/w, about 16 % w/w, about 16.5 % w/w, about 17 % w/w, about 17.5 % w/w, about 18 % w/w, about 18.5 % w/w, about 19 % w/w, about 19.5 % w/w, about 20 % w/w, about 20.5 % w/w, about 21 % w/w, about 21.5 % w/w, about 22 % w/w, about 22.5 % w/w, about 23 % w/w, about 23.5 % w/w, about 24 % w/w, about 24.5 % w/w, about 25 % w/w, about 25.5 % w/w, about 26 % w/w, about 26.5 % w/w, about 27 % w/w, about 27.5 % w/w, about 28 % w/w, about 28.5 % w/w, about 29 % w/w, about 29.5 % w/w, or about 30 % w/w of the powder formulation. In some embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 10% w/w to about 25 % w/w of the powder formulation. In some embodiments, enalapril maleate is present in about 13.5 % w/w of the powder formulation. In some embodiments, enalapril maleate is present in about 19.5 % w/w of the powder formulation. In some embodiments, enalapril is present in about 10.5 % w/w of the powder formulation. In some embodiments, enalapril is present in about 10.5 % w/w of the powder formulation. In some embodiments, enalapril is present in about 14.5 % w/w of the powder formulation. In some embodiments, enalapril is present in about 14.5 % w/w of the powder formulation. In some embodiments, enalapril is present in about 14.5 % w/w of the powder formulation. In some embodiments, enalapril is present in about 14.5 % w/w of the powder formulation. In some embodiments, enalapril is present in about 18 % w/w of the powder formulation.

[0085] In some embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.1% w/w to about 1 % w/w of the powder formulation. In other embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.1 % w/w, about 0.15 % w/w, about 0.2 % w/w, about 0.25 % w/w, about 0.3 % w/w, about 0.35 % w/w, about 0.4 % w/w, about 0.45 % w/w, about 0.5 % w/w, about 0.55 % w/w, about 0.6 % w/w, about 0.65 % w/w, about 0.7 % w/w, about 0.75 % w/w, about 0.8 % w/w, about 0.85 % w/w, about 0.9 % w/w, about 0.95 % w/w, or about 1 % w/w of the powder formulation. In some embodiments, enalapril or a pharmaceutically acceptable salt thereof, is present in about 0.4 % w/w to about 0.7 % w/w of the powder formulation. In some embodiments, enalapril maleate is present in about 0.45 % w/w of the powder formulation. In some embodiments, enalapril maleate is present in about 0.4 % w/w of the powder formulation. In some embodiments, enalapril is present in about 0.4 % w/w of the powder formulation. In some embodiments, enalapril is present in about 0.5 % w/w of the powder formulation. In some embodiments, enalapril is present in about 0.5 % w/w of the powder formulation. In some embodiments, enalapril is present in about 0.5 % w/w of the powder formulation. In some embodiments, enalapril is

[0086] Various amounts and concentrations of other components (sweeteners, buffers, preservatives, and the like) in the enalapril powder formulations are found in the previous section describing the amounts and concentrations for the analogous enalapril oral liquid formulations. For example, in some embodiments where sucralose is present in about 1 % w/w to about 30 % w/w of the solids in the oral liquid formulation; in an analogous enalapril powder formulation, sucralose would be about 1 % w/w to about 30 % w/w in the powder formulation. In some embodiments where sodium benzoate is present in about 1 % w/w to about 30 % w/w of the solids in the oral liquid formulation, in an analogous enalapril

powder formulation sodium benzoate is present in about 1 % w/w to about 30 % w/w in the powder formulation.

[0087] Liquid vehicles suitable for the enalapril powder formulations to be reconstituted into an oral solution described herein are selected for a particular oral liquid formulation (solution, suspension, etc.) as well as other qualities such as clarity, toxicity, viscosity, compatibility with excipients, chemical inertness, palatability, odor, color and economy. Exemplary liquid vehicles include water, ethyl alcohol, glycerin, propylene glycol, syrup (sugar or other sweetener based, e.g., Ora-Sweet® SF sugar-free flavored syrup), juices (apple, grape, orange, cranberry, cherry, tomato and the like), other beverages (tea, coffee, soft drinks, milk and the like), oils (olive, soybean, corn, mineral, castor and the like), and combinations or mixtures thereof. Certain liquid vehicles, e.g., oil and water, can be combined together to form emulsions. In some embodiments, water is used for as a vehicle for a enalapril oral liquid formulation. In other embodiments, a syrup is used for as a vehicle for a enalapril oral liquid formulation. In yet other embodiments, a juice is used for as a vehicle for a enalapril oral liquid formulation. [0088] Buffering agents maintain the pH of the liquid enalapril formulation. Non-limiting examples of buffering agents include, but are not limited to sodium bicarbonate, potassium bicarbonate, magnesium hydroxide, magnesium lactate, magnesium gluconate, aluminum hydroxide, aluminum hydroxide/sodium bicarbonate co precipitate, mixture of an amino acid and a buffer, a mixture of aluminum glycinate and a buffer, a mixture of an acid salt of an amino acid and a buffer, and a mixture of an alkali salt of an amino acid and a buffer. Additional buffering agents include citric acid, sodium citrate, sodium tartrate, sodium acetate, sodium carbonate, sodium polyphosphate, potassium polyphosphate, sodium pyrophosphate, potassium pyrophosphate, disodium hydrogenphosphate, dipotassium hydrogenphosphate, trisodium phosphate, tripotassium phosphate, sodium acetate, potassium metaphosphate, magnesium oxide, magnesium hydroxide, magnesium carbonate, magnesium silicate, calcium acetate, calcium glycerophosphate, calcium chloride, calcium hydroxide, calcium lactate, calcium carbonate, calcium bicarbonate, and other calcium salts. Some buffering agents also impart effervescent qualities when a powder is reconstituted in a solution.

[0089] In some embodiments, the reconstituted oral liquid formulation comprises a buffer. In some embodiments, the buffer comprises citric acid and sodium citrate.

In further embodiments, the enalapril powder formulation described herein comprises additional excipients including, but not limited to, glidants, flavoring agents, coloring agents and thickeners.

Additional excipients such as bulking agents, tonicity agents and chelating agents are within the scope of the embodiments.

[0090] Glidants are substances that improve flowability of a powder. Suitable glidants include, but are not limited to, calcium phosphate tribasic, calcium silicate, cellulose (powdered), colloidal silicon

dioxide, magnesium silicate, magnesium trisilicate, silicon dioxide, starch, talc and the like. In some embodiments, the enalapril powder formulations described herein comprise a glidant.

[0091] In another embodiment, the enalapril powder formulation described herein comprises a flavoring agent or flavorant to enhance the taste or aroma of the formulation in liquid form. Suitable natural or synthetic flavoring agents can be selected from standard reference books, for example Fenaroli's Handbook of Flavor Ingredients, 3rd edition (1995). Non-limiting examples of suitable natural flavors, some of which can readily be simulated with synthetic agents or combinations thereof, include almond, anise, apple, apricot, bergamot, blackberry, blackcurrant, blueberry, cacao, caramel, cherry, cinnamon, clove, coffee, coriander, cranberry, cumin, dill, eucalyptus, fennel, fig, ginger, grape, grapefruit, guava, hop, lemon, licorice, lime, malt, mandarin, molasses, nutmeg, mixed berry, orange, peach, pear, peppermint, pineapple, raspberry, rose, spearmint, strawberry, tangerine, tea, vanilla, wintergreen, etc. Also useful, particularly where the formulation is intended primarily for pediatric use, is tutti-frutti or bubblegum flavor, a compounded flavoring agent based on fruit flavors. Presently preferred flavoring agents include anise, cinnamon, cacao, orange, peppermint, cherry (in particular wild cherry), grape, bubblegum, vanilla, and mixed berry. Flavoring agents can be used singly or in combinations of two or more.

[0092] In further embodiments, the enalapril powder formulation described herein comprises a coloring agent for identity and/or aesthetic purposes. Suitable coloring agents illustratively include FD&C Red No. 3, FD&C Red No. 20, FD&C Red No. 40, FD&C Yellow No. 6, FD&C Blue No. 2, D&C Green No. 5, D&C Orange No. 5, caramel, ferric oxide and mixtures thereof.

[0093] In further embodiments, the enalapril powder formulation described herein comprises a thickener. Thickeners impart viscosity or weight to the resultant liquid forms from the enalapril formulation described herein. Exemplary thickeners include dextrin, cellulose derivatives (carboxymethylcellulose and its salts, ethylcellulose, hydroxyethyl cellulose, methylcellulose, hypromellose, and the like) starches, pectin, polyethylene glycol, polyethylene oxide, trehalose and certain gums (xanthan gum, locust bean gum, etc.).

[0094] Additional excipients are contemplated in the enalapril powder formulation embodiments. These additional excipients are selected based on function and compatibility with the the enalapril powder formulation described herein and may be found, for example in *Remington: The Science and Practice of Pharmacy*, Nineteeth Ed (Easton, PA: Mack Publishing Company, 1995); Hoover, John E., *Remington's Pharmaceutical Sciences*, (Easton, PA: Mack Publishing Co 1975); Liberman, H.A. and Lachman, L., Eds., *Pharmaceutical Dosage Forms* (New York, NY: Marcel Decker 1980); and *Pharmaceutical Dosage Forms and Drug Delivery Systems*, Seventh Ed (Lippincott Williams & Wilkins 1999), herein incorporated by reference in their entirety.

[0095] In some embodiments, the enalapril oral liquid formulation prepared from the powder formulations described herein are homogenous. Homogenous liquids as used herein refer to those liquids that are uniform in appearance, identity, consistency and drug concentration per volume. Non-homogenous liquids include such liquids that have varied coloring, viscosity and/or aggregation of solid particulates, as well as non-uniform drug concentration in a given unit volume. Homogeneity in liquids are assessed by qualitative identification or appearance tests and/or quantitative HPLC testing or the like. The mixing methods and excipients described herein are selected to impart a homogenous quality to a resultant enalapril oral liquid formulation.

[0096] Mixing methods encompass any type of mixing that result in a homogenous enalapril oral liquid formulation. In some embodiments, a quantity of an enalapril powder formulation is added to a liquid vehicle and then mixed by a stirring, shaking, swirling, agitation element or a combination thereof. In certain instances, a fraction of a enalapril powder formulation (i.e., one-half, one-third, one-fourth, etc.) is added to a liquid vehicle, mixed by stirring, shaking, swirling, agitation or a combination thereof, and the subsequent powder fraction(s) is added and mixed. In other embodiments, a liquid vehicle is added to an enalapril powder formulation in a container, for example, a bottle, vial, bag, beaker, syringe, or the like. The container is then mixed by stirring, shaking, swirling, agitation, inversion or a combination thereof. In certain instances, a fractional volume of the liquid vehicle (i.e., one-half, one-third, one-fourth volume, etc.) is added to a enalapril powder formulation in a container, mixed by stirring, shaking, swirling, agitation, inversion or a combination thereof; and the subsequent liquid fraction(s) is added and mixed. In certain instances, a one-half fractional volume of the liquid vehicle is added to an enalapril powder formulation in a container and mixing by shaking; the other one-half fractional volume of the liquid vehicle is then subsequently added and mixed. In any of the above embodiments, mixing (i.e., stirring, shaking, swirling, agitation, inversion or a combination thereof) occurs for a certain time intervals such as about 10 seconds, about 20 seconds, about 30 seconds, about 45 seconds, about 60 seconds, about 90 seconds, about 120 seconds, about 2.5 minutes, about 3 minutes, about 3.5 minutes, about 4 minutes, or about 5 minutes. In embodiments, where there are two or more mixing steps, the time intervals for each mixing can be the same (e.g., 2x10 seconds) or different (e.g., 10 seconds for first mixing and 20 seconds for second mixing). In any of the above embodiments, a enalapril oral liquid formulation is allowed to stand for a period of time such as about 10 minutes, about 20 minutes, about 30 minutes, about 45 minutes, about 1 hour, about 1.5 hours or about 2 hours, to allow any air bubbles resultant from any of the mixing methods to dissipate.

Stability of Enalapril Powder Formulation

[0097] The enalapril powder formulations described herein are stable in various storage conditions including refrigerated, ambient and accelerated conditions. Stable as used herein refer to enalapril powder

formulations having about 95 % or greater of the initial enalapril amount and 5 % w/w or less total impurities or related substances at the end of a given storage period. The percentage of impurities is calculated from the amount of impurities relative to the amount of enalapril. Stability is assessed by HPLC or any other known testing method. In some embodiments, the stable enalapril powder formulations have about 5 % w/w, about 4 % w/w, about 3 % w/w, about 2.5 % w/w, about 2 % w/w, about 1.5 % w/w, about 1 % w/w, or about 0.5 % w/w total impurities or related substances. In other embodiments, the stable enalapril powder formulations have about 5 % w/w total impurities or related substances. In yet other embodiments, the stable enalapril powder formulations have about 4 % w/w total impurities or related substances. In yet other embodiments, the stable enalapril powder formulations have about 3 % w/w total impurities or related substances. In yet other embodiments, the stable enalapril powder formulations have about 2 % w/w total impurities or related substances. In yet other embodiments, the stable enalapril powder formulations have about 1 % w/w total impurities or related substances. In yet other embodiments, the stable enalapril powder formulations have about 1 % w/w total impurities or related substances.

[0098] At refrigerated and ambient conditions, in some embodiments, the enalapril powder formulations described herein are stable for at least 1 week, 2 weeks, 4 weeks, 6 weeks, 8 weeks, 10 weeks, 12 weeks, 16 weeks, 20 weeks, at least 24 weeks, at least 30 weeks, or at least 36 weeks. At accelerated conditions, in some embodiments, the enalapril powder formulations described herein are stable for at least 1 week, at least 2 weeks, at least 3 weeks, at least 4 weeks, at least 5 weeks, at least 6 weeks, at least 7 weeks, at least 8 weeks, at least 9 weeks, at least 10 weeks, at least 11 weeks or at least 12 weeks. Accelerated conditions for the enalapril powder formulations described herein include temperature and/or relative humidity (RH) that are above ambient levels (e.g. 25±4 °C; 55±10 % RH). In some instances, an accelerated condition is at about 30 °C, about 35 °C, about 40 °C, about 45 °C, about 50 °C, about 55 °C or about 60 °C. In other instances, an accelerated condition is above 65 % RH, about 70 % RH, about 75 % RH or about 80 % RH. In further instances, an accelerated condition is about 40 °C or 60 °C at ambient humidity. In yet further instances, an accelerated condition is about 40 °C at 75±5 % RH humidity.

Kits and Articles of Manufacture

[0099] For the enalapril powder and liquid formulations described herein, kits and articles of manufacture are also described. Such kits can comprise a carrier, package, or container that is compartmentalized to receive one or more containers such as vials, tubes, and the like, each of the container(s) comprising one of the separate elements to be used in a method described herein including an enalapril powder or liquid formulation. Suitable containers include, for example, bottles, vials, syringes, and test tubes. The containers can be formed from a variety of materials such as glass or plastic.

[00100] A kit will typically may comprise one or more additional containers, each with one or more of various materials (such as reagents, optionally in concentrated form, and/or devices) desirable from a commercial and user standpoint for an enalapril powder or liquid formulation described herein. Non-limiting examples of such materials include, but not limited to, buffers, diluents, filters, needles, syringes; carrier, package, container, vial and/or tube labels listing contents and/or instructions for use, and package inserts with instructions for use associated with an enalapril powder or liquid formulation. A set of instructions will also typically be included.

[00101] A label can be on or associated with the container. A label can be on a container when letters, numbers or other characters forming the label are attached, molded or etched into the container itself; a label can be associated with a container when it is present within a receptacle or carrier that also holds the container, e.g., as a package insert. A label can be used to indicate that the contents are to be used for a specific therapeutic application. The label can also indicate directions for use of the contents, such as in the methods described herein.

Methods

[00102] Provided herein, in one aspect, are methods of treatment comprising administration of the enalapril oral liquid formulations described herein to a subject. In some embodiments, the enalapril oral liquid formulations described herein treat hypertension in a subject. Hypertension as used herein includes both primary (essential) hypertension and secondary hypertension. In certain instances, hypertension is classified in cases when blood pressure values are greater than or equal to 140/90 (systolic/diastolic) mm Hg in a subject. In certain instances, the enalapril oral liquid formulations described herein treat a subject having a blood pressure values are greater than or equal to 140/90 mm Hg. In certain instances, the enalapril oral liquid formulations described herein treat primary (essential) hypertension in a subject. In other instances, the enalapril oral liquid formulations described herein treat secondary hypertension in a subject.

[00103] In other embodiments, the enalapril oral liquid formulations described herein treat prehypertension in a subject. Prehypertension as used herein refers to cases where a subject's blood pressure is elevated above normal but not to the level considered to be hypertension. In some instances, prehypertension is classified in cases when blood pressure values are 120-139/80-89 mm Hg. In certain instances, the enalapril oral liquid formulations described herein treat a subject having blood pressure values of 120-139/80-89 mm Hg.

[00104] In yet other embodiments, the enalapril oral liquid formulations described herein are prophylactically administered to subjects suspected of having, predisposed to, or at risk of developing hypertension. In some embodiments, the administration of enalapril oral liquid formulations described

herein allow for early intervention prior to onset of hypertension. In certain embodiments, upon detection of a biomarker, environmental, genetic factor, or other marker, the enalapril oral liquid formulations described herein are prophylactically administered to subjects.

[00105] In further embodiments, the enalapril oral liquid formulations described herein treat heart failure (e.g., symptomatic congestive), asymptomatic left ventricular dysfunction, myocardial infarction, diabetic nephropathy and chronic renal failure. In certain instances, the enalapril oral liquid formulations described herein treat symptomatic congestive heart failure. In other instances, the enalapril oral liquid formulations described herein treat asymptomatic left ventricular dysfunction. In further instances, the enalapril oral liquid formulations described herein treat myocardial infarction. In yet further instances, the enalapril oral liquid formulations described herein treat diabetic nephropathy. In yet further instances, the enalapril oral liquid formulations described herein treat chronic renal failure.

Dosing

[00106] In one aspect, the enalapril oral liquid formulations are used for the treatment of diseases and conditions described herein. In addition, a method for treating any of the diseases or conditions described herein in a subject in need of such treatment, involves administration of enalapril oral liquid formulations in therapeutically effective amounts to said subject.

[00107] Dosages of enalapril oral liquid formulations described can be determined by any suitable method. Maximum tolerated doses (MTD) and maximum response doses (MRD) for enalapril and/or enalaprilat can be determined via established animal and human experimental protocols as well as in the examples described herein. For example, toxicity and therapeutic efficacy of enalapril and/or enalaprilat can be determined by standard pharmaceutical procedures in cell cultures or experimental animals, including, but not limited to, for determining the LD₅₀ (the dose lethal to 50% of the population) and the ED₅₀ (the dose therapeutically effective in 50% of the population). The dose ratio between the toxic and therapeutic effects is the therapeutic index and it can be expressed as the ratio between LD₅₀ and ED₅₀. Enalapril dosages exhibiting high therapeutic indices are of interest. The data obtained from cell culture assays and animal studies can be used in formulating a range of dosage for use in human. The dosage of such compounds lies preferably within a range of circulating concentrations that include the ED₅₀ with minimal toxicity. The dosage may vary within this range depending upon the dosage form employed and the route of administration utilized. Additional relative dosages, represented as a percent of maximal response or of maximum tolerated dose, are readily obtained via the protocols.

[00108] In some embodiments, the amount of a given enalapril oral liquid formulation that corresponds to such an amount varies depending upon factors such as the particular enalapril salt or form, disease condition and its severity, the identity (e.g., weight, sex) of the subject or host in need of treatment, but

can nevertheless be determined according to the particular circumstances surrounding the case, including, *e.g.*, the specific agent being administered, the liquid composition type, the condition being treated, and the subject or host being treated.

[00109] In some embodiments, the enalapril oral liquid formulations described herein are provided in a dose per day from about 0.01 mg to 100 mg, from about 0.1 mg to about 80 mg, from about 1 to about 60, from about 2 mg to about 40 mg of enalapril. In certain embodiments, the enalapril oral liquid formulations described herein are provided in a daily dose of about 0.01 mg, about 0.05 mg, about 0.1 mg, about 0.2 mg, about 0.4 mg, about 0.6 mg, about 0.8 mg, about 1 mg, about 1.5 mg, about 2 mg, about 2.5 mg, about 3 mg, about 4 mg, about 5 mg, about 6 mg, about 7 mg, about 8 mg, about 9 mg, about 10 mg, about 11 mg, about 12 mg, about 15 mg, about 20 mg, about 25 mg, about 30 mg, about 35 mg, about 40 mg, about 45 mg, about 50 mg, about 55 mg, about 60 mg, about 65 mg, about 70 mg, about 76, mg, about 80 mg, about 85 mg, about 90 mg or about 100 mg, or any range derivable therein. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 1 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 2 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 3 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 4 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 5 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 6 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 7 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 8 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 9 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 10 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 11 mg. In certain instances, the enalapril oral liquid formulations described herein are provided in a dose per day of about 12 mg. The dose per day described herein can be given once per day or multiple times per day in the form of subdoses given b.i.d., t.i.d., q.i.d., or the like where the number of sub-doses equal the dose per day. [00110] In further embodiments, the daily dosages appropriate for the enalapril oral liquid formulations described herein are from about 0.01 to about 1.0 mg/kg per body weight. In one embodiment, the daily dosages appropriate for the enalapril oral liquid formulations are from about 0.02 to about 0.8 mg/kg enalapril per body weight. In another embodiment, the daily dosage appropriate for the enalapril oral liquid formulations are from about 0.05 to about 0.6 mg/kg per body weight. In another embodiment, the daily dosage appropriate for the enalapril oral liquid formulations is about 0.05 mg/kg, about 0.06 mg/kg,

about 0.07 mg/kg, about 0.08 mg/kg, about 0.10 mg/kg, about 0.15 mg/kg, about 0.20 mg/kg, about 0.25 mg/kg, about 0.30 mg/kg, about 0.40 mg/kg, about 0.50 mg/kg, or about 0.60 mg/kg.

[00111] In other embodiments the enalapril oral liquid formulations are provided at the maximum tolerated dose (MTD) for enalapril and/or enalaprilat. In other embodiments, the amount of the enalapril oral liquid formulations administered is from about 10% to about 90% of the maximum tolerated dose (MTD), from about 25% to about 75% of the MTD, or about 50% of the MTD. In particular embodiments, the amount of the enalapril oral liquid formulations administered is from about 5%, 10%, 15%, 20%, 25%, 30%, 35%, 40%, 45%, 50%, 55%, 60%, 65%, 70%, 75%, 80%, 85%, 90%, 95%, 99%, or higher, or any range derivable therein, of the MTD for enalapril and/or enalaprilat.

[00112] In further embodiments, the enalapril oral liquid formulations are provided in a dosage that is similar, comparable or equivalent to a dosage of a known enalapril tablet formulation. In other embodiments, the enalapril oral liquid formulations are provided in a dosage that provides a similar, comparable or equivalent pharmacokinetic parameters (e.g., AUC, C_{max}, T_{max}, C_{min}, T_{1/2}) as a dosage of a known enalapril tablet formulation. Similar, comparable or equivalent pharmacokinetic parameters, in some instances, refer to within 80% to 125%, 80% to 120%, 85% to 125%, 90% to 110%, or increments therein, of the given values. It should be recognized that the ranges can, but need not be symmetrical, e.g., 85% to 105%.

Administration

[00113] Administration of an enalapril oral liquid formulation is at a dosage described herein or at other dose levels and formulations determined and contemplated by a medical practitioner. In certain embodiments, the enalapril oral liquid formulations described herein are administered for prophylactic and/or therapeutic treatments. In certain therapeutic applications, the enalapril oral liquid formulations are administered to a patient already suffering from a disease, e.g., hypertension, in an amount sufficient to cure the disease or at least partially arrest or ameliorate the symptoms, e.g., lower blood pressure.

Amounts effective for this use depend on the severity of the disease, previous therapy, the patient's health status, weight, and response to the enalapril formulations, and the judgment of the treating physician. Therapeutically effective amounts are optionally determined by methods including, but not limited to, a dose escalation clinical trial.

[00114] In prophylactic applications, the enalapril oral liquid formulations described herein are administered to a patient susceptible to or otherwise at risk of a particular disease, e.g., hypertension. Such an amount is defined to be a "prophylactically effective amount or dose." In this use, the precise amounts also depend on the patient's state of health, weight, and the like. When used in a patient, effective amounts for this use will depend on the risk or susceptibility of developing the particular

disease, previous therapy, the patient's health status and response to the enalapril formulations, and the judgment of the treating physician.

[00115] In certain embodiments wherein the patient's condition does not improve, upon the doctor's discretion the administration of an enalapril oral liquid formulations described herein are administered chronically, that is, for an extended period of time, including throughout the duration of the patient's life in order to ameliorate or otherwise control or limit the symptoms of the patient's disease. In other embodiments, administration of an enalapril oral liquid formulation continues until complete or partial response of a disease.

[00116] In certain embodiments wherein a patient's status does improve, the dose of an enalapril oral liquid formulation being administered may be temporarily reduced or temporarily suspended for a certain length of time (*i.e.*, a "drug holiday"). In specific embodiments, the length of the drug holiday is between 2 days and 1 year, including by way of example only, 2 days, 3 days, 4 days, 5 days, 6 days, 7 days, 10 days, 12 days, 15 days, 20 days, 28 days, 35 days, 50 days, 70 days, 100 days, 120 days, 150 days, 180 days, 200 days, 250 days, 280 days, 300 days, 320 days, 350 days, and 365 days. The dose reduction during a drug holiday is, by way of example only, by 10%-100%, including by way of example only 10%, 15%, 20%, 25%, 30%, 35%, 40%, 45%, 50%, 55%, 60%, 65%, 70%, 75%, 80%, 85%, 90%, 95%, and 100%.

[00117] In some embodiments, enalapril oral liquid formulations described herein are administered chronically. For example, in some embodiments, an enalapril oral liquid formulation is administered as a continuous dose, i.e., administered daily to a subject. In some other embodiments, enalapril oral liquid formulations described herein are administered intermittently (e.g. drug holiday that includes a period of time in which the formulation is not administered or is administered in a reduced amount).

[00118] In some embodiments an enalapril oral liquid formulation is administered to a subject who is in a fasted state. A fasted state refers to a subject who has gone without food or fasted for a certain period of time. General fasting periods include at least 4 hours, at least 6 hours, at least 8 hours, at least 10 hours, at least 12 hours, at least 14 hours and at least 16 hours without food. In some embodiments, an enalapril oral liquid formulation is administered orally to a subject who is in a fasted state for at least 8 hours. In other embodiments, an enalapril oral liquid formulation is administered to a subject who is in a fasted state for at least 10 hours. In yet other embodiments, an enalapril oral liquid formulation is administered to a subject who is in a fasted state for at least 12 hours. In other embodiments, an enalapril oral liquid formulation is administered to a subject who has fasted overnight.

[00119] In other embodiments an enalapril oral liquid formulation is administered to a subject who is in a fed state. A fed state refers to a subject who has taken food or has had a meal. In certain embodiments, an enalapril oral liquid formulation is administered to a subject in a fed state 5 minutes post-meal, 10

minutes post-meal, 15 minutes post-meal, 20 minutes post-meal, 30 minutes post-meal, 40 minutes post-meal, 50 minutes post-meal, 1 hour post-meal, or 2 hours post-meal. In certain instances, an enalapril oral liquid formulation is administered to a subject in a fed state 30 minutes post-meal. In other instances, an enalapril oral liquid formulation is administered to a subject in a fed state 1 hour post-meal. In yet further embodiments, an enalapril oral liquid formulation is administered to a subject with food.

[00120] In further embodiments described herein, an enalapril oral liquid formulation is administered at a certain time of day for the entire administration period. For example, an enalapril oral liquid formulation can be administered at a certain time in the morning, in the evening, or prior to bed. In certain instances, an enalapril oral liquid formulation is administered in the morning. In other embodiments, an enalapril oral liquid formulation can be administered at different times of the day for the entire administration period. For example, an enalapril oral liquid formulation can be administered on 8:00 am in the morning for the first day, 12 pm noon for the next day or administration, 4 pm in the afternoon for the third day or administration, and so on.

Further Combinations

[00121] The treatment of certain diseases or conditions (e.g., hypertension, heart failure, myocardial infarction and the like) in a subject with an enalapril oral liquid formulation described herein encompass additional therapies and treatment regimens with other agents in some embodiments. Such additional therapies and treatment regimens can include another therapy, e.g., additional anti-hypertensives, for treatment of the particular disease or condition in some embodiments. Alternatively, in other embodiments, additional therapies and treatment regimens include other agents used to treat adjunct conditions associated with the disease or condition or a side effect from the enalapril oral liquid formulation in the therapy.

[00122] Additional agents for use in combination with an enalapril oral liquid formulation described herein include, but are not limited to, diuretics (loop, thiazide, potassium-sparing, and the like), beta blockers (metoprolol, propanolol, pronethalol, and the like), alpha blockers (phentolamine, phenoxybenzamine, tamsulosin, prazosin, and the like), mixed alpha and beta blockers (bucindolol, carvedilol, labetalol), calcium channel blockers (dihydropyridines such as nifedipine, amlodipine, etc., dilitazem, verapamil and the like), angiotensin II receptor antagonists (saralasin, lsartan, eprosartin, irbesartan, valsartan, and the like), other ACE inhibitors (captopril, quinapril, ramipril, lisinopril, zofenopril, and the like), aldosterone antagonists (eplerenone, spironolactone and the like), vasodilators (hydralazine and the like) and alpha-2 agonists (clonidine, moxonidine, guanabenz and the like).

Certain Definitions

[00123] Unless defined otherwise, all technical and scientific terms used herein have the same meanings as commonly understood by one of ordinary skill in the art. Although any methods and materials similar or equivalent to those described herein can be used in the practice or testing of embodiments described herein, certain preferred methods, devices, and materials are now described.

[00124] As used herein and in the appended claims, the singular forms "a", "an", and "the" include plural reference unless the context clearly dictates otherwise. Thus, for example, reference to "an excipient" is a reference to one or more excipients and equivalents thereof known to those skilled in the art, and so forth. [00125] The term "about" is used to indicate that a value includes the standard level of error for the device or method being employed to determine the value. The use of the term "or" in the claims is used to mean "and/or" unless explicitly indicated to refer to alternatives only or the alternatives are mutually exclusive, although the disclosure supports a definition that refers to only alternatives and to "and/or." The terms "comprise," "have" and "include" are open-ended linking verbs. Any forms or tenses of one or more of these verbs, such as "comprises," "comprising," "has," "having," "includes" and "including," are also open-ended. For example, any method that "comprises," "has" or "includes" one or more steps is not limited to possessing only those one or more steps and also covers other unlisted steps.

[00126] "Optional" or "optionally" may be taken to mean that the subsequently described structure, event or circumstance may or may not occur, and that the description includes instances where the events occurs and instances where it does not.

[00127] As used herein, the term "therapeutic" means an agent utilized to treat, combat, ameliorate, prevent or improve an unwanted condition or disease of a patient. In some embodiments, a therapeutic agent such as enalapril is directed to the treatment and/or the amelioration of, reversal of, or stabilization of the symptoms of hypertension described herein.

[00128] "Administering" when used in conjunction with a therapeutic means to administer a therapeutic systemically or locally, as directly into or onto a target tissue, or to administer a therapeutic to a patient whereby the therapeutic positively impacts the tissue to which it is targeted. Thus, as used herein, the term "administering", when used in conjunction with an enalapril formulation, can include, but is not limited to, providing an enalapril formulation into or onto the target tissue; providing an enalapril formulation systemically to a patient by, e.g., oral administration whereby the therapeutic reaches the target tissue or cells. "Administering" a formulation may be accomplished by injection, topical administration, and oral administration or by other methods alone or in combination with other known techniques.

[00129] The term "animal" as used herein includes, but is not limited to, humans and non-human vertebrates such as wild, domestic and farm animals. As used herein, the terms "patient," "subject" and "individual" are intended to include living organisms in which certain conditions as described herein can occur. Examples include humans, monkeys, cows, sheep, goats, dogs, cats, mice, rats, and transgenic

species thereof. In a preferred embodiment, the patient is a primate. In certain embodiments, the primate or subject is a human. In certain instances, the human is an adult. In certain instances, the human is child. In further instances, the human is 12 years of age or younger. In certain instances, the human is elderly. In other instances, the human is 60 years of age or older. Other examples of subjects include experimental animals such as mice, rats, dogs, cats, goats, sheep, pigs, and cows. The experimental animal can be an animal model for a disorder, e.g., a transgenic mouse with hypertensive pathology. A patient can be a human suffering from hypertension, or its variants or etiological forms.

[00130] By "pharmaceutically acceptable", it is meant the carrier, diluent or excipient must be compatible with the other ingredients of the formulation and not deleterious to the recipient thereof.

[00131] The term "pharmaceutical composition" shall mean a composition comprising at least one active ingredient, whereby the composition is amenable to investigation for a specified, efficacious outcome in a mammal (for example, without limitation, a human). Those of ordinary skill in the art will understand and appreciate the techniques appropriate for determining whether an active ingredient has a desired efficacious outcome based upon the needs of the artisan.

[00132] A "therapeutically effective amount" or "effective amount" as used herein refers to the amount of active compound or pharmaceutical agent that elicits a biological or medicinal response in a tissue, system, animal, individual or human that is being sought by a researcher, veterinarian, medical doctor or other clinician, which includes one or more of the following: (1) preventing the disease; for example, preventing a disease, condition or disorder in an individual that may be predisposed to the disease, condition or disorder but does not yet experience or display the pathology or symptomatology of the disease, (2) inhibiting the disease; for example, inhibiting a disease, condition or disorder in an individual that is experiencing or displaying the pathology or symptomatology of the disease, condition or disorder (i.e., arresting further development of the pathology and/or symptomatology), and (3) ameliorating the disease; for example, ameliorating a disease, condition or disorder in an individual that is experiencing or displaying the pathology or symptomatology of the disease, condition or disorder (i.e., reversing the pathology and/or symptomatology). As such, a non-limiting example of a "therapeutically effective amount" or "effective amount" of a formulation of the present disclosure may be used to inhibit, block, or reverse the activation, migration, or proliferation of cells or to effectively treat hypertension or ameliorate the symptoms of hypertension.

[00133] The terms "treat," "treated," "treatment," or "treating" as used herein refers to both therapeutic treatment in some embodiments and prophylactic or preventative measures in other embodiments, wherein the object is to prevent or slow (lessen) an undesired physiological condition, disorder or disease, or to obtain beneficial or desired clinical results. For the purposes described herein, beneficial or desired clinical results include, but are not limited to, alleviation of symptoms; diminishment of the extent of the

condition, disorder or disease; stabilization (i.e., not worsening) of the state of the condition, disorder or disease; delay in onset or slowing of the progression of the condition, disorder or disease; amelioration of the condition, disorder or disease state; and remission (whether partial or total), whether detectable or undetectable, or enhancement or improvement of the condition, disorder or disease. Treatment includes eliciting a clinically significant response without excessive levels of side effects. Treatment also includes prolonging survival as compared to expected survival if not receiving treatment. A prophylactic benefit of treatment includes prevention of a condition, retarding the progress of a condition, stabilization of a condition, or decreasing the likelihood of occurrence of a condition. As used herein, "treat," "treated," "treatment," or "treating" includes prophylaxis in some embodiments.

EXAMPLES

Example A: Effect of pH on the Formation of Degradants in Enalapril Formulations at 60 °C.

[00134] Formulations were prepared containing enalapril maleate according to Table A-1. The pH of each solution was recorded. Five milliliters of each formulation were transferred to each of four 3-dram glass screw-capped vials with Teflon inserts in the caps. The vials were placed into a 60 °C heating chamber then one vial removed and analyzed by HPLC at times of zero, ~97 and ~180 hours.

TABLE A-1

Formulation (in mg/mL) of Enalapril Formulations at Varying pH and Citrate Buffer Concentration						
	Formulation (mM citrate)					
Component	A1 (50)	A2 (50)	A3 (50)	A4 (50)	A5 (50)	A6 (25)
Enalapril maleate	1.0	1.0	1.0	1.0	1.0	1.0
Mannitol	50	50	50		50	6.0
Xylitol				50		
Citric acid, anhydrous	7.35	5.05	2.55	5.05	5.05	2.76
Sodium citrate, dihydrate	3.45	7.0	10.8	7.0	7.0	3.15
Sodium benzoate	1	1	1	1	1	
Methylparaben sodium					1.75	0.335
Propylparaben sodium						0.095
Potassium sorbate						1
Sucralose	0.75	0.75	0.75	0.75	0.75	0.75
Silicon dioxide						0.075
Mixed berry flavor (powdered)	0.5	0.5	0.5	0.5	0.5	0.5
Water	qs	qs	qs	qs	qs	qs
рН	3.4	4.4	5.2	4.4	4.5	4.4

qs = sufficient quantity

[00135] The results of the HPLC analysis for the two main degradants in the samples, enalapril diketopiperazine and enalaprilat, are provided in Table A-2.

TABLE A-2

Primary Degradants Present in the Formulations (% w/w of enalapril maleate)						
	Formulation					
Hours at 60 °C	A 1	A 2	A3	A4	A5	A 6
Enalapril Diketopiperazine						
0	0.04	0.03	0.03	0.03	0.03	0.03
97	3.10	0.88	0.33	0.86	0.70	0.53
180	6.21	1.77	0.75	1.73	1.43	1.07
Enalaprilat						
0	0.09	0.15	0.29	0.14	0.16	0.12
97	5.20	16.9	47.4	16.1	20.3	15.6
180	9.94	34.8	113	33.5	42.2	31.7

Example B: Effect of Buffer Concentration on the Formation of Degradants in Enalapril Formulations at 60 °C.

[00136] Formulations were prepared containing enalapril maleate according to Table B-1. The pH of each solution was measured and adjusted as needed to pH 3.3 with \sim 1N HCl or \sim 0.5N NaOH. Five milliliters of each formulation were transferred to each of six 3-dram glass screw-capped vials with Teflon inserts in the caps. The vials were placed into a 60 °C heating chamber then two vials were removed and analyzed by HPLC at times of zero, \sim 66 and \sim 139 hours.

TABLE B-1

Formulation (in mg/mL) of Enalapril Maleate Formulations at Varying Citrate Buffer Concentrations				
	Formulation			
Component	B1 (5mM citrate)	B2 (10mM citrate)	B3 (20mM citrate)	
Enalapril maleate	1.0	1.0	1.0	
Citric acid, anhydrous	0.82	1.65	3.29	
Sodium citrate, anhydrous	0.19	0.38	0.75	
Sodium benzoate	1.0	1.0	1.0	
Sucralose	0.7	0.7	0.7	

Mixed berry flavor (powdered)	0.5	0.5	0.5
Water	qs	qs	qs
рН	3.3	3.3	3.3

qs = sufficient quantity

[00137] The results of the HPLC analysis for the two main degradants in the samples, enalapril diketopiperazine and enalaprilat, are provided in Table B-2.

TABLE B-2

Primary Degradants Present in the Formulations (% w/w of enalapril maleate)						
	Formulation					
Hours at 60°C	B1 (5mM citrate)	B2 (10mM citrate)	B3 (20mM citrate)			
	Enalapril Diketopiperazine					
0	0.01	0.01	0.01			
66	1.57	1.63	1.79			
139	3.70	3.94	4.24			
Enalaprilat						
0	0.00	0.00	0.00			
66	2.98	2.88	3.19			
139	5.28	5.23	5.69			

Example C: Stability of Enalapril Maleate Formulations Containing Paraben Preservatives.

[00138] Powder formulations were prepared according to Table C-1. All components in each formulation except mannitol or xylitol were added to a 2.5 liter polypropylene screw capped bottle. The bottle was mixed by inversion in a Turbula® mixer for 5 minutes. The mannitol or xylitol was then added and the components mixed for 5 minutes, then the other half of the mannitol or xylitol was added and a final mix of 5 minutes was completed.

One liter of solution formulation was prepared for each formulation by adding an appropriate amount of each powdered formulation to a 1 liter volumetric flask and adding about 500mL water. The powder was dissolved with mixing then the contents of the flask were brought to 1 liter with additional water. The amount of powder to add was determined such that the final concentration of enalapril maleate was 1.0 mg/mL. Fifty milliliter aliquots of each formulation were placed into HDPE bottles. The bottles were screw-capped and placed into storage at 5 °C \pm 3°C, at room temperature (19-23 °C) and at 40°C \pm 2 °C. At various times, bottles were removed from the storage condition and analyzed.

TABLE C-1

IADLI	2 C-1							
`Enalapril	Maleate	Formulation	ons					
Powder Formulation (grams)								
Cl	C2	C3	C4	C5				
12.3	12.3	8.86	2.16	2.16				
74.4	74.4	394.0						
			96.6	93.7				
28.6	35.6	28.4	5.40	5.40				
24.5	14.7	7.73	4.10	4.10				
4.17	4.17	8.86	2.16	2.16				
1.10	1.10							
12.3	12.3							
		8.86	2.16	2.16				
				1.62				
0.859	0.859	4.43		1.08				
9.20	9.20	6.64	1.62	1.62				
6.13	6.13	4.43	1.08	1.08				
173.5	170.7	472.3	115.2	115.2				
Formulat	ions (mg/ı	nL)						
1.00	1.00	1.00	1.00	1.00				
6.07	6.07	44.5						
			44.7	43.4				
2.33	2.90	3.21	2.50	2.50				
2.00	1.20	0.87	1.90	1.90				
0.34	0.34	1.00	1.00	1.00				
0.09	0.09	1.00						
1.00	1.00							
		1.00	1.00	1.00				
				0.75				
0.07	0.07	0.50		0.50				
0.75	0.75	0.75	0.75	0.75				
0.50	0.50	0.50	0.50	0.50				
	3.8	3.7		4.6				
	Enalapril er Formul C1 12.3 74.4 28.6 24.5 4.17 1.10 12.3 0.859 9.20 6.13 173.5 Formulat 1.00 6.07 2.33 2.00 0.34 0.09 1.00 0.07 0.75	Enalapril Maleate Er Formulation (graver Formulation) (graver Formulatio	Enalapril Maleate Formulation (grams) C1	C1 C2 C3 C4 12.3 12.3 8.86 2.16 74.4 74.4 394.0 96.6 28.6 35.6 28.4 5.40 24.5 14.7 7.73 4.10 4.17 4.17 8.86 2.16 1.10 1.10 12.3 12.3 8.86 2.16 0.859 0.859 4.43 9.20 9.20 6.64 1.62 6.13 6.13 4.43 1.08 173.5 170.7 472.3 115.2 Formulations (mg/mL) 1.00 1.00 1.00 1.00 6.07 6.07 44.5 2.33 2.90 3.21 2.50 2.00 1.20 0.87 1.90 0.34 0.34 1.00 1.00 0.09 0.09 1.00 1.00 1.00 1.00 1.00 1.00 1.00 0.07 0.07 0.50 0.75 0.75 0.75 0.50 0.50 0.50 0.50				

[00139] The results of the HPLC analysis for the diketopiperazine and enalaprilat degradants in the samples are provided in Table C-2.

TABLE C-2

Degrada	nt Conten	t After Sto	orage (%	w/w of e	nalapril n	naleate)	
	Sto	rage		Formulation			
	°C	Weeks	C 1	C2	C3	C4	C5
		Liquid	Formulat	ions			
Diketopiperazine	5	0	0.03	0.04	0.04	0.02	0.02
		4	0.02	0.03	0.03	0.03	0.02
		8	0.03	0.04	0.04		
	19-23	0	0.03	0.04	0.04	0.02	0.02
		4	0.05	0.09	0.11	0.05	0.04
		8	0.08	0.17	0.19		
	40	0	0.03	0.04	0.04	0.02	0.02
		4	0.35	0.91	1.10	0.31	0.21
		8	0.65	1.80	2.05		
Enalaprilat	5	0	0.18	0.14	0.12	0.13	0.19
		4	0.18	0.15	0.12	0.43	0.53
		8	0.55	0.38	0.34		
	19-23	0	0.18	0.14	0.12	0.13	0.19
		4	1.35	0.83	0.80	1.75	2.29
		8	3.34	2.06	1.98		
	40	0	0.18	0.14	0.12	0.13	0.19
		4	10.49	6.08	6.11	12.30	16.14
		8	24.37	14.12	14.22		

Example D: Stability of Enalapril Maleate Formulations Containing Benzoate Preservative.

[00140] Powder formulations were prepared according to Table D-1. All components in each formulation except enalapril maleate and mannitol or xylitol were blended with a mortar and pestle. The enalapril maleate was then triturated with the blend. The xylitol or mannitol was then triturated into the blend using a geometric dilution technique.

One liter of solution formulation was prepared for each formulation by adding an appropriate amount of each powdered formulation to a 1 liter volumetric flask and adding about 500mL water. The powder was dissolved with mixing then the contents of the flask were brought to 1 liter with additional water. The

amount of powder to add was determined such that the final concentration of enalapril maleate was 1.0 mg/mL. Fifty milliliter aliquots of each formulation were placed into HDPE bottles. The bottles were screw-capped and placed into storage at $5^{\circ}\text{C} \pm 3^{\circ}\text{C}$, at room temperature (19-23°C) and at $40^{\circ}\text{C} \pm 2^{\circ}\text{C}$. At various times, bottles were removed from the storage condition and analyzed.

TABLE D-1

Composition of E	nalapril M	aleate For	rmulations	,		
Powder	Formulatio	n (grams)			
Component	D 1	D2	D3	D4	D 5	D 6
Enalapril maleate	3.63	3.63	3.63	3.63	8.86	2.16
Xylitol	537.2	176.1		537.2		
Mannitol			319.4		401.2	98.9
Citric acid, anhydrous	11.9	11.9	11.9	10.4	26.6	6.48
Sodium citrate, anhydrous	2.72	2.72	2.72	4.86	11.3	2.76
Sodium benzoate	3.63	3.63	3.63	3.63	8.86	2.16
Rebalance X60 (sucralose and maltodextrin)		10.9				
Sucralose					6.64	1.62
Saccharin sodium			7.26			
Colloidal silicon dioxide					4.43	
Mixed berry flavor	1.82	1.82	1.82	1.82	4.43	1.08
Total solids	561	211	350.	561	472.3	115.2
Liquid Fo	ormulation	s (mg/mI	ـ)			
Enalapril maleate	1.00	1.00	1.00	1.00	1.00	1.00
Xylitol	148.0	48.5		148.0		
Mannitol			88.0		45.3	45.8
Citric acid, anhydrous	3.29	3.29	3.29	2.85	3.00	3.00
Sodium citrate, anhydrous	0.75	0.75	0.75	1.34	1.28	1.28
Sodium benzoate	1.00	1.00	1.00	1.00	1.00	1.00
Rebalance X60 (sucralose and maltodextrin)		3.00				
Sucralose					0.75	0.75
Saccharin sodium			2.00			
Colloidal silicon dioxide					0.50	
Mixed berry flavor	0.50	0.50	0.50	0.50	0.50	0.50
pH (measured)	3.2	3.2	3.4	3.7	3.6	3.6

[00141] The results of the HPLC analysis for the diketopiperazine and enalaprilat degradants in the samples are provided in Table D-2.

TABLE D-2

	C+-	rage			Farms	ulotion		
				Formulation				D.(
	°C	Weeks	D1	D2	D3	D4	D5	D6
		Li	quid For	mulation	S			
Diketopiperazine	5	0	0.04	0.02	0.03	0.03	0.04	0.04
		4	0.07	0.03	0.05	0.05	0.03	
		8	0.11	0.06	0.08	0.08	0.05	
		12	0.08	0.04	0.06	0.06		
		26	0.11	0.07	0.09	0.07		
	19-23	0	0.04	0.02	0.03	0.03	0.04	0.04
		4	0.27	0.21	0.24	0.16	0.12	0.12
		8	0.50	0.41	0.47	0.30	0.21	0.22
		12	0.62	0.52	0.58	0.35		
		26	1.39	1.20	1.33	0.76		
	40	0	0.04	0.02	0.03	0.03	0.04	0.04
		4	2.87	2.32	2.73	1.57	1.21	1.13
		8	5.13	4.42	5.44	2.97	2.23	2.16
		12	6.86	5.90	6.90	3.91		
		26	13.63	12.18	13.56	7.74		
Enalaprilat	5	0	0.03	0.02	0.03	0.03	0.13	0.14
		4	0.15	0.12	0.06	0.17	0.13	
		8	0.22	0.19	0.22	0.27	0.34	
		12	0.20	0.17	0.19	0.22		
		8	0.32	0.30	0.30	0.39		
	19-23	0	0.03	0.02	0.03	0.03	0.13	0.14
		4	0.69	0.66	0.69	0.86	0.74	0.76
		8	1.38	1.33	1.41	1.68	1.83	1.82
		12	1.71	1.68	1.73	2.15		
		26	3.63	3.61	3.59	4.55		
	40	0	0.03	0.02	0.03	0.03	0.13	0.14

4	4.76	4.42	4.76	6.45	5.55	5.24
8	8.95	8.64	9.61	12.94	12.73	12.18
12	11.01	10.64	11.41	16.16		
26	17.18	17.11	18.30	27.36		

Example E: Stability of Solution Formulations of Enalapril Maleate.

[00142] Solution formulations were prepared according to Table E-1. Thirty milliliter aliquots of each formulation were placed into HDPE bottles. The bottles were screw-capped and placed into storage at 5 $^{\circ}$ C \pm 3 $^{\circ}$ C, at room temperature (19-23 $^{\circ}$ C) and at 40 $^{\circ}$ C \pm 2 $^{\circ}$ C. At various times, bottles were removed from the storage condition and analyzed.

Composition of Enalapril Maleate Formulations (mg/mL)								
Component	El	E2	E3	E4	E5	E6		
Enalapril maleate	1.00	1.00	1.00	1.00	1.00	1.00		
Xylitol	150	200		150				
Citric acid anhydrous	3.29	3.29	3.29	3.29	1.65	0.82		
Sodium citrate anhydrous	0.75	0.75	0.75	0.75	0.38	0.19		
Sodium benzoate	1.00	1.00	1.00	1.00	1.00	1.00		
Sucralose			0.70		0.70	0.70		
Mixed berry flavor	0.50		0.50	0.50	0.50	0.50		
Water	qs	qs	qs	qs	qs	qs		
pH (measured)	3.3	3.3	3.3	3.4	3.3	3.3		

qs = sufficient quantity

[00143] The results of the HPLC analysis for the diketopiperazine and enalaprilat degradants in the samples are provided in Table E-2.

TABLE E-2

Degradant Content After Storage (% w/w of enalapril maleate)								
	Storage			Formulation				
	°C	Weeks	E1	E2	E3	E4	E5	E6
Diketopiperazine	5	0	0.01	0.01	0.01	0.01	0.01	0.01
		4	0.04	0.04	0.05	0.04	0.03	0.03
		8	0.04	0.04	0.04	0.04	0.03	0.03
		12	0.05	0.05	0.04	0.05	0.04	0.04

		26	0.07	0.06	0.05	0.06	0.05	0.05
		52					0.15	0.14
		62	0.18	0.18	0.16	0.14		
	19-23	0	0.01	0.01	0.01	0.01	0.01	0.01
		4	0.22	0.23	0.21	0.20	0.16	0.15
		8	0.35	0.35	0.32	0.31	0.29	0.28
		12	0.58	0.59	0.53	0.51	0.48	0.45
		26	1.10	1.10	1.00	0.95	0.97	0.92
		52					2.30	2.15
		62	3.02	3.04	2.75	2.64		
	40	0	0.01	0.01	0.01	0.01	0.01	0.01
		4	2.65	2.71	2.60	2.42	1.76	1.68
		8	4.02	3.99	3.99	3.62	3.37	3.13
		12	6.72	6.42	6.47	6.00	5.53	5.29
Enalaprilat	5	0	0.00	0.00	0.01	0.02	0.00	0.00
		4	0.07	0.09	0.10	0.11	0.07	0.08
		8	0.12	0.14	0.10	0.13	0.09	0.08
		12	0.16	0.15	0.15	0.17	0.14	0.11
		26	0.31	0.30	0.29	0.31	0.27	0.24
		52					0.54	0.46
		62	0.75	0.75	0.74	0.71		
	19-23	0	0.00	0.00	0.01	0.02	0.00	0.00
		4	0.65	0.65	0.68	0.70	0.50	0.46
		8	1.17	1.19	1.20	1.23	1.03	0.95
		12	1.67	1.69	1.72	1.80	1.30	1.21
		26	3.36	3.38	3.42	3.57	3.07	2.90
		52					6.32	5.88
		62	7.99	8.02	8.04	8.57		
	40	0	0.00	0.00	0.01	0.02	0.00	0.00
		4	4.85	4.93	5.19	5.42	3.33	3.25
		8	8.08	8.06	8.56	9.01	6.65	6.35
		12	10.70	10.48	11.01	11.97	8.14	7.96

Example F: Effect of pH on the Formation of Degradants in Enalapril Formulations at 5 °C and 19-23 °C.

[00144] The content of enalapril diketopiperazine and enalaprilat that were formed after 8 weeks of storage for formulations C1-C3 and D1-D5 are plotted in Figure 1 (5°C \pm 3 °C) and Figure 2 (19-23 °C storage). These formulations all contained 20mM total citrate buffer content, but with varying pH. The general effects of formulation pH on the formation of the two main enalapril degradants are shown.

Example G: Antimicrobial Effectiveness Testing of Enalapril Maleate Formulations at pH 3.3

[00145] Enalapril formulations were prepared containing differing amounts of the antimicrobial preservative, sodium benzoate. The formulations were then tested for antimicrobial effectiveness (AET) according to the procedures in the 2014 United States Pharmacopeia 37, Chapter <51> for category 3 products. The formulation of the formulations and the AET results are included in Table G-1.

TABLE G-1

Formulation and AET Testing Results							
	Formulation						
	G1	G2	G3	G4	G5		
	Formulation	on (mg/mL))				
Enalapril maleate	1.00	1.00	1.00	1.00	1.00		
Xylitol	150	150	150	150			
Sucralose					0.70		
Citric acid, anhydrous	1.64	1.64	1.64	1.64	1.80		
Sodium citrate, anhydrous	0.322	0.322	0.322	0.322			
Sodium citrate, dihydrate					0.165		
Sodium benzoate	1.00	0.80	0.60	0.40	1.0		
Mixed berry flavor	0.50	0.50	0.50	0.50	0.50		
Water	q.s.	q.s.	q.s.	q.s.	q.s.		
HCl/NaOH		as nee	d to achiev	e pH			
Measured pH	3.3	3.3	3.3	3.3	3.3		
AET Results							
USP <51>	Pass	Pass	Pass	Pass	Pass		

qs = sufficient quantity

Example H: Clinical Trial: Bioavailability Study of 10mg Enalapril Maleate Oral Solution vs. 10mg Epaned® Powder for Oral Solution (Reconstituted) Under Fasted Conditions

[00146] The objective of this open-label, randomized, two-period, two-treatment, two-way crossover study was to compare the oral bioavailability of a test formulation of 10mL of enalapril maleate oral solution, 1 mg/mL (formulation E-5), to an equivalent oral dose of the commercially available comparator product, Epaned® (enalapril maleate) Powder for Oral Solution, 1 mg/mL, when administered under fasted conditions in healthy adults.

[00147] Study design: Thirty-two healthy adult subjects received a single 10mL dose of enalapril maleate oral solution, 1 mg/mL, formulation E-5 (Treatment A), in one period and a separate single dose of Epaned Powder for Oral Solution (reconstituted with the supplied Ora-Sweet SF), 1 mg/mL (Treatment B) in another period. Each treatment was administered after an overnight fast of at least 10 hours, followed by a 4-hour fast postdose. Each treatment was administered via a 10 mL oral dosing syringe and followed with 240 mL of room temperature tap water. Each drug administration was separated by a washout period of at least 7 days.

[00148] During each study period, meals were the same and scheduled at approximately the same times relative to dose. In addition, during each period, blood samples were obtained prior to and following each dose at selected times through 72 hours postdose. Pharmacokinetic samples were analyzed for enalapril and its metabolite enalaprilat using a validated analytical method; appropriate pharmacokinetic parameters were calculated for each formulation using non-compartmental methods. Blood was also drawn and urine collected for clinical laboratory testing at screening and at the end of the study. [00149] Statistical Methods: The concentration-time data were analyzed using noncompartmental methods in PhoenixTM WinNonlin® (Version 6.3, Pharsight Corporation). Concentration-time data that were below the limit of quantitation (BLQ) were treated as zero in the data summarization and descriptive statistics. In the pharmacokinetic analysis, BLO concentrations were treated as zero from time-zero up to the time at which the first quantifiable concentration was observed; embedded and/or terminal BLQ concentrations were treated as "missing". Actual sample times were used for all pharmacokinetic and statistical analyses. Analysis of variance (ANOVA) and the Schuirmann's two one-sided t-test procedures at the 5% significance level were applied to the log-transformed pharmacokinetic exposure parameters, C_{max}, AUC_{last}, and AUC_{inf}. The 90% confidence interval for the ratio of the geometric means (Test/Reference) was calculated. Bioequivalence was declared if the lower and upper confidence intervals (CIs) of the log-transformed parameters were within 80% to 125% for enalapril and enalaprilat. [00150] Results: A total of 32 subjects participated in the study and 29 of these subjects completed both study periods. Based on the geometric mean ratios of enalapril and enalaprilat AUCs (AUClast and AUC_{inf}), the bioavailability of the enalapril maleate oral solution (formulation E-5) relative to the Epaned

Powder for Oral Solution (reconstituted) was approximately 105% to 110%. The geometric mean ratios of enalapril and enalaprilat C_{max} were approximately 115% and 109%, respectively. The 90% CI for comparing the maximum exposure to enalapril and enalaprilat, based on ln (C_{max}), was within the accepted 80% to 125% limits. The 90% CIs for comparing total systemic exposure to enalapril and enalaprilat, based on ln (AUC_{last}) and ln (AUC_{inf}), was within the accepted 80% to 125% limits. Therefore, the test formulation of enalapril maleate oral solution, l mg/mL, is bioequivalent to the reference product, Epaned Powder for Oral Solution (reconstituted), l mg/mL, under fasted conditions.

[00151] While preferred embodiments of the present invention have been shown and described herein, it will be obvious to those skilled in the art that such embodiments are provided by way of example only. Numerous variations, changes, and substitutions will now occur to those skilled in the art without departing from the invention. It should be understood that various alternatives to the embodiments of the invention described herein may be employed in practicing the invention. It is intended that the following claims define the scope of the invention and that methods and structures within the scope of these claims and their equivalents be covered thereby.

CLAIMS

WHAT IS CLAIMED IS:

- 1. A stable oral liquid formulation, consisting essentially of:
- (i) about 0.6 to about 1.2 mg/ml enalapril or a pharmaceutically acceptable salt or solvate thereof;
- (ii) a buffer to maintain the pH about 4.5 or below, wherein the buffer concentration is about 5 mM to about 20 mM;
- (iii) a preservative, wherein the preservative is a paraben or a mixture of parabens; and
- (iv) water;

wherein the formulation optionally comprises a sweetener, a flavoring agent, or both; wherein the formulation is stable at about 5 ± 3 °C for at least 12 months; and wherein the stable oral liquid formulation has about 95% w/w or greater of the initial enalapril amount and about 5% w/w or less total impurity or related substances at the end of the given storage period.

- 2. The stable oral liquid formulation of claim 1, comprising a sweetener.
- 3. The stable oral liquid formulation of claim 1, comprising a flavoring agent.
- 4. The stable oral liquid formulation of claim 1, wherein the buffer comprises a citrate, a phosphate, a citrate/phosphate, an acetate, a glycinate, an amino acid, or a tartrate buffer.
- 5. The stable oral liquid formulation of claim 1, wherein the buffer concentration is about 10 mM to about 20 mM.
- 6. The stable oral liquid formulation of claim 1, wherein the buffer maintains the pH between about 3 and about 4.
- 7. The stable oral liquid formulation of claim 1, wherein the buffer maintains the pH at about 3.3.
- 8. The stable oral liquid formulation of claim 1, comprising about 1.0 mg/ml enalapril or a pharmaceutically acceptable salt or solvate thereof.
- 9. The stable oral liquid formulation of claim 1, wherein the enalapril or a pharmaceutically acceptable salt or solvate thereof is enalapril maleate.
- 10. The stable oral liquid formulation of claim 1, wherein the preservative is a mixture of parabens.
- 11. The stable oral liquid formulation of claim 1, wherein the paraben or the mixture of parabens is methylparaben, ethylparaben, propylparaben, butylparaben, salts thereof, or a combination thereof.
- 12. The stable oral liquid formulation of claim 1, wherein the preservative is a mixture of methylparaben and propylparaben.

- 13. The stable oral liquid formulation of claim 1, wherein the paraben or the mixture of parabens is present at about 0.1 mg/ml to about 2 mg/ml in the oral liquid formulation.
- 14. The stable oral liquid formulation of claim 1, wherein the paraben or the mixture of parabens is present at about 1.6 mg/ml to about 2 mg/ml in the oral liquid formulation.
- 15. The stable oral liquid formulation of claim 1, wherein the paraben or the mixture of parabens is present at about 0.1 mg/ml to about 0.5 mg/ml in the oral liquid formulation.
- 16. The stable oral liquid formulation of claim 1, wherein the paraben or the mixture of parabens is present at about 2% w/w to about 30% w/w of the solids in the oral liquid formulation.
- 17. The stable oral liquid formulation of claim 1, wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 18 months.
- 18. The stable oral liquid formulation of claim 1, wherein the formulation is stable at about $5 \pm 3^{\circ}$ C for at least 24 months.
- 19. A stable oral liquid formulation, consisting essentially of:
- (i) about 0.6 to about 1.2 mg/ml enalapril or a pharmaceutically acceptable salt or solvate thereof;
- (ii) a buffer to maintain the pH about 4.5 or below, wherein the buffer concentration is about 5 mM to about 20 mM;
- (iii) a preservative, wherein the preservative is methylparaben, ethylparaben, propylparaben, butylparaben, or a combination thereof; and
- (iv) water;

wherein the formulation optionally comprises a sweetener, a flavoring agent, or both; wherein the formulation is stable at about 5 ± 3 °C for at least 12 months; and wherein the stable oral liquid formulation has about 95% w/w or greater of the initial enalapril amount and about 5% w/w or less total impurity or related substances at the end of the given storage period.

- 20. The stable oral liquid formulation of claim 19, wherein the buffer comprises a citrate, a phosphate, a citrate/phosphate, an acetate, a glycinate, an amino acid, or a tartrate buffer.
- 21. The stable oral liquid formulation of claim 19, wherein the buffer concentration is about 10 mM to about 20 mM.
- 22. The stable oral liquid formulation of claim 19, wherein the buffer maintains the pH between about 3 and about 4.
- 23. The stable oral liquid formulation of claim 19, wherein the buffer maintains the pH at about 3.3.

- 24. The stable oral liquid formulation of claim 19, comprising about 1.0 mg/ml enalapril or a pharmaceutically acceptable salt or solvate thereof.
- 25. The stable oral liquid formulation of claim 19, wherein the enalapril or a pharmaceutically acceptable salt or solvate thereof is enalapril maleate.
- 26. The stable oral liquid formulation of claim 19, wherein the preservative is a mixture of parabens that are selected from methylparaben, ethylparaben, propylparaben, and butylparaben.
- 27. The stable oral liquid formulation of claim 19, wherein the preservative is present at about 0.1 mg/ml to about 2 mg/ml in the oral liquid formulation.
- 28. The stable oral liquid formulation of claim 19, wherein the preservative is present at about 1.6 mg/ml to about 2 mg/ml in the oral liquid formulation.
- 29. The stable oral liquid formulation of claim 19, wherein the preservative is present at about 0.1 mg/ml to about 0.5 mg/ml in the oral liquid formulation.
- 30. A stable oral liquid formulation, consisting essentially of:
- (i) about 0.6 to about 1.2 mg/ml enalapril or a pharmaceutically acceptable salt or solvate thereof;
- (ii) a buffer to maintain the pH about 4.5 or below;
- (iii) a preservative, wherein the preservative is methylparaben, ethylparaben, propylparaben, butylparaben, or a combination thereof; and
- (iv) water;

wherein the formulation optionally comprises a sweetener, a flavoring agent, or both; wherein the formulation is stable at about 5 ± 3 °C for at least 12 months; and wherein the stable oral liquid formulation has about 95% w/w or greater of the initial enalapril amount and about 5% w/w or less total impurity or related substances at the end of the given storage period.



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 TOT CLAIMS IND CLAIMS

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 30
 3

21971 WILSON, SONSINI, GOODRICH & ROSATI 650 PAGE MILL ROAD PALO ALTO, CA 94304-1050 CONFIRMATION NO. 7887
FILING RECEIPT

Date Mailed: 08/19/2020

Receipt is acknowledged of this non-provisional utility patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF FIRST INVENTOR, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection.

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Inventor(s)

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Applicant(s)

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Power of Attorney: The patent practitioners associated with Customer Number 21971

Domestic Priority data as claimed by applicant

This application is a CON of 16/883,553 05/26/2020 which is a CON of 16/242,898 01/08/2019 which is a CON of 16/177,159 10/31/2018 which is a CON of 16/003,994 06/08/2018 PAT 10154987 which is a CON of 15/802,341 11/02/2017 PAT 10039745 which is a CON of 15/613.622 06/05/2017 PAT 9808442

which is a CON of 15/081,603 03/25/2016 PAT 9669008

which claims benefit of 62/310,198 03/18/2016

Foreign Applications for which priority is claimed (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see http://www.uspto.gov for more information.) - None. Foreign application information must be provided in an Application Data Sheet in order to constitute a claim to foreign priority. See 37 CFR 1.55 and 1.76.

page 1 of 4

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The country code and number of your priority application, to be used for filing abroad under the Paris Convention,

is US 16/991,575

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Title

ENALAPRIL FORMULATIONS

Preliminary Class

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications: No

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this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4258).

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
16/991,575	08/12/2020	Gerold L. MOSHER	43060-707.307	7887
WILSON, SON 650 PAGE MII		EXAM	IINER	
PALO ALTO,	CA 94304-1050		ART UNIT	PAPER NUMBER
			1629	
			NOTIFICATION DATE	DELIVERY MODE
			08/25/2020	ELECTRONIC

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The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

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PTOL-90A (Rev. 04/07)

			Application No.	Applicant(s)						
		.	16/991,575	MOSHER et al. Art Unit AIA (FITF) Status						
		n Granting Request for ed Examination (Track I)	Examiner							
	Thomased Examination (Track 1)		CHERYL P GIBSON	OPET	Yes					
			BAYLOR							
1.	THE REC	EQUEST FILED <u>12 August 2020</u> IS GRANTED .								
		e-identified application has met the		itized examinati	on					
	A. B.	for an original nonprovisional for an application undergoin		ı (RCE).						
			•	,						
2.		ve-identified application will un special status throughout its enti								
	A.	filing a petition for extension of	of time to extend the tim	e period for filin	g a reply;					
	B.		ling an amendment to amend the application to contain more than four ndependent claims, more than thirty total claims, or a multiple dependent claim;							
	C.	filing a request for continued	examination ;							
	D.	filing a notice of appeal;								
	E.	filing a request for suspension of	of action;							
	F.	mailing of a notice of allowance	;							
	G.	mailing of a final Office action;								
	H.	completion of examination as o	defined in 37 CFR 41.102	2; or						
	I.	abandonment of the application	l.							
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	(571)272-	-3213. In his/her absence, calls m	nay be directed to Petitio	n Help Desk at	(571) 272-3282.					
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Case 1:20-cv-01256-LF	PS Document 74-1 Filed	d 04/05/21
Doc Code: DIST.E.FILE Document Description: Electron	ic Terminal Disclaimer - Filed	U.S. Patent and Trademark Office Department of Commerce
Electronic Petition Request	TERMINAL DISCLAIMER TO "PRIOR" PATENT	O OBVIATE A DOUBLE PATENTING REJECTION OVER A
Application Number	16991575	
Filing Date	12-Aug-2020	
First Named Inventor	Gerold MOSHER	
Attorney Docket Number	43060-707.307	
Title of Invention	ENALAPRIL FORMULATION	IS
Office Action	does not obviate requirement for laimer is not being used for a Joir	response under 37 CFR 1.111 to outstanding nt Research Agreement.
Owner		Percent Interest
Silvergate Pharmaceuticals, Inc.		100%
	of any patent granted on the ins	cation hereby disclaims, except as provided below, the stant application which would extend beyond the expiration
9669008		
9808442		
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as th gran own	e term of said prior patent is pre ted on the instant application sh	S Document 74-1 Filed 04/05/21 Page 704 of 748 PageID #: 2895 sently shortened by any terminal disclaimer. The owner hereby agrees that any patent so nall be enforceable only for and during such period that it and the prior patent are commonly y patent granted on the instant application and is binding upon the grantee, its successors
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is f	ound invalid by a court of compe	etent jurisdiction; terminally disclaimed under 37 CFR 1.321;
- has	all claims canceled by a reexam	· ·
	eissued; or n any manner terminated prior to	o the expiration of its full statutory term as presently shortened by any terminal disclaimer.
•	Terminal disclaimer fee under	37 CFR 1.20(d) is included with Electronic Terminal Disclaimer request.
0	•	CFR 1.4(d)(4), that the terminal disclaimer fee under 37 CFR 1.20(d) aimer has already been paid in the above-identified application.
Арр	licant claims the following fee st	atus:
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Doc Code: DISQ.E.FILE Document Description: Electronic Terminal Disclaimer – Approved
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Applicant/Patent under Reexamination: MOSHER
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Inventors:

Gerold L. Mosher, et al.

Serial No.: 16/177,159

Filed: October 31, 2018

Title: ENALAPRIL FORMULATIONS

Group Art Unit: 1629

Confirmation No.: 3572

Examiner: SPRINGER, Stephanie K

Customer No.: 21971

Certificate of Electronic Filing

I hereby certify that the attached Response and all accompanying papers is being deposited by Electronic Filing on May 15, 2020, by using the EFS – Web patent filing system and addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By: /Paula Derby/

Mail Stop Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

DECLARATION OF GEROLD MOSHER UNDER 37 C.F.R. § 1.132

- I, Gerold Mosher, state and declare as follows:
- 1. I am currently employed at Azurity Pharmaceuticals, Inc., a company formed in May 2019 by the acquisition of Silvergate Pharmaceuticals, Inc. by CutisPharma, Inc.
- 2. I received my Bachelor's degree in Pharmacy from the University of Kansas in 1979. I also received a Master and a Doctor of Philosophy in Pharmaceutical Chemistry in 1984 and 1986, respectively, from the University of Kansas.
- 3. I have been employed at Silvergate Pharmaceuticals and now Azurity

 Pharmaceuticals since 2013, and my current position is Vice President of Product Development. As part of my job duties, I develop oral formulations for pediatric use. I have a small laboratory where I

develop, characterize and move formulations through the steps required for FDA approval and eventual sale.

- 4. Early in my career, I practiced pharmacy for two years from 1979 to 1981. Subsequently, I worked in large pharmaceutical companies (Eli Lilly and Merck) for about ten years where I focused primarily on pre-formulation and early phase formulations of new drug products. After leaving these companies and prior to Silvergate Pharmaceuticals, I have also been employed by small startup companies to develop new solubilizing technology for oral, injectable, and inhalation formulations.
- 5. In total, I have been in the field of pharmaceutical chemistry for about 40 years, and have extensive experience in developing pharmaceutical formulations. My *Curriculum Vitae* is attached as Exhibit A.
- 6. I am familiar with the subject matter claimed in U.S. Pat. App. Ser. No. 16/177,159 ("the '159 application"), and I am a named inventor on this application. Silvergate Pharmaceuticals, Inc. is the assignee of all rights in the invention of the pending '159 application.
- 7. I am aware of the Non-Final Office Action mailed in this matter on January 7, 2020. I am also aware that the pending claims were rejected under 35 U.S.C. 112(b) and 35 U.S.C. 112(a).
- 8. I am submitting this declaration to address some of the comments made in the Office Action,.
- 9. The '159 application relates to enalapril oral liquid formulations that are stable at about 5 ± 3 °C for at least 12 months. The development of this described enalapril formulation was oriented on preparing a safe, stable, uniform oral liquid with minimal degradation and having an acceptable taste for pediatric patients.
- 10. Traditionally, approved methods of delivering enalapril to pediatric patients requires (1) administering a solid enalapril tablet or portion thereof to the patient, (2) extemporaneously preparing an oral liquid suspension from enalapril tablets and a diluent, such as the method described in "Nahata" and subsequently administering the suspension to the patient, or (3) reconstituting a powder in a liquid carrier. All of these methods are undesirable and have limitations. For tablets, it is well known that children have difficulty swallowing solid oral dosage forms. For the second method,

extemporaneously prepared oral liquids present additional challenges and issues with respect to dosing accuracy and stability, as well as can introduce compounding errors and cross-contamination.

- 11. Compared to these currently available methods, the enalapril oral liquid formulation claimed in the '159 application provides several advantages:
 - <u>Improved ease of administration</u>. It is easier for many patients to swallow a liquid than to swallow a tablet,
 - <u>Patient Compliance</u>. Patients are more likely to take a dose that is not difficult to swallow, or difficult to prepare,
 - Accuracy of dosing. The prescribing information for enalapril tablets provides dosing guidelines based on the weight of the child. When one only has fixed 2.5, 5 or 10 mg tablets available, it is difficult if not impossible to break the tablets in such a way to get an exact dose if the dose is something other than the tablet strength. In addition, if tablets are compounded into a suspension, the tablets are crushed in a mortar and then mixed with a liquid. There is no guarantee that the drug dissolves in, or is dispersed evenly in the liquid (thus leading to potential dosing errors. Moreover, there is always the chance of contamination of the resulting liquid by residual drugs or substances in the mortar. Similarly, in reconstitutable powders, there is also no guarantee that the powder dissolves or disperses evenly in the diluent.
- 12. The oral enalapril liquid formulations of the '159 application have superior stability—they are stable at 5±3 °C for 12 months or longer with minimal degradation. The stability is an important aspect of the present formulations. It contributes to the consistency and uniformity of the formulations as well as allows for accuracy of dosing to patients.
- 13. The '159 application describes that stable oral enalapril liquid formulations can be prepared with suitable buffers including citrate buffers at varying concentrations. Formulations containing a mixture of citric acid and sodium citrate at various amounts as buffers are exemplified in the '159 application, for example, formulations B1-B3 in Example B and formulations E1-E6 in Example E. The buffer concentrations of formulations E1 to E6 are the following:

Buffer Concentration	E1	E2	E3	E4	E5	E6
Barrer Concentration				~.	22	

Citric acid (mg/mL)	3.29	3.29	3.29	3.29	1.65	0.82
Sodium citrate (mg/mL)	0.75	0.75	0.75	0.75	0.38	0.19
Citrate concentration (mM)	20	20	20	20	10	5

14. The storage stability of formulations E1-E6 is summarized in Table E-2, partially copied below for the stability results at 5 °C. After storing at about 5 °C for a period of 52 or 62 weeks, the combined amount of two primary degradants, Enalaprilat and diketopiperazine, remained less than 1 % w/w, demonstrating excellent formulation stability. As shown in Table E-2, the formulations prepared with 5 mM, 10 mM or 20 mM of a mixture of citric acid and sodium citrate as a buffer have comparable stability over 52 weeks at about 5 °C.

TABLE E-2

			IADL					
De	gradant	Content Af	ter Storag	ge (% w/w	of enalap	ril maleate	e)	
	S	torage			Form	ılation		
	°C	Weeks	E1	E2	E3	E4	E5	E6
Diketopiperazine	5	0	0.01	0.01	0.01	0.01	0.01	0.01
		4	0.04	0.04	0.05	0.04	0.03	0.03
		8	0.04	0.04	0.04	0.04	0.03	0.03
		12	0.05	0.05	0.04	0.05	0.04	0.04
		26	0.07	0.06	0.05	0.06	0.05	0.05
		52					0.15	0.14
		62	0.18	0.18	0.16	0.14		
Enalaprilat	5	0	0.00	0.00	0.01	0.02	0.00	0.00
		4	0.07	0.09	0.10	0.11	0.07	0.08
		8	0.12	0.14	0.10	0.13	0.09	0.08
		12	0.16	0.15	0.15	0.17	0.14	0.11
		26	0.31	0.30	0.29	0.31	0.27	0.24
		52					0.54	0.46
		62	0.75	0.75	0.74	0.71		

- 15. Further evidence of the superior stability of the citrate buffer-based formulations disclosed in the '159 application can be found in exemplary formulations H1 and H7-H13 presented below in Table 1, which all contain a mixture of citric acid and sodium citrate.
- 16. Formulations H1 and H7-H13 were prepared according to the compositions in Table 1 and titrated if needed to the target pH with 5N hydrochloric acid or 5N sodium hydroxide. Formulations H1 and H7-H9 were placed into HDPE containers and sealed with screw caps and induction sealing and stored at 5 °C. Formulations H10-H13 were placed into glass containers, sealed with Teflon lined screw caps and stored at 60 °C. The formulations were sampled at various

times during storage. Samples were analyzed by HPLC for enalapril maleate and enalapril related substances. The results of the analyses are presented in Table 2 and Table 3.

- 17. The enalapril maleate assay results in Table 2 show that formulations H1 and H7-H9 retain greater than 98% of the initial enalapril maleate content and have less than 2% of total impurity after 52 weeks at 5 °C. Formulations H1 and H7-H9 demonstrated excellent stability. Further, by comparing the amounts of the two primary degradants (i.e., Diketopiperazine and Enalaprilat) in Table 2 and Table E-2, it can be expected that formulations E1-E6 have comparable stability to formulations H1 and H7-H9.
- 18. In Table 3, the stability of formulations prepared with a mixture of citric acid and sodium citrate as a buffer at two different concentrations and pH values were compared under an accelerated condition at 60 °C. The results in Table 3 show that a citrate buffer concentration of about 10 mM or 20 mM, at least when adjusted to a pH value of about 3-4, are suitable to be used in formulations of the '159 application and yield similar stability.

Table 1

	C	Composition	s (mg/mL) fo	r Stability	Testing			
	H1	H7	Н8	Н9	H10	H11	H12	H13
Ingredients	Citrate	Citrate	Citrate	Citrate	Citrate	Citrate	Citrate	Citrate
Citric acid, anhydrous	1.82	1.92	1.92	1.92	1.92	1.92	3.84	3.84
Sodium citrate, dihydrate	0.15	-	-	-				
Citrate concentration (mM)	10	10	10	10	10	10	20	20
Sodium benzoate	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
Sucralose NF	0.70	0.70	0.70	0.70				
Enalapril Maleate	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
Purified water	qs	qs	qs	qs	qs	qs	qs	qs
pН	3.3	3.3	4.0	4.5	3	4	3	4

Table 2

	Assay	and Total	Degradar	t Content	After St	orage
	S	torage			Formul	lation
	°C	Weeks	H1	H7	H8	H9
Enalapril Maleate	5	0	100.0	100.0	100.0	100.0
(% initial)		2	100.1	100.7	100.4	100.3
		4	100.2	99.8	100.0	99.6
		8	100.0	99.6	100.9	100.7

		24	99.8	100.4	100.1	99.8
					100.1	99.0
		28	99.8	99.7	-	-
		36	-	-	99.9	99.4
		52	99.9	99.8	99.5	99.2
Diketopiperazine	5	0	< 0.05	< 0.05	< 0.05	< 0.05
(% w/w of		2	< 0.05	< 0.05	< 0.05	< 0.05
enalapril maleate)		4	< 0.05	< 0.05	< 0.05	< 0.05
		8	< 0.05	< 0.05	< 0.05	< 0.05
		24	0.06	0.07	< 0.05	< 0.05
		28	0.09	0.10	-	-
		36	-	-	0.06	< 0.05
		52	0.14	0.12	0.07	< 0.05
Enalaprilat	5	0	< 0.05	< 0.05	0.09	0.10
(% w/w of		2	0.06	0.07	0.13	0.16
enalapril maleate)		4	0.08	0.08	0.17	0.24
		8	0.15	0.14	0.27	0.37
		24	0.19	0.20	0.41	0.58
		28	0.35	0.36	-	-
		36	-	-	0.85	1.17
		52	0.53	0.52	1.10	1.49
Total Impurities	5	0	< 0.05	< 0.05	0.09	0.10
(% w/w of		2	0.07	0.07	0.14	0.16
enalapril maleate)		4	0.09	0.10	0.20	0.26
		8	0.18	0.18	0.31	0.41
		24	0.25	0.27	0.43	0.60
		28	0.44	0.46	-	-
		36	-	-	0.91	1.20
		52	0.68	0.65	1.18	1.53

Table 3
Assay Results After Storage of Formulations at 60 °C

		Enalap	ril Maleate	e, pH 3 (%	initial)	Enalap	ril Maleate	e, pH 4 (%	initial)
Buffer	mM	0 Days	2 Days	4 Days	7 Days	0 Days	2 Days	4 Days	7 Days
Citrate	10	100.0	97.1	97.2	95.5	100.0	97.2	96.6	94.6
	20	100.0	97.1	96.8	95.2	100.0	96.8	96.4	94.4

19. As presented above, Table E-2 and Tables 1-3 show that formulations of the '159 application can be prepared using a mixture of citric acid and sodium citrate, and the amount of the total citrate can vary, at least between about 5 mM and about 20 mM. All the formulations in Table E-2 and Tables 1-3 demonstrated superior stability, e.g., retaining greater than about 98% of the

initial enalapril maleate content and having less than about 2% w/w total impurity after 52 weeks at 5 °C.

- 20. Further, although formulations exemplified in the '159 application and in Tables 1-3 have a total citrate amount of about 5 mM, 10 mM or 20 mM, I would expect that similar formulations having a total citrate amount between about 5 mM and about 20 mM to have similar, superior stability as the exemplified formulations.
- 21. I declare that all statements made herein are true to the best of my knowledge, or if made upon information and belief, are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Respectfully submitted on this 15 day of May, 2020

Gerold L. Mosher, Ph.D.

Hirold L. Mosher

Attorney Docket No. 43060-707.305

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Inventors:

Gerold L. Mosher, et al.

Serial No.: 16/242,898

Filed: January 8, 2019

Title: ENALAPRIL FORMULATIONS

Group Art Unit: 1629

Confirmation No.: 1032

Examiner: SPRINGER, Stephanie K

Customer No.: 21971

Certificate of Electronic Filing

I hereby certify that the attached Response and all accompanying papers is being deposited by Electronic Filing on May 14, 2020, by using the EFS – Web patent filing system and addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By: /Paula Derby/

Mail Stop Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

DECLARATION OF GEROLD MOSHER UNDER 37 C.F.R. § 1.132

- I, Gerold Mosher, state and declare as follows:
- 1. I am currently employed at Azurity Pharmaceuticals, Inc., a company formed in May 2019 by the acquisition of Silvergate Pharmaceuticals, Inc. by CutisPharma, Inc.
- 2. I received my Bachelor's degree in Pharmacy from the University of Kansas in 1979. I also received a Master and a Doctor of Philosophy in Pharmaceutical Chemistry in 1984 and 1986, respectively, from the University of Kansas.
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 Pharmaceuticals since 2013, and my current position is Vice President of Product Development. As part of my job duties, I develop oral formulations for pediatric use. I have a small laboratory where I

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- 5. In total, I have been in the field of pharmaceutical chemistry for about 40 years, and have extensive experience in developing pharmaceutical formulations. My *Curriculum Vitae* is attached as Exhibit A.
- 6. I am familiar with the subject matter claimed in U.S. Pat. App. Ser. No. 16/242,898 ("the '898 application"), and I am a named inventor on this application. Silvergate Pharmaceuticals, Inc. is the assignee of all rights in the invention of the pending '898 application.
- 7. I am aware of the Final Office Action mailed in this matter on November 19, 2019. I am also aware that the pending claims stand rejected as allegedly being obvious under 35 U.S.C. 103 over Nahata et al., "Stability of enalapril maleate in three extemporaneously prepared oral liquids," Am. J. Health-Syst. Pharm., 1998, vol. 55, pages 1155-1157 ("Nahata") in view of Sosnowska et al., "Stability of Extemporaneous Enalapril Maleate Suspensions for Pediatric Use Prepared From Commercially Available Tablets," Acta Poloniae Pharmaceutica Drug Research, 2009, vol. 66, no. 3, pages 321-326 ("Sosnowska") in view of Boukarim et al., "Preservatives in Liquid Pharmaceutical Preparations", J. Appl. Res., 2009, vol. 9, no. 1&2, pages 14-17 ("Boukarim"). I have reviewed these cited references in the Final Office Action.
 - 8. I am submitting this declaration to address the comments made in the Office Action.
- 9. The '898 application relates to enalapril oral liquid formulations that are stable at about 5±3 °C for at least 12 months. The development of this described enalapril formulation was oriented on preparing a safe, stable, uniform oral liquid with minimal degradation and having an acceptable taste for pediatric patients.

- 10. Traditionally, approved methods of delivering enalapril to pediatric patients requires (1) administering a solid enalapril tablet or portion thereof to the patient, (2) extemporaneously preparing an oral liquid suspension from enalapril tablets and a diluent, such as the method described in "Nahata" and subsequently administering the suspension to the patient, or (3) reconstituting a powder in a liquid carrier. All of these methods are undesirable and have limitations. For tablets, it is well known that children have difficulty swallowing solid oral dosage forms. For the second method, extemporaneously prepared oral liquids present additional challenges and issues with respect to dosing accuracy and stability, as well as can introduce compounding errors and cross-contamination.
- 11. As compared to these currently available methods, the enalapril oral liquid formulation claimed in the '898 application provides several advantages:
 - <u>Improved ease of administration</u>. It is easier for many patients to swallow a liquid than to swallow a tablet,
 - <u>Patient Compliance</u>. Patients are more likely to take a dose that is not difficult to swallow, or difficult to prepare,
 - Accuracy of dosing. The prescribing information for enalapril tablets provides dosing guidelines based on the weight of the child. When one only has fixed 2.5, 5 or 10 mg tablets available, it is difficult if not impossible to break the tablets in such a way to get an exact dose if the dose is something other than the tablet strength. In addition, if tablets are compounded into a suspension, the tablets are crushed in a mortar and then mixed with a liquid. There is no guarantee that the drug dissolves in, or is dispersed evenly in the liquid (thus leading to potential dosing errors. Moreover, there is always the chance of contamination of the resulting liquid by residual drugs or substances in the mortar. Similarly, in reconstitutable powders, there is also no guarantee that the powder dissolves or disperses evenly in the diluent.
- 12. The oral enalapril liquid formulations of the '898 application have superior stability—they are stable at 5 ± 3 °C for 12 months or longer with minimal degradation. The stability is an important aspect of the present formulations. It contributes to the consistency and uniformity of the formulations as well as allows for accuracy of dosing to patients.

- 13. Evidence of the superior stability of the formulations disclosed in the '898 application can be found in exemplary formulations H1 to H9. Formulations H1 to H9 were prepared according to the compositions in Table 1 and titrated if needed to the target pH with 5N hydrochloric acid or 5N sodium hydroxide. The formulations were placed into HDPE containers and sealed with screw caps and induction sealing. The formulations were stored at 5 °C and 25 °C and sampled at various times. Samples were analyzed by HPLC for enalapril maleate and enalapril related substances. The results of the analyses are presented in Table 2.
- 14. As shown in Table 1 below, formulations H1- H9 were prepared with a variety of buffers, including sodium citrate, citric acid, phosphate, citrate/phosphate, acetate, glycine, and tartrate. Formulations H1 and H7-H9 contain citrate-based buffers. Specifically, formulation H1 was prepared with citric acid and sodium citrate, and formulations H7-H9 were prepared with citric acid only (no sodium citrate) with the pH being adjusted with HCl or NaOH. Formulations H2-H6 were prepared with phosphate, citrate/phosphate, acetate, glycine, and tartrate buffer, respectively. The pH values of formulations H1 to H9 vary from about 3.3 to about 4.5. The initial pH values of formulations H1 to H7 are about 3.3, and the initial pH values of formulations H8 and H9 are about 4.0 and 4.5, respectively.
- 15. The enalapril maleate assay results in Table 2 show that all the formulations have greater than 98% of the initial enalapril maleate content remaining after 52 weeks at 5 °C. The total impurity content is also less than 2% for the same period showing comparable stability between the formulations, irrespective of the type of buffers used.

Table 1

	Compos	itions (mg/m	L) for Stabili	ty Testing	at 5 °C and	1 25 °C			
	H1	H2	Н3	H4	H5	Н6	H7	Н8	H9
Ingredients	Citrate	Phosphate	Citrate/ Phosphate	Acetate	Glycine	Tartrate	Citrate	Citrate	Citrate
Acetic acid, glacial	-	-	-	0.58	-	-	-	-	-
Sodium Acetate	-	-	-	0.04	-	-	-	-	-
Citric acid, anhydrous	1.82	-	1.07	-	-	-	1.92	1.92	1.92
Sodium citrate, dihydrate	0.15	-	-	-	-	-	-	-	-
Glycine	-	-	-	-	0.75	-	-	-	-
Sodium dihydrogen phosphate, anhydrous	-	1.2	-	-	-	-	-	-	-
Disodium hydrogen	-	-	0.63	-	-	-	-	-	-

phosphate, anhydrous									
L-(+)-tartaric acid	-	-	-	-	-	0.75	-	-	-
Sodium tartrate dibasic, dihydrate	-	-	-	-	-	1.15	-	-	-
Sodium benzoate	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
Sucralose NF	0.70	0.7	0.7	0.7	0.7	0.7	0.7	0.7	0.7
Enalapril Maleate	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
Purified water	qs								
pН	3.3	3.3	3.3	3.3	3.3	3.3	3.3	4.0	4.5

Table 2

			Assay and	d Total De	egradant (Content A	fter Stor	age			
	S	torage]	Formulat	ion			
	°C	Weeks	H1	H2	Н3	H4	H5	Н6	H7	Н8	Н9
Enalapril Maleate	5	0	100.0	100.0	100.0	100.0	100.0	100.0	100.0	100.0	100.0
(% initial)		2	100.1	100.1	99.7	100.0	99.5	98.2	100.7	100.4	100.3
		4	100.2	100.3	99.6	100.4	100.0	98.9	99.8	100.0	99.6
		8	100.0	100.0	99.7	100.0	99.5	98.5	99.6	100.9	100.7
		24	99.8	100.0	99.6	100.2	99.4	98.6	100.4	100.1	99.8
		28	99.8	99.9	99.6	100.1	99.3	98.4	99.7	-	-
		36	-	-	-	-	-	-	-	99.9	99.4
		52	99.9	99.9	99.7	99.7	98.9	98.1	99.8	99.5	99.2
	25	0	100.0	100.0	100.0	100.0	100.0	100.0	100.0	100.0	100.0
		2	100.1	99.2	99.7	100.0	99.5	98.4	99.8	99.9	99.5
		4	99.7	99.1	99.4	99.9	99.4	98.5	99.1	99.0	98.1
		8	98.8	98.0	98.5	99.0	98.3	97.4	98.3	99.3	97.7
		24	98.0	97.2	97.7	98.4	98.1	96.9	98.4	97.5	95.3
		28	95.8	95.1	95.5	96.5	96.1	94.7	95.6	-	-
		36	-	-	-	-	-	-	-	93.7	89.4
		52	93.9	93.3	93.5	94.3	93.9	92.4	93.6	91.7	86.0
Total Impurities	5	0	< 0.05	< 0.05	< 0.05	< 0.05	< 0.05	< 0.05	< 0.05	0.09	0.10
(% w/w of		2	0.07	0.07	0.07	0.06	0.06	0.06	0.07	0.14	0.16
enalapril maleate)		4	0.09	0.11	0.10	0.11	0.11	0.12	0.10	0.20	0.26
		8	0.18	0.20	0.18	0.16	0.16	0.18	0.18	0.31	0.41
		24	0.25	0.29	0.26	0.24	0.22	0.25	0.27	0.43	0.60
		28	0.44	0.47	0.47	0.42	0.41	0.44	0.46	-	-
		36	-	-	-	-	-	-	-	0.91	1.20
		52	0.68	0.71	0.71	0.64	0.66	0.68	0.65	1.18	1.53
	25	0	< 0.05	< 0.05	< 0.05	< 0.05	< 0.05	< 0.05	< 0.05	0.09	0.10
		2	0.46	0.47	0.47	0.39	0.39	0.41	0.51	0.63	0.95
		4	0.86	0.91	0.89	0.83	0.81	0.88	0.89	1.16	1.84
		8	1.71	1.79	1.76	1.53	1.51	1.64	1.70	2.21	3.49
		24	2.52	2.65	2.60	2.24	2.21	2.40	2.49	3.28	5.27
		28	4.91	5.18	5.08	4.49	4.43	4.81	4.94	-	-

36	-	-	-	-	-	-	-	7.32	11.60
52	7.22	7.64	7.45	6.67	6.60	7.16	7.25	9.55	14.95

- application can be found in exemplary formulations in Table 3. Formulations in Table 3 were prepared using fumarate, tartrate, malate, aspartate, glycinate, lactate, formate, phthalate, acetate, succinate, gluconate, glutamate, citrate, phosphate, and citrate/phosphate buffers, respectively. Specifically, these formulations were prepared according to the compositions in Table 3 and titrated if needed to pH 3 and 4 with 5N hydrochloric acid or 5N sodium hydroxide. The formulations were placed into amber glass screw-capped vial with Teflon lined caps. The vials were capped, stored at 60 °C and sampled at various times over 7 days. Samples were analyzed by HPLC for enalapril. The results of the analyses are presented in Table 4.
- 17. The citrate and phosphate 10mM formulations were included in Table 3 as a control since citrate and phosphate buffers were included in the previous study in Tables 1 and 2 and demonstrated superior stability. The enalapril maleate assay results in Table 4 show that all the formulations have stability comparable to the citrate formulations at 60 °C.

Table 3

Compositions (mg/mL) for Stability Testing at 60 °C													
	Fumarate		Tartrate		Malate		Aspartate		Glycinate		Lactate		
Formula	20mM	10mM	20mM	10mM	20mM	10mM	20mM	10mM	20mM	10mM	20mM	10mM	
Fumaric acid	2.32	1.16	-	-	-	-	-	-	-	-	-	-	
Tartaric acid	-	-	3.00	1.50	-	-	-	-	-	-	-	-	
DL-Malic acid	-	-	-	-	2.68	1.34	-	-	-	-	-	-	
L-Aspartic acid	-	-	-	-	-	-	2.66	1.33	-	-	-	-	
Glycine	-	-	-	-	-	-	-	-	1.50	0.75	-	-	
Lactic acid	-	-	-	-	-	-	-	-	-	-	180	90	
Sodium benzoate	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	
Enalapril Maleate	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	
Purified water	Qs	Qs	Qs	Qs	Qs	Qs	Qs	Qs	Qs	Qs	Qs	Qs	
5N HC1/5N NaOH to pH	3.0/4.0	3.0/4.0	3.0/4.0	3.0/4.0	3.0/4.0	3.0/4.0	3.0/4.0	3.0/4.0	3.0/4.0	3.0/4.0	3.0/4.0	3.0/4.0	
	Formate P		Phth	Phthalate		Acetate		Succinate		Gluconate		Glutamate	
Formula	20mM	10mM	20mM	10mM	20mM	10mM	20mM	10mM	20mM	10mM	20mM	10mM	
Formic acid	0.92	0.46	-	-	-	-	-	-	-	-	-	-	

Potassium hydrogen phthalate	-	-	4.08	2.04	-	-	-	-	-	-	-	-
Acetic acid, glacial	-	-	-	-	1.20	0.60	-	-	-	-	-	-
Succinic acid	-	-	-	-	-	-	2.36	1.18	-	-	-	-
Sodium gluconate	-	-	-	-	-	-	-	-	4.36	2.18	-	-
L-Glutamic acid	-	-	-	-	-	-	-	-	-	-	2.94	1.47
Sodium benzoate	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
Enalapril Maleate	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
Purified water	Qs											
5N HCI/5N NaOH to pH	3.0/4.0	3.0/4.0	3.0/4.0	3.0/4.0	3.0/4.0	3.0/4.0	3.0/4.0	3.0/4.0	3.0/4.0	3.0/4.0	3.0/4.0	3.0/4.0

	Cit	rate	Phos	phate	Citrate/Phosphate
Formula	20mM	10mM	20mM	10mM	10mM each
Citric acid, anhydrous	3.84	1.92	-	-	1.92
Phosphoric acid	-	-	196	98	98
Sodium benzoate	1.00	1.00	1.00	1.00	1.00
Enalapril Maleate	1.00	1.00	1.00	1.00	1.00
Purified water	Qs	Qs	Qs	Qs	Qs
5N HCl/5N NaOH to pH	3.0/4.0	3.0/4.0	3.0/4.0	3.0/4.0	3.0/4.0

TABLE 4

Assay Results After Storage of Formulations at 60 °C											
		Enalap	ril Maleate	e, pH 3 (%	initial)	Enalapril Maleate, pH 4 (% initial)					
Buffer	mM	0 Days	2 Days	4 Days	7 Days	0 Days	2 Days	4 Days	7 Days		
Citrate	10	100.0	97.1	97.2	95.5	100.0	97.2	96.6	94.6		
	20	100.0	97.1	96.8	95.2	100.0	96.8	96.4	94.4		
Phosphate	10	100.0	97.1	97.1	95.3	100.0	96.3	96.2	94.5		
	20	100.0	97.1	96.7	95.2	100.0	96.3	96.0	94.2		
Citrate/Phosphate	20	100.0	96.8	97.3	95.2	100.0	96.8	96.2	94.9		
Tartrate	10	100.0	97.4	97.6	95.9	100.0	96.9	97.0	95.2		
	20	100.0	97.2	97.6	95.6	100.0	97.1	96.4	94.0		
Glycinate	10	100.0	98.7	96.4	95.4	100.0	96.8	96.6	95.3		
	20	100.0	98.3	96.9	95.7	100.0	96.7	97.3	96.0		
Acetate	10	100.0	97.5	97.4	95.1	100.0	96.7	96.8	95.3		
	20	100.0	97.4	98.2	95.2	100.0	97.1	96.8	94.9		
Malate	10	100.0	97.2	97.1	96.0	100.0	97.0	96.8	95.2		
	20	100.0	97.2	97.1	95.9	100.0	96.7	96.5	95.0		
Fumarate	10	100.0	96.6	96.8	95.2	100.0	95.9	96.1	94.4		
	20	100.0	96.6	96.6	94.7	100.0	95.8	95.8	93.6		
Succinate	10	100.0	98.1	96.2	95.3	100.0	96.6	96.8	94.5		

	20	100.0	96.9	97.3	95.1	100.0	96.2	96.9	94.6
Aspartate	10	100.0	97.3	97.1	96.1	100.0	96.5	98.1	96.4
	20	100.0	97.0	97.4	95.8	100.0	96.6	97.0	95.3
Formate	10	100.0	97.0	97.1	95.6	100.0	96.6	97.1	93.8
	20	100.0	96.9	96.5	96.3	100.0	96.1	98.1	93.3
Gluconate	10	100.0	97.2	97.9	95.2	100.0	96.3	96.2	93.4
	20	100.0	97.0	98.9	94.2	100.0	96.2	95.8	94.2
Glutamate	10	100.0	97.2	96.9	95.9	100.0	96.9	96.4	95.3
	20	100.0	97.3	97.1	95.2	100.0	96.7	97.5	93.7
Lactate	10	100.0	97.3	97.1	96.4	100.0	96.5	98.3	95.3
	20	100.0	97.3	97.2	97.2	100.0	96.9	96.3	95.2
Phthalate	10	100.0	97.3	96.9	95.8	100.0	96.2	96.2	94.7
	20	100.0	97.0	96.8	95.5	100.0	96.2	97.8	93.3

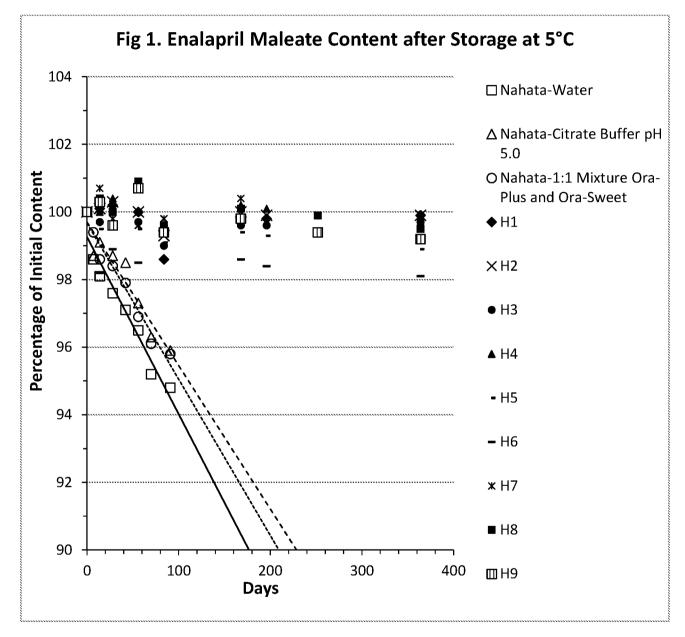
- 18. As presented above, Tables 1-4 show that the formulations of the '898 application can be prepared using a variety of buffers (e.g., citrate, phosphate, citrate/phosphate, acetate, glycinate, fumarate, tartrate, malate, aspartate, lactate, formate, phthalate, acetate, succinate, gluconate, and glutamate buffers) and the pH values of the formulations can vary, e.g., at least from about 3 to about 4.5. All the formulations in Tables 1 and 3 demonstrated superior stability—retaining greater than 98% of the initial enalapril maleate content and having less than 2% w/w total impurity after 52 weeks at 5 °C, or having comparable stability when tested under an accelerated condition of 60 °C.
- 19. In my review of the references cited in the Office Action, none of the references describe this stability of at least 12 months at 5 ± 3 °C or any means of achieving this stability for enalapril formulations.
- 20. I have reviewed Nahata which describes the extemporaneous preparation of oral liquid enalapril formulations by crushing enalapril tablets with a mortar and pestle and suspending the resulting ground tablets in water, citrate buffer, or Ora-Plus/Ora-Sweet. On stability, Nahata states that the "compounded oral liquids [were] stable for 91 days at 4 and 25 °C" defining stable as "concentration after storage was ≥90% of the initial concentration. Table 1 of Nahata shows that the enalapril extemporaneous formulations exhibited about 5% loss of enalapril after about 56 days at 4 °C and about 5% loss of enalapril after about 91 days at 25 °C.

- 21. I have reviewed Sosnowska, which similarly describes extemporaneous enalapril suspensions. The suspensions disclosed in Sosnowska were obtained by grinding tablets and suspending the resultant powder in a hydroxyethylcellulose solution or in a mixture that contains raspberry syrup and hydroxyethylcellulose solution. Based on the 30-day stability data shown in Table 1 of Sosnowska, these extemporaneous formulations have comparable stabilities to the formulations of Nahata, which is retaining about 98% of initial enalapril concentration after stored at refrigerated condition for 30 days. As noted in Sosnowska, "in the absence of microbiological data, the shelf-life given to extemporaneous products containing preservatives is usually 30 days." Page 325 of Sosnowska.
- 22. I have also reviewed Boukarim, which does not provide the stabilities of liquid enalapril formulations.
- 23. To compare the stability of the enalapril oral liquid formulations of the instant application with the extemporaneous preparations, such as those described in Nahata, the enalapril content of the Nahata formulations and that of formulations H1-H9 (stored at 5 °C) are provided in Table 5.

Table 5: Enalapril content in formulations after storage at 5 °C

		Nahat	a	Formulations of Instant Application								
Days	water	Citrate Buffer pH 5.0	1:1 Ora- Plus/Ora- Sweet	H1	Н2	Н3	H4	Н5	Н6	H7	Н8	Н9
0	100	100	100	100.0	100.0	100.0	100.0	100.0	100.0	100.0	100.0	100.0
7	98.6	98.7	99.4									
14	98.1	99.1	98.6	100.1	100.1	99.7	100.0	99.5	98.2	100.7	100.4	100.3
28	97.6	98.7	98.4	100.2	100.3	99.6	100.4	100.0	98.9	99.8	100.0	99.6
42	97.1	98.5	97.9									
56	96.5	97.3	96.9	100.0	100.0	99.7	100.0	99.5	98.5	99.6	100.9	100.7
70	95.2	96.3	96.1									
84				98.6	99.3	99.0	99.5	99.1	99.4	99.8	99.6	99.4
91	94.8	95.9	95.8									
168				99.8	100.0	99.6	100.2	99.4	98.6	100.4	100.1	99.8
196				99.8	99.9	99.6	100.1	99.3	98.4	99.7		
252											99.9	99.4
364				99.9	99.9	99.7	99.7	98.9	98.1	99.8	99.5	99.2

24. To further describe the contrast in stability, the enalapril concentrations published by Nahata, and the concentrations from H1-H9 are plotted graphically in Figure 1 with linear regression of the data for extrapolation.



25. Table 5 and Figure 1 show that formulations H1 to H9 exhibit excellent stability for at least 12 months (52 weeks) at 5 °C with essentially no or little loss of enalapril content, in contrast to the extemporaneous preparations of Nahata (stability is defined as no more than 5% formation of degradants and 5% loss of enalapril). While Nahata does not disclose stability at about 5 °C for more

than 90 days, the extrapolated lines show that at about 100 days, the extemporaneous preparations are unstable with respect to the enalapril content in the preparation.

- 26. The enalapril content and total impurity data submitted in Tables 1-5 and Figure 1 show that the formulations of the present application are significantly more stable than the extemporaneously prepared formulations. Further, as shown by the stability of formulations H1-H9 and formulations of Table 3, a variety of buffers, which are capable of maintaining the pH values of the formulations at about or below 4.5, can be used in the formulations of the present application.
- 27. I declare that all statements made herein are true to the best of my knowledge, or if made upon information and belief, are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Respectfully submitted on this /4 day of May, 2020

Gerold L. Mosher, Ph.D.

Gerold & Mosker

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Art Unit: 1629

Inventors: Gerold L. Mosher, et al. Examiner: Stephanie K. Springer

Serial No.: 15/081,603 Confirmation No.: 3892

Filed: March 25, 2016 Customer No.: 021971

Title: ENALAPRIL FORMULATIONS

Mail Stop Amendment Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

DECLARATION OF GEROLD MOSHER UNDER 37 C.F.R. § 1.132

I, Gerold Mosher, do hereby declare as follows:

- 1. I am currently employed at Silvergate Pharmaceuticals, Inc.
- 2. I received my Bachelor's degree in Pharmacy from the University of Kansas in 1979. I also received a Master and a Doctor of Philosophy in Pharmaceutical Chemistry in 1984 and 1986, respectively, from the University of Kansas.
- 3. I have been employed at Silvergate Pharmaceuticals since 2013, as Vice President of Drug Development. As part of my job duties, I develop oral solutions for pediatric use. I have a small laboratory where I develop, characterize and move formulations through the steps required for FDA approval and eventual sale.
- 4. Early in my career, I practiced pharmacy for two years from 1979 to 1981. Subsequently, I worked in large pharmaceutical companies (Eli Lilly and Merck) for about ten years where I focused primarily on pre-formulation and early phase formulations of new drug products. After leaving these companies and prior to Silvergate Pharmaceuticals, I have also

been employed by small startup companies to develop new solubilizing technology for oral, injectable and inhalation formulations.

- 5. In total, I have been in the field of pharmaceutical chemistry for almost 38 years, and have extensive experience in developing pharmaceutical formulations. My Curriculum Vitae is attached as Exhibit A.
- 6. I am familiar with the subject matter claimed in patent application 15/081,603, and am a named inventor on this application. Silvergate Pharmaceuticals is also the Assignee of the '603 application.
- 7. I am aware of the Non-Final Office Action mailed in this matter on January 17, 2017. I am also aware that the oral enalapril liquid formulation claims stand rejected under 35 U.S.C. § 103 as allegedly being unpatentable over US 8,568,747, Nahata et al. (Stability of Enalapril Maleate in three Extemporaneously Prepared Oral Liquids) ("Nahata"), Product Information of Bicitra (Sodium Citrate and Citric Acid Oral Solution) ("Bicitra"), Product Information of Ora-Sweet ("Ora-sweet"), and Rippley at al. (Pharmacokinetics Assessment of an Oral Enalapril Suspension for Use in Children) ("Rippley"). I have reviewed these cited references in the Non-Final Office Action.
- 8. I am submitting this declaration to address the comments made in the Office Action.
- 9. The '603 application relates to enalapril oral liquid formulations that are stable for least 12 months at 5±3 °C. The present oral liquid formulations contain enalapril, sucralose, a citric acid buffer, sodium benzoate and water at a pH of less than 3.5. Development of this described enalapril formulation was oriented on preparing a safe, stable, soluble oral liquid with minimal degradation and having acceptable taste for pediatric patients.
- 10. The currently approved methods of delivering enalapril to pediatric patients requires (1) administering a solid enalapril tablet or portion thereof to the patient, (2) extemporaneously preparing an oral liquid suspension from enalapril tablets and a diluent, such as the method described in "Nahata" and subsequently administering the suspension to the

patient, or (3) reconstituting a powder in a liquid carrier, such as the described enalapril powder in US 8,568,747.

- All of these methods are undesirable and have limitations. For tablets, it is well known that children have difficulty in swallowing oral dosage forms. For the second method, extemporaneously prepared oral liquids present additional challenges and issues with respect to dosing accuracy and stability, as well as can introduce compounding errors and crosscontamination. Similarly, reconstituting powders into a liquid carrier also requires an extra step and could introduce variability, solubility and contamination issues during the reconstitution.
- 12. As compared to these currently available methods, the enalapril oral liquid formulations claimed in the '603 application provides several advantages:
 - <u>Improved ease of administration</u>. It is easier for many patients to swallow a liquid than to swallow a tablet.
 - <u>Patient Compliance</u>. Patients are more likely to take a dose that is not difficult to swallow, or difficult to prepare,
 - Accuracy of dosing. The prescribing information for enalapril tablets provides dosing guidelines based on the weight of the child. When one only has fixed 2.5, 5 or 10 mg tablets available, it is difficult if not impossible to break the tablets in such a way to get an exact dose if the dose is something other than the tablet strength. In addition, if tablets are compounded into a suspension, the tablets are crushed in a mortar and then mixed with a liquid. There is no guarantee that the drug dissolves in, or is dispersed evenly in the liquid (thus leading to potential dosing errors. Moreover, there is always the chance of contamination of the resulting liquid by residual drugs or substances in the mortar. Similarly, in reconstitutable powders, there is also no guarantee that the powder dissolves or disperses evenly in the diluent.
- 13. It should be appreciated that the oral enalapril liquid formulations of the present claims are stable at 5 ± 3 °C for 12 months or longer with minimal degradation. The stability is an important aspect of the present formulations. It contributes to the consistency and uniformity of the formulations as well as allows for accuracy of dosing to patients.

14. Evidence of this stability is found in exemplary formulations E7 and E8 which show minimal degradation as compared to current formulations. In this study, exemplary formulations E7 and E8 were stored at either refrigerated condition (5 °C) or at ambient condition (25 °C). Formulations details for E7 and E8 are as follows:

Composition of Enalapril Maleate							
Formulations							
Component	E7	E8					
Enalapril maleate	1.00	1.00					
Citric acid anhydrous	1.80	1.82					
Sodium citrate anhydrous	0.16	0.15					
Sodium benzoate	1.00	1.00					
Sucralose	0.70	0.70					
Mixed berry flavor	0.50	0.50					
Water	qs	qs					
pH (measured) 3.3 3.3							
qs = sufficient quantity							

- 15. In my review of the references cited in the Office Action, none of the references describe this stability of at least 12 months at 5 ± 3 °C or any means of achieving this stability for enalapril formulations.
- 16. I have reviewed Nahata which describes the extemporaneous preparation of oral liquid enalapril formulations by crushing enalapril tablets with a mortar and pestle and suspending the resulting ground tablets in water, citrate buffer, or Ora-Plus/Ora-Sweet. On stability, Nahata states that the "compounded oral liquids [were] stable for 91 days at 4 and 25 °C" defining stable as "concentration after storage was ≥90% of the initial concentration. Table 1 of Nahata shows that the enalapril extemporaneous formulations exhibited about 5% loss of enalapril after about 56 days at 4 °C and about 5% loss of enalapril after about 91 days at 25 °C.
- 17. I have also reviewed US 8,568,747 which describes an oral liquid enalapril formulation obtained by reconstituting an enalapril powder in a liquid. The table in example 6 of US 8,568,747 shows that the resulting oral liquid formulation exhibited about 5% loss of enalapril after about 8 weeks at 25 °C.
- 18. I additionally reviewed Bicitra, Ora-sweet, and Rippley and they do not provide any stability of enalapril formulations whatsoever.

19. To compare the stability of the enalapril extemporaneous preparations as described in Nahata and the reconstituted liquid formulation of US 8,568,747, I submit the following data which depicts the enalapril content of formulations E7 at 5°C and 25 °C and E8 at 5 °C in Table A and Table B:

Table A: Enalapril content in formulations after storage at 5 °C1

		Nahata			
Days	water	Citrate	1:1 Ora-	E7	E8
		Buffer pH	Plus/Ora-		
		5.0	Sweet		
0	100	100	100	100	100
7	98.6	98.7	99.4		
14	98.1	99.1	98.6		
28	97.6	98.7	98.4		
40				100.9	
42	97.1	98.5	97.9		100.3
56	96.5	97.3	96.9		
70	95.2	96.3	96.1		
91	94.8	95.9	95.8		
99				104.7	
205				101.1	
290				101.0	
383				99.7	
581				99.1	

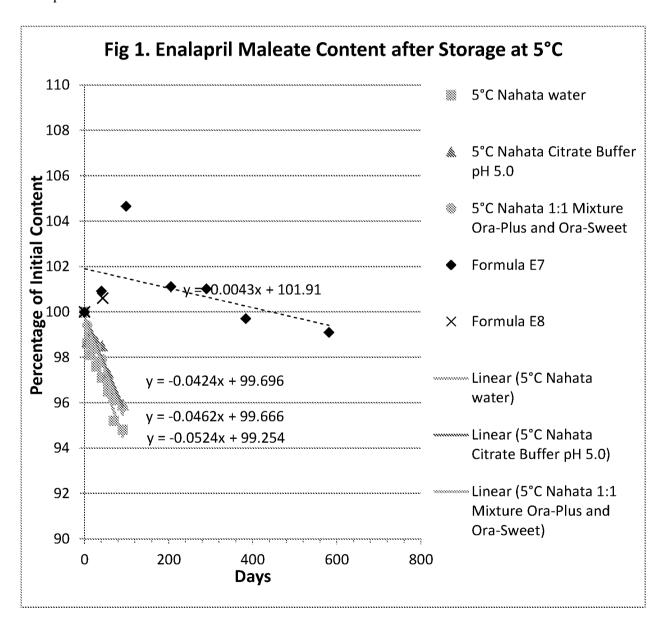
Table B: Enalapril content in formulations after storage at 25 °C

		Nahata		US 8,568,747	
Days	water	Citrate Buffer	1:1 Ora-	Example 6	E7
		pH 5.0	Plus/Ora-Sweet		
0	100	100	100	100	100
7	98.3	98.2	99.7		
14	96.4	97	98.1	99.4	
28	94.1	95.8	96.2	99.5	
30					100.1
42	92.4	95.3	96.2		
56	90.1	94.9	95.7	97.9	
61					99.8

¹ I note that US 8,568,747 does not provide stability data of the reconstituted liquid formulation at 5 °C.

70	87.6	93.9	94.4		
84				96.2	
89					102.4
91	84.1	92.7	93.8		
183					95.8

20. To further describe the contrast in stability, the enalapril concentrations published by Nahata, the US 8,568,747 enalapril concentrations, and the concentrations from E7 and E8 are plotted graphically (Fig. 1: 5 °C and Fig. 2: 25 °C) with linear regression of the data for extrapolation.



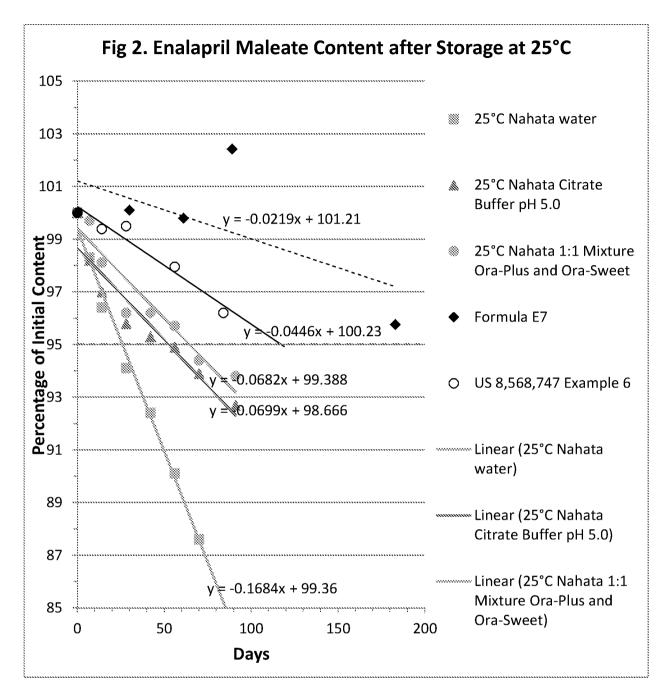


Table A and Fig. 1 show that E7 exhibits excellent stability for at least 18 months (581 days) at 5 °C with essentially no loss of enalapril content in contrast to the extemporaneous preparations of Nahata (stability is defined as no more than 5% formation of degradants and 5% loss of enalapril). While Nahata does not disclose stability at 5 °C for more than 90 days, the extrapolated lines show that at about 100 days, the extemporaneous preparations are unstable with respect to the enalapril content in the preparation.

22. Table B and Fig. 2 show that E7 also exhibits better stability for at least 6 months (183 days) at 25 °C in contrast to the Nahata preparations and the reconstituted formulation of US 8,568,747.

- 23. The additional enalapril content data submitted for E7 and E8 shows that the formulations of the present application are significantly more stable, which in my opinion reflects the superior results and advantages, obtained with the oral liquid enalapril formulation of the present claims.
- 24. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under 18 U.S.C. 1001.

Respectfully submitted on this $2^{-\frac{1}{2}}$ day of February, 2017

Gerold L. Mosher, Ph.D.

Electronically Filed: December 28, 2020 Attorney Docket No.: 43060-707.307

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	Group Art Unit: 1629
Inventor: Gerold L. Mosher, et al.	,	Examiner: Savitha M. Rao
Application No.: 16/991,575)	Confirmation No.: 7887
Filed: August 12, 2020)	Customer No.: 021971
For: ENALAPRIL FORMULATIONS)	
)	

SUPPLEMENTAL RESPONSE

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Commissioner:

This communication is a supplemental response submitted in accordance with a communications between the Patent Office and the Applicant's representative on December 17, 2020 and December 28, 2020

Applicant respectfully requests allowance of the above-referenced application in view of the following response.

Summary of the Interview, Terminal Disclaimers, and **Affidavits** appear on page 2 of this paper.

Conclusion is on page 3 of this paper.

Application No.: 16/991,575 Atty. Docket No. 43060-707.307

SUMMARY OF THE INTERVIEW

Applicant is appreciative of Examiner Savitha M. Rao for extending the courtesy of an examiner's interview to Applicant's representatives, Clark Lin, on December 17, 2020 and the subsequent communication on December 28, 2020. A response consistent with those detailed herein was discussed.

TERMINAL DISCLAIMERS

As discussed in the interview, Applicant submitted terminal disclaimers on December 16, 2020, with respect to U.S. Patent No. 9,669,008, U.S. Patent No. 9,808,442, U.S. Patent No. 10,039,745, U.S. Patent No. 10,154,987, U.S. Patent No. 10,799,476, U.S. Patent No. 10,772,868, and U.S. Patent No. 10,786,482.

The terminal disclaimers have been approved.

AFFIDAVITS

As per the subsequent communication, Applicant hereby submits the following listed affidavits under 37 C.F.R. section 1.132. Also submitted is a recent CV of Dr. Gerold Mosher.

- Declaration of Gerold Mosher, dated May 14, 2020, filed for the application serial no. 16/242,898 on May 14, 2020
- Declaration of Gerold Mosher, dated February 2, 2017, filed for the application serial no. 16/242,898 on August 1, 2019
- Declaration of Gerold Mosher, dated May 15, 2020, filed for the application serial no. 16/177,159 on May 15, 2020
- Curriculum Vitae of Dr. Gerold Mosher

Application No.: 16/991,575 Atty. Docket No. 43060-707.307

CONCLUSION

Applicant believes that the Application is in condition for allowance and respectfully solicits the Examiner to expedite prosecution of this application to issuance. Should the Examiner have any questions, the Examiner is encouraged to telephone the undersigned at (617) 598-7823.

The Commissioner is authorized to charge any additional fees which may be required, including petition fees and extension of time fees, to Deposit Account No. 23-2415 (Docket No. 43060-707.307).

Respectfully submitted,

WILSON SONSINI GOODRICH & ROSATI

Date: December 28, 2020 By: __/Clark Lin/

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United States Patent and Trademark Office



UNITED STATES DEPARTMENT OF COMMERCE **United States Patent and Trademark Office** Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

01/01/2021 21971 7590 WILSON SONSINI GOODRICH & ROSATI 650 PAGE MILL ROAD PALO ALTO, CA 94304-1050

EXAMINER RAO, SAVITHA M ART UNIT PAPER NUMBER 1629

DATE MAILED: 01/01/2021

APPLI	CATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
16.	/991.575	08/12/2020	Gerold L. MOSHER	43060-707.307	7887

TITLE OF INVENTION: ENALAPRIL FORMULATIONS

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$1200	\$0.00	\$0.00	\$1200	04/01/2021

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the ENTITY STATUS shown above. If the ENTITY STATUS is shown as SMALL or MICRO, verify whether entitlement to that entity status still applies.

If the ENTITY STATUS is the same as shown above, pay the TOTAL FEE(S) DUE shown above.

If the ENTITY STATUS is changed from that shown above, on PART B - FEE(S) TRANSMITTAL, complete section number 5 titled "Change in Entity Status (from status indicated above)".

For purposes of this notice, small entity fees are 1/2 the amount of undiscounted fees, and micro entity fees are 1/2 the amount of small entity fees.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Maintenance fees are due in utility patents issuing on applications filed on or after Dec. 12, 1980. It is patentee's responsibility to ensure timely payment of maintenance fees when due. More information is available at www.uspto.gov/PatentMaintenanceFees.

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PTOL-85 (Rev. 02/11)

Case 1:20-cv-01256-LPS Document 74-12 Filed 04/05/21 Page 737 of 748 PageID #: 2928 Complete and send this form, together with applicable fee(s), by mail or fax, or via EFS-Web. By mail, send to: Mail Stop ISSUE FEE By fax, send to: (571)-273-2885 Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications. Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. 21971 7590 01/01/2021 Certificate of Mailing or Transmission I hereby certify that this Fee(s) Transmittal is being deposited with the United WILSON SONSINI GOODRICH & ROSATI States Postal Service with sufficient postage for first class mail in an envelope 650 PAGE MILL ROAD addressed to the Mail Stop ISSUE FEE address above, or being transmitted to the USPTO via EFS-Web or by facsimile to (571) 273-2885, on the date below. PALO ALTO, CA 94304-1050 (Typed or printed name (Date APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 16/991,575 08/12/2020 Gerold L. MOSHER 43060-707.307 7887 TITLE OF INVENTION: ENALAPRIL FORMULATIONS APPLN, TYPE **ENTITY STATUS** ISSUE FEE DUE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE DATE DUE UNDISCOUNTED \$1200 \$0.00 \$0.00 \$1200 04/01/2021 nonprovisional EXAMINER ART UNIT CLASS-SUBCLASS RAO, SAVITHA M 1629 514-001000 1. Change of correspondence address or indication of "Fee Address" (37 For printing on the patent front page, list CFR 1.363). (1) The names of up to 3 registered patent attorneys or agents OR, alternatively, ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. (2) The name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is ■ "Fee Address" indication (or "Fee Address" Indication form PTO/ listed, no name will be printed. SB/47; Rev 03-09 or more recent) attached. Use of a Customer Number is required. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document must have been previously recorded, or filed for recordation, as set forth in 37 CFR 3.11 and 37 CFR 3.81(a). Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) Please check the appropriate assignee category or categories (will not be printed on the patent) : 🗖 Individual 📮 Corporation or other private group entity 🗖 Government ☐Issue Fee Publication Fee (if required) Advance Order - # of Copies 4a Fees submitted: 4b. Method of Payment: (Please first reapply any previously paid fee shown above) Lectronic Payment via EFS-Web Enclosed check Non-electronic payment by credit card (Attach form PTO-2038) 🖵 The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment to Deposit Account No. _ 5. Change in Entity Status (from status indicated above) NOTE: Absent a valid certification of Micro Entity Status (see forms PTO/SB/15A and 15B), issue Applicant certifying micro entity status. See 37 CFR 1.29 fee payment in the micro entity amount will not be accepted at the risk of application abandonment. NOTE: If the application was previously under micro entity status, checking this box will be taken ☐ Applicant asserting small entity status. See 37 CFR 1.27 to be a notification of loss of entitlement to micro entity status. NOTE: Checking this box will be taken to be a notification of loss of entitlement to small or micro Applicant changing to regular undiscounted fee status. entity status, as applicable.

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NOTE: This form must be signed in accordance with 37 CFR 1.31 and 1.33. See 37 CFR 1.4 for signature requirements and certifications.

OMB 0651-0033

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Authorized Signature

Typed or printed name

Date

Registration No.

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United States Patent and Trademark Office



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.usplo.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
16/991,575	08/12/2020	Gerold L. MOSHER	43060-707.307	7887
21971 75	90 01/01/2021		EXAM	INER
WILSON SONSI	NI GOODRICH & I	ROSATI	RAO, SAV	/ITHA M
650 PAGE MILL I	ROAD			
PALO ALTO, CA	94304-1050		ART UNIT	PAPER NUMBER
			1629	
			DATE MAILED: 01/01/202	1

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(Applications filed on or after May 29, 2000)

The Office has discontinued providing a Patent Term Adjustment (PTA) calculation with the Notice of Allowance.

Section 1(h)(2) of the AIA Technical Corrections Act amended 35 U.S.C. 154(b)(3)(B)(i) to eliminate the requirement that the Office provide a patent term adjustment determination with the notice of allowance. See Revisions to Patent Term Adjustment, 78 Fed. Reg. 19416, 19417 (Apr. 1, 2013). Therefore, the Office is no longer providing an initial patent term adjustment determination with the notice of allowance. The Office will continue to provide a patent term adjustment determination with the Issue Notification Letter that is mailed to applicant approximately three weeks prior to the issue date of the patent, and will include the patent term adjustment on the patent. Any request for reconsideration of the patent term adjustment determination (or reinstatement of patent term adjustment) should follow the process outlined in 37 CFR 1.705.

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

OMB Clearance and PRA Burden Statement for PTOL-85 Part B

The Paperwork Reduction Act (PRA) of 1995 requires Federal agencies to obtain Office of Management and Budget approval before requesting most types of information from the public. When OMB approves an agency request to collect information from the public, OMB (i) provides a valid OMB Control Number and expiration date for the agency to display on the instrument that will be used to collect the information and (ii) requires the agency to inform the public about the OMB Control Number's legal significance in accordance with 5 CFR 1320.5(b).

The information collected by PTOL-85 Part B is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b) (2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

	Applicat 16/991,5		Applicant(s) MOSHER et			
Notice of Allowability	Examine	er	Art Unit	AIA (FITF) Status		
The MAILING DATE of this communication appears on the cover sheet with the correspondence address All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included nerewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308. 1. ✓ This communication is responsive to 08/12/2020. ☐ A declaration(s)/affidavit(s) under 37 CFR 1.130(b) was/were filed on 2. ☐ An election was made by the applicant in response to a restriction requirement set forth during the interview on; the restriction requirement and election have been incorporated into this action. 3. ✓ The allowed claim(s) is/are 1-30. As a result of the allowed claim(s), you may be eligible to benefit from the Patent Prosecution Highway program at a participating intellectual property office for the corresponding application. For more information, please see http://www.uspto.gov/patents/init_events/pph/index.jsp or send an inquiry to PPHfeedback@uspto.gov.						
 4. Acknowledgment is made of a claim for foreign priority under Certified copies: a) All b) Some *c) None of the: 	er 35 U.S.0	C. § 119(a)-(d) or (f).				
 Certified copies of the priority documents have been received. Certified copies of the priority documents have been received in Application No Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)). 						
* Certified copies not received: Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.			complying witl	n the requirements		
5. CORRECTED DRAWINGS (as "replacement sheets") must including changes required by the attached Examiner's Paper No./Mail Date Identifying indicia such as the application number (see 37 CFR 1. sheet. Replacement sheet(s) should be labeled as such in the heat	Amendm	ent / Comment or in the Of uld be written on the drawin		(not the back) of each		
6. DEPOSIT OF and/or INFORMATION about the deposit of B attached Examiner's comment regarding REQUIREMENT F				he		
Attachment(s) 1. Notice of References Cited (PTO-892) 2. Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date 11/30/2020. 3. Examiner's Comment Regarding Requirement for Deposit of Biological Material 4. Interview Summary (PTO-413), Paper No./Mail Date. 12/17/2020.						
/SAVITHA M RAO/ Primary Examiner, Art Unit 1629						

U.S. Patent and Trademark Office PTOL-37 (Rev. 08-13)

Notice of Allowability

Part of Paper No./Mail Date 20201228

Art Unit: 1629

Notice of Pre-AIA or AIA Status

The present application, filed on or after March 16, 2013, is being examined

under the first inventor to file provisions of the AIA.

DETAILED ACTION

Claims 1-30 are pending in the instant application.

In the interest of compact prosecution, Examiner contacted the applicants requesting

that they file an electronic terminal disclaimer to overcome the pending obviousness

double patenting rejection over parent applications and also to refile the declaration by

Gerold Mosher under 37 C.F.R 1.132 which details the stability data. Applicants agreed

for both, see the attached interview summary with Mr. Clark Lin.

Information Disclosure Statement

The information disclosure statement (IDS) dated 11/30/2020 complies with the

provisions of 37 CFR 1.97, 1.98 and MPEP § 609. Accordingly, it has been placed in

the application file and the information therein has been considered as to the merits.

Priority

This application is a continuation of US .Patent Application No 16/883553 filed

05/26/2020 (granted as US patent 10,799,476) which is a continuation of U.S. Patent

Application No. 16/242,898, filed January 8, 2019 (granted as US patent 10,786,482),

which is a continuation of 16/177,159, filed October 31, 2018 (granted as US patent

10,772,868, which is a continuation of U.S. Patent Application No. 16/003,994, filed

Appx716

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Art Unit: 1629

Page 3

June 8, 2018 (now U.S. Patent No. 10,154,987, issued December 18, 2018), which is a

continuation of U.S. Patent Application No. 15/802,341, filed November 2, 2017 (now

U.S. Patent No. 10,039,745, issued August 7, 2018), which is a continuation of U.S.

Patent Application No. 15/613,622, filed June 5, 2017 (now U.S. Patent No. 9,808,442,

issued November 7, 2017), which is a continuation of U.S. Patent Application No.

15/081,603, filed March 25, 2016 (now U.S. Patent No. 9,669,008, issued June 06,

2017), which claims the benefit of U.S. Provisional Patent Application No. 62/310,198,

filed March 18, 2016

Terminal disclaimer

The terminal disclaimer filed on 12/16/2020 disclaiming the terminal portion of any patent granted on this application which would extend beyond the expiration date of

 $\textbf{US patents } 9669008.\ 9808442,\ 10039745,\ \ 10154987,\ 10772868,\ 10786482\ and$

10799476 have been reviewed and is accepted. The terminal disclaimer has been

recorded.

Rule 37 CFR 1.132 Declaration

Applicant's submission of three sets of declarations of Gerold Mosher under 37

CFR 1.132 filed 12/28/2020 is acknowledged. The declarations is found to be

persuasive in overcoming any art of record, as it clarifies the novelty of the instantly

claimed composition.

REASONS FOR ALLOWANCE

Appx717

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Art Unit: 1629

In view of the declarations, terminal disclaimers and the following statement of

reasons for allowance, claims 1-30 are found to be allowable.

Following a diligent search it was determined that the prior art neither teaches

nor suggests a stable oral liquid formulation, consisting essentially of (i) about 0.6 to

about 1.2 mg/ml enalapril or a pharmaceutically acceptable salt or solvate thereof; (ii) a

buffer to maintain the pH about 4.5 or below, wherein the buffer concentration is about 5

mM to about 20 mM; (iii) a preservative, wherein the preservative is a paraben or a

mixture of parabens; and (iv) water; wherein the formulation optionally comprises a

sweetener, a flavoring agent, or both; wherein the formulation is stable at about 5 + 3

0C for at least 12 months; and wherein the stable oral liquid formulation has about 95%

w/w or greater of the initial enalapril amount and about 5% w/w or less total impurity or

related substances at the end of the given storage period. It is also noted that claimed

formulation has been found to be novel and unobvious and has been allowed and

issued in the Parent patents

Conclusion

Claims 1-30 are allowed.

Any comments considered necessary by applicant must be submitted no later

than the payment of the issue fee and, to avoid processing delays, should preferably

accompany the issue fee. Such submissions should be clearly labeled "Comments on

Statement of Reasons for Allowance."

Appx718

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Art Unit: 1629

Page 5

Any inquiry concerning this communication or earlier communications from the examiner should be directed to SAVITHA RAO whose telephone number is (571)270-5315. The examiner can normally be reached on Mon-Fri 7.00 am to 4.00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jeffrey Lundgren can be reached on (571) 272-5541. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/SAVITHA M RAO/ Primary Examiner, Art Unit 1629

	Application No. 16/991,575	Applicant(s) MOSHER et al.							
Applicant-Initiated Interview Summary	Examiner SAVITHA M RAO	Art Unit 1629	AIA (FITF) Status Yes						
All participants (applicant, applicants representative, PTO personnel):									
(1) <u>SAVITHA M. RAO</u> .	(3)								
(2) Clark Lin.	(4)								
Date of Interview: 17 December 2020.									
Type: ☑ Telephonic ☐ Video Conference ☐ Personal [copy given to: ☐ applicant	☐ applicant's representativ	/e]							
Exhibit shown or demonstration conducted:	☑ No.								
Issues Discussed 101 112 102 103 (For each of the checked box(es) above, please describe below the issue and deta	Others led description of the discussion)								
Claim(s) discussed: <u>1</u> .									
Identification of prior art discussed: none.									
Substance of Interview (For each issue discussed, provide a detailed description and indicate if agreement reference or a portion thereof, claim interpretation, proposed amendments, arguments.)		de: identification	or clarification of a						
In the interest of compact prosecution, Examiner contacted terminal disclaimer to overcome the pending obviousness to refile the declaration by Gerold Mosher under 37 C.F.R both,.	double patenting rejection of	over parent a	pplications and also						
Applicant recordation instructions: The formal written reply to the last Office action must include the substance of the interview. (See MPEP section 713.04). If a reply to the last Office action has already been filed, applicant is given a non-extendable period of the longer of one month or thirty days from this interview date, or the mailing date of this interview summary form, whichever is later, to file a statement of the substance of the interview.									
Examiner recordation instructions : Examiners must summarize the substance of any interview of record. A complete and proper recordation of the substance of an interview should include the items listed in MPEP 713.04 for complete and proper recordation including the identification of the general thrust of each argument or issue discussed, a general indication of any other pertinent matters discussed regarding patentability and the general results or outcome of the interview, to include an indication as to whether or not agreement was reached on the issues raised.									
☐ Attachment									
/SAVITHA M RAO/ Primary Examiner, Art Unit 1629									
J.S. Patent and Trademark Office	1								

PTOL-413 (Rev. 8/11/2010)

Interview Summary

Paper No. 20201228

Summary of Record of Interview Requirements

Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

Title 37 Code of Federal Regulations (CFR) 1.133 Interviews Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiners responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicants correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,-
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed.
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
 - (The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicants record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

Examiner to Check for Accuracy

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiners version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, Interview Record OK on the paper recording the substance of the interview along with the date and the examiners initials.

Case 1:20-cv-01256-LPS Document 74-12 Filed 04/05/21 Page 747 of 748 PageID #: 2938 Complete and send this form, together with applicable fee(s), by mail or fax, or via EFS-Web. By mail, send to: Mail Stop ISSUE FEE By fax, send to: (571)-273-2885 Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications. Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. 21971 01/01/2021 Certificate of Mailing or Transmission I hereby certify that this Fee(s) Transmittal is being deposited with the United WILSON SONSINI GOODRICH & ROSATI States Postal Service with sufficient postage for first class mail in an envelope 650 PAGE MILL ROAD addressed to the Mail Stop ISSUE FEE address above, or being transmitted to the USPTO via EFS-Web or by facsimile to (571) 273-2885, on the date below. PALO ALTO, CA 94304-1050 Pamela J. Pari (Typed or printed name /Pamela J. Pari/ (Date January 6, 2021 APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 16/991,575 08/12/2020 Gerold L. MOSHER 43060-707.307 7887 TITLE OF INVENTION: ENALAPRIL FORMULATIONS APPLN, TYPE **ENTITY STATUS** ISSUE FEE DUE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE DATE DUE UNDISCOUNTED \$1200 \$0.00 \$0.00 \$1200 04/01/2021 nonprovisional EXAMINER ART UNIT CLASS-SUBCLASS RAO, SAVITHA M 1629 514-001000 1. Change of correspondence address or indication of "Fee Address" (37 For printing on the patent front page, list CFR 1.363). (1) The names of up to 3 registered patent attorneys 1 Wilson Sonsini Goodrich & Rosati, P.C. or agents OR, alternatively, ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. (2) The name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is "Fee Address" indication (or "Fee Address" Indication form PTO/ listed, no name will be printed. SB/47; Rev 03-09 or more recent) attached. Use of a Customer Number is required. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document must have been previously recorded, or filed for recordation, as set forth in 37 CFR 3.11 and 37 CFR 3.81(a). Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) SILVERGATE PHARMACEUTICALS, INC. Greenwood Village, Colorado Please check the appropriate assignee category or categories (will not be printed on the patent) : 🗖 Individual 🗖 Corporation or other private group entity 🗖 Government **⊿**Issue Fee Publication Fee (if required) Advance Order - # of Copies 4b. Method of Payment: (Please first reapply any previously paid fee shown above) La Electronic Payment via EFS-Web Non-electronic payment by credit card (Attach form PTO-2038) Enclosed check The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment to Deposit Account No.

5. Change in Entity Status (from status indicated above)

Applicant certifying micro entity status. See 37 CFR 1.29

☐ Applicant asserting small entity status. See 37 CFR 1.27

Applicant changing to regular undiscounted fee status.

NOTE: Checking this box will be taken to be a notification of loss of entitlement to small or micro entity status, as applicable.

to be a notification of loss of entitlement to micro entity status.

NOTE: This form must be signed in accordance with 37 CFR 1.31 and 1.33. See 37 CFR 1.4 for signature requirements and certifications. /Chen, Ying/ Authorized Signature

Typed or printed name _ Ying Chen

Date January 6, 2021

72,136 Registration No.

NOTE: Absent a valid certification of Micro Entity Status (see forms PTO/SB/15A and 15B), issue

fee payment in the micro entity amount will not be accepted at the risk of application abandonment. NOTE: If the application was previously under micro entity status, checking this box will be taken

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OMB 0651-0033

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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	ISSUE DATE	PATENT NO.	ATTORNEY DOCKET NO.	CONFIRMATION NO.
16/991,575	02/16/2021	10918621	43060-707.307	7887

21971

01/27/2021

WILSON SONSINI GOODRICH & ROSATI 650 PAGE MILL ROAD PALO ALTO, CA 94304-1050

ISSUE NOTIFICATION

The projected patent number and issue date are specified above.

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 0 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

Gerold L. MOSHER, Kansas City, MO; Silvergate Pharmaceuticals, Inc., Greenwood Village, CO; David W. MILES, Kansas City, MO;

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IR103 (Rev. 10/09)